

VOL. 4

ABHIDHARMAKOSABHASYAM  
VASUBANDHU | POUSSIN | PRUDEN



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# ABHIDHARMA KOSA BHASYAM

OF  
VASUBANDHU

TRANSLATED INTO FRENCH BY LOUIS DE LA VALLÉE-POUSSIN  
ENGLISH VERSION BY LEO M. PRUDEN



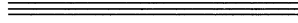
**Abhidharmakośabhāṣyam**

**Volume IV**



# Abhidharmakośabhāṣyam

*of Vasubandhu*



Volume IV

*Translated into French by Louis de La Vallée Poussin*

*English Version by Leo M. Pruden*



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# Translator's Introduction

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This volume is the fourth and the last volume of an English translation of Louis de La Vallée Poussin's French translation of Vasubandhu's *Abhidharmakośabhāṣyam*. This volume contains translations of Chapter VII ("The Knowledges", *jñāna-nirdeśa*), Chapter VIII ("The Absorptions", *samāpatti-nirdeśa*), and Chapter IX ("Refutation of the *Pudgala*", *pudgala-pratiṣedha*). This volume also contains a full Index to the text of the *Kośabhāṣyam*, as well as to significant entries in the Footnotes to the various Chapters.

Chapter VII deals with the type and nature of the Knowledges, and how they differ from the Patiences and from Seeing; with the characteristics and aspects of the Ten Knowledges; how the knowledge of the mind of another takes place; the differences between a defiled mind and a good or concentrated mind; the role of emptiness and non-self in the Knowledges; the Path of Meditation; the qualities and powers that accompany the Knowledge of Destruction, and related to this, the powers and assurances of a Buddha; the difference between (ordinary) compassion and the great compassion of a Buddha; the nature of the Buddha's knowledge, his methods of conversion, and his supernormal powers (*ṛddhī*).

Chapter VIII is concerned with *dhyāna* both as a state of absorption, and as states of existence in the realms of Rūpadhātu and Ārūpyadhātu. This Chapter discusses *dhyāna* versus *samādhi*; whether *rūpa* or physical matter exists in Ārūpyadhātu; the origin of physical matter from the mind; the component parts of each *dhyāna*; the role of happiness in the Third Dhyāna; the role and nature of faith; which absorption can arise after which absorption; the mental object of the absorptions; the prefatory or threshold absorptions (*sāmantakas*), and the prefatory absorptions as contrasted with *dhyānāntara*. The nature of *samādhi* is discussed with reference to its containing *vitarka* and/or *vicāra*; discussed also are the absorptions of emptiness, the absorption of the absence of characteristics, and the absorption of the absence of intention; the types of *samādhi*; the Four Immeasurables

(called in other traditions the Four Brahmavihāras); and details how a beginner should cultivate the visualization of goodwill. This Chapter concludes with a short discussion on how long the Saddharma will last, and the definition of the Saddharma as consisting of *āgama* (the teaching) and *adhiḡama* (its cultivation and realization). Three Kārikās then conclude the basic text of the *Abhidharmakośabhāṣyam*.

Chapter IX has long been regarded as an appendix to the *Abhidharmakośabhāṣyam*, since the text of the Ninth Chapter contains no Kārikās, and its presentation is devoted to a single theme, that of refuting the idea of a *pudgala* or soul. Since this Chapter is quoted or referred to twice (pages 650, 818) in the text of the *Kośabhāṣyam*, this work was evidently known to the author of the *Kośabhāṣyam*, and so was perhaps composed prior to Vasubandhu's composition of the *Kośabhāṣyam* (as was perhaps his *Karmasiddhi-prakaraṇa*) to answer objections to the non-soul teaching of the Buddhists.

This Chapter is concerned with refuting the soul (here *pudgala*) theories of one sect of Hīnayāna Buddhism, the Vātsīputrīyas (also identified with Sāmmatīyas); later in the Chapter the author refutes the theories of the Vaiśeṣikas, one of the orthodox schools of Hindu Philosophy. In this Chapter, Vasubandhu concerns himself with explaining memory and recognition: how does one account for memory and recognition in the absence of an unchanging substratum to human experience? The existence of such a substratum becomes the concern of the Yogācāra school of Mahāyāna Buddhism.

I should like to thank Mr. Ken Johnson for his unstinting work on the Index of the *Abhidharmakośabhāṣyam*; he has done a fine job of serving on numerous occasions as my editor and advisor.

Much remains to be done in *Kośabhāṣyam* studies. At present the Bangladeshi Bhikkhu, Ven. Lokananda, and I are working on publishing a Romanized edition of the text of the *Kośabhāṣyam*, and in the compilation of a dictionary to the Sanskrit text of the work. It would be a great source of joy to see an eventual translation of Yaśomitra's commentary on the *Kośabhāṣyam*, his *Sphuṭārtha-abhidharmakośa-vyākhyā*, a work quoted liberally in de



**La Vallée Poussin's Footnotes.** It will also be necessary someday to investigate the filiation of Vasubandhu's thought into that of the Mahāyāna, a task already carried out in many of Kyokuga Saeki's Footnotes to his edition of the *Kusharon*, his *Kandō-bon Kusharon*.

Leo M. Pruden.



# C O N T E N T S

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## Chapter Seven: The Knowledges

I.	The Relationship Between the Patiences, the Knowledges, and Seeing	1087
II.	The Characteristics of the Ten Knowledges	1088
	A. The Ten Knowledges	1088
	1. Pure and Impure Knowledge	1089
	2. Conventional Knowledge	1089
	3. The Knowledge of the Mind of Another	1091
	B. The Knowledge of Destruction and the Knowledge of Non-Arising	1093
	1. How Are They Obtained	1094
	2. How Are They Views	1095
	C. How Are There Ten Knowledges?	1095
	D. A Knowledge of the Dharmas and Inferential Knowledge: Their Influence on Various Spheres.	1097
III.	The Aspects of Ten Knowledges	1098
	A. The Different Aspects of the Ten Knowledges	1098
	B. Pure Knowledge and the Sixteen Aspects	1099
	1. Discussion: What is Sarāga Mind?	1100
	C. The Sixteen Aspects	1108
IV.	The Ten Knowledges: An Analysis	1117
	A. Their Natures, Spheres; the Persons	1117
	B. The Ten Knowledges and the Four Foundations of Mindfulness	1119
	C. The Spheres of the Ten Knowledges	1120
	1. The Ten Knowledges Are Conditioned by Which Knowledge?	1120
	2. The Spheres of the Ten Knowledges	1121
	3. The Sphere of Conventional Knowledge	1121
	D. The Ten Knowledges and the Ascetic's Cultivation	1122



E.	The Ten Knowledges as Innate or Cultivated Knowledge	1124
1.	The Ten Knowledges on the Path of Seeing	1124
2.	The Ten Knowledges on the Path of Meditation	1128
3.	The Ten Knowledges in the Stage of the Aśaikṣa	1133
4.	The Ten Knowledges in Other Stages	1134
a.	Qualities of the Ten Knowledges	1134
5.	Innate and Cultivated Pure and Impure Dharmas; the Meaning of Cultivation	1135
6.	The Four Types of Cultivation	1135
a.	Six Types of Cultivation: the Vaibhāṣikas of the West	1136
b.	Refutation: the Vaibhāṣikas of Kāśmīr	1136
V.	The Eighteen Qualities Unique to a Buddha	1136
A.	What are the Eighteen Qualities?	1136
B.	The Ten Powers	1137
1.	The Power of the Buddha's Mind	1137
2.	The Power of the Buddha's Body	1139
a.	Definition of Terms	1140
C.	The Four Absence of Fear	1141
D.	The Three Foundations of Mindfulness	1142
E.	Great Compassion	1143
F.	Do the Buddhas Resemble One Another	1145
G.	The Threefold Perfection of the Tathāgatas	1146
VI.	Qualities the Buddhas Have in Common with Ordinary Persons and with Saints	1148
A.	General Remarks	1148
B.	Qualities the Buddhas Have in Common with Saints	1148
1.	The Samādhi Absence of Contention	1150
2.	The Knowledge Resulting from Resolution	1150
a.	Does this Knowledge Exist in Ārūpyadhātu?: Opinion of the Vaibhāṣikas	1151

3. The Four Unhindered Knowledges	1151
a. A Variant Order	1154
b. Various Opinions	1154
4. The Fourth Dhyāna as Prāntakoṭika Dhyāna	1155
C. Qualities the Buddhas, Saints, and Ordinary Persons Have in Common	1157
1. The Six Supernormal Knowledges	1157
a. Discussion: Do These Six Supernormal Knowledges Exist in Nonmaterial Spheres	1159
b. How Are the Supernormal Knowledges Obtained?	1161
c. Moral Quality of the Supernormal Knowledges	1163
2. The Three Aśaikṣa Wisdom	1164
3. The Three Methods of Conversion	1166
4. The Meaning of Ṛddhi	1168
5. The Results of Ṛddhi	1168
a. Displacement	1168
b. Creation: The Mind Capable of Creating Fictive Beings	1170
6. Empowerment	1173
7. A Variant List of Ṛddhi	1176
8. Divine Sight and Divine Hearing	1176
9. Is Ṛddhi Innate	1178
Footnotes	1181

## Chapter Eight: The Absorptions

I. General Introduction	1215
A. The Four Dhyānas	1215
1. Application of the Mind on a Single Object	1216
2. Definition of Dhyāna	1217
3. The Moral Value of Dhyāna	1218
4. Characteristics of the Four Dhyānas	1218
B. The Four Ārūpyas	1219
Discussion: Is there <i>rūpa</i> in Ārūpyadhātu?	1220

1.	The Names of the Four Ārūpyas	1226
2.	The Parts of the Pure Dhyānas	1227
	a. Happiness	1231
	b. Faith	1236
	c. Joy	1237
3.	The Parts of Defiled Dhyāna	1238
	a. Disturbed Dhyāna	1239
	b. Vedanā in the Dhyānas	1239
	c. Eye Consciousness in the Dhyānas	1240
4.	Acquisition of the Absorptions	1241
5.	What Absorption Arises After What Absorption?	1244
	a. Reciprocal Generation of the Absorptions	1248
	b. What Beings Manifest How Many Absorptions	1250
6.	The Objects of the Absorptions	1251
7.	Which Absorptions Destroy the Defilements?	1252
8.	The Prefatory Absorptions	1253
	a. Differences Between the Prefatory Absorptions and the Intermediate Dhyāna	1254
	i. The Categories of Intermediate Dhyāna	1255
9.	The Three Samādhis	1256
	a. Their Moral Value	1258
10.	The Four Cultivations of Absorption	1262
11.	The Immeasurables	1264
	a. Definition	1265
	b. Their Objects	1265
	c. The Spheres in Which They Are Produced	1267
	i. How the Beginner Cultivates Goodwill	1269
12.	The Deliverances	1271
	a. In Ārūpyadhātu	1271
	b. The Absorption of Extinction	1273
	c. Their Objects	1274



d. The Deliverances and the Third Dhyāna	1275
13. The Dominant Āyatanas	1276
14. The All-Encompassing Āyatanas	1277
15. How an Absorption Arises	1279
II. Concluding Remarks	1281
A. The Nature of the Good Law	1281
1. Its Duration	1281
B. This Present Treatise	1282
C. Concluding Stanzas	1282
Footnotes	1283

## Chapter IX: Refutation of the *pudgala*

I. General Statements	1313
II. Refutation of the Vātsīputriya Theories	1314
A. Refutations from Reasoning	1314
1. The Provisional Existence of the <i>Pudgala</i>	1315
a. Discussion: The Relationship of Fire and Fuel	1315
2. The <i>Pudgala</i> as the Totality of the <i>Skandhas</i>	1318
3. The Support of the <i>Pudgala</i>	1318
4. The <i>Pudgala</i> as Cognized by the Vijñāna	1318
B. Refutations from Scripture	1321
1. Synonyms	1324
2. Scriptural Quotations	1324
a. Discussion: The Authority of Texts	1324
C. Refutation of Vātsīputriya Arguments	1326
1. "In the past, I was. . ."	1327
a. Discussion: The Omniscience of the Buddha	1327
2. The Bearer of the Burden	1328
3. Apparitional Beings	1330
4. A Single Self or Soul	1330
5. Unanswerable Questions	1332

6.	A False Opinion	1336
7.	A Subject that Transmigrates	1337
8.	"Then I was..."	1337
9.	Three Wrong Beliefs	1338
10.	Memory and Recognition	1339
	a. Definition of Memory	1339
	b. To Whom Does Memory Belong?	1341
III.	Refutation of the Soul Theory of the Grammarians	1342
	A. General Arguments and their Refutation	1342
	B. Specific Refutations	1342
	1. What Does it Signify to Say "A Person Walks"?	1342
	2. How Consciousness Knows an Object	1343
	3. The Non-determined Sequence of Consciousnesses	1344
	4. Conclusion	1345
IV.	Refutation of the Soul Theories of the Vaiśeṣikas	1346
	A. General Arguments and their Refutations	1346
	B. Specific Refutations	1346
	1. A Substrate	1348
	2. The Result of Action	1349
	a. Cause of the Idea of "I"	1350
	b. Definition of Pleasure and Pain	1350
	c. Who Has Pain or Pleasure?	1350
	d. The Agent of Action	1351
	e. Past Causes and Future Results	1352
	3. Cause and Result in Non-Human Life: A Seed and Its Fruit	1352
	4. A Retributive Result versus an Out-flowing Result	1354
	a. Discussion: Why a New Retribution is not Generated from the Retributive Result	1354
	5. Concluding Statements	1355
	Preliminary Notes	1356
	Footnotes	1359
	Index	1381

## C H A P T E R   S E V E N

### *The Knowledges*<sup>1</sup>

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**O**m. Homage to the Buddha.

We have spoken of the *kṣāntis* or Patiences (vi. 25d) and of the *jñānas* or Knowledges (vi. 26b), of *samyagdrṣṭi* or Right Views (vi. 50c) and of *samyagjñāna* or Right Knowledge (vi. 76c). Are the Patiences actually Knowledges, and isn't Right Knowledge identical to Right Views?

1a. The pure Patiences are not a type of Knowledge.<sup>2</sup>

The eight types of pure Patience which form part of the Path of Seeing (*ābhisamayāntika*, vi. 25d-26c) are not, by their nature, knowledge;<sup>3</sup> for, at the moment of patience, the defilement of doubt, which each Patience abandons, is not already abandoned. But Knowledge is certain: it is produced when doubt is abandoned. These eight types of Patience are Seeing, because, by their nature, they are examination.<sup>4</sup> In opposition to the pure Patiences which are Seeing and are not Knowledge,

1b. The *prajñā* of destruction and of non-arising is not seeing.<sup>5</sup>

The knowledge of destruction (*kṣayaajñāna*) and the knowledge of Non-Arising (*anutpadajñāna*, vi. 67a-b)—when they constitute Bodhi—are not Seeing, because they do not include examination, and because the intention of inquiry is not in them.<sup>6</sup>



lc. All other pure *prajñā* is both one and the other.

Besides the Patiences and the two Knowledges named above, pure *prajñā* is at one and the same time both seeing and knowledge, for it includes examination, and is therefore seeing; it is free from doubt, and is therefore knowledge. This refers to the eight *abhisamaya* knowledges (*duḥkhe jñāna*, etc., vi. 26) [and also to all *prajñā* of the pure Path of Meditation up to the Knowledge of Extinction].

ld. All other *prajñā* is knowledge.

All other *prajñā* which is not pure, but worldly or impure [i.e., *prajñā* associated with the five sense consciousnesses, etc., and *prajñā* associated with the mental consciousness].

le. Six are also seeing.

Six impure *prajñās* are at one and the same time knowledge and seeing, namely the mental *prajñā* associated with the five defilements which are views by nature (*satkāyadr̥ṣṭi*, etc., v7) and, sixth, good *prajñā*, which is right worldly views (*laukikī sam-yagdr̥ṣṭi*, i.41).

\*\*\*

How many knowledges are there? There are ten;<sup>7</sup> but, in short there are only two:

2a. Knowledge is pure or impure.

All the Knowledges are subsumed into two types of knowledge,

impure or worldly knowledge, and pure or supermundane knowledge. Of these two knowledges,

2b. The first is called conventional.<sup>8</sup>

Impure Knowledge is called "knowledge conforming to worldly conventions."

Why?

Because from usage it bears on (*ālabate*) things which exist conventionally:<sup>9</sup> a jug, clothing, male, female, etc. [We say "from usage," because it also bears on the real characteristics of things, unique characteristics and common characteristics, vii. 10b].

2c-d. Pure knowledge is of two types, a knowledge of *dharma*s and inferential knowledge.<sup>10</sup>

These two knowledges with the preceeding make three knowledges: worldly, conventional knowledge, a knowledge of *dharma*s, and inferential knowledge. Among these,

3a. Conventional knowledge bears on all.<sup>11</sup>

All the conditioned and unconditioned *dharma*s are the object of conventional knowledge.

3b-c. The knowledge of *dharma*s has for its object the Suffering, etc., of Kāmadhātu.

The knowledge of *dharma*s has Suffering, the Arising of Suffering, the Extinction of Suffering, and the Path leading to the Extinction of Suffering of Kāmadhātu for its object.

3c-d. Inferential knowledge bears on Suffering, etc., of the higher spheres.

Inferential knowledge has the Suffering, the Origin of Suffering... of Rūpadhātu and Ārūpyadhātu for its object.

4a-b. When one takes into consideration the distinction of the Truths, these two knowledges, make up four knowledges.

Namely: the knowledge of Suffering (which includes the knowledge of the *dharmas* of Suffering and the inferential knowledge of Suffering), the knowledge of Origin, the knowledge of Extinction, and the knowledge of the Path, because these two knowledges have Suffering, its Origin, etc., for their object.

4b-c. These two knowledges, fourfold, are termed the knowledge of Destruction and the Knowledge of Non-Arising.<sup>12</sup>

The knowledge of *dharmas* and inferential knowledge, which are as we have just seen fourfold by reason of their objects, are, among the Arhats, when they are not of the nature of Seeing,<sup>13</sup> called the Knowledge of Destruction and the Knowledge of Non-Arising.

4d-5a. At the moment when they arise, they are inferential knowledges of Suffering and Origin.

The Knowledge of Destruction and the Knowledge of Non-Arising, at the moment when they first arise are, by their nature, inferential knowledge of Suffering and of its Origin in the higher

spheres, because they have for their object the *skandhas* of Bhavāgra<sup>14</sup> under the aspects of Suffering and Origin.<sup>15</sup> These two knowledges therefore have the same object.

The Knowledge of Destruction follows Vajropamasamādhi (vi. 44d); and the Knowledge of Non-Arising follows the Knowledge of Destruction.

Does Vajropamasamādhi have the same object as these two knowledges at the moment of their arising?

When it has Suffering and Origin for its object, yes; when it has Extinction and the Path for its object, no.

5b. The knowledge of the mind of another follows from four.

The knowledge of the mind of another follows four knowledges, a knowledge of the *dharma*s, inferential knowledge, a knowledge of the Path, and conventional knowledge.<sup>16</sup>

5c-d. It does not know a mind in a higher sphere, faculties, personalities, nor the past and future.<sup>17</sup>

A mind is superior either from the point of view of its *bhūmi*, from the point of view of its *indriyas*, or from the point of view of its personality.

The knowledge of the mind of another of a lower sphere does not know a mind in a higher sphere.

The knowledge of the mind of another of a saint with weak faculties, namely a Śraddhādhimukta or a Samayavimukta (vi. 31c), does not know the mind of a saint of strong faculties, namely a Dṛṣṭiprāpta or a Asamayavimukta.

The knowledge of the mind of another of a lower saint does not know the mind of a higher saint: in order, Anāgāmin, Arhat,

Pratyekabuddha, Samyaksarṇibuddha.<sup>18</sup>

When the mind of another is either past or future, the knowledge of a mind of another does not know it, for this knowledge has a present mind for its object.

Does the mind of another avoid being in the sphere of the knowledge of the mind of another in other cases as well?

6a-b. The knowledge of *dharma*s and inferential knowledges do not know one another.

The knowledge of the mind of another, when it is by its nature a knowledge of *dharma*s, is not capable of knowing the mind of another which is by nature inferential knowledge; when it is inferential by nature, it is not capable of knowing the mind of another which is by nature a series of *dharma*s.

Why?

Because these two knowledges have for their object the *dharma*s which are opposed to Kāmadhātu and to the higher spheres respectfully.

\*\*\*

There is no knowledge of the mind of another in the Path of Seeing. That is to say, an ascetic, while he cultivates the Path of Seeing, is not endowed with the knowledge of the mind of another, because the duration of the Path of Seeing is much reduced, and because the Seeing of the Truths is rapid. But the mind of another which is found in the Path of Seeing can be the object of the knowledge of the mind of another.

When one desires to know, through the knowledge of the mind of another, the mind of another which is found in the Path of Seeing, one cultivates a preparatory exercise:

6b-d. The Śrāvaka knows two moment of Seeing; the Pratyekabuddha, three; the Buddha, without preparation, all.

When a Śrāvaka cultivates the knowledge of the mind of another in the desire to see the mind of an ascetic in the Path of Seeing, he obtains some knowing of the first two moments, the Patience of the Knowledge of the *dharma*s of Suffering and the knowledge of *dharma*s<sup>19</sup> — but not the following moments (the Patience of the Inferential Knowledge of Suffering...)—because the knowledge of the inferential part (Suffering in the higher spheres) of the Path of Seeing supposes a different preparatory exercise. Thus, if this Śrāvaka then begins a new exercise in order to obtain the knowledge of the inferential part, the ascetic whom he examines has already arrived at the fifteenth moment when this new preparatory exercise [which lasts thirteen moments] is finished. The whole interval between the twelfth and the sixteenth moment therefore is not in the sphere of the knowledge of the mind of another of the Śrāvaka (*Vibhāṣā*, TD 27, p. 515c7).

In the same circumstances, the Pratyekabuddha knows three moments, namely the first two and the eighth; because the required preparatory exercise, after the consciousness of the first two moments, for the knowledge of the inferential part, is, among the Pratyekabuddhas, weak.

According to other masters, he knows the first two moments and the fifteenth.<sup>20</sup>

The Buddha, through simple desire, and without preparatory exercise, knows the mind of another in all the moments of the Path of Seeing.

\*\*\*

What are the characteristics of the Knowledge of Destruction

and of the Knowledge of Non-Arising?

7. The knowledge of destruction is, with respect to the Truths, the certitude that they are known, abandoned, etc.; the knowledge of non-arising is the certitude that they are no longer to be known, to be abandoned, etc.<sup>21</sup>

According to the Mūlaśāstra,<sup>22</sup> "What is the knowledge of extinction?<sup>23</sup> When one knows within himself that 'Suffering is completely known by me, its Origin is abandoned by me, its Extinction has been actualized by me, the Path has been cultivated by me,' then the knowledge which results from this (*tad upādāya yaj jñānam*),<sup>24</sup> the seeing, the knowing, the intuition, the intelligence, the discernment, the clarity, the insight,<sup>25</sup> is what is called the Knowledge of Destruction." "What is the Knowledge of Non-Arising? When one knows within himself that 'Suffering is completely known by me and is no longer to be known... the Path is no longer to be cultivated', then this knowledge... is what is called the Knowledge of Non-Arising." [See the definition vii. 12a-b.]

\*\*\*

But how can one, through a pure knowledge, have such a knowledge?<sup>26</sup>

The Masters of Kaśmīr explain: Two conventional knowledges are consecutive to two pure knowledges: "Suffering is known by me...; Suffering is known by me and is no longer to be known." It is by reason of the characteristic of these two conventional knowledges that the Śāstra defines the two pure knowledges. [This is why the Śāstra says: *tad upādāya*...] <sup>27</sup>

According to other masters,<sup>28</sup> the ascetic knows, through a pure knowledge, that he knows Suffering, etc.

\*\*\*

But we have said that the Knowledge of Destruction and the Knowledge of Non-Arising are not seeing, or views. How can the Śāstra define them as views?

The Śāstra employs the term "view" through stylistic habit,<sup>29</sup> using again the formula employed in the definition of the other knowledges (the knowledge of Suffering, etc.). Or rather, by reason of their characteristic of being directly perceived, these two knowledges are qualified as seeing. It is by reason of this characteristic of being directly perceived that it says in the Śāstra,<sup>30</sup> "Knowledge is seeing."<sup>31</sup>

\*\*\*

There are ten knowledges: a knowledge of *dharmas*, inferential knowledge, worldly, conventional knowledge, the knowledge of the mind of another, the knowledge of Suffering, the knowledge of Origin, the knowledge of Extinction, the knowledge of the Path, the Knowledge of Destruction, and the Knowledge of Non-Arising.<sup>32</sup>

How are they included in one another?<sup>33</sup>

1. Conventional knowledge is made up of one knowledge, namely the conventional knowledge, and one part of another knowledge [namely the impure part of the knowledge of the mind of another].<sup>34</sup>

2. A knowledge of *dharmas* is made up of one full knowledge and one part of seven other knowledges, namely the *Kāmadhātu* part of the knowledge of suffering, of origin, of extinction, and of the Path, the knowledge of the mind of another, the Knowledge of Destruction, and the Knowledge of Non-Arising.

3. So too inferential knowledge, by replacing "the *Kāmadhātu*



part" with "the part relating to the two higher spheres (=Rūpadhātu and Ārūpyadhātu)."

4. The knowledge of suffering is made up of one knowledge and one part of four other knowledges—that part of the knowledge of *dharma*s, inferential knowledge, the Knowledge of Destruction and the Knowledge of Non-Arising which have the Truth of Suffering for their objects.

5-6. The knowledge of origin and of extinction are explained according to the same principle.

7. A knowledge of the Path is made up of one knowledge and one part of five knowledges: the knowledge of *dharma*s, inferential knowledge, the Knowledge of Destruction, the knowledge of Non-Arising, and the knowledge of the mind of another.

8. The knowledge of the mind of another is made up of one knowledge and one part of four knowledges: the knowledge of *dharma*s, inferential knowledge, a knowledge of the Path, and conventional knowledge.

9. The Knowledge of Destruction is made up of one knowledge and one part of six knowledges: the knowledge of *dharma*s, inferential knowledge, the knowledge of suffering, of origin, of extinction, and of the Path.<sup>35</sup>

10. So too the Knowledge of Non-Arising.

How are the knowledges, which are twofold (pure and impure) distributed into ten knowledges?

8. The knowledges are ten in number; the distinction is established by reason of their nature, their opposition, their aspect, their aspect and their object, their preparatory exercises, the achievement of their task, and the extension of their cause.

1. By reason of its nature, there is conventional knowledge, because it is not absolute knowledge.<sup>36</sup>

2. By reason of their opposition, there is knowledge of the *dharmas* and inferential knowledge: the first is opposed to Kāmadhātu, and the second is opposed to the higher spheres.<sup>37</sup>

3. By reason of their aspect (*ākārataḥ*, vii.13), there is a knowledge of Suffering and a knowledge of Origin: these two knowledges have the same object (i.e., the *pañcopādānaskandhas*, vi. English trans. p. 898 and 908), but differ in their aspects.<sup>38</sup>

4. By reason of their aspect and their object (*ālambana*), there is a knowledge of Extinction and a knowledge of the Path which differ in their aspects as well as in their objects.

5. By reason of their preparatory exercises, there is the knowledge of the mind of another. Without doubt this knowledge extends also to the mental states (*caittas*) of another, but the preparatory exercise bears on the mind (*citta*); also, even though it may know the *caittas*, it is termed *paracittajñāna* (the knowledge of the *mind* of another) by reason of its preparatory exercise.

6. Because "that which should have been done has been done," there is the Knowledge of Destruction: this knowledge is the first knowledge to arise in a series in which "that which should have been done has been done." [The Knowledge of Non-Arising arises in a similar series, but later.]

7. By reason of the extension of its causes, there is the Knowledge of Non-Arising, for it has for its causes (= *sabbhāgahetu*) all the pure knowledges, up to and including the Knowledge of Destruction.

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We have said that the knowledge of the *dharmas* [that is to say: a. bearing on the Four Truths, b. in the Path of Seeing and in the Path of Meditation] is opposed to all of Kāmadhātu [that is to say it "opposes" the five categories of defilements, those abandoned through the Seeing of the Four Truths and through Meditation, of Kāmadhātu]. Furthermore

9a-c. The knowledge of *dharmas*, in the Pathway of Meditation, when it bears on Extinction and the Path, is opposed to the three spheres.

A knowledge of *dharmas* with respect to Extinction and the Path, realized in the course of the Path of Meditation is opposed to the three spheres—that is to say, these two knowledges oppose the defilements of the higher spheres which are abandoned through Meditation.<sup>39</sup>

9d. Inferential knowledge is not opposed to *Kāmadhātu*.

In none of its branches (Suffering, etc.) is inferential knowledge opposed to the defilements of *Kāmadhātu*.

What are the aspects of the ten knowledges?

10a-b. A knowledge of *dharmas* and inferential knowledge have sixteen aspects.

The sixteen aspects which will be explained later (vii. 13a).

10b-c. Conventional knowledge is the same and otherwise.

Conventional knowledge has the sixteen aspects; it also takes up others, for it grasps unique characteristics, common characteristics, etc.<sup>40</sup>

10c-d. Four, because of the aspect of their Truth.<sup>41</sup>

A knowledge of Suffering, Origin, Extinction, and the Path, bearing on the aspects of their Truths, each have four aspects.

11a-b. So too, when it is pure, the knowledge of the mind of another.<sup>42</sup>

The knowledge of the mind of another, in its impure part (vii. 5b-6, note 16), bears on the aspects of its Truth; it therefore has four aspects. This part of the knowledge of the mind of another is, in fact, made up of the knowledge of the Path.

llb-c. When it is impure, it has for its aspects the unique characteristics of its object.<sup>43</sup>

When the knowledge of the mind of another is impure, it grasps the unique characteristics of its object (*jñeya*), namely the mind and the mental states of another. Its aspects are in conformity with these unique characteristics; therefore they are not included in the sixteen.

Pure or impure

lld. It has for its sphere an individual object.<sup>44</sup>

When it bears on a mind, it does not bear on a mental state (*caitta*); when it bears on a certain mental state (*vedanā* for example), it does not bear on any other one (*saṃjñā*, for example).

If this is the case, why did the Blessed One say, "He knows in truth the mind which is *sarāga* (possesses craving) as being *sarāga*",<sup>45</sup> since the knowledge of the mind of another does not know minds and mental states (craving, etc.) at one and the same time, in the same way that one does not grasp the cloth and its stain at one and the same time?<sup>46</sup>

The expression *sarāga*, possessing craving, has two meanings. A mind is *sarāga* because it is "mixed" with craving,<sup>47</sup> or because it is "united" to craving.<sup>48</sup>

The mind associated with craving (ii. 53c), that is to say, which is presently in the prey of craving, is *sarāga* for two reasons; it is mixed with craving, and it is united to craving.

Any other impure mind<sup>49</sup> is solely *sarāga* to the extent that it is united to craving.

Certain masters<sup>50</sup> think that the Sūtra, by the expression *sarāgacitta* solely designates a mind mixed with craving, that is to say, a mind associated with craving. As for the *vigatarāga* mind, a mind without craving, this is, according to these masters, the mind opposed to craving. In fact, they say, if the mind not associated with craving were called *vigatarāga*, the mind associated with the other defilements (hatred, etc.) would be also termed *vigatarāga*, for they are not associated with craving.

Objection: In this hypothesis a non-defiled, indeterminate mind (ii. 71b) is not *sarāga*, since it is not associated with craving; it is not *vigatarāga*, since it is not opposed to craving. As a consequence we must admit that what the other masters (the Ābhidhārmikas) say, that the mind is *sarāga* through the fact of being united to craving, without it necessarily being mixed with craving. In this same way we must explain the other expressions of the Sūtra up to "possessing delusion" (*samoha*) and "not possessing delusion" (*vigatamoha*). [See above, note 45.]

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[The Vaibhāṣikas say:] A good mind is called concentrated because it is not turned away from its object.<sup>51</sup> A defiled mind is distracted, because it is associated with distraction.

The Westerners, or Masters of Gandhāra, say: A mind associated with laziness is concentrated;<sup>52</sup> a distracted mind is any other defiled mind.

[The Vaibhāṣikas] do not admit this definition. They say: In this system, the same mind, namely a defiled mind associated with laziness, will be at one and the same time concentrated and distracted. Further, this system contradicts the *Mūlaśāstra* (according to the Japanese editor, *Jñānaprasthāna*, 15.9), which

says, "He knows in truth the concentrated (*saṃkṣipta*) mind, endowed with the four knowledges, a knowledge of *dharmas*, inferential knowledge, worldly conventional knowledge, and a knowledge of the Path" (*Vibhāṣā*, TD 27, p. 950c8). [See below, p. 1102 - 3.]

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A defiled mind is sunken down, because it is associated with indolence.<sup>53</sup>

A good mind is "well in hand", because it is associated with correct effort.<sup>54</sup>

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A defiled mind is called small, because it is beloved by small persons. A good mind is called large, because it is beloved by great persons.<sup>55</sup>

Or rather the two minds, a defiled mind and a good mind, are called small and great because their roots, their worth, their followings, their entourage, and their forces, are respectively, small or great.

In fact, 1. a defiled mind is of small roots, having two roots, delusion, plus anger or greed: a good mind is always associated with three roots of good; 2. a defiled mind is of small worth, being obtained without effort: a good mind is of great worth, being realized at the cost of great effort; 3. a defiled mind has a small following, for a defiled mind is not accompanied by the acquisition of a future mind of the same type;<sup>56</sup> a good mind has a great following, being accompanied by the acquisition of future mind<sup>57</sup> of the same type; 4. a defiled mind has a small following, being surrounded only by three *skandhas*, *vedanā*, *saṃjñā*, and *saṃskāras*; a good mind has a great following, for it always includes *rūpa* (*dhyānānāśravasaṃvara*, iv. 4a, 26); 5. a defiled mind is of small

force, for the roots of good, cut off, are reborn (ii. 36, English trans. p. 210 iv. 80c); a good mind is of great force, for the Patience of the Knowledge of the *dharma*s concerned with Suffering definitively cuts off ten latent defilements (*anuśayas* vi. English trans. p. 943).

This is why a defiled mind is called small, and a good mind, great.

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The defiled mind is *sa-uttara*, because it is associated with frivolity (*auddhatya*); the good mind is *anuttara*, because it is opposed to frivolity.<sup>58</sup>

Calm and not calm minds are explained in the same way.

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A defiled mind is non-absorbed, because it is associated with distraction; a good mind is absorbed, because it is opposed to distraction.

A defiled mind is uncultivated, because the two cultivations (vii. 27) are absent. A good mind is cultivated, for the contrary reason.

A defiled mind is undelivered, not being delivered in and of itself, nor delivered with regard to the series in which it arises.<sup>59</sup> A good mind can be delivered in and of itself and from the point of view of the series in which it arises.

Such is the explanation of the *Vaibhāṣikas*.

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This explanation, [say the *Sautrāntikas*,] does not conform to the *Sūtra* and it does not take into account the meaning of the terms.

i. How does it not conform to the Sūtra?

The Sūtra says, "What is a mind internally concentrated? A mind which is accompanied by torpor and laziness, or a mind internally accompanied by calm but not insight."

"What is a mind externally distracted? A mind which is dispersed towards the five objects of pleasure, or which is externally accompanied by insight, but not by calm."<sup>60</sup> [It results from this text that a mind associated with laziness can be concentrated.]

But, [the Vaibhāṣikas answer,] we have said (p. 1100, line 21) that if a mind associated with laziness is concentrated, a defiled (and consequently distracted) mind, when it is associated with laziness, will be at one and the same time concentrated and distracted.

Yes, you have said this, but it does not hold. In fact, we can only affirm that a defiled mind, when it is associated with laziness, is distracted.<sup>61</sup>

But, [answer the Vaibhāṣikas,] your thesis contradicts the Śāstra!

It may. But it is better to contradict a Śāstra than a Sūtra.<sup>62</sup>

ii. How does this explanation lack the proper meaning of the different terms?

Because it gives the characteristics of different minds—distracted, sunken-down, small, not calm, non-absorbed, uncultivated, and undelivered on the one hand, and concentrated, well in hand, etc., on the other hand—without noting their differences.<sup>63</sup>

[The Vaibhāṣikas answer:] It is false that we do not indicate the special sense of the different terms. The quality of "defiled" of distracted minds, etc., is the same; but we explain the particular defects of these different defiled minds. In the same way we explain the particular qualities of the different good minds, in which their good qualities are not differentiated.

We answer that the meaning of the different terms is not correctly established because you fail to eliminate the objection of



contradiction with the Sūtra.<sup>64</sup> [The Sūtra, in fact, says that a mind accompanied by torpor and laziness is concentrated. A mind united with laziness, and defiled through union with torpor, is concentrated, but it cannot be good, for torpor is a *kleśamahābhūmika*.]

And if, [as the Vaibhāṣikas believe], the Sūtra designates, by the sunken-down mind,<sup>65</sup> a small mind, it would not speak separately of a sunken-down mind and a small mind. Now it distinguishes them, for it says, "When the mind is sunken down, or fears becoming sunken down, this is not a proper time to cultivate the *praśrabdhi*, *samādhi*, and *upekṣa* parts of Bodhi. When the mind is small or fears becoming small, this is not a fitting moment to cultivate the *dharmavicaya*, *vīrya*, and *prīti* parts of Bodhi."<sup>66</sup>

Objection [of the Vaibhāṣikas:] Is the cultivation of the parts of Bodhi partial in the case which concerns us?<sup>67</sup> [Must we believe that at a certain moment one cultivates *praśrabdhi*, *samādhi*, *upekṣa*, and at another moment *dharmavicaya*, *vīrya*, and *prīti*?]<sup>68</sup>

No. When the text says "cultivation", it does not signify the fact of actualizing, but the fact of fixing the attention on, or taking as an object.

[Answer of the Vaibhāṣikas:]<sup>69</sup> The mind in which indolence dominates and in which frivolity is reduced is called "sunken down" (*līna*). The mind in which frivolity dominates and in which indolence is reduced is called "small" (*uddhata*). These two minds are therefore distinct, and the Sūtra can speak of them separately without contradicting our system. But, taking into consideration the fact that these two defilements, indolence and frivolity, coexist in a single mental heap, we say that the mind which is sunken down is small.<sup>70</sup>

<sup>71</sup>We do not pretend to challenge a text of intentional meaning; but such is not the intention of the Sūtra [i.e., to designate a mind in which indolence dominates as sunken down...]

In the thesis presented above (p. 1099, line 23) that all minds "united with craving" (*rāgasamīyukta*) "possess craving" (*sarāga*), what is the meaning of the expression *rāgasamīyukta*, united with craving?

1. If a mind is united with craving, and as a consequence possesses craving, because the possession of craving continues in the series in which this mind is produced, then the mind of an imperfect saint or Śaikṣa, even when it is pure, will be termed "possessing craving", since the craving has not been completely expelled from the series of the Śaikṣa.<sup>72</sup>

2. If a mind is both united with craving and possesses craving through the fact of being the object (*ālambana*) of the "active craving"<sup>73</sup>, then the impure mind of an Arhat will possess craving, since this mind can be the object of the craving of another person.<sup>74</sup>

If you do not admit that the mind of an Arhat can be grasped as an object through the craving of another person, how can this mind be termed impure?

Would you say that it is impure, not because it is the object of the craving of another, but because it is the object of a "general defilement" (*sāmānyakleśa*, v. 12, namely of ignorance or delusion) of another? In this hypothesis, do not say that this mind is *sarāga*, that it "possesses craving"; say rather that it is *samoha*, that it "possesses *moha*," since it is the object of the *moha* or ignorance of another.

But, we would say, none of these proposed explanations is valid. In fact the knowledge of the mind of another does not bear on the "possessions" which can be found in the series of another. Consequently when I know that the mind of another person possesses craving, this mind of another does not possess craving because it is "united with craving" in the sense that it is accompanied by the possession of craving, or in the sense that it is found in the series in which this possession has not been expelled.

The knowledge of the mind of another no longer knows the craving which would be the object of the mind of another.

Therefore a mind is not termed *sarāga* through the fact of *rāgasam̐yoga*, through the fact of being “united with *rāga*” in the proposed twofold sense.

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[Objection:]<sup>75</sup> If this is the case, what is a *sarāga* mind?

[Vasubandhu:] One should determine the intention of the Sūtra. A *sarāga* mind or one “possessing craving” is not a *rāgasam̐yukta* mind, a mind “united to craving”, but a *rāgasam̐prayukta* mind, a mind “associated with craving,” a mind in which craving presently exists. *Vigatarāga*, “without craving” is a mind which is not associated with craving, even when this mind will be accompanied by the possession of craving.

[Objection:] The expression *vigatarāga* cannot have this meaning; for another Sūtra says that a mind which is without craving (*vigatarāga*), without anger (*vigatadveṣa*), and without ignorance (*vigatamoha*), does not fall back into threefold existence. Now if this mind is accompanied by the possession of craving, etc., it will fall back again.

[Vasubandhu:] In this other Sūtra, “a mind without craving” (*vigatarāga citta*) signifies “a mind which is not accompanied by the possession of craving” (*vigatarāgaprāpti citta*).

[Objection:] Have you not refuted your own opinion? We said in fact (page 1100, line 5) that if one calls a mind without craving *vigatarāga*, that is, a mind in which craving is not presently active, then one should term all minds associated with another defilement *vigatarāga*. But one does not say that a mind associated with hatred is *vigatarāga*, “without craving.”

[Vasubandhu:] There is nothing wrong with saying that a mind without craving is *vigatarāga*. But one does not consider a mind without craving but associated with hatred as being *vigatarāga*, but rather as “possessing hatred” (*sadveṣa*), distinguishing it through its specific characteristic which is one of being

“associated with hatred.”

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When the knowledge of the mind of another attains its object, namely the mind of another person, does it attain this mind of another as this latter knows it?

No. When one knows the mind of another, one does not see the object of this mind; one does not see this mind as it itself knows something: one knows only that it is defiled, etc.; one does not know the object, color, etc., by reason of which it is defiled. If it were otherwise, the knowledge of the mind of another would bear on *rūpa*, etc., and would no longer be the knowledge of the mind of another; the knowledge of the mind of another would bear on itself: for the person whose mind I know can at the same moment know my mind.

The characteristics of the knowledge of the mind of another are set: it knows the individual characteristics of a thing (the *dravya-svalakṣaṇa*) but not its general, conventionally true characteristics (its *saṃvṛtīsat-sāmānyalakṣaṇa*); it knows mind and mental states but not physical matter, the present but not the past or future, of another but not of oneself, of the sphere of Kāmadhātu and Rūpadhātu, but not of Ārūpyadhātu; or rather the pure mind and mental states, of the category to which he himself belongs: pure, he knows a pure mind and mental states; impure, he knows an impure mind and mental states. The knowledge of the mind of another is incompatible with the Path of Seeing and the Uninterrupted Path (*ānantaryamārga*), with the Samādhi of Emptiness and the Samādhi of No-Marks, and with the Knowledge of Destruction and the Knowledge of Non-Arising. Other conditions are not excluded: the knowledge of the mind of another is compatible with the Path of Meditation (the Path of Liberation, *vimuktimārga*, and the Path of Distinction, *viśeṣamārga*), with the Uncommitted Samadhi (*apraṇihitasamādhi*), etc.

The teaching of the knowledge of the mind of another is ended.

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12a-b. The other has fourteen aspects by excluding the aspect of emptiness and the aspect of non-self.

The "others" are the Knowledge of Destruction and the Knowledge of Non-Arising.

Both have fourteen aspects (vii. 13a), excluding the aspect of emptiness and the aspect of non-self. In fact, these two Knowledges, even though they are of the absolute level of truth,<sup>76</sup> are also included in the conventional level of truth (vi. 4);<sup>77</sup> they are therefore foreign to the aspects of emptiness and non-self. When an ascetic departs from the contemplation in which the knowledges of the absolute truth are realized, through the force of these knowledges, later knowledges<sup>78</sup> are produced which are of the conventional level of truth: "my births are cut off, the religious life has been fully cultivated, I have done what should have been done, and I do not know of any more existences for me."<sup>79</sup> The two knowledges, the Knowledge of Destruction and the Knowledge of Non-Arising, therefore participate in the conventional level of truth, not in and of themselves or through definition, but through their outflowing.

Are there any pure aspects outside of these sixteen aspects?

12c. There are no pure aspects outside of the sixteen.

The Masters of Kaśmīr say that there are no pure aspects outside of the sixteen.

12d. Some others, according to the Śāstra, affirm that there are.

The Foreign Masters maintain the opposite opinion. [For the opinion of "the other masters," see p. 1094, line 27].

The *Mūlaśāstra* says,<sup>80</sup> "Can one distinguish the *dharmas* belonging to Kāmadhātu through a mind not included among the Dhātus (that is to say, pure)? One can distinguish them as they are, as impermanent, suffering, empty, impersonal, cause, proximate cause, near cause, specifying cause; there is this characteristic (*sthāna*), there is this cause (*vastu*)."

One should thus consider the specific aspects through the expressions *asty etat sthānam*, *asty etad vastu*, as two pure aspects which are added to the eight aspects of suffering and origin.

According to the Masters of Kaśmīr, the Śāstra does not teach the existence of these two additional aspects. One should understand, "... it is fitting (*asty ayam yogah*) that a pure mind distinguish these *dharmas* as impermanent. . ."

The Foreign Masters answer that this interpretation is not admissible. For, if the Śāstra employs the terms *asty etat sthānam*... without referring to the pure aspects, but only through simple phraseology, it would also employ them in a parallel passage, namely when it explains, "Can one distinguish the *dharmas* belonging to Kāmadhātu by a mind susceptible of being abandoned by Seeing the Truths? Yes, one can distinguish them, namely, one becomes attached to, one hates, one prides oneself, one errs, one erroneously distinguishes these *dharmas* as self, mine (*=kāyadr̥ṣṭi*), as eternal or perishable (*=antagrāhadr̥ṣṭi*), as non-cause, non-action, nonexistent (*=mithyadr̥ṣṭi*), as supreme, excellent, distinguished, superior (*=dr̥ṣṭiparāmarśa*), as purification, deliverance, salvation (*=śīlavrataparāmarśa*), with anxiety, disagreement, or doubt."<sup>81</sup> This text should have the expressions *asty etat sthānam*..., if they solely signify *asty ayam yogah*, in the sense that it is fatal that a mind susceptible of being abandoned through the Seeing of the Truths considers the *dharmas* as self, mine...

How many things constitute the sixteen aspects?

13a. The aspects are sixteen things.<sup>82</sup>

Certain masters said that the aspects, sixteen in name, are only seven in fact. The four aspects of the Truth of Suffering are in fact distinct from one another. The aspects of the other Truths, in their fourfold name, only constitute one thing for each Truth: *hetu* (material cause), *samudaya* (arising or origin), *prabhava* (appearance), and *pratyaya* (efficient condition) are synonyms and are only one aspect; in the same way that Śakra, Indra, and Purāṇḍara are different names for one and the same personage. Ascetics contemplate, separately, the four aspects of the Truth of Suffering, and any one of the aspects, material cause, etc., of the three other Truths.

But [the Vaibhāṣikas] maintain that the sixteen aspects exist in fact, [for they should be contemplated one by one].<sup>83</sup>

I. For the Truth of Suffering:

1. Impermanence, because it arises dependent upon efficient causes.

2. Suffering, because it is painful by nature (vi. 3).

3. Empty, as it opposes the belief in the view of things pertaining to self.

4. No soul, as it opposes the belief in a self.

II. For the Truth of Origin:

1. Cause (*hetu*), because it has the characteristic of a seed (*bijadharmayogena*). The *hetu* is a distant or material cause. The word *yoga* signifies *nyāya* or truth.

2. Arising, as it produces. This is the near cause, that from which a *dharma* immediately arises or originates.

3. Successive appearance, which constitutes the series: seed,

shoot, stalk...

4. Efficient conditions (*pratyaya*), as realizing an effect in joint causation; for example, the coming together of efficient conditions—earth, stick, wheel, twine, water, etc.—produces a jug (see ii. 64).

### III. For the Truth of Extinction:

1. Extinction, by reason of the destruction of the [impure] *skandhas*.

2. Calm, by reason of the extinction of the three fires, craving, anger, and delusion (viii. 26c).

3. Excellent, by reason of the absence of all pain.

4. Salvation, because it is disassociated from all causes of pain.

### IV. For the Truth of the Path:

1. Path, because one traverses it (towards Nirvāṇa.)<sup>84</sup>

2. Truth, because it is *yogayukta*, that is to say, endowed with proofs, endowed with resources or means.

3. Obtaining, because it brings about correct obtaining, that is to say one obtains (Nirvāṇa through it.)

4. Definitive release, because it causes one to pass beyond in a definitive manner.

There is a second exegesis:

### I. For the Truth of Suffering:

1. Impermanent (*anitya*), because it is not definitive (*anātyantika*).

2. Suffering, because it resembles a burden.

3. Empty, because it is empty of *puruṣa* (agent, etc.).

4. No-soul, because it does not obey the will.<sup>85</sup>

### II. For the Truth of Origin:



1. Cause (*hetu*), because it comes about from that (the root *hi* signifies *gati*; *hetu* signifies *hinoty asmāt*).

2. Arising (*samudaya*), because there is emergence: (the *dharma* emerges from the future).<sup>86</sup>

3. Appearance (*prabhava*), as it is a procession (*prasaraṇa*).

4. Condition (*pratyaya*) or foundation, that is, the essential element from the action of generation.

### III. For the Truth of Extinction:

1. Extinction, because of the cessation of the former suffering and of the non-continuation of subsequent suffering.

2. Calm, because it is delivered from the three conditioned characteristics (*saṃskṛtalakṣaṇas*. ii. 45c).

3. Excellent, because it is absolutely good (*pāramārthaśubha*, iv. 8c).

4. Salvation, because it supremely strengthens (9v. 8b).<sup>87</sup>

### IV. For the Truth of the Path:

1. Path, because it is opposed to the wrong path.

2. Truth, because it is opposed to non-truth.

3. Obtaining, because it is not in contradiction with the city of Nirvāṇa.<sup>88</sup>

4. Definitive release, because it abandons existence in the Three Dhātus.

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Since ancient explanations differ, we are permitted to present a third explanation:

### I. For the Truth of Suffering:

1. Impermanent, because it arises and perishes.

2. Suffering, because it is repugnant to the mind of Āryans (vi. English trans. p. 898).

3. Empty, because no *ātman* is found in it.

4. No soul, because this is not an *ātman*.

II. For the Truth of Origin: the four aspects of the Second Truth, cause (*hetu*), arising (*samudaya*), appearance (*prabhava*), and condition (*pratyaya*), are explained according to the *Sūtra*, "The five *upādānaskandhas* (impure *skandhas*, i. 8a) are *chandamūlaka*, *chandasaṃudaya*, *chanda-jātīya*, *chanda-prabhava*," that is to say they have *chanda* (=desire=*trṣṇā*=thirst) for their root (*mūla*) or *hetu*, which brings about their arising (*samudaya*), for their condition (*chanda-jātīya*=*chanda-pratyaya*), for their appearance (*prabhava*).<sup>89</sup> The only difference between the *Sūtra* and the *Śāstra* is that the latter places the condition (*pratyaya*) aspect in first place, and not the appearance (*prabhava*) aspect.<sup>90</sup>

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What is the difference between these four types of "processions"?

A. One should distinguish four states (*avasthā*) of desire (*chanda*): 1. the affection that one experiences for oneself when one thinks, "I am", without otherwise distinguishing an actual "self," without thinking of a past or future self; 2. the desire for re-existence without any other specification; 3. the desire for a certain re-existence; 4. the desire for reincarnation, a desire which makes one accomplish a certain action.

The first desire is the initial cause of suffering—as the seed is the initial cause of the fruit—; it is called *hetu*.<sup>91</sup>

The second desire is that which brings about re-existence—as the production of the shoot, stalk, etc., is a casual process or arising (*samudaya*) which brings about fruit; it is therefore called *samudaya*, a cause which brings forth.

The third desire is the cause which determines the quality of suffering,—as the field, the water, the fertilizer, etc., determine the virility, the ripening, the appearance of the fruit; it is therefore called *pratyaya*, or condition.<sup>92</sup>

The fourth desire is the cause from whence the fruit appears—as the flower is the cause of the fruit; it is therefore called *prabhāva*, or appearance.

The fourth desire is the immediate or direct cause; the other three are the mediate or indirect causes.

B. And again, according to the Sūtra,<sup>93</sup> there are two groups of five “modes of desire” (*tr̥ṣṇāvicāritas*), and two groups of four, which are, respectively, the four desires studied above. The first two desires are of five aspects, and the last two are of four.

a. When one thinks *asmi*, “I am,” general affection for one’s own person without determination is produced, which is fivefold: I am such; I am the same [as formerly]; I am different; I am something that is; I am something that is not.

b. When one thinks *bhaviṣyāmi*, “I shall be,” there is produced a general desire for re-existence without determination, which is also fivefold: “I shall be such, I shall be thus, I shall be different, I shall exist, and I shall not exist.”

c. There is produced particularized desire for re-existence, which is fourfold: “May I be; may I be such; may I be the same; may I be different.”

d. There is produced a desire for reincarnation, which is fourfold: “It is absolutely necessary that I may be, that I may be such, the same, different.”<sup>94</sup>

The first desires are the initial cause of suffering; they are therefore the *hetu*... The rest as above.

### III. For the Truth of Extinction:

1. Extinction, because it cuts off transmigration.<sup>95</sup>

2. Calm, because it is cessation of all suffering; thus it is said,

"All the *saṃskāras*, Oh Bhikṣus, are suffering; only Nirvāṇa alone is absolute calm."<sup>96</sup>

3. Excellent, because it is the highest.
4. Definitive salvation, because it is without returning.

#### IV. For the Truth of the Path:

1. Path, because it resembles the right path.
2. Truth, because it is true.
3. Obtaining, because it is determined; that is to say one arrives by this path and not by another, as it is said, "This path leads to purity, other systems do not lead to it."
4. Definitive release, because it is definitive separation from threefold existence.

[Fourth explanation.]<sup>97</sup>

#### [I. For the Truth of Suffering:]

Furthermore, it is in order to cure persons who nourish views of permanence, bliss, of things pertaining to the self, and a soul that the aspects of impermanence, suffering, empty, and no-soul are established.<sup>98</sup>

#### [II. For the Truth of Arising:]

1. The cause aspect is opposed to the view, "There is only one cause" (*nāsti hetuḥ*, v. 7, English trans. p. 777).
2. The arising aspect is opposed to the view, "There is only one cause"—be it *Īśvara*, or *pradhāna* (ii. 64). Cause is a complex.
3. The appearance aspect is opposed to the idea of evolution (*pariṇāmadṛṣṭi*), the theory that *bhāva*, or existence, existing initially, transforms itself: rather, *bhāva* begins.<sup>99</sup>
4. The condition aspect is opposed to the view that the world is created by an intelligent being (*buddhipūrvakṛtadṛṣṭi*, iv. 1): things arise from a multiplicity of causes.<sup>100</sup>

## [III. For the Truth of Extinction:]

1. The extinction aspect is opposed to the view that there is no deliverance.

2. The calm aspect is opposed to the view that deliverance is suffering.

3. The excellent aspect is opposed to the view that the happiness of the *dhyānas* and *samapāttis* is excellent (v. 7, English trans. p. 777).

4. The definitive release aspect is opposed to the view that deliverance is subject to falling, that it is not definitive.

## [IV. For the Truth of the Path.]

The Path, truth, cultivation, and definitive release aspects oppose, respectively, the views that there is no path, that a false path is the Path, that there is another path, and that the Path is subject to falling.

13b. The aspects are *prajñā*.<sup>101</sup>

The aspects are by their nature mental *prajñā* or discernment. (ii. 24).

But, we would say, if this is so, then *prajñā*, the knowledge that discerns the *dharma*s, will not be endowed with the aspects, for *prajñā* cannot be associated (*saṃprayukta*) with *prajñā*. It is therefore correct to say [—with the Sautrāntikas—] that “aspect” is a mode of perceiving (*grahāṇa*) objects by the mind and mental states.<sup>102</sup>

Is it solely *prajñā* which perceives the different, unique characteristics (*viśeṣa*, i. 14c) of objects?

13b-c. Everything that has an object perceives.

*Prajñā* and all the other *dharma*s which have an object (*sālamba*)<sup>103</sup> perceive.

13d. Everything that exists is the object of perception.

Everything that exists is perceived by the *dharma*s which have an object.

We have therefore three more or less large categories: 1. *prajñā* is aspect, subject, and object; 2. the other minds and mental states, which are associated with *prajñā*, are subject and object; and 3. all the other *dharma*s, conditioned or unconditioned, are only object.<sup>104</sup>

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We have explained the aspects of the ten knowledges; we must now explain their natures, the sphere which serves as their support (*bhūmi*), and the person (*āśraya*) in whom they arise.

14a. The first is of three natures; the others are good.

"The first" is worldly, conventional knowledge, because this knowledge is named first in the *Kārika* (vii. 2b)<sup>105</sup>, and is of three types, good, bad, or neutral. The nine other knowledges are only good.

14b. The first exists in all spheres.

It exists in all spheres, from *Kāmadhātu* up to *Bhavāgra* (= *naivasamjñānāmjñāyatana*).

14c. In six, the knowledge named *dharma*.

A knowledge of the *dharma*s is obtained in or through the Four Dhyānas, and in Anāgamyā and Dhyānāntara.

14c-d. In nine, that which is called *anvaya* (inferential).

Inferential knowledge is obtained in the six spheres which have been mentioned, and furthermore, in three Ārūpyas.

14d. So too six *jñānas*.

When one considers them together, some six knowledges,—the knowledge of Suffering, Origin, Extinction, the Path, Destruction, and Non-Arising—are obtained in nine spheres; when they form part of the knowledge of *dharma*s, they are obtained in six spheres; when they form part of inferential knowledge, they are obtained in nine spheres.

15a. The knowledge of the mind of another exists in the Four Dhyānas.

The knowledge of the mind of another is only obtained in the Four Dhyānas, and nowhere else.

15b. It has for its support a person either in Kāmadhātu or Rūpadhātu.

Beings in Kāmadhātu and Rūpadhātu realize the knowledge of the mind of another.

15c. The knowledge of *dharma*s, a person in Kāmādhātu.<sup>106</sup>

The knowledge of *dharma*s can only be realized by a person in Kāmadhātu, and not by a person in either Rūpadhātu or Ārūpyadhātu.

15d. Others, in persons of the three spheres.

What are the other knowledges?

They are the eight knowledges with the exception of the knowledge of the mind of another and the knowledge of *dharmas*.

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We have explained the spheres in which one acquires the knowledges, and the sphere to which the persons who can acquire the knowledges belong. Let us explain the relationship of the knowledges with the four applications of mindfulness (vi. 15).

16a. The knowledge of Extinction is an application of mindfulness.

The knowledge of Extinction is an application of mindfulness which relates to a *dharma*.

16b. The knowledge of the mind of another is threefold.

The knowledge of the mind of another, related to the mind of another, necessarily relates to *vedanā*, *saṃjñā*, and the *saṃskāras*.

16c. The others, four.

By excluding the knowledge of Extinction and the knowledge of the mind of another, the other eight knowledges have the four applications of mindfulness for their nature [The knowledge of Suffering, in fact, sometimes relates to the body...; the knowledge of the Path, when it has pure discipline<sup>107</sup> for its object, is an application of mindfulness related to the body].

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The different knowledges are the object of how many other knowledges?

16d. Nine knowledges are the object of a knowledge of *dharmas*.

Excluding inferential knowledge.

17a. Nine are the object of inferential knowledge and knowledge of the Path.

Excluding the knowledge of *dharmas* in inferential knowledge; by excluding worldly conventional knowledge in the knowledge of the Path, because it does not form part of the Path.

17b. Two are the object of the knowledge of Suffering and Origin.

Worldly conventional knowledge and the part of the knowledge of the mind of another which is impure, are the object of a knowledge of Suffering and of Origin.

17c. Ten, of four.

Ten knowledges are the object of worldly conventional knowledge, a knowledge of the mind of another, the Knowledge of Destruction and the Knowledge of Non-Arising.

17c. None are the object of one.

No knowledge is the object of the knowledge of Extinction whose only object is Extinction obtained through conscious effort (*pratisaṃkhyānirodha*).

How many *dharma*s constitute in their totality the object of the ten knowledges? How many *dharma*s constitute the object of each knowledge?<sup>108</sup>

17d. The totality of their object is ten *dharma*s.<sup>109</sup>

What are these ten *dharma*s?

18a-b. *Dharma*s of the Three Dhātus, pure *dharma*s, unconditioned, each category being twofold.

The conditioned *dharma*s are divided into eight classes: *dharma*s of Kāmadhātu, of Rūpadhātu, of Ārūpyadhātu, plus the pure *dharma*s, all being either associated with the mind or not (*saṁprayukta*, *viprayukta*, ii. 22).

The unconditioned *dharma*s are divided into two classes, good and neutral.<sup>110</sup>

Which of these two classes of *dharma*s are the object of the ten knowledges?

1. Worldly conventional knowledge is related to ten *dharma*s; 2. a knowledge of *dharma*s is related to five: two *dharma*s of Kāmadhātu, associated or not with the mind;<sup>111</sup> and a good unconditioned *dharma*; 3. inferential knowledge is related to seven: two of Rūpadhātu, two of Ārūpyadhātu, and two pure, which make six, and a good unconditioned *dharma*; 4-5. the knowledge of Suffering and of Origin are related to only good unconditioned *dharma*s; 7. a knowledge of the Path is related to the two pure *dharma*s; 8. a knowledge of the mind of another is related to three; the *dharma*s associated with the mind which are of Kāmadhātu, and of the Rūpadhātu, and pure; 9-10. the Knowledge of Destruction and the Knowledge of Non-Arising are related to nine *dharma*s, with the exception of neutral unconditioned *dharma*s.

Can one, through a single knowledge,<sup>112</sup> know all of the *dharma*s?

No.

Yet

18c-d. One conventional knowledge, with the exception of its own complex, knows the rest as non-self.

When a moment of conventional knowledge knows all the *dharma*s as not being a self, this is with the exception, in the totality of the *dharma*s, of 1. itself, this same moment of conventional knowledge, for the subject of knowledge cannot be its own object;<sup>113</sup> 2. the mental *dharma*s which are associated with it, for they have the same object as it does; and 3. the *dharma*s disassociated from the mind but which accompany it, for example, its characteristics (ii. 45c), for they are too close.

This conventional knowledge of universal consciousness belongs only to Kāmadhātu, being *prajñā* of hearing or reflection (*śrutamayī*, *cintamayī*, vi. English trans. p. 913), not *prajñā* of absorption (*bhāvanāmayī*, iv. 123c), for the conventional knowledge which is of this third type of *prajñā* always has a determined sphere for its object. If it were otherwise one could obtain at one and the same time detachment with respect to all of the spheres.<sup>114</sup>

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The different categories of humans are endowed with how many knowledges?

A common person possesses only worldly conventional knowledge; when he is detached [from Kāmadhātu], he also possesses a knowledge of the mind of another.

As for the Āryan,

19a-b. Not detached, in the first pure moment, he possesses one knowledge.

An Āryan who is not detached through a worldly path before entering the Path, in the moment in which the Patience which is the knowledge of the *dharma*s related to Suffering (*duḥkhe dharmajñānakṣānti*, vi. 25c) arises, possesses a single knowledge, namely worldly conventional knowledge, because this Patience is not a knowledge (vii. 1).

19c. In the second moment, he possesses three knowledges.

At the moment of the knowledge of *dharma*s related to Suffering, he possesses worldly conventional knowledge, a knowledge of *dharma*s, and a knowledge of Suffering.

19c-d. Beyond, in four moments, each time adding a knowledge.

A knowledge is added in each of four subsequent moments; at the fourth moment (the inferential knowledge of suffering) there is inferential knowledge; at the sixth moment (a knowledge of *dharma*s related to Origin) there is the knowledge of origin; at the tenth moment (the knowledge of the *dharma*s as they relate to Extinction), there is the knowledge of Extinction; and at the fourteenth moment (the knowledge of the *dharma*s as related to the Path), there is the knowledge of the Path.

Consequently, having attained the knowledge of the *dharma*s related to the Path, the ascetic possesses seven knowledges.<sup>115</sup>

For an Āryan who, before entering into the Pure Path (the Path of Seeing), has obtained detachment through a worldly path,

we must add the knowledge of the mind of another. A *samayavi-mukta* Arhat (vi.50, 56) possesses nine knowledges, by adding the Knowledge of Extinction; an *asamayavimukta* Arhat in addition possesses the Knowledge of Non-Arising (vi. 50).<sup>116</sup>

How many knowledges does the ascetic cultivate (acquire)<sup>117</sup> at one and the same time in different stages, the Path of Seeing the Truths, and in the Path of Meditation, etc.?

With respect to the fifteen minds (vi.28c-d) of the Path of Seeing:

20a-c. In Seeing, future patiences and knowledges exist to the extent to which they are produced.<sup>118</sup>

Those which are produced are acquired or cultivated. For example, when an ascetic produces the Patience of the knowledge of the *dharma*s related to Suffering, he cultivates future Patience of this same type, and he takes possession of future Patience of this same type. [And so on to the Patience of the inferential knowledge of the Path].<sup>119</sup> The four aspects of this Patience (impermanence, etc.) are also acquired when any one of the aspects is produced.

Why, in the Path of Seeing, is there only acquisition of the knowledge and the aspects of the type of knowledge and the aspects produced?<sup>120</sup>

When the Patience of the knowledge of the *dharma*s related to Suffering is produced, the *gotra*,—that is to say, the seed or the cause—of this Patience, and the *gotras* of its four aspects, are grasped,<sup>121</sup> whereas the *gotras* of the knowledge of *dharma*s related to Suffering, etc., are not grasped. As for the aspects, we see that the four aspects of each Truth are of the same type, for they have the same object. When one of them is produced, the *gotras* of the others are grasped.

20c-d. In the Path of Seeing one also acquires conventional knowledge at the moment of the three inferential knowledges.<sup>122</sup>

The ascetic takes possession of future conventional knowledge

at the moment of the three inferential knowledges of Suffering, Origin, and Extinction (moments 4, 8, and 12 of the Path of Seeing, vi. 26b): not at the moment of the knowledge of the *dharmas*, because, in the knowledge of the *dharmas*, each Truth has not been understood in its totality, but only relating to Kāmadhātu.

21a. This conventional knowledge is termed "the end of *abhisamaya*."<sup>123</sup>

It is termed *abhisamayāntika jñāna*, because it is cultivated (=acquired) at the end of the comprehension of each Truth.

Why does an ascetic not take possession of it at the moment of inferential knowledge of the Path (sixteenth moment of comprehension or *abhisamaya*, the first moment of the Path of Meditation)?

a. Because the Path has not been understood (*abhisamita*) formerly, through a worldly path, under its aspects of Path, Truth, etc. (above p. 1111).<sup>124</sup>

b. Because the Path is not susceptible of being understood in its entirety. Suffering, its Origin, and its Extinction can be respectively known, abandoned, realized, in their entirety; but the Path cannot be practiced (= actualized) in its entirety. Without doubt one cannot say of a person who is in the Path of Seeing, that, at the end of his comprehension of the Truths of Origin and Extinction, he has complete abandoning of Origin, and complete realization of Extinction:<sup>125</sup> yet a time will come when this abandoning and this realization will be complete. But the same does not hold for the Path, given the diversity of families (*gotra*) of the Śrāvakas, Pratyekabuddhas, and Buddha.

Some say: Because conventional knowledge accompanies the Path of Seeing. Now the sixteenth moment of this "comprehension" (the inferential knowledge of the Path) forms part of the Path of Meditation.<sup>126</sup> Thus one does not acquire "the end of comprehension" in the sixteenth moment.

We would say that this argument does not hold, for one should

not regard the fact that conventional knowledge does not accompany the Path of Meditation as a proof.<sup>127</sup>

21b. It is not destined to arise.

At no moment is there the possibility for it to arise.

This knowledge does not arise when the ascetic is in contemplation, nor when the ascetic has left his contemplation (=Seeing of the Truths). On the one hand this knowledge is incompatible with his contemplation (see above p. 1122); on the other hand the mind, outside of its contemplation, is too coarse.<sup>128</sup>

If this is so, how can one say that one takes possession of conventional knowledge, and that conventional knowledge is "cultivated."

[The Sarvāstivādins answer:] Formerly it was not acquired, but now it is acquired.

How can it be acquired, since it is not produced?

[The Sarvāstivādins answer:] It is termed acquired because it is acquired [and not because it should be produced].

"Acquired because it is acquired," is an unprecedented manner of speaking. You do not thus explain how conventional knowledge is cultivated. This point should be understood in the same way as the Former Masters [the Sautrāntikas] understood it. According to these Masters, one acquires conventional knowledge through the power of the Āryamārga (=the Path of Seeing). After one has left the contemplation of the Āryamārga, a conventional knowledge bearing on the Truths is realized, and it is much more distinguished than that which preceeds the obtaining of the Āryamārga itself. When one says that an ascetic acquired this conventional knowledge through the Path of Seeing, one means to speak of the acquisition of a personality (*aśraya*) capable of realizing of this conventional knowledge,<sup>129</sup> as the acquisition of a mineral containing gold is called the acquisition of gold itself.<sup>130</sup>

The Vaibhāṣikas do not accept this manner of seeing things. They hold that the so-called *abhisamayāntika* conventional knowledge is an unarisen *dharma*.

21c. From the sphere or from a lower sphere.<sup>131</sup>

When one realizes the Path of Seeing of a certain sphere (*bhūmi*), one acquires, in the future, the conventional knowledge of this sphere or of a lower sphere. This means that if one realizes the Path of Seeing in the state of *Anāgāmya*, one acquires, in the future, the Path of Seeing of a single sphere (i.e., *Anāgāmya*), and one acquires, in the future, the conventional knowledge of two spheres (*Anāgāmya* and *Kāmadhātu*): and so on until: if one realizes the Path of Seeing in the Fourth Dhyāna, one acquires, in the future, the Path of Seeing of six spheres (*Anāgāmya*, *Dhyānāntara*, and the Four Dhyānas), and one acquires, in the future, the conventional knowledge of seven spheres (the same, plus *Kāmadhātu*).

21c. In Extinction, the last.

If one cultivates conventional knowledge at the end of Suffering and Origin, — that is to say in the moments of the inferential knowledge of Suffering and the inferential knowledge of Origin, — conventional knowledge is by nature the four foundations of mindfulness (vi. 14).

If one cultivates at the end of Extinction,—that is, in the moment of the inferential knowledge of Extinction,—it is only the last foundation of mindfulness, namely the foundation of mindfulness related to *dharma*s.

21d. It has the aspects of its Truth.



When one cultivates conventional knowledge at the end of the comprehension of a certain truth, the conventional knowledge takes on the aspects of this Truth and has this Truth for its object.

21d. It proceeds from effort.

Being acquired through the power of the Path of Seeing, it is exclusively obtained through effort; it does not arise from detachment.

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The knowledges are so called because knowledge is the major element in them; if one takes into consideration their followings, they make up four *skandhas* in Kāmadhātu, and five *skandhas* in Rūpadhātu (by adding *dhyānasamvaralakṣaṇarūpa*, iv. 13c).

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<sup>132</sup>How many knowledges does one cultivate in the different states of the Path of Meditation?

22a. In the sixteenth, six, through non-detachment.

One should add “are cultivated” (*bhavyante*, according to vii. 20a). In the sixteenth moment (the inferential knowledge of the Path), the ascetic who is not detached from Kāmadhātu cultivates (i.e., takes possession of and actualizes) two knowledges in the present;<sup>133</sup> he cultivates (takes possession of) six knowledges in the future: namely the knowledge of *dharma*s, inferential knowledge, and knowledges of the Four Truths.<sup>134</sup>

22b. Through detachment, seven.

With respect to the ascetic already detached from Kāmadhātu, at the moment when he attains inferential knowledge of the Path, one should add the cultivation of the knowledge of the mind of another, the seventh.

22c-d. Above, in the Path of Meditation associated with sensual desire, there is the cultivation of seven.

Beyond the sixteenth moment, that is, in the rest of the Path of Meditation, as long as one has not obtained detachment,<sup>135</sup> in the preparatory paths, the uninterrupted paths, the paths of deliverance, and in the excellent paths,—there is cultivation of seven knowledges, namely a knowledge of the *dharmas*, inferential knowledge, the knowledges of the Four Truths, and worldly, conventional knowledge.

If one cultivates a worldly path,<sup>136</sup> one also, in the present, cultivates worldly conventional knowledge. If one cultivates a transworldly path, one also, in the present, cultivates one of the four knowledges of the *dharmas*. One will cultivate the other six knowledges in the future.

23a-d. In the uninterrupted paths of the victory over seven spheres, of the acquisition of the supernormal knowledges, and of the quality of Immovability, of mixed meditation. And also in the eight paths of higher deliverance.

Based on the preceding, add “there is cultivation of seven knowledges.”

One cultivates seven knowledges, the same as above, in the uninterrupted paths (paths of the expulsion of the defilements and the obstacles) which make up:

1. victory over seven spheres, that is to say detachment from the Four Dhyānas and the three Ārūpyas: these spheres are “vanquished” when one is detached from them;

2. the acquisition of five supernormal knowledges, with the exception of the sixth (vii. 42);
3. entry into Immovability (vi. 57, 60c, English trans. p. 1002);
4. the mixed meditation (vi. 42) of the Śaīkṣa.

If the ascetic cultivates these paths through a worldly path,<sup>137</sup> he cultivates, in the present, conventional knowledge; if he follows a transworldly path, he cultivates in the present one of the four inferential knowledges, and one of the two knowledges of *dharmas* (Extinction and the Path).

In the acquisition of the quality of Immovability, he does not cultivate conventional knowledge; for this latter is not opposed to Bhavāgra. Here the Knowledge of the Destruction is the seventh knowledge.

Above the detachment from the seven spheres, in the first eight paths of deliverance of Bhavāgra, the ascetic cultivates, in the future, seven knowledges, namely the knowledge of *dharmas*, inferential knowledge, the knowledges of the Four Truths, and the knowledge of the mind of another;<sup>138</sup> he does not cultivate conventional knowledge, because this knowledge is not opposed to Bhavāgra.

He cultivates, in the present, one of the four inferential knowledges or one of the two knowledges of *dharmas* (Extinction and the Path).

24a-b. The Śaīkṣa, in the path of deliverance of the perfecting of the faculties, cultivates six or seven knowledges.

The Śaīkṣa (in opposition to the Aśaīkṣa who enters the state of Immovability) in the path of deliverance (third stage) of the perfecting of his faculties (vi. 60c), cultivates six knowledges

when he is not detached (i.e., when he is not an Anāgāmin). When he is detached, he cultivates seven knowledges, the knowledge of the mind of another being the seventh.

Some other masters say that conventional knowledge is cultivated by one who is not detached as well as by one who is detached.<sup>139</sup>

In the preparatory path (*prayogamārga*, first stage), both of them cultivate this knowledge.

24c. In the uninterrupted path, he cultivates six knowledges.

Detached or non-detached, he cultivates six knowledges,<sup>140</sup> as above, in the uninterrupted path (second stage) of the perfectioning of his faculties. He does not cultivate conventional knowledge, because the perfectioning of the faculties resembles the Path of Seeing; he does not cultivate the knowledge of the mind of another because this knowledge is absent from the uninterrupted path: in fact this knowledge does not oppose the defilements.

24d. The same in the victory over Bhavāgra.

In the uninterrupted paths of detachment from Bhavāgra, the Śaikṣa cultivates six knowledges.

25a. At the moment of the knowledge of destruction, nine knowledges.

The ninth path of deliverance of detachment from Bhavāgra is called the Knowledge of Destruction (vi. 44d). [The first eight have been discussed vii. 23c-d]. The ascetic then cultivates nine

knowledges, with the exception of the Knowledge of Non-Arising.

25b. An Immovable One cultivates ten knowledges.

The saint who is from the beginning an Immovable One (vi. 57c) cultivates ten knowledges at the moment when he produces the Knowledge of Destruction, for at this moment he obtains the Knowledge of Non-Arising (vi. 50a).

25c. Ten knowledges also in the last deliverance in the passage to the state of Immovability.

The ascetic who obtains the state of Immovability through the perfecting of his faculties also cultivates ten knowledges in the last path (the ninth path of deliverance) of this perfecting (vi. 60c).

25d. In the cases not mentioned, there is cultivation of eight knowledges.

What are the cases not mentioned?

1. The ninth path of deliverance from detachment to Kāma-dhātu (excluded from the definition 22c-d);

2. the paths of deliverance from detachment to the seven spheres, to the five supernormal knowledges, to mixed meditation of the Śaikṣa (excluded from the definitions 23a-c);

3. the first eight paths of deliverance of the perfecting of the faculties leading to the state of Immovability (excluded from the definition 25c); and

4. the preparatory path and the excellent path (*prayogamārga* and *viśeṣamārga*) of one who is detached (or an Anāgāmin).

In all these paths, there is cultivation of eight future knowledges, with the exception of the Knowledge of Destruction and the Knowledge of Non-Arising. This is the case for the Śaikṣa.

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The Aśaikṣa, in the preparatory path, the path of deliverance, and the excellent path of the five supernormal knowledges and of mixed meditation, cultivates nine knowledges (with the exception of the Knowledge of Non-Arising) or ten knowledges, depending on whether the Aśaikṣa is a *samayavimukta* or an *asamayavimukta*. In the uninterrupted paths of the same (five supernormal knowledges and mixed meditation), he cultivates either eight or nine knowledges, with the exception of the knowledge of the mind of another in both cases.

Nevertheless, in the path of deliverance of the two supernormal knowledges which are neutral (the divine eye and divine hearing), —this path being itself morally neutral—there is no cultivation of any future knowledge.<sup>141</sup>

As for the Pṛthagjana,—in the ninth path of deliverance of detachment from Kāmadhātu and the three Dhyānas; in the preparatory paths; in the paths of deliverance of the three supernormal knowledges; in the realizations of the spiritual qualities, Apramāṇas, Vimokṣas, etc.:<sup>142</sup> all these paths being cultivated in the Dhyānas (and not in the *sāmantakas*),—he cultivates, in the future, conventional knowledge and the knowledge of the mind of another; but not in the *nirvedhabhāgīyas* because three constitute the following of the Path of Seeing.

In the other cases, obtaining a path not previously obtained, he cultivates solely, in the future, conventional knowledge.

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To which sphere does the knowledge belong which is cultivated in the worldly and transworldly paths?

A knowledge of the mind of another, a future cultivation, belongs to the sphere which serves as the support of the Path, or rather to the sphere which one obtains through the Path.<sup>143</sup>

It is not a rule that pure knowledge, cultivated in the future, will belong to the sphere which serves as the support for its arising.

26a-b. The knowledge that one cultivates in the future belongs to the sphere from which one is detached, to the sphere acquired, or to a lower sphere.

When, in order to become detached from one sphere an ascetic cultivates the paths (*prayoga*, etc.) of the two classes, pure or impure, he cultivates pure knowledges which are either of the sphere which he obtains for the first time by these paths, of the sphere which is the support of the path, or of a lower sphere.<sup>144</sup>

26c. In the knowledge of destruction, the pure is also of all spheres.<sup>145</sup>

At the moment when a knowledge of the destruction of the cankers arises (vi. 44d), there is cultivated the qualities of all the spheres, including the impure ones,<sup>146</sup> namely the Apramāṇas, the Vimokṣas, etc. In fact, Vajropamasamādhī cuts off these ties which are the possessions of the defilements; all the qualities will be found in a series freed from the defilements; one can thus say that they "breathe" (or that they open, that they inflate), in the manner of a sack when one cuts the ropes that bind it.<sup>147</sup> The Arhat has obtained rule over his mind: all the good *dharma*s come towards him, as vassals come to present their homage<sup>148</sup> to a prince who accedes to supreme kingship.<sup>149</sup>

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Is everything that has been previously obtained also cultivated?

26d. That which has been obtained previously is not cultivated.

What is cultivated is what has not been obtained. That which, having been obtained and lost is obtained anew—that is to say, is newly realized or actualized—is not cultivated, that is, the ascetic does not take possession of it for the future. Because this has been acquired and rejected in the past.<sup>150</sup>

Does the term “cultivation” (*bhāvanā*) only designate acquisition?

No. Cultivation is of four types: 1. acquisition, 2. practice, 3. opposition, and 4. expulsion.

27. Cultivation of good conditioned *dharma*s is acquisition and practice; there is cultivation of opposition and expulsion with respect to impure *dharma*s.<sup>151</sup>

There is cultivation of acquisition<sup>152</sup> and practice with respect to the good conditioned *dharma*s, acquisition with respect to the future and acquisition and practice with respect to the present. These two cultivations rest on the first two efforts, effort for the arising of what has not yet arisen, and effort for the growth of what has already arisen.

There is cultivation of opposition<sup>153</sup> and expulsion<sup>154</sup> with respect to impure *dharma*s; they rest on the last two efforts, effort for the non-arising of what has not arisen, and effort for the destruction of what has already arisen.<sup>155</sup>

Thus the good but impure *dharma*s are susceptible of four



types of cultivation; the pure *dharma*s are susceptible of the first two; the defiled and neutral *dharma*s of the last two.

The Vaibhāṣikas of the West say that there are six types of cultivation: four as above, plus the cultivation of constraint (*saṃvarabhāvanā*), and the cultivation of inspection (*vibhāvanābhāvanā*).

The first is the cultivation of the organs,<sup>156</sup> the eye, etc.; the second is the cultivation of the body, as it says in the Sūtra, "These six organs well subdued, well guarded..."<sup>157</sup> and, "There is in the body the beard, hair, etc."<sup>158</sup>

The Vaibhāṣikas of Kaśmīr however think that these two cultivations should be included within the cultivation of opposition and expulsion.<sup>159</sup>

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We have explained the knowledges. Now we must explain the spiritual qualities (*guṇas*), which are made up of the knowledges.<sup>160</sup> Among these qualities, there are first those which are uniquely proper to the Buddha,<sup>161</sup> which the Bodhisattva acquires at the moment of the Knowledge of Destruction (vi. 45) in becoming an Arhat and, at the same time, a Buddha.

These qualities are eighteen in number.

28a-b. The *dharma*s unique to the Buddha are eighteen, the powers, etc.

The ten powers, the four absences of fear, the three foundations of mindfulness, and great compassion:<sup>162</sup> this group constitutes the eighteen *dharma*s unique to the Buddha, so called because others do not acquire them by becoming Arhats.

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We shall examine first the nature of the powers.<sup>163</sup>

28c-29. There are ten knowledges in *sthānāsthāna*; [eight in *karmaphala*; nine in the *dhyānas*, etc., in the Indriyas, in the Abhimokṣas, in the Dhātus; nine or ten in the paths; two are conventional knowledge; and extinction is made up of six or ten knowledges.]<sup>164</sup>

The power which consists of the knowledge of what is possible and what is impossible (*sthānāsthāna*, vii. 30c) is made up of ten knowledges.<sup>165</sup>

28d. Eight in *karmaphala*;

The power which consists of the knowledge of the retribution of actions is made up of eight knowledges, with the exception of the knowledge of the Path and Extinction.<sup>166</sup>

29a. Nine in the *Dhyānas*, etc., in the Indriyas, in the Abhimokṣas, in the Dhātus;

The power of the knowledge of the *Dhyānas*, *Vimokṣas*, *Samādhis* and *Samāpattis*;<sup>167</sup> the power of the knowledge of the degree of the moral faculties of beings;<sup>168</sup> the power of the knowledge of the different aspirations of beings;<sup>169</sup> and the power of the knowledge of the different acquired dispositions of beings<sup>170</sup>—these four powers are made up of nine knowledges, excluding the knowledge of extinction.

29b. Nine or ten in the paths;

The power of the knowledge of the paths which lead to the different realms of rebirth and to Nirvāṇa, is made up of either nine knowledges or ten knowledges. If one understands "the Path *with* its result," this power then includes the knowledge of extinction (which is the result of the Path); but if one understands "the Path *without* its result," then this power is made up of nine knowledges.<sup>171</sup>

29c. Two are conventional knowledges;

The power of the knowledge of former abodes and the power of the knowledge of the death and rebirth of beings are both conventional knowledges.

29d. Destruction is made up of six or ten knowledges.

The power of the knowledge of the destruction of the cankers is made up of six or ten knowledges. One can consider the knowledge of the destruction of the cankers in and of itself as the knowledge of the destruction of the cankers which is made up of the knowledge of the *dharma*s, inferential knowledge, the knowledge of extinction, the Knowledge of Destruction, the Knowledge of Non-Arising, and conventional knowledge; or one can understand the knowledge of the destruction of the cankers as the knowledge which is produced in a series where the cankers have been expelled: the ten knowledges exist in such a series.

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As for the spheres which serve as the support for the powers:

30a-c. The power of former abodes and the power of death-rebirth lie in the Dhyānas; the others in all the spheres.

The knowledge of former abodes and the knowledge of the death and rebirth of beings have the Dhyānas for their spheres; the other powers are of all the spheres, Kāmadhātu, Anāgāmya, the Four Dhyānas, Dhyānāntara, and the Four Ārūpyas.

They arise in a male body in Jambudvīpa, that is to say in the Buddha, for Buddhas do not appear outside of Jambudvīpa.<sup>172</sup>

In others this tenfold knowledge is not called a power: it is only in the series of the Buddha that it is called a power, because, elsewhere, it is shackled.

30c-d. Why? Because its power does not know any obstacle.

The knowledge which knows all the objects of knowledge without any obstacle is called a power. This is why the ten powers exist only in the Buddha, because the Buddha, having expelled all the cankers and all the traces (*vāsanā*, see vii. 32d) of ignorance, knows all objects of his own accord. It is not the same for the knowledges of others, and as a consequence these knowledges are not called powers.

According to tradition, Śāriputra refused a person who asked for admission to the Order;<sup>173</sup> he was not capable of seeing the number of the previous and subsequent births of a pigeon chased by a hawk (?).<sup>174</sup>

The Buddha's knowledge is exercised without obstacle, the power of his mind is infinite and envelopes all objects (see p. 1146).

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If such is the power of his mind, what is the power of his body?

31a. Nārāyaṇa power in his body; [according to others, in his parts; this is a power the seventh term of a series which

begins with the elephant and in which each term is worth ten times the preceeding; it consists of a tangible.]<sup>175</sup>

Nārāyaṇa is the name of a power and also the name of one who possesses this power, namely the god Nārāyaṇa: the same for Cāṇūra and Mahānagna. The power of the body of the Buddha is equal to that of Nārāyaṇa.

31b. According to others, in his parts;

According to others, each part of his body (*saṃdhi*) possesses this power.

The Bhadanta, [the Dārṣṭāntika Master], says that his physical power is like his mental power, that is, infinite; for, if it were otherwise, the body of the Blessed One would not be able to support infinite knowledge.<sup>176</sup>

The Buddhas have *nagāgranthi* power in their body parts, Pratyekabuddhas have *śaṃkalā* power, and Cakravartins have *śaṅku* power.<sup>177</sup>

What is the extent of Nārāyaṇa power?

31c. This is a power the seventh term of a series which begins with the elephant and in which each term is worth ten times the preceeding;

There is a series: *prākṛtabastin*, *gandhabastin*, *mahānagna*,<sup>178</sup> *praskandin*, *varāṅga*, *cāṇūra*, and *nārāyaṇa*.<sup>179</sup> The power of each term is worth ten times the power of the preceeding term: ten *prākṛtabastins* make one *gandhabastin* and so on.<sup>180</sup>

According to others, this is the case for the first six terms; but ten *cānūras* are equal to a half-*nārāyaṇa*, and two half-*nārāyaṇas* are equal to one *nārāyaṇa*.

According to the author of this book, among the definitions of the physical power of the Buddha, that one is true which makes this power the greatest.<sup>181</sup>

31d. It consists of a tangible.

The physical power of the Buddha is, by its nature, a tangible (*spraṣṭavyāyatana*). It consists of primary elements of a special nature.

According to others, however, it is a *rūpa* derived from the primary elements, but a derived *rūpa* different from the seven derived tangibles, *ślakṣṇatva*, etc. (i. 10d).<sup>182</sup>

As for the four assurances (*vaiśāradya*),<sup>183</sup>

32a-c. Assurance is fourfold

The Buddha possesses four assurances which are explained in the Sūtra.

32c. Resembling the first, the tenth, the second, and the seventh power.

1. The first assurance, the assurance that he has attained supreme comprehension with respect to all the *dharma*s, resembles the first power (the power of the knowledge of what is possible and what is impossible); it consists of ten knowledges, and can exist (lit. "be supported") in all of the spheres.

2. The second assurance, the assurance that he has the knowledge of the destruction of all the defilements, resembles the tenth power, the power of the knowledge of the destruction of the defilements: it consists of ten knowledges, and can exist in six spheres.

3. The third assurance, the assurance that he can fully explain the *dharma*s, resembles the second power, the power of the knowledge of the retribution of actions:<sup>184</sup> it consists of eight knowledges, and can exist in all of the spheres.

4. The fourth assurance, the assurance that he can explain the Path leading to definitive deliverance, resembles the seventh power, the power of the knowledge of the paths which lead to the different realms of rebirth and to Nirvāṇa: it consists of ten or nine knowledges, and can exist in all of the spheres.

How can the knowledges be called assurances (*vaiśāradya*)?

The word *vaiśāradya* signifies "absence of fear" (*nirbhayaṭā*). By reason of the fact that he knows that he has understood all the *dharma*s, destroyed all the defilements, etc., the Buddha is free from fear in the assemblies. Thus *vaiśāradya* is knowledge.

[In our opinion] the assurances, being a result of knowledge, are not knowledge by nature.<sup>185</sup>

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What are the three applications of mindfulness of the Buddha?

32d. Three are mindfulness and awareness (*prajñā*).<sup>186</sup>

The Sūtra<sup>187</sup> explains at length the three applications of mindfulness of the Buddha: 1. When his disciples, unanimous, respectfully listen, accept and practice his teaching, he experiences neither joy nor satisfaction, but he remains indifferent, in full mindfulness and awareness. 2. When his disciples, unanimous, do not hear, do not accept and do not practice his teaching, he does not experience displeasure nor impatience, but he remains indifferent, in full mindfulness and awareness. 3. When some of his disciples hear, accept and practice his teaching, while others, not hearing, do not accept and do not practice his teaching, he does

not experience joy and displeasure, but remains indifferent in full mindfulness and awareness. These three applications of mindfulness are, by their nature, mindfulness and awareness.

But a Śrāvaka who is free from the cankers, whose disciples are either respectful or not respectful, or respectful and not respectful, experiences neither joy nor displeasure, nor either joy or displeasure. Why consider the three applications of mindfulness as *dharmas* unique to a Buddha?

Because the Buddha has abandoned joy and displeasure along with their traces. Or rather because the disciples are the disciples of the Buddha: it is admirable that the Buddha does not experience either joy or displeasure from their respect or disrespect; but the disciples are not the disciples of the Śrāvakas from whom they receive the teaching: there is nothing admirable in the fact that these Śrāvakas do not experience joy or displeasure.

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33a. Great compassion is a conventional mental state; [it is great through its factors, its aspects, its object, its equality, and its excellence; it differs from (ordinary) compassion in eight ways.]<sup>188</sup>

Great compassion is, by its nature, conventional knowledge (vii. 2b). In the contrary case, it would be, in its nature, absence of hatred as is ordinary compassion (viii. 29); like ordinary compassion, it would not embrace all beings of the Three Dhātus, it would not envision the three types of suffering.

Why is the compassion of the Blessed One termed "great"?

33b. It is great through its factors, its aspects, its object, its equality, and its excellence.



1. By reason of its factors (*sambhāra*); it is produced in fact by a great provisioning (*sambhāra*) of merit (*puṇya*) and knowledge (*jñāna*).<sup>189</sup>

2. By reason of its aspects, of the modality under which it grasps things: it considers things as painful by reason of the threefold suffering, the suffering inherent in suffering itself, the suffering inherent in change, and the suffering inherent in the *saṃskāras* (vi. 3),<sup>190</sup> whereas ordinary compassion only envisions the suffering inherent in suffering itself.

3. By reason of the object, for it has for its object all beings in the Three Dhātus.

4. By reason of its equality, for it is equally concerned with the happiness and benefit of all being.

5. By reason of its excellence, for no other compassion which has arisen surpasses it.<sup>191</sup>

How does great compassion differ from ordinary compassion?

33c. It differs from ordinary compassion in eight ways.

1. With respect to its nature: ordinary compassion is absence of hatred, whereas great compassion is absence of ignorance.

2. With respect to its aspect: ordinary compassion takes on the form of one suffering, whereas great compassion takes on the form of threefold suffering.

3. With respect to its object: ordinary compassion is concerned with the beings of one Dhātu, whereas great compassion is concerned with the Three Dhātus.

4. With respect to its sphere: ordinary compassion is of the sphere of the Four Dhyānas,<sup>192</sup> whereas great compassion is of the sphere of the Fourth Dhyāna.

5. With respect to the personality which serves as its support: ordinary compassion arises in the series of the Śrāvakas, etc.,<sup>193</sup>

whereas great compassion arises in the series of the Buddhas.

6. With respect to its acquisition: ordinary compassion is obtained through detachment from Kāmadhātu, whereas great compassion is obtained through detachment from Bhavāgra.

7. With respect to its protection: ordinary compassion does not protect, whereas great compassion protects.<sup>194</sup>

8. With respect to compassion: ordinary compassion is an unequal compassion, for it sympathizes only with beings who are suffering, whereas great compassion is an equal compassion, turned towards all beings equally.

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We have explained the qualities which belong only to the Buddhas and which distinguish them from other beings. Do the Buddhas resemble one another among themselves?

Under certain conditions, yes; under other conditions, no.

34. In *saṃbhāra*, *dharmakāya* and their service to beings, the Buddhas are identical; not in their duration of life, their caste, their stature, etc.<sup>195</sup>

The Buddhas are identical in that they have, in their previous existences, equally accumulated merit and knowledge, in that they have realized the same *dharmakāya*,<sup>196</sup> and in that they equally carry out service to others.

But the Buddhas differ through the difference in the duration of their lives, their caste, their *gotra*, the dimensions of their bodies, etc. According to the period in which they appear, their life is long or short, they are Kṣatriyas or Brahmins, they belong to the Gautamagotra or to the Kāśyapagotra, and their bodies are great or small. The word *et cetera* indicates that the Dharma of the

Buddhas lasts a long or short period of time, accordingly as, at the moment of their appearance, the beings to be converted are straight or crooked.<sup>197</sup>

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All intelligent persons who reflect on the threefold perfection<sup>198</sup> of the Tathāgatas necessarily produce a profound affection, a profound respect with respect to them. This threefold perfection is the perfection of their causes which consists of the provisions of merit and knowledge; the perfection of the result which consists of the *dharmakāya*; and the perfection of benefit which consists of service to all beings.

i. The perfection of cause is fourfold: 1. Cultivation of the accumulation of all qualities and all knowledge;<sup>199</sup> 2. prolonged cultivation;<sup>200</sup> 3. uninterrupted cultivation; and 4. zealous cultivation.

ii. The perfection of the result is fourfold, for the realization of the *dharmakāya* includes four perfections, that of knowledge, of abandoning, of power and of material body.

a. The perfection of knowledge is fourfold: 1. untaught knowledge; 2. universal knowledge (that is to say knowledge of all individual characteristics); 3. omniform knowledge,<sup>201</sup> (that is to say knowledge of all manners of being); and 4. spontaneous knowledge (knowledge through the simple desire to know).

b. The perfection of abandoning is fourfold: 1. abandoning of all the defilements; 2. definitive abandoning (not susceptible of falling away); 3. abandoning of the defilements with their traces (because no bond remains); and 4. abandoning of the obstacles to *samādhi* and *samāpatti* [of such a sort that the Buddha is doubly delivered (vi. 64a)].<sup>202</sup>

c. The perfection of power is fourfold: 1. perfection in the mastery of creating, transforming, and maintaining an external

object;<sup>203</sup> 2. perfection in the mastery of abandoning and prolonging life;<sup>204</sup> 3. perfection in the mastery of movement through resistant bodies, through space, to very distant location, of great speed, and mastery in the reduction of a large body to a small volume;<sup>205</sup> and 4. perfection of marvellous qualities, multiple and natural.<sup>206</sup>

d. The perfection of the material body is fourfold: 1. perfection in marks (*lakṣaṇa*); 2. perfection in secondary marks (*anuvyañjana*); 3. perfection in power (that is to say possession of Nārāyaṇa's power, vii. 31); and (with respect to internal events) perfection of the body whose bones are like diamonds; and (with respect to external events) emissions of rays of light (which exceed one hundred thousand suns.)

iii. The perfection of service is fourfold: 1-3. to deliver definitively (*atyanta*) from the suffering of the three painful realms of rebirth; 4. to deliver from the suffering of transmigration; or rather: 1-3. to install into the three vehicles; 4. to install into good realms of rebirth.

Such are, in short, the perfections of the Buddhas. There would be no end of our discussion if we were to speak of them in great detail. Only the Buddhas, the Blessed Ones, if they were to prolong their existence for numbers of *asamkhyeyakalpas*, would be capable of knowing and speaking of their grandeur. It is enough to know that the Buddhas, endowed with qualities, knowledges, powers, and infinite and extraordinary benefits, are like mines of jewels.

Nevertheless fools (*bāla* = *prthagjana*), themselves poor in qualities—and judging based upon themselves—have no spiritual aspirations: they understand in vain the extolling of the merits of the Buddha and they do not conceive affection either for the Buddha or his Dharma.

The wise, on the contrary, understand the explanation of the qualities of the Buddha, conceiving, with respect to the Buddha and his Dharma, a mind of faith which penetrates to the marrow of

their bones. These persons, through this single mind of faith,<sup>207</sup> surmount an infinite mass of actions of unnecessary retribution;<sup>208</sup> they obtain excellent human and divine rebirths; and, finally, they arrive at Nirvāṇa. This is why the Tathāgatas are said to be a supreme field of merit; for this field gives forth fruits which are certain, agreeable, abundant, rapid, (experienced in this life), and of excellent issue. The Blessed One, in fact, has proclaimed, "If anyone plants a small root of good in the field of merit which are the Buddhas, he will first possess heavenly realms of rebirth and then he will obtain the Deathless (*Ekottara*, 24.15).<sup>209</sup> We have explained the eighteen qualities unique to the Buddhas.

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35a. There are other qualities which the Buddhas have in common with Śaikṣas

The Buddhas possess innumerable qualities which they have in common either with Śrāvakas

35b. And Pṛthagjanas

Or with ordinary persons.

35c. Absence of Contention, Knowledge Resulting from Resolution, the Unhindered Knowledges, the Supernormal Knowledges, etc.

These are: the Samādhi Absence of Contention, the Knowledge Resulting from Resolution, the Four Unhindered Knowledges, the Supernormal Knowledges, the Dhyānas, the Ārūpyas, the Eight Samāpattis, the Three Samādhis, the Four Apramāṇas, the Eight Vimokṣas, the Eight Abhibhvāyatanas, the Ten Kṛtsnāyatanas, etc.

The first three are common to both the Buddhas and the Āryans; the Supernormal Knowledges, the Dhyānas, etc., can also belong to ordinary persons.

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*Araṇā* [is the power to hinder the arising of another's defilements].<sup>210</sup> The Arhats know that the sufferings of beings are produced through their defilements; they know that they themselves are the most worthy field of merit (iv. 103, 117a); they fear that others might generate defilements with respect to them [which would be particularly injurious to them];<sup>211</sup> thus they generate a knowledge of such a nature that no other person will produce, with respect to them, lust, hatred, pride, etc. This knowledge puts an end, in beings, to *raṇa*, or contention, which is a defilement, a cause of torment: it is thus called *araṇā* or absence of contention.

What are the characteristics of the so-called *Araṇā Samādhi*, the Absorption Absence of Contention?

36a. Absence of Contention is conventional knowledge;

By nature it is conventional knowledge, as it results from its object.

36b. It is of the sphere of the Fourth Dhyāna;

It exists in ("has for its support") the Fourth Dhyāna, which is the best of the easy paths (vi. 66).

36c. It is produced by a person who is Immovable.

It is produced by Immovable Arhats (*akopyadharman*, vi. 56) and not by others: for others are not capable of radically cutting off their own defilements (they are in fact subject to falling) and so they cannot arrest the defilements of others.

36d. It is produced by humans.

It is produced by humans, for it is only a being in the human realm of rebirth who can cultivate it in the Three Dvīpas.

36e. It relates to the defilements of Kāmadhātu, is future, and has a real object.

It bears on the defilements of others, in Kāmadhātu, in the future, and "has a real object" (*savastuka*), "May no defilements arise in others with respect to me!" The *savastuka* defilements are craving, anger, etc., which are abandoned through Meditation (vi. 58).

The *avastuka* defilements of others (vi. 58), which are abandoned through Seeing, are not susceptible of being arrested, for the universal (*sarvatraga*) defilements (v. 12), which exist in the totality of their sphere, also exist in the series of another.<sup>212</sup>

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As is the Samādhi Absence of Contention,

37a-b. So too the Knowledge Resulting from Resolution;  
[but it has all for its object].<sup>213</sup>

Like the Samādhi Absence of Contention, the Knowledge Resulting from Resolution is, by nature, conventional knowledge; like Absence of Contention, it exists in the Fourth Dhyāna, it is produced in the series of an Immovable One, and it is meditated upon by a being in the human realm of rebirth.

37b. But it has all for its object.

But, unlike the Samādhi Absence of Contention, it bears on all the *dharma*s.

Yet<sup>214</sup> the Vaibhāṣikas say that the *dharma*s of Ārūpyadhātu are not known by a direct seeing through the Knowledge Resulting from Resolution—being of the Fourth Dhyāna, this knowledge does not bear on a higher sphere. These *dharma*s are known through inference (*anumāna*). In fact, one knows 1. the outflowing of Ārūpyadhātu, namely the extreme calm which follows, in a subsequent existence, from a former existence in Ārūpyadhātu; 2. the conduct of Ārūpyadhātu, that is to say the practice of the Ārūpya Samāpattis which will produce an existence in Ārūpyadhātu,—and one can infer from a cause to its result and from a result to its cause. As the farmer knows a seed from its fruit and a fruit from its seed, seeing a calm person, one concludes, "He is reborn falling from Ārūpyadhātu, but he will be reborn in Ārūpyadhātu." Such is the opinion of the Vaibhāṣikas.

Others believe however that the Knowledge Resulting from Resolution bears on Ārūpyadhātu, for there is nothing that is not within the mental range of the Buddhas.<sup>215</sup>

One who would produce the Knowledge Resulting from Resolution begins by forming a resolution, holding a certain object in his consciousness; he enters into the Fourth *prāntakoṭika* Dhyāna (viii. 41a): this is the preparatory exercise. As soon as he leaves this absorption, he produces an exact consciousness in conformity with his resolution the sphere of which varies according to the power of his absorption.<sup>216</sup>

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37c-d. So too the Unhindered Knowledges of *dharma*s, of objects, of etymological explanations, and of eloquence.<sup>217</sup>

There are Four Unhindered Knowledges: the Unhindered



Knowledge of *dharma*s, the Unhindered Knowledge of things (*artha*), the Unhindered Knowledge of etymological explanations (*nirukta*), and the Unhindered Knowledge of eloquence (*prati-bhāna*). They are like the Samādhi Absence of Contention in that they belong solely to the Immovable Ones who are humans. But they differ from it with respect to their object, the sphere in which they are acquired, and their nature.

38a-b. The first three are unhindered knowledges bearing, in this order, on name, the thing, speech.<sup>218</sup>

Infallible (*avivartya*) knowledge of names, phrases, and syllables (ii.47a) is the Unhindered Knowledge of *dharma*s.<sup>219</sup>

Infallible knowledge of the thing is the Unhindered Knowledge of things.

Infallible knowledge of speech is the Unhindered Knowledge of etymological explanation.

38c-d. The fourth is the knowledge of exact and facile expression, and of mastery with respect to the Path.<sup>220</sup>

Infallible knowledge which confers the capacity to express oneself in an exact and facile<sup>221</sup> manner and which also confers never failing attention on a person who is a master in absorption is the Unhindered Knowledge of eloquence.<sup>222</sup>

39a-b. Its object is speech and the Path; [it is made up of nine knowledges.]<sup>223</sup>

Speech and the Path are the object of this Unhindered Knowledge.

39b. It is made up of nine knowledges.

Which, in its nature, is made up of nine knowledges with the exception of the knowledge of extinction.

39c. It is of all the spheres.

It can arise in an ascetic who exists in any of the spheres, from Kāmadhātu to Bhavāgra, since it has for its object either speech or the Path.

39c. Unhindered Knowledge of things (*artha*) is made up of ten or six.

*Artha* or thing signifies "all the *dharmas*": in which case the Unhindered Knowledge of things is, by its nature, the ten knowledges; but if *artha* signifies Nirvāṇa, then it is made up of six knowledges: the knowledge of *dharmas*, inferential knowledge, the knowledge of extinction, the Knowledge of Destruction, the Knowledge of Non-Arising and conventional knowledge.

39d. It arises everywhere.

That is to say it can exist in any sphere.

39d. The others are conventional knowledge.

Two Unhindered Knowledges (of the *dharmas* and of etymological explanation) are conventional knowledge, for they have names, phrases, and syllables, etc., and speech, for their object.

40a. The Unhindered Knowledge of *dharmas* exists in Kāmadhātu and the Dhyānas.

It therefore exists in five spheres. Above them, names are absent [and so too phrases and syllables].<sup>224</sup>

40b. The Unhindered Knowledge of speech exists in Kāmadhātu and the First Dhyāna.

The Unhindered Knowledge of etymological explanation exists only in Kāmadhātu and the First Dhyāna, because *vitarka* is absent above them.<sup>225</sup>

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According to the *Prajñaptipāda*, the Unhindered Knowledges are in the following order: 1. the infallible knowledge of name, phrase, and syllable; 2. the knowledge of the thing (*artha*) expressed by its name, etc.; 3. the knowledge of the expression of the characteristics of the thing, its number (singular, dual, or plural), its gender (feminine, masculine, or neuter), the time, etc.;<sup>226</sup> 4. the knowledge of what is not possible (*asaktatā*) [=which produced the *asaktatā*] either of the expression, or of phrases and syllables. In this way the order of the Unhindered Knowledges is justified.

According to others, *nirukti* is an etymological explanation (*nirvacanāṃ*), for example: *rūpyate tasmād rūpam* (it is physical matter because it can be crushed), *viñānātīti vijñānam*, (it is consciousness because it knows or distinguishes), *cinoṭīti cittam* (it is mind because it accumulates); *pratibhāna* is the rejoinder.<sup>227</sup>

According to the School, the preparatory exercises of the Four Unhindered Knowledges are, in this order, the study of calculation,

the word of the Buddha, the study of sounds (*śabdavidyā*), and the study of causes (*hetuvidyā*),<sup>228</sup> for a person who has not cultivated these four disciplines is not capable of producing the Four Unhindered Knowledges. But, in fact,<sup>229</sup> the study of the word of the Buddha alone suffices to achieve the four preparatory exercises.

40c. One only obtains them together.

If a person obtains one Unhindered Knowledge, he obtains the others; if he does not obtain them all, he does not obtain any of them.

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The six qualities described above, Absence of Contention, etc.

40d. These six are *prāntakoṭika*.

They receive this name because they are obtained through the power of the *Prāntakoṭika Dhyāna* (vii. 41a-c).

41a. It is sixfold.

The Fourth *Prāntakoṭika Dhyāna* is made up of six things: it consists of 1. Absence of Contention, 2. the Knowledge Resulting from Resolution, 3-5. three Unhindered Knowledges (with the exception of the Unhindered Knowledge of etymological explanation), and 6. the *Prāntakoṭika Dhyāna* itself.<sup>230</sup>

Even though the Unhindered Knowledge of etymological explanation may be obtained through the power of a *Prāntakoṭika Dhyāna*, it does not arise in the Fourth *Dhyāna*, for it has *Kāmadhātu* and the First *Dhyāna* for its sphere; consequently it is not included within the Fourth *Prāntakoṭika Dhyāna*.

What is the Prāntakoṭika Dhyāna?

It is the last *dhyāna* in the Fourth Dhyāna.<sup>231</sup>

41b-c. It is the last *dhyāna*, in a series with all the spheres and carried to its maximum.<sup>232</sup>

a. The Fourth Dhyāna is “in a series with all the spheres” when one cultivates it in the following manner: from a good mind of Kāmadhātu, one enters into the First Dhyāna; from the First Dhyāna, into the Second, and so on up to *naivasamjñānāsamjñāyatana* (= the Fourth Dhyāna); then, one redescends to a good mind of Kāmadhātu; finally, from this mind, one ascends again to the Fourth Dhyāna.

b. One cultivates the Fourth Dhyāna; after having cultivated in an inferior manner, one cultivates in a medium manner; after having cultivated in a medium manner, one cultivates in a superior manner. Each one of these three categories is divided into three. The Fourth Dhyāna is therefore made up of nine categories. The highest category of the Fourth Dhyāna is called “carried to the maximum” (*vrddhikāṣṭāgata*). The Dhyāna which possesses these two qualities is called *prāntakoṭika*, because its end (*koṭi*) has been traversed (*pragatā*) to the extreme (*antam*).<sup>233</sup>

*Koṭi* signifies both “type” (*prakāra*) and “summit, apex,” as one says: *cātuṣkoṭika praśna*, that is, a fourfold question; or as one says: *bhūtakoṭi*, “the limit of existence.”<sup>234</sup>

These qualities of the Buddha are

41d. With the exception of the Buddha, acquired through effort.

With the exception of the Buddha, the other Āryans acquire these six qualities, the Samādhi Absence of Contention, etc., only through effort, and not through detachment, since all do not

possess them.<sup>235</sup> The Buddha alone acquires them through detachment, for the Buddha obtains all his qualities in a single stroke, from the beginning, at the moment of the Knowledge of Destruction, through detachment.<sup>236</sup> Later, he actualizes them at his will, without effort; for the Buddha is the master of all the *dharma*s that he possesses.

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We have explained the three categories, Absence of Contention, Knowledge Resulting from Resolution, the Unhindered Knowledges, which are common to the Āryans. Among the qualities which also belong to ordinary persons (*prthagjanas*)<sup>237</sup> we must explain the Supernormal Knowledges.

42a-d. Realization of the knowledge of supernormal power, of ear, of the mind, of past existences, of death and rebirth, of the destruction of the cankers; this is the sixfold supernormal knowledge.<sup>238</sup>

There are six supernormal knowledges: 1. the supernormal knowledge which consists of the realization of the knowledge of the sphere of *iddhi* or supernormal power (that is to say, displacement and creation);<sup>239</sup> 2. the supernormal knowledge which consists of the realization of the knowledge of divine hearing;<sup>240</sup> 3. the supernormal knowledge which consists of the realization of the knowledge or consciousness of the mind of another;<sup>241</sup> 4. <sup>242</sup>the supernormal knowledge which consists of the realization of the knowledge of the memory of past existences;<sup>243</sup> 5. the supernormal knowledge which consists of the realization of the knowledge of divine sight (of the death and birth of all beings);<sup>244</sup> and 6. the supernormal knowledge which consists of the realization of the knowledge of the destruction of the cankers.<sup>245</sup>

Even though the sixth supernormal knowledge belongs only to

the Āryans, since the first five are also possessed by ordinary persons, and by reason of the characteristics of the greatest number of supernormal knowledges, here all of the supernormal knowledges are considered as common to the Āryans and to ordinary persons.<sup>246</sup>

42d. They are *prajñā* of deliverance.<sup>247</sup>

They are by their nature the *prajñā* of the Path of Deliverance, like the results of the religious life.<sup>248</sup>

43a. Four are conventional knowledge.<sup>249</sup>

Four, with the exception of the supernormal knowledge of the minds of others and the supernormal knowledge of the destruction of the cankers, are conventional knowledges (vii.2).

43b. The knowledge of the mind of another is made up of five knowledges.

The fifth supernormal knowledge is by nature the knowledge of *dharma*s, inferential knowledge, a knowledge of the Path, conventional knowledge, and the knowledge of the mind of another.<sup>250</sup>

43c. The supernormal knowledge of the destruction of the cankers is similar to the power.<sup>251</sup>

Exactly like the power of the knowledge of the destruction of the cankers, this supernormal knowledge is made up of six or ten knowledges. So too, it can exist in all of the spheres and relates to all objects.

43d. Five exist in the Four Dhyānas.<sup>252</sup>

The first five supernormal knowledges exist in the Four Dhyānas, that is to say, they are obtained by an ascetic in any of these Dhyānas.

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Why do they not exist in the non-material absorptions, the Ārūpyas?

a. The first three have *rūpa* for their object (see p. 1162, line 14). Thus one cannot produce them in the *ārūpyas*.

b. The knowledge of the mind of another is prepared through the gate of physical matter (*rūpa*), that is to say through a path which has color and shape for its object.<sup>253</sup> Now the non-material absorptions do not have physical matter for their object.

c. As for the memory of previous existences, the ascetic prepares for this by going over again and again the course of successive states (*anupūrvāvasthāntarasmaranāt*);<sup>254</sup> now the non-material absorptions do not have the *dharma*s of Kāmadhātu for their object, and when a memory of past existences is actualized, it bears, as the Sūtra says, on the place, the *gotra*, etc., and on material *dharma*s.<sup>255</sup>

d. In fact the ascetic who wishes to know the mind of another first considers, in his own series, the characteristics of his body and mind, "Such is my body, such is my mind." As he has considered his own body and mind, in this same way, envisioning the series of another, he takes into consideration the characteristic of the body and mind of another: thus he knows the mind of another and the supernormal knowledge arises. When the supernormal knowledge is realized, the ascetic no longer considers the *rūpa* of the body; he directly knows the mind.<sup>256</sup>

e. The ascetic who wishes to remember his past existences, begins by grasping the characteristic of the mind which has just perished; from this mind, he again considers the states which it immediately succeeds in the present existence up to the mind at



members one moment of mind of his (*antarābhava*), this supernormal knowledge for him to remember the previous preliminary exercise is the same.

beginner in the practice of this supernormal existences only in their chronological order is acquired, he remembers them by their existences.

ly that which has been experienced

ow can there be remembrance of the past? do not return here, the ascetic does not know and he has not experienced them in their past existences are not born in this heaven.

because he has experienced them through his own past who remembers them understands, "The past existences are such." The experience, in fact, is of the past and hearing.

from *Ārūpyadhātu*, arise here produce this series by means of the series of another.

which consists of the memory of past existences of a *Dhyāna*, and one cannot, through the past mind which is in *Ārūpyadhātu*.

own by means of their own series.<sup>258</sup>

the first three supernormal knowledges of the sphere of *ṛddhi*, of divine power, —consists of the observation of the past.<sup>259</sup> When this preparation is achieved, it is the same in each case.

the supernormal knowledges do not exist in the *Ārūpyadhātu*.<sup>260</sup>

44a. They have their own sphere or a lower sphere for their domain.<sup>261</sup>

Through the Supernormal Knowledge of magical power of a certain sphere, acquired in a certain *dhyāna* (vii. 43d), one possesses the powers of displacement and creation (vii. 48) in this sphere or in a lower sphere, but not in a higher sphere.

So too, through the Supernormal Knowledge of divine hearing, one understands the sounds of the sphere to which the Supernormal Knowledge belongs, or the sounds of a lower sphere, but not the sounds of a higher sphere.

Through the Supernormal Knowledge of the mind of another, one does not know the mind of another when it is of a sphere higher than that of the Supernormal Knowledge.

Through the Supernormal Knowledge of the memory of past existences, one does not obtain the memory of existences in a sphere higher than that of the Supernormal Knowledge.

Consequently, a mind in *Ārūpyadhātu* cannot be attained either through the Supernormal Knowledge of the knowledge of the mind of another, nor through the Supernormal Knowledge of the memory of past existences, because this mind in *Ārūpyadhātu* is of a sphere higher than that of the Supernormal Knowledges.<sup>262</sup>

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How are the Supernormal Knowledges acquired?

If they have not been acquired in a past life, they are acquired only through effort.

44b. Already cultivated, they are acquired through detachment.

When they have been cultivated in a past life, they are acquired through detachment. [The ascetic takes possession of them through the sole fact that he detaches himself from *Kāmadhātu* and enters a *Dhyāna*]. Nevertheless, intense, they are acquired only through effort. Their manifestation always supposes an effort, except in the case of the Buddha, who acquires any of the Supernormal Knowledges through simple detachment, and actualized them at will (ii. 44a, vii. 41d).

44c. The third is made up of three applications of mindfulness.<sup>263</sup>

The supernormal knowledge of the mind of another contains three applications of mindfulness,—*vedanā*, *citta*, and *dharma* (vi. 14)—because it has the mind and its mental states for its object.

44d. Supernormal power, hearing, and sight make up the first application of mindfulness.<sup>264</sup>

The supernormal knowledges of supernormal power, divine hearing, and divine sight, make up the first application of mindfulness, that is, the body as an application of mindfulness, for they have *rūpa*, color and shape, for their object. The supernormal knowledge of supernormal power has four external *āyatana*s, with the exception of sound, for its sphere.<sup>265</sup> And divine hearing and divine sight have both sound and *rūpa* for their domain.

If this is the case, how can the Supernormal Knowledge of the divine sight know, as the Sūtra explains,<sup>266</sup> that “These beings endowed with bad physical actions, with bad vocal actions, deniers (*apavāḍaka*) of the Āryans, produce false views, attach themselves to views and to wrong actions, because of which, at the end of their lives, they fall into bad realms of rebirth...”?

The Supernormal Knowledge of divine sight does not know

that a being is endowed with a mental action, that a being has conceived a false view, etc. But there is another knowledge which accompanies the Supernormal Knowledge of divine sight,<sup>267</sup> which arises in the series of the Āryan, and which knows mental action, etc. As this knowledge is produced through the power of the Supernormal Knowledges of divine sight, it receives, together with this Supernormal Knowledge, the name of "Knowledge of death and rebirth."

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As their natures are not determined in the Kārikā, it follows in and of itself that the two Supernormal Knowledges of memory of past existences and the destruction of the cankers have for their nature the four applications of mindfulness.<sup>268</sup>

45a-b. The Supernormal Knowledges of hearing and sight are neutral; the others are good.<sup>269</sup>

The Supernormal Knowledges of divine hearing and divine sight are morally neutral, for, by nature, they are *prajñā* associated with auditory and visual consciousness.

If this is the case, how can one say that they are of the sphere of the Four Dhyānas? In fact, there is no visual or auditory consciousness in the Second Dhyāna and above (i.46).

There is no contradiction here, for we express ourselves in this way by consideration of the organs. The organs, the ears and eyes, which are the support of the Supernormal Knowledges, are produced through the power of the Four Dhyānas and belong to their sphere: they therefore exist in the four spheres. The Supernormal Knowledge, being supported on the organ, is therefore said to be supported on (= exist in) the Four Dhyānas.

Or rather, we express ourselves in this way because we consider

the *ānantaryamārga* (or preparation, above p. 1160, line 25) of the Supernormal Knowledge; in fact the *ānantaryamārga* of the Supernormal Knowledge of divine hearing and divine sight is supported on four spheres, the Four Dhyānas.<sup>270</sup>

The other supernormal knowledges are good.

If this is the case, why does the *Prakaranapāda* say, "What is supernormal knowledge? It is good *prajñā*"?

This definition refers to the greater number of cases (*bāhulika*) or to the essential (*prādhānika*). The supernormal knowledges are, in the greater number of cases, good; and the good supernormal knowledges are the most essential.

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According to the Sūtra, there are three Aśaikṣa Wisdoms (*vidyā*).<sup>271</sup> To which supernormal knowledges do these wisdoms correspond?

45c-d. Three supernormal knowledges are wisdom, [because they bring about the cessation of non-wisdom relative to the past, etc.]<sup>272</sup>

The three wisdoms,—the Aśaikṣa wisdom which consists of the realization of the knowledge of past lives, the Aśaikṣa wisdom which consists of the realization of the knowledge of the death and birth of all beings, and the Aśaikṣa wisdom which consists of the realization of the knowledge of the destruction of the cankers,—are, in the order of the Sūtra, the fifth, the second, and the sixth supernormal knowledges.

Why are these three supernormal knowledges called wisdoms (*vidyā*)?

45d. Because they bring about the cessation of non-wisdom (ignorance) relating to the past, etc.

It is because the memory of past existences (=the fourth supernormal knowledge) brings about the cessation of error relating to the past, the knowledge of death and birth (=the fifth supernormal knowledge) brings about the cessation of error relating to the future, and the knowledge of the destruction of the cankers (=the sixth supernormal knowledge) brings about the cessation of error relating to the present.<sup>273</sup>

Which of these three supernormal knowledges really belongs to the Aśaikṣas?

46a. The last belongs to the Aśaikṣas.

The knowledge of the destruction of the cankers belongs only to the Arhat.

46a-b. The two others are said to belong to the Aśaikṣas when they arise in the series of an Aśaikṣa.<sup>274</sup>

The other two supernormal knowledges are said to belong to an Aśaikṣa when they arise in the series of an Aśaikṣa: by nature however, they are neither-Śaikṣa-nor-Aśaikṣa. (ii. 38a)

If this is so, why not admit that these two supernormal knowledges are, when they are produced in a Śaikṣa, the wisdom of a Śaikṣa.

46c-d. We admit that they exist in the Śaikṣa, but then they are not called wisdoms because the series of the Śaikṣa is associated with non-wisdom.<sup>275</sup>

In fact the Buddha did not say that these two supernormal knowledges are Śaikṣa *dharma*s.

Why?

When a series is associated with non-wisdom (*avidyā*, ignorance) it is not correct to give the name of wisdom (*vidyā*) to the supernormal knowledge which is produced in this series, for the supernormal knowledge is obscured by the non-wisdom.<sup>276</sup>

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The Sūtra says that there are three methods of conversion.<sup>277</sup> To which supernormal knowledges do they correspond?

47a-b. The first, the third and the sixth are the methods of conversion.<sup>278</sup>

The supernormal knowledges of *ṛddhi*, of the knowledge of the mind of another, and of the destruction of the cankers, are, in this order the three methods of conversion (*prātihārya*): “to carry off” (*har*), that is, to convert, through miracles (*ṛddhiprātihārya*), through reading the mind of someone (*ādeśanāprātihārya*), and through the Teaching (*anūsāsanīprātihārya*).

The prefix *pra-* signifies *ādikarman* (initial action), and the prefix *ati-* signifies *bhṛṣam* (forceful): these three supernormal knowledges are called *prātihārya* because, thanks to them, the work of conversion (*haraṇa*) is begun (*pra-*) and done in an intense manner (*ati-*).

Through them, one carries away (*haranti*) the mind of persons to be converted, from the very first (*ādīta*s) and very forcefully (*ati bhṛām*).

Or rather, they receive the name of *prātihārya*, for through

them one first or forcefully makes oneself a master of persons who hate (*pratibata*) the Good Law, or of those who are indifferent.<sup>279</sup> Through them, one makes persons of hostile, unbelieving, or non-zealous mind, produce a mind of refuge, a mind of faith, or a mind of practice.<sup>280</sup>

#### 47b. Conversion through the Teaching is the best.<sup>281</sup>

Among the three methods of conversion, conversion through the Teaching is the best.

47c-d. Because it does not exist without supernormal knowledge, and because it confers the fruits of salvation and of well-being.

Conversion through miracles and conversion through reading someone's mind can be produced by means of wisdom.<sup>282</sup> There is a wisdom called *Gāndhārī*:<sup>283</sup> the person who possesses it can fly through space. There is also a wisdom called *Īkṣaṇikā*:<sup>284</sup> the person who possesses it can read the mind of others. Conversion through the Teaching cannot be realized by such means, and as a consequence, since it is never separated from the supernormal knowledge of the destruction of the cankers,<sup>285</sup> it is superior to the other two.

Further, the first two methods of conversion are only capable of captivating the mind of another for a short period of time, and they do not produce any important results. But the third method of conversion causes others to produce beneficial results; for by means of this method of conversion, the preacher teaches, in truth, the means to salvation and to well-being.



What is ṛddhi?

48a. Ṛddhi is absorption.<sup>286</sup>

According to the Vaibhāṣikas, the word *ṛddhi* designates absorption or *samāddhi*. The absorption is so named, for it is due to it that the work succeeds (*samīṛdhyati*).

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What does ṛddhi consist of?

48a-b. From it, there arises displacement and fictive creation.<sup>287</sup>

Displacement (*gati*) is of three types: transport displacement, displacement through *adhimokṣa* (intention), and rapid displacement like the mind.<sup>288</sup>

48c-d. Rapid displacement like the mind is unique to the Master.<sup>289</sup>

This displacement goes very quickly, like the mind; from whence its name of *manojava*. Only the Buddha possesses it, not other beings. The body arrives at a great distance even in the time it takes to think of arriving there. This is why the Buddha said that the sphere of the Buddha is incomprehensible.<sup>290</sup> The Master also possesses the other two displacements.

48c-d. The others possess displacement of transport and of *adhimokṣa*.

Śrāvakas and Pratyekabuddhas elevate their bodies and move, as a bird<sup>291</sup> gradually raises his body and moves.<sup>292</sup> As for the displacement of *ādhimokṣa*, when one does it, through the power of intention (*ādhimokṣa*), what is distant becomes close:<sup>293</sup> through this *ādhimokṣa* the object comes quickly.

49a-c. Fictive creation in Kāmadhātu is made up of four external *āyatana*s; [it is of two types; fictive creation of the sphere of Rūpadhātu is made up of two *āyatana*s.]<sup>294</sup>

Fictive creation (*nirmita*) is of two types, of the sphere of Kāmadhātu, and of the sphere of Rūpadhātu. The first consists of the creation of physical matter, odor, taste, tangibles which are external,<sup>295</sup> with the exception of sound. The second consists of the creation of physical matter and tangibles only, because odors and tastes do not exist in Rūpadhātu.<sup>296</sup>

49b. It is of two types.

Fictive creation in Kāmadhātu is twofold, accordingly as it is connected with the body of the ascetic himself or with another: for example an ascetic transforms himself into a tiger, or he creates, apart from himself, a tiger.<sup>297</sup>

49c. Fictive creation of the sphere of Rūpadhātu is made up of two *āyatana*s.

The same holds true of fictive creations in Rūpadhātu. A person who is in Kāmadhātu and one who is in Rūpadhātu are each capable of four types of fictive creations, so creation is eightfold.

But when a person in Rūpadhātu produces a fictive creation in Kāmadhātu, is it not found to possess odor and taste?

No, there is no possession, no more so than a person does not possess clothing or attire, even though they are bound to his body, because these things, not being living organisms (*asattvasamīkhyāta*, i. 10b), are not bound to the sense organs.

Yet certain masters say that a person in Rūpadhātu can only create two *āyatana*s, physical matter and tangibles, for they fear that if this person creates odors, etc., he will be found to possess odors, etc.

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Is it through the supernormal knowledge of creation itself that the ascetic creates fictive, created objects (*nirmita*)?

No.

How is this?

It is created as a result of supernormal knowledge (*abhiññāphala*, ii. 72b. English trans. p. 314).

What is this *dharma* that you term the result of supernormal knowledge?

49c-d. It is through a mind capable of creating fictive beings (*nirmāṇacitta*) that one creates. They are fourteen in number.

A result of supernormal knowledge are minds capable of creating fictive, created objects. These minds are fourteen in number.

50a-b. They are the results of the Dhyānas, from the number of two up to five, in this order.

These minds are fourteen in number, being differentiated by their Dhyāna (fundamental Dhyāna, *mūladhyāna*) which serves as their support.

Two minds are the results of the First Dhyāna: the first of the sphere of Kāmadhātu, and the second of the sphere of the First Dhyāna.<sup>298</sup>

Three minds are the results of the Second Dhyāna: two of the two lower spheres (Kāmadhātu and the First Dhyāna) and one of the same sphere as the Dhyāna of which it is the result, so therefore of the Second Dhyāna.

In the same way four and five minds are the results of the Third and Fourth Dhyānas. The mind capable of creating fictive objects, the result of a certain Dhyāna, is of the sphere of this Dhyāna or of a lower sphere.

50b. They do not arise from a lower Dhyāna.<sup>299</sup>

The Dhyāna mind of a lower sphere does not produce a mind capable of creating fictive beings (that is, a result of a Dhyāna) of a higher sphere, because its power is too small.

A fictive being,—that is to say, a magical being—of a lower sphere, but which is the result of the Second Dhyāna, prevails over, from the standpoint of its going and coming, a being of a higher sphere, which is a result of the First Dhyāna.<sup>300</sup> The same for the following Dhyānas.

50c. One obtains them like a Dhyāna<sup>301</sup>

One obtains a mind capable of creating fictive beings, a result of a *mūladhyāna*, as one obtains the Dhyāna, that is to say, through detachment, for the result is obtained at the same time as its support.

50c-d. A mind capable of creating fictive beings proceeds from a pure Dhyāna and from itself; [it produces the two.]<sup>302</sup>

Its result, a mind capable of creating fictive beings, is produced from a Dhyāna. This mind does not lead to a departure from contemplation.

50d. It produces the two.

A first mind capable of creating fictive beings arises from a pure (*śuddhaka*, viii. 6) Dhyāna. Then successive minds capable of creating fictive beings arise from a mind of their same type, that is to say, of the first, of the second... mind capable of creating fictive beings: the former mind of this series thus produces a subsequent mind capable of creating fictive beings. The last mind is followed by a pure Dhyāna. Therefore the mind capable of creating fictive beings comes from two minds (a pure Dhyāna and a mind capable of creating fictive beings) and produces these same two. This is to suppose that the person who has a mind which is capable of creating fictive beings—the result of an absorption, and morally neutral—does not again enter a Dhyāna, that he would not depart from this Dhyāna, in the same way that one enters through a door and leaves through this same door.

51a. One creation takes place through one mind of its sphere.

All the fictive, created (*nirmita*) things are created by a mind of their sphere, for a mind capable of creating fictive beings of a certain sphere does not produce a being belonging to another sphere.

51b. But speech also takes place through a mind of a lower sphere.<sup>303</sup>

Speech uttered by fictive (*nirmita*) being also depends, in certain cases, on a mind of a lower sphere.

Speech uttered by a fictive being in Kāmadhātu or of the First Dhyāna takes place by virtue of a mind of the sphere of this created being. But a fictive being of a higher sphere, of the Second Dhyāna, etc., speaks by virtue of a mind of the First Dhyāna: for in the higher spheres a mind endowed with *vitarka* and *vicāra* (ii. 33, English trans. p. 203) and capable of producing *vijñāpti* (iv. 7d) does not exist.

51c. With the creator, except in the case of the Master.

When the *nirmātar*, the person who produces fictive beings (*nirmita*), produces a number of fictive beings, all speak when their creator speaks, because their *vāgvijñāpti* (iv. 3d) or vocal action, is common to all. This is why the stanza says, "When one speaks, namely the creator, all his creatures speak; when one remains silent, all remain silent."<sup>304</sup>

This rule does not refer to the Buddha, for he possesses a perfect mastery in absorption: at his will, fictive beings speak one after the other; they question the Buddha and the Buddha answers; the Buddha questions them and they respond.

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But, one would say, when the mind which produces the voice arises, the mind capable of creating fictive beings no longer exists: therefore at this moment the fictive being does not exist; thus how does a fictive being speak?

51d. The fictive being speaks, because its creator sets speech into motion through another mind, after having empowered the fictive being.

Through the power of a mind previous to its entry into contemplation and creation, the creator empowers (*adhiṣṭhāti*) the fictive being, "May it last!" By means of another mind, he causes it to speak. Therefore, even though the fictive being speaks, the two minds,—that which creates it and that which causes it to speak,—are not simultaneous, and yet the vocal action takes place with the fictive being for its support.

52a. Empowerment continues after death.<sup>305</sup>

It is not only for the duration of his own life that the creator is capable of empowering a thing in such a manner that it endures; his empowerment can also make the thing last after his own death.

It is thus, through his own empowerment that Kāśyapa the Great made his bones last until the advent of Maitreya.<sup>306</sup>

52a. But not with respect to that which is not hard.

It is only a hard thing which is susceptible of being empowered for a long period of time. This is why Kāśyapa the Great did not empower his flesh.

52b. Some other masters say no.

The body protected by the power of empowerment is not capable of lasting beyond death. If the bones of Kāśyapa last, it is through the protection of the gods.<sup>307</sup>

52c-d. From the beginning, the ascetic creates a single creation through numerous minds capable of creating

fictive beings; the contrary, when his practice is purified.<sup>308</sup>

A beginner, by means of numerous minds capable of creating fictive beings, produces a single fictive being; later, when his practice is complete, the ascetic produces at his own will, by means of a single such mind, many or few creatures.

53a. Produced through meditation, it is neutral.

The mind capable of creating fictive beings, when it is acquired through meditation (that is, when it is the result of a Dhyāna, or of a supernormal knowledge), is morally neutral: the result of a supernormal knowledge is in fact one of the classes of neutral items (ii. 71b).

53b. Innate, it is threefold.

But when it is innate, it can be good, bad, or neutral: for example gods, *nāgas*, etc., who have been created with a view to aiding or harming.

Also capable of being created, among the ten material (*rūpin*) *āyatanas*, are nine *āyatanas*, with the exclusion of sound, namely, the eye, visible things, the ear, the organ of smell, etc.<sup>309</sup>

[But if nine *āyatanas* are capable of being created, there can therefore be creation of organs (*indriya*): there can therefore be an apparition of a new being (*sattva*), for the organs are of *rūpa* (color and shape) which belong to living beings.]<sup>310</sup>

The organ is not capable of being created. Yet one can say without being incorrect that "creation consists of nine *āyatanas*," for creation—whether it refers to the transformation of the body of the creator or to the creation of a distinct body—consists of four *āyatanas*, physical matter (*rūpa*) odors, tastes, and tangible things, and does not exist independently of the five organs.



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<sup>311</sup>Ṛddhi is, we have said, of two types: produced through meditation (or Dhyāna), and innate.<sup>312</sup>

It is also of three other types:

53c-d. Ṛddhi is also produced through mantras, plants, and actions; in all five types.

It is produced through meditation (*bhāvanāja*), or innate (*upapattilābhika*), or created through mantras (*mantrakṛta*), created through the use of drugs or medicines (*oṣadhiṛta*)<sup>313</sup> or produced through karma (*karmaja*).<sup>314</sup>

Examples of the fifth type (produced through karma) are the ṛddhi of Māndhātara, etc., and the ṛddhi of beings in intermediate existence (iii. 14d).

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Are divine sight and the divine hearing called “divine” in the proper sense of the word, because they are of the nature of the organs of the gods, or rather figuratively so, because they are as if they were divine?

They are “as divine” in the case of the Bodhisattvas, Cakravartins, and Gṛhapatiratnas.<sup>315</sup>

When they are divine in the proper sense of the word<sup>316</sup>

54a-b. Divine sight and divine hearing are of pure *rūpa* of the sphere of the Dhyānas.<sup>317</sup>

By reason of a preparatory exercise consisting of meditation on

light and sound—the ascetic is in the Dhyānas, and in the eyes and ears of the ascetic—eyes and ears which are in Kāmadhātu—there is found to be attracted (ii. 10a, English trans. p. 166) a pure *rūpa*, a matter derived from the primary elements of the sphere of the Dhyāna in which it exists, subtle and excellent. This *rūpa* constitutes his eyes and ears; it sees and understands; it constitutes what is called divine sight and divine hearing. Arising by reason of physical matter (*rūpa*) of the sphere of the Dhyānas, the organs are therefore divine in the proper sense of the word.

54c-d. They are always active, non-deficient; they bear on the distant, the subtle, etc.

Divine sight and divine hearing of this category, obtained through meditation,<sup>318</sup> are never *tatsabhāga* (i. 42), but are always accompanied by visual or auditory consciousness.

They are never deficient; for they come in pairs, and are in a good state (lit. “not seized by squinting”), as are the organs of beings born in Rūpadhātu.

They grasp what is obscured, subtle, distant, etc. On this point, there is a stanza, “The eye of flesh does not see *rūpa* which is distant, obscured, or subtle; it does not see in all directions. Divine sight, the contrary.”<sup>319</sup>

<sup>320</sup>When one sees the *rūpas* by means of divine sight, are the objects of sight near or far away?

The objects are near or far away according to the person and according to the eye. If they desire to see, but make no effort to do so, Śrāvakas, Pratyekabuddhas and Buddhas see, respectively, a Sāhasra, a Dvisāhasra, or a Trisāhasra universe (iii. 73). If they make an effort,

55a-b. The Arhat, the Rhinoceros and the Master see a Dvisāhasra, a Trisāhasra, infinite universes.<sup>321</sup>

If a Śrāvaka, desiring to see by divine sight, makes a great effort, he will see a Dvisāhasra Madhyama Lokadhātu.<sup>322</sup> A Pratyekabuddha will see a Trisāhasra Mahāsāhasra Lokadhātu. And the Buddha the Blessed One, will see the Asaṃkhya Lokadhātu: he sees according as he desires.

Why is this?

As his knowledge extends to all the *dharmas*, so too his divine sight extends to all the *rūpas*.

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Is only ṛddhi innate, or can other supernatural powers be innate?

55c. The others are also innate.<sup>323</sup>

Four powers,—divine hearing, divine sight, memory of past existences, and knowledge of the mind of another,—are also innate. But the innate powers are not called supernormal knowledges.

55c-d. Divine sight, when it is innate, does not see intermediary beings.<sup>324</sup>

It is not capable of seeing the color and shape of intermediate beings which are seen only by the divine sight of supernormal knowledge. For the rest, innate divine sight is similar to the divine sight of supernormal knowledge.

56a. This knowledge of the mind of another is of three types.

This knowledge signifies the knowledge of the mind of another when it is innate. It can be three types: good, bad, or neutral.

56b. Also when it is produced through reflection (*tarka*) or through formulas (*vidyā*).

When it is produced through reflection or through formulas, the knowledge of the mind of another can be morally good, bad, or neutral. A person, through the study of the *Īkṣanikaśāstra*,<sup>325</sup> is capable of interpreting signs: his knowledge of the mind of another is produced through reflection; so too one can know the mind of another through *mantras*. But, produced by meditation or Dhyāna, this knowledge is only good.<sup>326</sup>

A knowledge of the mind of another, and memory of past existences are innate in the beings in hell. Through these two knowledges,

56c. The beings in hell know from the very beginning.<sup>327</sup>

From their birth and as long as they are not crushed by their sufferings, they know the minds of others and remember their past existences (see iv. 80d).

Beings in the other realms of rebirth where a knowledge of the mind of another and a memory of past existences are innate always know because their sufferings do not overwhelm them.

56d. Among humans, not innate.<sup>328</sup>

Among humans, the five powers, *ṛddhi*, etc., described above, are not innate.

If this is so, how do certain persons, the Bodhisattvas, naturally

possess a remembrance of past existences?

The remembrance of past existences that they possess by nature is not innate among them, that is, acquired by the mere fact of their human birth; it results from certain actions.

How is this?

A knowledge of the memory of past existences is of three types: a result of meditation (the supernormal knowledge described above), innate (as among the gods), or realized through action (as is the case with the Bodhisattvas).

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1. This Chapter is divided into two parts. The first deals with 1. the distinction between patience (*kṣānti*), knowledge (*jñāna*), and seeing (*dṛṣ*) (Kārikā 1); 2. the characteristics of the ten knowledges (2-9); 3. the aspects of the ten knowledges (10-13b); 4. different questions, *praśnanirdeśa* (13c-27); and the second deals with the qualities (*guṇa*) which consist of knowledge (*jñānamaya* (28-55)). (This is according to the gloss of the Japanese editor, Kyokuga Saeki.)

Among Vasubandhu's sources, the *Prakaranapāda*, xiii. 10, fol.14: definition of the ten *jñānas*; *darśana* which is not *jñāna*; object of the *jñānas* (14b11); reciprocal inclusion (15a3); why? (15a8); which *jñāna* is *sāsrava*, *anāsrava*, *sāsravapratyaya*, *saṃskṛta*, etc. Pāli sources, *Samyutta*, ii.57, *Dīgha* iii.226-227. *Paṭisambhidāmagga*, *Vibhanga*, 306-344, especially 328.

2. On *jñānadarśana*, see vii. 27c. *Prajñā* (that is to say the *caitta* described in ii.24 which accompanies all minds) is either pure (*anāsrava*) or impure (*sāsrava*).

i. Pure, *prajñā* is "knowledge" (*jñāna*) or "patience" (*kṣānti*).

a. "Knowledge" signifies a consciousness of certitude, free from doubt (*niscita*; *jñānam niscitarūpeṇa utpadyate*).

Knowledge can be "pure contemplation" (*paratyavekṣaṇamātra*; below note 6); such as *kṣyajñāna* and *anutpādayjñāna* (vi.67a-b).

It can be accompanied by *saṃtīraṇa*, by *parimārgaṇāśaya*; in other words, it can be an *upanidhyānapūrvaka manasikāra* (i.41c-d): in this case it is *darśana* a "view" or seeing. This knowledge includes the desire to instruct itself; it is preceded by reflection; let us say then that it is "consideration" or examination. Nevertheless the Western equivalents are insufficient, for they do not refer to a "discursive" consciousness, but to a consciousness which can last only one moment, which is produced in the states of absorption free from *vīṭarka* and *vicāra*.

b. Patience is not free from doubt, since it has for its end the production of knowledge through the expulsion of doubt. It does not arise as certitude (*niscaya*), but as "consent" (*kṣamaṇarūpeṇa*). Perhaps we can render this nuance by saying that the ascetic, in the state of patience, thinks, "The *dharma*s are doubtless transitory . . .," and, in the state of knowledge, "The *dharma*s are transitory . . ." The pure patiences are thus *śaikṣī samyagdr̥ṣṭi* (i.4a). They are produced in fact in the course of the Path of Seeing and as a consequence they belong to the *Śaikṣa*. And they are *darśana*.

ii. Impure, *prajñā* is associated either with the five sense consciousnesses (eye consciousness, etc.), or with the mental consciousness (*manovijñāna*).

In the first case, it is knowledge (*jñāna*); it is never "seeing."

In the second case, it is knowledge (*saṃvṛtījñāna*, vii.2b); and it is "seeing": a. when it is bound to bad opinions (*satkāyadr̥ṣṭi*, etc., i.41a), b. when it is good (*kuśala*), that is to say associated with right views (*samyagdr̥ṣṭi*). However it happens that it is, improperly, termed "patience": the third *nirvedhabhāgīya* (vi.18c) is in fact a "knowledge", even though it is termed "patience."

3. *Prakaraṇa* (xxiii.10, 10b3) quoted in the *Vyākhyā ad vii.7*.

4. *saṃtīraṇātmakatvāt* = *upanidhyānasvabhāvatvāt* (Kosa i.41; below note 6 and viii.1).

The impure *kṣānti*s (for example vi.18c) are *jñāna*, or more precisely *saṃvṛtījñāna* (vii. note 40). *Vyākhyā*: *amalā eva kṣāntayo na jñānam ity avadhāraṇāt sāsravāḥ kṣāntayo jñānam ity uktam bhavati*.

5. See vii.4b. *Dhī* = *prajñā*, *dṛṣ* = *dr̥ṣṭi* = *darśana*. The *prajñā* or consciousness which consists of the knowledge of the destruction of the defilements (*kṣyajñāna*), of the knowledge of no new arising of the defilements (*anutpādayjñāna*), is not *dr̥ṣṭi*, or *darśana*.

6. As long as the ascetic has not done what he should do (*kṛtakṛtya*), he reflects

(*dhyāyati*), he inquires (*parimārgayati*) into the subject of the Truths. When he has done that which he should have done, he only contemplates (*pratyaवेक्षणमātra*) the Suffering which is known, etc., and he no longer inquires. [Compare *Samantapāsādikā*, 168, *Milinda* 338 (note trans. ii. p. 240), *paccavekkhaṇāna*].

7. Hsüan-tsang here enumerates the ten *jñānas*: *saṃvṛti*, *dharma*, *anvaya*, *duḥkha*, *samudaya*, *nirodha*, *mārga*, *paracitta*, *kṣaya*, and *anutpādayjñāna*; an enumeration which, in the original, is given later on page 12. This is not the order of the *Sāstra*, below note 32.

8. See vii.3a, 7a, 8, 10b, 12a-b, 18c, 20c-21. *Vyākhyā*: *saṃvṛtau bhavaṃ saṃvṛtam*, and below: *svabhāvataḥ saṃvṛtīr jñānaṃ saṃvṛtau vā jñānaṃ saṃvṛtijñānam*.

See below vii.21.

The Mādhyamikas distinguish between *lokasaṃvṛtijñāna* and *yogisaṃvṛtijñāna* (see for example *Bodhicaryāvatāra* ix.2). The latter corresponds to the *laukika jñāna prṣṭhalabdḥ*, *Kośa*, vi. trans. p. 141-2; and vii.12a-b (*prṣṭhaja*), 20c.

9. *Samvṛtisadvastu*, vi.4; *Sūtrālamkāra*, i.12, *Kathāvatthu*, v.6.

10. Pure *jñāna* is the consciousness of the general characteristics of the *dharma*s; it is called *dharmajñāna* when it bears on the *dharma*s of Kāmadhātu, *anvayajñāna* when it bears on the *dharma*s of the two higher Dhātus, vi.26.

11. The Andhakas (*Kathāvatthu*, v.6) say: *saṃmutiñānaṃ pi saccārammanam eva*: Conventional knowledge has for its object *only* the Truths (according to Aung and Rhys-Davids).

12. See vi.44d, 50a, vii.1, 7, 12a-b.

13. Paramārtha: "when they are not by nature *āsaikṣi samyagdrṣṭi*." We have seen (vi.50d) that all the Arhats possess "correct view proper to the *Āsaikṣas*"; this *samyagdrṣṭi* is by its nature *darśana*; it consists of *dharmajñāna* and *anvayajñāna*.

14. *Kṣayajñāna* and *anutpādayjñāna* necessarily have Bhavāgra from whence the Arhat is about to deliver himself for their object. When a person dies from a poisoned wound, the poison, after having spread over all the body, concentrates itself, at the moment of death, in the wound; in this same way the ascetic's *jñāna* concentrates itself on the object to be abandoned, namely the *skandhas* of Bhavāgra; it bears on Suffering (*yena pīḍyate*) and its Arising.

15. *Vyākhyā*: *duḥkhākārair anityādibhiḥ/ samudayākārair vā betvādibhiḥ* . . . Paramārtha: "under six aspects of *duḥkha* and *samudaya* (Gloss of the Japanese editor: *anitya*, *duḥkha*, *hetu samudaya*, *prabhava*, *pratya*: two aspects of suffering, four aspects of its arising. See below vii.12a-b, which justifies the correction of Hsüan-tsang).

16. The consciousness of another's mind, in principle, is conventional knowledge, *saṃvṛtijñāna*. But when another's mind is a pure mind, that is to say a mind forming part of the pure path (*darśanamārga* or *bhāvanāmārga*), the consciousness which I have of this mind should be pure; it embraces *mārgajñāna*, pure knowledge relative to the Path; the following *mārgajñāna* which is relative to Kāmadhātu or to the higher spheres is either a *dharmajñāna* or an *anvayajñāna*. Therefore the knowledge of the mind of another (*paracittavid*) contains four *jñānas*.

17. See vii.11a-d and the Balas, Abhijñās, etc.

18. The text has: *Paracittajñāna* by the lower does not know the higher: it does not know, by the Anāgāmin path, the path of the Arhat . . .

19. He begins the preparatory cultivations from the time that he sees that the ascetic is about to enter into *darśanamārga*; this cultivation is accomplished when he sees the mind of another occupied in the *duḥkha* of Kāmadhātu, in *duḥkha* as part of *dharmajñāna*.

20. On the *paracittajñāna* of the Pratyekabuddhas, see *Vibhāṣā* TD 27, p. 515a18, p. 515c7, and elsewhere. Four opinions according to Saṃghabhadra, namely the two opinions mentioned by Vasubandhu, and also: "The Pratyekabuddha knows moments 1, 2, 8, 14", "The Pratyekabuddha knows moments 1, 2, 11 and 12." The third opinion is the correct one: for if he knows moment 8, it is because his preparatory cultivation with its consciousness as part of *anvayañāna* lasts only 5 moments; thus during moments 9-13 he could prepare himself for the consciousness of moment 14.

21. *Nettipakaraṇa*, 15: *khīṇā me jātīti idam khaṇe ñāṇam nāparam itthattāyā ti pajānāti idam anuppāde ñāṇam*.

22. Paramārtha, "According to the Abhidharma." This is the text of the *Prakarāṇa*, TD 26, p. 694a8 (Hsüan-tsang's translation); see also *Jñānaprasthāna*, TD 26, p. 1021c.

23. Omitted by Paramārtha; given by the *Prakarāṇa* and Hsüan-tsang.

24. The *Vyākhyā* explains *tad upādāya* as *tat puraskṛtya*. See p. 1108.

Hsüan-tsang translates *tad upādāya* as *yu-tz'u* 由此, "by reason of this" (Gloss of the editor: "the *jñāna* which grasps these aspects: Suffering is known . . ."); Paramārtha has *i-tz'u-i* 以此義 "taking this meaning into consideration"; the *Prakarāṇa* has *yu-tz'u-erb-ch'i* 由此而起

25. We have, *Nettipakaraṇa*, 54: *cakkhu, vijjā, buddhi, bhūri, medhā, āloka*. Compare *Kośa*, vi.54d.

26. In fact pure *jñāna* bears on *duḥkha*, on the *dharma*s and their general characteristics, and not on a "self" knowing the *duḥkha*, a self which implies the formula *duḥkham me pariññātam*. All knowledge which envisions a "self" is *saṃvṛtijñāna*, conventional, impure knowledge.

27. The specific (*viśeṣa*) characteristic of the two pure *jñānas*, which are *nirvikalpa*, is known by inference (*anumīyate*) by reason of the two *saṃvṛtijñānas* which are their outflowing (*nīṣyanda*). Below vii.12a-b.

28. According to the gloss of the Japanese editor: *pāścātyaśramaṇasautrāntikādayaḥ*. According to these masters, there are some pure aspects outside of the sixteen recognized by the *Vaibhāṣikas* (see below vii.12c).

29. See ii. trans. p. 263, iv. trans. p. 701.

30. The *Śāstra* is quoted in the *Vyākhyā*: *yat tāvaj jñānam darśanam api tat/ syāt tu darśanam na jñānam aṣṭāv ābhisamayāntikāḥ kṣāntayaḥ*. According to the note of the Japanese, the *Jñānaprasthāna*, TD 26, p. 957c2, and the *Prakarāṇapada*, TD 26, p. 694c5.

31. Paramārtha adds: "Furthermore, in order to indicate that the *darśanas* here differ from the *dṛṣṭis* discussed above."

32. Same order in the *Prakarāṇapada*, TD 26, p. 693c22. The order differs in Hsüan-tsang (above note 7) and *Mahāvūyutpatti*, 57.

33. This question is absent in Paramārtha and in the original. The original has *tatra . . .* = "Among these *jñānas*, *saṃvṛtijñāna* constitutes . . ."

34. *Vyākhyā*: *saṃvṛtijñānam saṃvṛtijñānam eva svabhāvāsaṃgrahataḥ/ ekasya ca paracittajñānasya bhāga ekadeśaḥ/*.

35. The part which presents the aspect "*Duḥkha* is known by me . . ."

36. The *Vyākhyā* does not give the *Śāstra*'s definition. *Prakarāṇapada*, TD 26, p. 694a3: *lokasaṃvṛtijñānam katamat/ sāsravā prajñā*.



37. Definition of the *Śāstra*, quoted in the *Vyākhyā* and which corresponds to *Prakarāṇa*, TD 26, p. 693c23:

*dharmajñānaṁ katamat/ kāmapratisaṁyukteṣu saṁskāreṣu yad anāsravaṁ jñānam/ kāmapratisaṁyuktānāṁ saṁskārānāṁ betau yad anāsravaṁ jñānam/ kāmapratisaṁyuktānāṁ saṁskārānāṁ nirodhe yad anāsravaṁ jñānam/ kāmapratisaṁyuktānāṁ saṁskārānāṁ prabhāṇāya mārga yad anāsravaṁ jñānam idam ucyate dharmajñānam/ api khalu dharmajñāne dharmajñānabhūmau ca yad anāsravaṁ jñānam idam ucyate dharmajñānam. anvayajñānam katamat/ rūpārūpyapratisaṁyukteṣu saṁskāreṣu yad anāsravaṁ jñānam . . .* See vi.26, *anvetīty anvayajñāna*.

38. Correct *sāsravahetuka* vi, note 11, line 11.

39. *Vyākhyā*: *nirodhamārgau hy adhātupatitau/ tāu adharāv api na hīnau vyavasthāpyete/ duḥkhasamudayasatye tv adharabhūmike nibhīne/ na tadālabhanam dharmajñānam rūpārūpyadhātupratīpakṣa ity avagantavyam. Nirodha and mārga do not form part of the Dhātus. To consider nirodha with respect to Kāmadhātu (nirodhe dharmajñāna) is to also combat the kleśas of the higher spheres.*

In the state of *darśanamārga*, it is the *anvayadharmakṣāntis* which expell the *anūśayas* of the higher spheres.

40. *Vyākhyā*: *śoḍaśākāram uśmagatādiṣu/ svasāmānyalakṣaṇādigrahaṇād iti svalakṣaṇagrahaṇāt sāmānyalakṣaṇagrahaṇāc ca/ ādiśabdena bhūmikeṣu tiṣṭha gacchety evamākāram ca/ na hy ete svalakṣaṇākārāḥ kiṁ tarhy evamākārā eva.*

In the *uśmagatas*, etc. (vi.17c), *saṁvṛtījñāna* grasps the sixteen aspects of the Truths. *Saṁvṛtījñāna* grasps their general characteristics (for example, impermanence), their unique characteristics (for example, the specific characteristic of *rūpa*); and it also grasps the aspect which is expressed by the words "Eat! Go! . . .": such a *saṁvṛtījñāna* does not have for its aspect a unique characteristic, and one can only say that it is *evamākāra*, "of such an aspect."

41. According to Paramārtha: *svasvasatyākārataś catuṣṭayam*.

42. Paramārtha: *paramanojñānam api tathāmalam. Cetopariyāye jñāna* or *paricce jñāna* (= *paracittajñāna*) is not *sammatijñāna* (*Vibhaṅga*, 330). The Andhakas think that it bears solely on the mind, *Kathāvatthu*, v.7, and wrongly maintain that a Śrāvaka can, through this *jñāna*, know when others attain a result, v.10.

43. See note 42.

44. See note 42.

45. The *Vyākhyā* quotes the Sūtra: *sarāgaṁ cittam sarāgaṁ cittam iti yathābhūtam prajānāti/ vigatarāgaṁ cittam vigatarāgaṁ cittam iti yathābhūtam prajānāti/ yathā sarāgaṁ vigatarāgaṁ evam sadveṣaṁ vigatadveṣaṁ samohaṁ vigatamohaṁ saṁkṣiptam vikṣiptam līnaṁ pragṛhitam uddhatam anuddhatam avyupāśāntam, vyupāśāntam asamāhitam samāhitam abhāvitaṁ bhāvitaṁ avimuktaṁ vimuktaṁ iti yathābhūtam prajānāti.*

According to the glosses of the *Bhāṣya*, one should add the two pairs *amabhadgata* and *mabhadgata*, *sa-uttara* and *amuttara* before *avyupāśānta*: in all twelve pairs. However these two pairs are missing in the Sūtra quoted in the *Vijñānakāya* (TD 26, p. 534a1-a5) and in the edition of the Sūtra quoted by the *Vyākhyā* below vii.42a-d.

According to Saeki, the list of the *Ekottara* (TD 2, p. 776b20) includes some eleven parts, that of the *Madhyama* (TD 1, p. 553b19) has ten, by omitting *uddhata-anuddhata* and *avyupāśānta-vyupāśānta*, and by adding *sadoṣa-adoṣa*; that of the *Samyukta* (TD 2, p. 150a6) has ten pairs.

Pāli sources, for example *Samyutta*, v. 265, *Ānguttara*, iv.32, *Vibhaṅga*, 329, *Visuddhimagga*, 410 (whose explanation diverge from those of the *Abhidharma*). We have

only eight pairs: *sarāga-vitarāga*, *sadosa-vīṭadosa*, *samoha-vīṭamoha*, *saṅkḥitta-vikkḥitta*, *mahaggata-amahaggata*, *sauttara-anuttara*, *asamāhita-samāhita*, and *avimutta-vimutta*.

46. Vyākhyā: *yathā yadā vastram iti paricchinakāraṃ vijñānam utpadyate na tadā malaṃ grhṇāti* and vice versa. See i, trans. p. 67.

47. That is to say "associated with *rāga*, *rāgasamprayukta*. All this paragraph is according to *Vibhāṣā*, TD 27, p. 950a24, quoted by Saeki, xxvi.8a.

48. These two explanations will be commented upon and refuted above page 1105 and following.

49. That is to say any mind defiled (*kliṣṭa*) but not associated with *rāga*; any neutral mind; any good (*kuśala*) worldly (*laukika*) mind. The supramundane mind, a mind forming part of the Path, is not *rāsrava*. See page 1105 line 14.

50. There are three opinions.

First masters: A mind associated with *rāga* is *sarāga*; a mind opposed to *rāga* is *vigatarāga*.

Second masters: A mind united with *rāga*, which can be understood in two ways, as above note 49, is *sarāga*.

Third masters: A mind associated with *rāga* is *sarāga*; a mind not associated with *rāga* is *vigatarāga*.

According to the *Vibhāṣā* (TD 27, p. 950a24 and following), the second opinion is the best one. Vasubandhu adopts the third opinion.

51. Paramārtha: "because its object is small."

52. In the *Vibhāṣā* (TD 27, p. 950c8), *lueh* 略 ("abbreviated, reduced"), in Hsüan-tsang, *chü* 聚 ("concentrated").

53. Ibid. an *atilina* mind is *kosajjasahagata*, *kosajjasamprayutta*.

54. Ibid. The *atipaggahita* mind is *uddhaccasahagata uddhaccasamprayutta*. In *Divya*, *pragrḥita* = "elevated, high" (as a mountain palace, etc.).

55. The "great person" *par excellence* is the Buddha. This paragraph is according to the *Vibhāṣā*, TD 27, p. 950c20: The defiled mind is small because it is cultivated (*reviṭa*) by a *svalpajana* (?hsiao-sheng, 小生 "a small person"); the good mind is great because it is cultivated by a *mahājana*.

Objection: Do we not see that an immeasurable number of beings cultivates the bad, that a small number of beings cultivates the good? How can one say that the defiled mind is cultivated by *svalpajana*?

We do not say "small" because the category is small in number; that which has few pure *dharma*s is called small.

56. *Bhāvanā* = *pratilambha*, acquisition. According to the principle: *kuśalasamskṛtā dharmā bhāvayitavyāḥ* (iv.127c). "These are the good *samskṛta* *dharma*s which one acquires in a future state." See below vii.25d.

57. Paramārtha: "past and future".

58. On *sa-uttara*, iv.127d, v. trans. p. 818.

59. It is not released when it is good-impure (*kuśalasāsrava*) and when it arises in a series where the *klesas* have not been cut off. On deliverance of the mind, see vi.76c.

60. For *samkṣipta*, Paramārtha has *lueh*, 略 Hsüan-tsang, *chü* 聚; *samnirodha*, Paramārtha: *she* 攝 (= *samgraha*), *ch'ih* 持 (*dhar*), Hsüan-tsang: *chih* 止 (*saṁatha*).

Saeki observes that, according to the Sautrāntikas, *vipaśyana* and *saṁatha* are excluded.

*Saṁyutta*, v.279: *katamaṃ ca bhikkhave ajjhataṃ saṁkhattaṃ cittaṃ/ yaṃ bhikkhave cittaṃ thīnamiddhasahagataṃ thīnamiddhasaṃpayuttaṃ/ idaṃ vuccati.../ katamaṃ ca... bahiddhā vikkhattaṃ cittaṃ/ yaṃ... cittaṃ bahiddhā pañca guṇe ārabha anuvikkhattaṃ anuvisaṭaṃ/ idaṃ vuccati...*

61. Hsüan-tsang translates: "In fact we do not admit (*pu-hsü* 不許 = *na pratijñāyate*) that a defiled mind, associated with langour, is distracted." The same for Paramārtha: "We do not establish (*pu-li* 不立)..."

*Vyākhyā*: *kim iti ktvā vikiṣṭatvam atra na pratijñāyate/ kim middhasahagate citte vikiṣṭatvam nāstīti na pratijñāyate āhosvid vidyamānam api vikiṣṭatvam saṁkṣiptatvenāvasthāpitatvān na pratijñāyate/ ubbhayathāpi vyācakṣate/ kecid vyācakṣate/ middhasahagatāt kliṣṭād yad anyat kliṣṭam tad vikiṣṭam pratijñāyate middhasahagataṃ tu kliṣṭam akliṣṭam vāviśeṣeṇa saṁkṣiptam eveti/ apare punar vyācakṣate/ yaṃ middhasaṃyuktaṃ tat saṁkṣiptam eva na vikiṣṭam/ yat tu viśayeṣu viṣṭam tad eva vikiṣṭam ity ato 'tra middhasaṃprayukte citte [vikiṣṭatvam] na pratijñāyate.*

62. *Vyākhyā*: *abuddhokyam abhidharmaśāstram ity abhiprāyaḥ*. Compare *Kośa*, i.3, iii.32.

63. The defilement, *kliṣṭatva*, of the first minds is not differentiated: it consists of association, *saṃprayoga*, with the *kleśas* which are found in all defiled minds; the goodness, *kuśalatva*, of the second minds consists of association with the *dharma*s which are found in all good minds, ii.25, 26.

*Vyākhyā*: *sarvāni tāni kliṣṭāny uktāntīti/ kliṣṭatvalakṣaṇam eṣāṃ vikiṣṭādīnāṃ avimuktāntānam/ Kliṣṭatvam punaḥ kleśamahābhūmikaḥ saṃprayogaḥ/ saṃkṣiptapragrhitādināṃ cābhinnalakṣaṇavacanān nārthaviśeṣa uktō bhavati.../ kuśalatvam eṣāṃ abhinnaṃ/ kim punaḥ kuśalatvam/ kuśalamahābhūmikaḥ saṃprayogaḥ.*

64. *Vyākhyā*: *sūtre hi styānamiddhasahagataṃ saṁkṣiptam uktam/ styānayogena yat kliṣṭam middhasaṃyuktaṃ tat saṁkṣiptam na kuśalam styānasya kleśamahābhūmikatvāt.*

65. The Vaibhāṣikas are of the opinion that: *yad eva līnaṃ tad evoddhataṃ*, "a *līna* mind is an *uddhata* mind." We draw this conclusion from their definition: *līnaṃ cittaṃ kliṣṭam kausīdyasaṃprayogāt; uddataṃ cittaṃ kliṣṭam auddhatyasaṃprayogāt*. (Below note 70, for another version).

66. If *līna* and *uddhata* were identical, the Sūtra would say: "When the mind is *līna* it is not the time to cultivate *praśrabdhī*... *prīti*. When the mind is *uddhata* it is not the time to cultivate *praśrabdhī*... *prīti*." Or rather: "When the mind is *līna* or *uddhata* it is not the time to cultivate *praśrabdhī*... *prīti*."

67. The cultivation of the seven parts of Bodhi is simultaneous.

68. With regard to *smṛti*, the Blessed one said: *smṛtiṃ khalv ahaṃ sarvātragaṭāṃ vadāmi*.

69. Hsüan-tsang: "But we are not in contradiction with the Sūtra! Even though a defiled mind is both *līna* and *uddhata*, the mind in which *kausīdyā* predominates is termed *līna* in the Sūtra; the one in which *auddhatya* predominates is called *uddhata* in the Sūtra. But, considering their constant association, I say that they are one in nature."

70. A defiled mind is *līna* through association with *kausīdyā*; a defiled mind is *uddhata* through association with *auddhatya*. Compare ii, trans. p. 194.

71. *Vyākhyā*: *Ācārya āha nābhiprāyikam yāvat sūtre tu nāyam abhiprāya itī*.

72. A Śaikṣa has, at the present time, a pure mind, for example the thought of impermanence; but there remains in him the possession of the *rāga* of Kāmadhātu if he is not an Anāgāmin...

73. *Anuśayānarāgālabhanatvāt*, see v.17.

74. The thoughts of the Arhat which form part of the Arhat are never impure, for these thoughts are pure by definition and are not the object of the "active" defilements of another, v.18a-b; but these conventional thoughts (*saṃvṛtījñāna*), like his body, are impure (*sāsrava*) in the sense that the defilements of another can become active with respect to them (i.4b). See viii.25c.

We observe that Paramārtha translates very clearly: "If a thought is *sarāga* by the fact that it grasps *rāga* as its object; . . . *yuan yü wei ching chieh* 緣欲爲境界

75. The second masters, above note 50.

76. "Even though they have a real thing for their object."

77. See above page 1094 line 19.

78. See above page 1094 line 11.

79. "Arising is extinguished by me": that is to say: arising is abandoned; this is to see the Truth of Arising under four aspects (vii.13a); "The religious life is cultivated": four aspects of the Path; "That which should be done is done": four aspects of Extinction; "I do not see any further existence": two aspects of the Truth of Suffering, impermanence and suffering. Among the commentaries on this fourfold formula, *Samuntapāsādikā*, i.168. Some remarks, of which I should like to be more confident, in *Nirvana* (1925), p. 60.

80. The *Vyākhyā* explains: *asty etat sthānam ity asty etat lakṣaṇam ity arthab/ asty etad vastu ity ayaṁ hetuḥ ity arthab/ yogavibhīto vijānīyād ity aviparīto vijānīyād ity arthab/*

According to Saeki, this is an excerpt from the *Vijñānakāya*, TD 26, p. 559c29 and p. 565a16. This formula and the formula of wrong views (page 1109 line 20) are repeated to repletion (with some variants) in the *Vijñānakāya*, on the subject of the multiple types of thoughts: "Does a thought belonging to Kāmadhātu know 1. the *dharma*s of Kāmadhātu, 2. the *dharma*s of Rūpadhātu, 3. the *dharma*s of Ārūpyadhātu, 4. the *dharma*s not included in the Dhātus, 5. the *dharma*s of Kāmadhātu and Rūpadhātu . . . ?" This thought is good, bad, neutral, to be abandoned through the Seeing of Suffering, etc. The way in which a thought sees these different *dharma*s depends on its nature and on the nature of these *dharma*s.

I do not find the passage where the *Vijñānakāya* explains the manner in which a thought "not included in the Dhātus" sees the *dharma*s of Kāmadhātu. But it explains itself with respect to the thoughts of the Śaikṣa and the Āśaikṣa (which are two types of thoughts not included in the Dhātus), p. 565a16. Its text is identical to that quoted by Vasubandhu, with the difference that the formula *asty etat sthānam asty etad vastu* is preceded by the words *asty eṣo hetuḥ* (?), *asty eṣa utpāda* (?).

The mind of the Śaikṣa or Āśaikṣa only knows the *dharma*s of Kāmadhātu under the aspects of the first two truths (*anītya* . . . *pratyayatas*); a good mind of Kāmadhātu however knows the *dharma*s under the aspects that Vasubandhu has specified (vi.49d) as characteristics of the "worldly path": *audārikatas*, *duḥkḥilatas*, *āvaraṇatas*, and even *śalyatas*, etc.

81. The Seeing of the Truths at least partially dispels *rāga*, *dveṣa*, *māna*, and *moha*. A thought to be abandoned through the Seeing of the Truths would contain *rāga*, etc.; the text therefore says *rajyeta*, etc. This thought is not free of *satkāyaḍṛṣṭi*, so it considers the *dharma*s as *ātman* and *ātmiya*; it is not free of *antaghrāhadṛṣṭi*, and so considers the *dharma*s as destined to perish (*uccheda*) or as eternal (*śāśvata*) . . .

We have this formula throughout the *Vijñānakāya*, TD 26, p. 559c29, p. 563b20, p. 578b8, and elsewhere.

82. *Vibhāṣā*, TD 27, p. 408c9, and the *Samyuktābhīdharmahrdaya*, TD 28, p. 918a19.

The *ākāras* of the Vimokṣamukhas, viii.24.

The specification of the *ākāras* of the Abhidharma are not found in the Abhidhamma (see for example *Paṭisambhidāmagga*, i.107, 118, 241, *Visuddhi*, 494); it is not canonical: *Āṅguttara*, i.38 (list of *saññās*), and iv.422 where the ascetic considers the *Amatādhātu* as *śanta*, *pañña*, etc., and the things of his stage as *dukkha*, *roga*, *gaṇḍa*, etc. We have seen (vi.49), the *ākāras* of the worldly path: we have to observe that Vasubandhu gets his inspiration from the *Vijñānakāya*, fol. 59b, line 18 and elsewhere.

83. It results from this that *abhisamaya* is *anupūrva*, vi.27.

84. On the meaning of *mārga* and *pratipad*, vi.65b-d, 66a, vii.28c.

85. See *Samyutta*, iii.66, for variants.

86. Compare v.27, trans. p. 820.

87. Compare vi.60a.

88. Hsüan-tsang: "going, entering into the city of Nirvāṇa." Compare vi.68: *pratipān nirvāṇapratipādanā*.

89. These explanations are according to the glosses and the context. *Vyākhyā: chandamūlakā iti chandahetukā ity arthaḥ. tṛṣṇāparyāya iha chandaḥ. chandasamudayaḥ iti chandasamutkṣamā (?) ity arthaḥ* (read *samutthāna?*). *chanda-jātiyā iti chanda-pratyayā ity arthaḥ*.

Paramārtha translates *jātiyā* by *sheng* 生, "to arise," and *prabhava* by *yu* 有, *bhava*, "existence"; Hsüan-tsang has respectively *lei* 類, "species," and *sheng* 生, "to arise."

*Samyutta*, iii.100, *Majjhima*, iii.16: *pañcupādānakkhandhā kiṃmūlaka... chandamūlakā*. In another context, *Āṅguttara* iv.400: *taṇhāmūlaka*.

We have *Paṭisambhidāmagga*, ii.111: *jarāmaraṇaṃ kiṃnidānaṃ kiṃsanudayaṃ kiṃjātikaṃ kiṃpabbhavaṃ*.

90. *Vyākhyā: prabhavaśabdaḥ kevalam paścāt pathitavyaḥ/ ābhidharmikair iti vākhyādhyāhāraḥ/ sūtrānuseraṇaṃ hi kartavyam ity abhi-prāyaḥ*. The *Ābhidharmikas*, in the list of the aspects of this Truth, should place the *prabhava* aspect after the *pratyaya* aspect, for one should be guided by the Sūtra.

91. The five *upādānaśkandhas* are thus said to have this type of *chanda* for their *mūla* or *hetu*.

92. On *vīrya*, *vipāka* and *prabhāva* of a fruit from the earth as food, or of a drug, *Sarvadarśanasamgraha*, 16.22, *Kandalī*, 130, *Suśruta*, i.1 and 40.

93. Paramārtha: "According to the Sūtra of the *tṛṣṇāvicāritas*, there are two groups of five, and two groups of four." All that follows to page 1114 line 30 is omitted.

Our text is closely related to *Āṅguttara*, ii.212, on the eighteen *taṇhāvicāritas*, where the readings are uncertain, and to *Vibhaṅga* 392-400, where they are more certain but remain difficult to interpret (Mrs. Rhys Davids had the kindness to communicate to me the text of the *Sammohavimodanā* and the *Manorathapūraṇi*; see the following note).

We can compare the list: "Did I exist in the past? . . .," *Kośa*, iii.22c, *Majjhima*, i.8, i.111, *Visuddhimagga*, 599, *Madhyamakavṛtti*, 593.

According to chapter ii trans. p. 282, *chanda* is relative to the future.

94. The *Vibhaṅga*, in place of *sad asmīti* and *asad asmīti* has 1. *as'asmīti* (=nicco'smi . . .) and 2. *sāt'asmīti* or *sat'asmi* (=ucchissāmi na bhaviṣṣāmi). The commentary says: *atthūti asa/niccass'etam adbhavanam*. Mrs Rhys Davids remarks: *as = asa = asan = asanto* = "bad," *Jataka*, iv.435: *sataṃ vā asan* (accusative singular). We would have: "I am bad, I am good." The *Manorathapūraṇi* explains *sata* by *sīdati* in the sense of *anicca*: this is the explanation that we have encountered for *sakāya*, *Kośa*, v. p. 873. The commentary of the *Vibhaṅga* understands *syām* as "Shall I be?"

95. On *pravṛtti*, ii.6. Perhaps *vaṭṭūpaccheda* = *varṇmopaccheda* that I think we must read in *Mahāvastu*, ii.285, iii.200, quoted in *Kośa*, ii. trans. note 395.

96. According to Saeki, *Samyukta* 17.16; see also *TD* 2, p. 66b14.

97. In his shorter treatise, Saṃghabhadra takes into consideration this explanation in order to prove that the "aspects" are indeed sixteen in number.

98. *Vyākhyā*: *nityaṃ sukhaṃ ātmīyaṃ ātmeti ca dṛṣṭiś caritaṃ eṣāṃ ta ime nityasukhātm-iyātmadṛṣṭīcaritāḥ*.

99. See v.26, trans. note 98; iii.50a.

100. The meaning of *pratyaya* is discussed in *Kośa*, iii.28.

101. 13b-d. On *ākāra*, see ii.34b-d, trans. note 173.

102. This explanation is satisfactory, for we indeed conceive that *prajñā*—the discerning consciousness (ii.24)—grasps the "aspects", that is to say grasps things (*ālambana*) in a certain manner (as impermanent, etc.). Furthermore this explanation takes into account the word *ākāra*:

One takes the sound *ā* from *ālambana*, the final *kāra* from *prakāra*, and one has *ākāra* by cancelling *-lambanagrahaṇapra-*.

103. On the *dharma*s "which have an object", *Kośa*, ii.34b. *Kathāvatthu*, ix.3-7; *Vibhaṅga*, 428, *Dhammasaṅgaṇi*, 1185, 1508. The *Madhyamakavṛtti*, 84, quotes the *Āgama*: *sālambanā dharmāḥ katame/ sarve cittacaitāḥ*.

104. *Vibhāṣā*, *TD* 27, p. 408c25: There are three systems: 1. *Prajñā* is *ākāra*, *grāhaka*, and *grhya*; the *cittacaitas* associated with *prajñā* are *grāhaka* and *grhya*; what is concomitant (*sahabhū*) with *prajñā* and what is *cittaviprayukta* is *grhya*. 2. All *cittacaita* is *ākāra*, *grāhaka*, *grhya*; all other *dharma*s are *grhya*. 3. All *dharma*s are *ākāra*; but the *samprayukta dharma*s are *ākāra*, *grāhaka* and *grhya* and the *viprayuktadharmas* are *ākāra* and *grhya* (The meaning of *ākāra* is quite different here).

105. In the *Śāstra*, *dharmajñāna* begins the list.

106. We can understand why a being in *Ārūpyadhātu* cannot manifest the *dharmajñāna* which has for its object suffering, its arising, etc. of the sphere of *Kāmadhātu*, but why are beings in *Rūpadhātu* incapable of it? We have seen that a person manifests this *jñāna* by entering into the *dhyānas* (which are *Rūpadhātu*) (vii.14c). Some say: "*Dharmajñāna* has for its end the detesting (*vidūṣaṇā*) of *Kāmadhātu*; now a person in *Rūpadhātu* has abandoned *Kāmadhātu* by the very fact that he has transmigrated to a sphere of detachment [from *Kāmadhātu*]; therefore the *dharmajñāna* does not have to arise. The case of a person detached from *Kāmadhātu* [and who has entered into a *dhyāna* due to this detachment] is different: he can manifest the *dharmajñāna* because his existence in *Kāmadhātu* is not exhausted."

Saṃghabhadra explains differently why *dharmajñāna* can only be manifested by beings in *Kāmadhātu*: *tatsamāpattivyyutthānacittānāṃ kāmādhātāv eva sadbhāvāt/ anuparivartakāśrayābhāvād vā/ dharmajñānānuparivartakasya hi śīlasya kāmāvacarāṇy eva bhūtāny āśrayā dauḥśīlasamutthāpakakleśapṛāptivibandhakatvāt pṛātipakṣikatvāt/ tāni ca tatra na santīti dharmajñānāṃ kāmādhāvāśrayam eva*: "Because it is only in *Kāmadhātu* that the thoughts of departing from the absorption [in which one realizes *dharmajñāna*] is possible; or rather because, in the two higher spheres, the elements (*bhūtas*) which can serve as the support (*āśraya*) of the type of morality (*śīla*) which necessarily accompanies *dharmajñāna* are missing. This morality is opposed to the *kleśas* which produce immorality (*dauḥśīlyā*); immorality exists only in *Kāmadhātu*; the elements susceptible of supporting morality which are opposed to this therefore exist only in *Kāmadhātu*.

107. See iv.13c.

108. This preamble is missing in Paramārtha.

109. According to Hsüan-tsang, Paramārtha literally translates his original which is rendered in Tibetan by: *chos bcu dag ni sbyar bar bya*: "Ten *dharmas* should be placed in relationship", and he adds the Bhāṣya: "In order to determine the object of the *jñānas*, one should establish the relationship of the ten *jñānas* with the ten types of *dharma*. What are these ten types of *dharmas*? . . ."

110. On one hand *pratisamkhyānirodha* or *Nirvāṇa*, on the other hand *apratīsamkhyānirodha* and *ākāśa*.

111. *Mārga dharmajñāna* bears on the Path; the morality which is *anāsravasamvara*, that is to say *rūpa* (iv.13c) forms part of the Path.

112. Hsüan-tsang: Is there a moment of knowledge which grasps all the *dharmas* for its object?

This appears to be the beginning of the discussion of *Vibhāṣā*, TD 27, p. 43a2, quoted by Saeki: "Is there a *jñāna* which knows all the *dharmas*? No. What is it that the *jñāna*, which knows that all the *dharmas* are not an *ātman*, does not know? It does not know itself; it does not know the *dharmas* which are associated with it or which coexist with it. By saying that it does not know itself, one refutes the thesis of the Mahāsāṃghikas; by saying that it does not know the *dharmas* associated with it, one refutes the thesis of the Dharmaguptas (*fa-mi-pu* 法密部); by saying that it does not know the *dharmas* which coexist with it, one refutes the thesis of the Mahīśāsakas; by saying that *jñāna* knows, one refutes the thesis of the Vātsīputriyas [which attribute consciousness to the *Pudgala*?]."

Compare *Kathāvatthu*, v.9, where the Andhakas quote a text: *sabbasamkhepesu aniccato dīṭṭhesu tam pi ñānaṃ aniccato dīṭṭhaṃ hoti*. The *Vyākhyā* quotes the Sūtra: *ibasmākaṃ bho gautama upasthānaśālayāṃ samniṣaṇṇānāṃ samniṣatitānāṃ evaṃrūpo'natarākathāsamudāhara'bhūt/ śramaṇo gautamaḥ kilaivam āha/ nāsti sa kaścic chramaṇo vā brāhmaṇo vā yaḥ sakṛt sarvaṃ jāniyāt sarvaṃ paśyed iti/ tathyaṃ idaṃ bho gautama/*.

113. *Bodhicaryāvatāra*, ix.18: *na cchinatti yathātmānam asidhārā tathā manaḥ*. This is a common position in Indian philosophy; nevertheless the Sautrāntika-Vijñānavādins say that the lamp illumines itself. By a curious contradiction, the *Vaiśāṅghikas* who deny that the mind knows itself, admit that sensation (*vedanā*) is felt not by another sensation, but by the very fact that it is present (iv.49).

114. Paramārtha omits the last phrase ("If it were otherwise . . .") and translates: "When it is a *jñāna* in *Kāmadhātu* it is a *prajñā* of hearing and reflection; when it is a *jñāna* in *Rūpadhātu*, it is only a *prajñā* of hearing, not of meditation, for this last type of *prajñā* always has a determined sphere for its object."

Vasubandhu follows the *Samyuktābhidharma-hṛdaya*, TD 28, p. 953c21: "This *jñāna* is a *prajñā* of hearing, and reflection, but not of meditation; for the *prajñā* of absorption has a delimited object."

*Vyākhyā*: *tasya vyavacchinnabhūmyālabhanatvād iti/ yasmād bhāvanāmayaṃ rūpāvacaraṃ samvṛtījñānaṃ vyavacchinnam eva bhūmim ālabate/ kāmadhātum vā prathamam vā dhyānam yāvad bhavāgraṃ vā/ kiṃ kāraṇam/ ānantaryavimuktimārgānāṃ adharottarabhūmyālabhavatvād yathoktam yathākramaṃ śāntādyudārādyākārā uttarādharagocara iti vacanāt (vi.49) yadi ca tat sarvabhūmyālabhanam syāt sarvato yugapad vairāgyaṃ syāt/ prayogaviśeṣamārgayor yathāsambhavam kā cid eva bhūmir ālabhanam/ katham/ nirvedhabhāgiyaprayogamārgasamgrhītasya bi yasya kāmadhātur ālabhanam na tasyetarau dhātū/ yasyetarau dhātū na tasya kāmadhātur ālabhanam/ aśubhāpramāṇābhībhvāyatānādiviśeṣamārgasamgrhītasya kāmadhātur evālabhanam netarau dhātū.*

According to Yaśomitra, a faithful interpreter of Vasubandhu who is, on this point,

approved of by Saṃghabhadra, it is solely through a *prajñā* of meditation (*bhāvanā*) that one obtains detachment (*vairāgya*). The *prajñā* of hearing and reflection, which is in Kāmadhātu, cannot bear on the *dharma*s of all the spheres: it is not enough to become disgusted with it: the *prajñā* of *bhāvanā* is more powerful (iv.123c). Based on this, Vasubandhu concludes that the universal consciousness of "the absence of self" of the *dharma*s belongs to Kāmadhātu, for if it belonged to Rūpadhātu (that is to say arising from *bhāvanā*, and being obtained in the *dhyāna*s) it would produce detachment from all of the spheres. Saṃghabhadra contests this reasoning, for the *prajñā* cultivated in *dhyāna*s (impure *prajñā*, since it is a *saṃvṛtījñāna*) never includes disgust for the higher sphere to which it wishes to deliver itself (vi.49).

Let us note here that Hsüan-tsang translates the two *pādas* 18c-d (TD 29, p. 138a22) by three *pādas*: "Saṃvṛtījñāna, by excluding its group, together has all the *dharma*s for its object, by comprehending them under the aspect of non-self", and he adds a fourth *pāda*: "it arises from hearing and reflection."

Saṃghabhadra, in his second treatise, the *Prakaranasāsana* (TD 29, p. 952a10) corrects the *kārikās* where Vasubandhu departs from correct Vaibhāṣika doctrine; the version of Hsüan-tsang (p. 138a23) gives, in the fourth *pāda*: "It arises from hearing, reflection and meditation." Saṃghabhadra thinks in fact (in his first treatise, the *Nyāyānusāra*, TD 29, p. 742c18 and following): "This *jñāna* is only in Kāmadhātu and Rūpadhātu, but not in Ārūpyadhātu . . . It is a *prajñā* of hearing, reflection, and meditation (*bhāvanā*): for these three *prajñās* are capable of having all the *dharma*s for their object with the exclusion of themselves and their group. It is true that the Sautrāntika (=Vasubandhu) says: 'This *jñāna* is not a *prajñā* of meditation . . .' But this is false. In our system the *prajñā* of meditation of the sphere of the *dhyāna* takes for its object the sphere in which it is found, namely, the higher sphere; it produces disgust with respect to the lower sphere, and joy with respect to the higher sphere: thus it does not produce detachment with respect to the higher sphere. The argument of the Sautrāntika is therefore null and void."

It appears that, according to what Yaśomitra says here, we should consider as *viśeṣamārga* (vi.65) the disgust (*vidūṣaṇa*) which includes [the qualities, *guṇa*, namely] *aśubbhā* (vi.9), the *apramāṇas* (viii.29) and the *abhibhāvātanā*s (viii.35a). All these qualities are *viśeṣa* (v.10a, trans. p. 785); they are acquired through *prayoga*, *ānantarya*, *vimuktimārga*, and are prolonged in *viśeṣamārga* (vi.65); see vii.25d.

115. Hsüan-tsang: In the states or moments which do not include any augmentation of the number of *jñānas* (3rd, 5th, 7th, 8th, 9th, 11th, 12th, 13th, 15th moments of *abhisamaya*), he possesses the *jñānas* of the last previous moment which does include augmentation. Therefore, at the beginning of *bhāvanāmārga* (16th moment), he necessarily possesses seven *jñānas*.

116. This last phrase has been added by Hsüan-tsang.

117. Vyākhyā: *iha dvividhā bhāvanādhikṛtā pratilambhabhāvanā niṣevanābhāvanā ca/ pratilambhabhāvanā prāptitah/ niṣevanābhāvanā sammukhibhāvatāh*.

"Cultivation" is understood here as the acquisition or taking possession, and manifestation. (See vii.27).

118. *Yathotpannāni bhāvante = yāni yāny utpannāni tāni tāni bhāvante (bhāvante = bhāvanām gacchanti). Bhāvante* signifies "are acquired", since it refers to future *kṣāntis* and *jñānas*.

119. The *kṣānti* or *jñāna* produced are *sabhāgabetu* (ii.52a)—a cause whose result resembles it—of a future *kṣānti* and *jñāna* of the same species.

120. The question does not refer to the *kṣāntis* because there are no *kṣāntis* in



*bhāvanāmārga*.

*Vyākhyā: bhāvanāmārga tu punaḥ sarveṣāṃ jñānānāṃ sabhāgavisabhāgānāṃ tadākārānāṃ ca saṃmukhībhāvāt sarveṣāṃ hetavo labdhā bhavanti tadviśiṣṭā jñānākārā bhāvanāṃ gacchanty anāgatāḥ/.*

121. Above page 1135.

122. *Darśanamārga* is all pure (*anāsrava*) and the ascetic who cultivates it does not produce a *saṃvṛtījñāna* in the course of his practice. But he takes possession in moments 4, 8, and 12 of the *saṃvṛtījñāna* relative to each Truth: leaving the Path of Seeing, he possesses, and will be able to manifest, a conventional, worldly (*sāmṛta*, *laukika*) consciousness of the Truths, which is called *prṣṭhalabha*, see vii.2b.

123. We have seen above (vii.7, note 25) that the "patiences" produced in the course of *abhisamaya* are called *abhisamayāntika*.

*Mahāvūyutpatti*, 54.20 (*Vibhāṣā*, TD 27, p. 188c9): *abhisamayāntikāṃ kuśalamūlam* (translated into Tibetan as *mñon par rtogs pa'i lam mtha' las 'byuñ ba* and *mñon par rtogs pa'i 'jug pa las 'byuñ ba* = *abhisamayamārga-anta-udbhava*, *abhisamaya-avatāra-udbhava*), followed by 54.21: *kṣayajñānalābhikaṃ kuśalamūlam* = roots of good (or qualities) obtained at the moment of *kṣayajñāna* (vii.26c); and 245, 486.

124. But all persons, in the course of *saṃsāra*, have understood Suffering, its Arising and its Extinction by the worldly path (*Vyākhyā*).

125. In fact Arising should again be abandoned by the Seeing of Extinction and the Path, and again by the Path of Meditation, whereas all Suffering is perfectly known (*parijñāta*) by the Seeing of Suffering. But the Arising which should be abandoned by the Seeing of Arising is found to be already abandoned. (Paramārtha's translation).

126. The *Vaiḥbhāṣikas* think that the sixteenth moment belongs to *bhāvanāmārga* (vi.28c); some other schools include it in *darśanamārga*.

127. *Vyākhyā: vayanḥ hi bhāvanāmārgaparivāro'pi tad iti brūmaḥ.*

128. This paragraph is missing in Hsüan-tsang. The *Vyākhyā* furnishes a different explanation: *darśanamārgalabhyam tat tasya katham bhāvanāmārga saṃmukhībhāvo bhaviṣyati/ darśanamārga cotpattyanavakāśo'syasti iti tad anupattidharmakam iti varṇayanti Vaiḥbhāṣikāḥ.*

129. On *āśraya*, see iii.41, i. p. 78, ii. p. 285, iv. p. 593, 613, 649, v. p. 771.

130. *Vyākhyā: gotraṃ tadutpādane samartho hetuḥ/ tatra bhavaṃ gautrikam saṃvṛtījñānam.* On *gotra* in the sense that we have it here (*sabhāgahetu*), see i. p. 78, vii. p. 1124.

131. *Vibhāṣā*, TD 27, p. 18c28.

132. From *Kārikā* 22 to *Kārikā* 26c Hsüan-tsang departs from the original (which Paramārtha faithfully translates) both for the *Kārikā* and the *Bhāṣyam*.

133. Namely *anvayajñāna* and *mārgajñāna*, because *mārga'nvayajñāna* is, by its nature, these two *jñānas*.

134. Not *saṃvṛtījñāna*, because it has been acquired formerly (*labdhapūrvvat*); see vii.27. Not *paracittajñāna*, because the ascetic is not *vītarāga*.

135. Therefore in the four types of paths of the eight stages of *bhāvanāmārga* where one abandons the first eight categories of *kleśas* of *Kāmadhātu*, and also as long as one has not abandoned the ninth. On these four types of paths, see v.61, vi.65b, (46d, 61d), vii.18c, 25d.

136. Namely the *śāntādyudārādyākāro bhāvanāmārgaḥ*, see vi.49.

137. See vi.61b.

138. See vii.5c.

139. Everyone agrees that *saṃvṛtījñāna* is not cultivated in the *ānantaryamārgas* of the perfecting of the faculties (vii.24c).

140. Of *dharma*, *anvaya*, and the Four Truths.

141. *Vyākhyā: dvayoy tu abhijñāvimuktimārgayor iti/ divyafrotradiṣṭacacṣurabhijñāvimuktimārgayor avyākṛte śrotracacṣurabhijñe iti vacanāt (vii.42) tadvimuktimārgāu avyākṛtau/ na cāvyaḥkṛtasya dharmasyānāgatabhāvanāsti*. See above note 56.

We understand by this that, by realizing these Abhijñās, one does not take possession of the same future Abhijñās.

142. The three Abhijñās are *ṛddhi*, *pūrvanivāsa* and *cetahparyāya*.

143. An ascetic detaches himself from Kāmadhātu by a worldly path which has for its support (*saṃniśrayeṇa*, that is to say "is cultivated in") *anāgamyā* (introductory stage of the First Dhyāna) and, through this detachment, he obtains the First Dhyāna; at the moment of the ninth *vimuktimārga* of this detachment, he cultivates a *saṃvṛtījñāna* of the sphere of the *anāgamyā* or of the sphere of the First Dhyāna; and so on until: he detaches himself from *ākāṅcanyāyatana* through the worldly path practiced in the *sāmantaka* (preparatory) stage of *naivasamājñānasamājñāyatana*, he obtains a *saṃvṛtījñāna* of the sphere of the *sāmantaka* or of the sphere of the *naivasamājñānasamājñāyatana*. *Samvṛtījñāna* is cultivated under the same conditions when the ascetic detaches himself from Kāmadhātu through the path cultivated in pure (*anāsrava*) *anāgamyā*.

But if the ascetic obtains the first pure *dhyāna* by practicing the pure path in the First Dhyāna, the *saṃvṛtījñāna* that he obtains is of the sphere of the *sāmantaka* of the Second Dhyāna which is opposed to the first . . . to the ninth category of the defilements (*kleśas*) of the First Dhyāna—for this is the first time that this ascetic obtains the first pure *dhyāna*. And, in the ninth *vimuktimārga* of the path by which he obtains the first pure *dhyāna*, he cultivates, in the future, a *saṃvṛtījñāna* of the second *dhyāna* (*Vyākhyā*).

144. An ascetic takes his support from the second pure *dhyāna* and, in this manner, detaches himself in the Third Dhyāna: the pure *jñāna* which is cultivated is of the sphere of the Path, that is to say of the Second Dhyāna; it is moreover in the ninth *vimuktimārga* of detachment, of the sphere in which he detaches himself, namely the Third Dhyāna; it is also of a lower sphere, namely of the First Dhyāna, of *anāgamyā*. For it happens that the preparatory path is of a lower sphere: the *jñāna* of a lower sphere will therefore be able to be cultivated.

But when an ascetic detaches himself from the Second Dhyāna by taking as his support the *sāmantaka* of the Third Dhyāna, neither in the *ānantarya* nor in the *vimukti* paths can a pure *jñāna* of the sphere of the *sāmantaka* be cultivated: in fact there is no pure *jñāna* in this *sāmantaka* (viii.22a). The cultivated *jñāna* will be inferior to the Path: of the Second Dhyāna, of *dhyānāntara*, of the First Dhyāna, or of *anāgamyā*.

145. Hsüan-tsang: "Only in the first *ḥṣayajñāna* does he cultivate all of the impure qualities of the nine spheres." With the gloss: "In the first *ḥṣayajñāna*, that is to say in the ninth *vimuktimārga* of detachment from Bhavāgra, and in the ninth *vimuktimārga* of the five perfecting of the faculties" (TD 29, p. 139c25).

146. *Et cetera* refers to *abhibhūvāyatana*, *kṛtsnāyatana*, etc.

147. *Peḍā*, *Divya*, 251.4, 365.8, a bag holding jewels or *alamkāras*; *phelā*, *ibid.* 503.24, *Mahāvastu*, ii.465 (*phelā*, *phelikā*); Bloch, *Marathe*, 370; classical, *peṭa*, *peṭā*, *peṭī*; Pāli: *peḷā*.

Hsüan-tsang and Paramārtha: "as, when their bonds are cut, beings who were bound and strangling, now breathe."

The meaning is that, at the moment of *ḥṣayajñāna*, there is produced the possession of all the qualities to a great degree of excellence.

148. Compare the first Cakravartin King, *Kośa*, iii. 96c.

149. Hsüan-tsang (p. 139c16) adds a *pāda*: "Arisen above he does not cultivate the lower"; a thesis developed in the *Vyākhyā*: When one obtains the quality of Arhat (that is to say *ḥṣayajñāna*) in Kāmadhātu, the *aśubbhās*, etc., of the Three Dhātus are "cultivated" (*bhāvanām gacchanti*); when one obtains the quality of Arhat in Rūpadhātu, or in Ārūpyadhātu, the same qualities are cultivated belonging to these Dhātus. The same with respect to the different *bhūmis* of these Dhātus; one who obtains the quality of Arhat in *naivasamjñānāsamjñāyatana* cultivates only the qualities belonging to this *bhūmi*.

150. a. *Vyākhyā*: *yad vibhinam punar labhyate samṃmukhikriyate saṃsārocitam na tad anāgataṃ bhāvayate bhāvitotsṛṣṭatvāt saṃsāre/ yad evātra dhyānāpamānādy anucitam saṃsāre viśiṣṭam anāstravanugunaṃ tatsamṃmukhībhave tajjātiyam evānāgataṃ viśiṣṭam bhāvayata ity ācāryo darśayati*. It is some vulgar qualities, encountered in the course of transmigration, that one manifests, and that one abandons. These qualities are not the object of "cultivation," that is to say "are not possessed in a future state." But when one actualizes the distinguished qualities, the Dhyānas, the Apramāṇas, etc., rare in the course of transmigration, and which are favorable to the acquisition of pure qualities, one takes possession of them for the future. This is what Vasubandhu intends to say.

This explanation depends on Vasumitra's thesis that there is cultivation of some distinguished qualities, *saṃsārānucutvāt*, but not of others.

b. Hsüan-tsang: "The *dharma* previously acquired and then lost, and now acquired anew, is not 'cultivated' because of this, because one reacquired it without effort. One manifests the *dharma* not previously acquired with effort, and it is thus 'cultivated' in the future, because its force is great. Produced after having been acquired, it is not cultivated in the future, because its force is small, being produced without much effort." This version is based on the explanation of Saṃghabhadra.

c. Saṃghabhadra (in his *Nyāyānusāra*, TD 29, p. 745b18; see also his *Prakaranaśāśana*, TD 29, p. 954c9, which does not exactly correspond) is quoted by the *Vyākhyā*: *ācāryasaṃghabhadro'py etam evārtham vyācaṣṭe/ labdhapūrvam na bhāvayate/ yat pratilabdāvibhinam punar labhyate na tad bhāvayate/ arthād gamyate yad alabdhapūrvam labhyate tad bhāvayate/ yatnābhīmukhikarānāt/ apratiprasābdho hi mārgo yatnenābhīmukhikriyata iti tadāvedhbalatvād anāgato bhāvanām gacchati/ pratilabdhapūrvas tv ayatnena samṃmukhībhavati bhāvitapratīrabdhātāt kṛtakṛtyadattaphalatvāc ca vegabhīna iti tatsamṃmukhībhavād anāgato na bhāvayata iti/ yo'nāgato yatnena janyate sa bhāvayata ity abhiprāyaḥ/*

The *Vyākhyā* continues: *tad evaṃ sati yad uktaṃ samvṛtījñānam tāvad iti vistareṇa tad āryasamānāpatitam eva gṛhyate*.

That is to say: if this is the case, what is said of the cultivation of *saṃvṛtījñāna* should also be understood of the *saṃvṛtījñāna* of the Āryan. The *Vyākhyā* then mentions the opinion of Vasumitra, and the objection of the Vaibhāṣikas: *saṃsārānucutatvād iti ācāryavasumitrenātra likhitam/ atra kila vaiśbhāṣikā āhuḥ/ na itad evam/ kutaḥ/ yasmād alabdham eva tad bhavati tyakya tvāt tasmād bhāvitotsṛṣṭasyāpi punarlābhe bhavaty eva bhāvaneti/ katham tad apūrvam bhavati yavatālabdhapūrvam iti/ na hy evaṃvidham loke prasiddham iti/*

Finally, one can understand "what has not been obtained" (*alabdhapūrvā*) as what has not been obtained in this life: *apare punar vyācaṣṭe ekam janmedam abhikṛtyoktam na janmāntaram/ yad vibhinam asminn eva janmani punar labhyate na tad bhāvayate bhāvitotsṛṣṭatvāt/ janmāntare tu yal labhyate tad bhāvayate*.

152. *Bhāvanā* which consists of taking possession of a future *dharmā*. In the same way *niṣevaṇabhāvanā* consists of *niṣevaṇa*.

153. *Pratipakṣabhāvanā* signifies the *bhāvanā* which consists of *pratipakṣa*, "opposition": *pratipakṣo mārgo yathoktam sūtre bhāvitaḥ bhāvitacitta itī bhāvitakāyacittapratipakṣa ity arthah/ kāyapratipakṣaḥ punaś caturdhyānavairāgyāya yo margah/ tathā hy uktam bhāvitakāyo bhikṣur ity ucyate bhāvitacitto bhāvitaśīlah/ katham bhāvitakāyo bhavati/ kāyād vigatarāgo vigatatrīṇo vigatapipāso vigatapremā vigatanīyantīh (?)/ atha vā yo'sau rūparāgaśāyānantaryamārgah/ so'nena vigatarāgo bhavati āgamaḥ. Saṃyutta, iii.7, 11, 190, iv.111; *Anguttara*, iii.106; *Majjhima*, i.237.*

How the mind is termed *bhāvita*, above vii.p. 1102.

154. *Vinirbhāvanabhāvana* is the cutting off of possession of the defilements, *kleśaprapñcica*.

155. The order of the *pra(d)hānas* differs in the *Mahāvuyutpatti*, 39; see vi.2c, 67. Hsüan-tsang omits this reference to the *pradhānas*.

156. *Indriyabhāvanā*, *Majjhima*, iii.298; *indriyasamvara*, *Saṃyutta*, i.54, *Anguttara*, iii.360, *Majjhima*, i.269, 346, etc.

157. *Saṃyukta*, TD 2, p. 76b2: *śad imānindriyāni [susamvṛtāni subbhāvitāni . . .]* *Indriyasamvara* or *samvarabhāvana* is, by its nature, memory and attention: *sa punar indriyasamvaraḥ smṛtisamprajñānāsavabhāva uktah*.

158. *Madhyama*, TD 1, p. 647a3 (a paraphrase), *Majjhima*, iii.90; *Saṃyutta*, iv.111; *Śikṣasāmuccaya*, 228, *Mahāyamakavṛtti*, 57, etc.

159. *Vibhāvanabhāvanā*, or *kāyabhāvanā* is nothing other than the expulsion (*vinirbhāvana*) of the defilements of which the body is the object.

160. According to Hsüan-tsang. The original has: We have explained in general that the Arhats, whoever they are, take possession of the "qualities" at the moment when they produce the "consciousness of the destruction of the *āsravas*." (vii.26c) There are eighteen qualities which belong to the Buddha . . .

*Kathāvatthu*, iii.1-2: the "forces" do not belong to the Buddha (thesis of the Andhakas).

*Paṭisambhidāmagga*, i.7, sixty-three *ñāṇas* of which six belong to the Buddha; *Milinda*, 285.

161. On *āveṇika*, the definition of *avidyā āveṇikī* (*Vyākhyā*, ad v.12, 14, ii.29) which is quoted and translated by Burnouf, *Lotus* 648: *sāmparko veṇir ity ucyate/ na veṇir āveṇiḥ pṛthagbhāva ity arthah/ evaṃ hy uktam āveṇir bhagavān āveṇir bhikṣusamgha itī pṛthag bhagavān pṛthag bhikṣusamgha ity abhiprāyah/ āveṇyā caraty āveṇikī nānyānuśāyasabacāriṇīty arthah. Anguttara*, v. 74. The Saṃgha does not divide . . . *na āveṇi kammāni karonti no āveṇi pātimokkham uddisanti (=na pṛthak karmāni . . .)*

There are five qualities which belong exclusively to women (*āveṇīya* and *āveṇika*), *Dīvyā*, 2.3, 98.22, etc.; Burnouf, *Introduction*, 169.

The good that I have done is my *āveṇīya* riches, *Jātaka*, iv.358.

162. a. This is the list of *Dīvyā*, 182.20, 268.4.

b. Yaśomitra says: Such is the doctrine of the Vaibhāṣikas. Some other masters admit eighteen *āveṇikas* distinct from these, namely: *nāsti tathāgatasya skhalitam, nāsti ravitam (=sahasā kriyā), nāsti dravatā (=kṛdābhīprāyatā), nāsti nānāvasamjñā (=sukhaduḥkhā-duḥkhāsukheṣu viśayeṣu rāga dveṣamohato nānāvasamjñā), nāsty avyākṛtamanas, nāsty apratīsamakhyāyopekṣā, nāsty atīteṣu pratibhatam jñānadarśanam, nāsty anāgateṣu pratibhatam jñānadarśanam, nāsti pratyutpanneṣu pratibhatam jñānadarśanam, sarvaṃ kāyakarṇa jñānānuparivartī, sarvaṃ vākkarṇa jñānānuparivartī, sarvaṃ manaskarṇa jñānānuparivartī, nāsti chandahāniḥ, nāsti vīryahāniḥ, nāsti smṛtīhāniḥ, nāsti samādhīhāniḥ,*

*nāsti prajñāhāniḥ, nāsti vimuktijñānadarśanahāniḥ*. This contradicts iv.12, vi.59.

This list, with some close variants, is found in *Mahāvīyutpatti*, 9 (an extract, according to Wogihara, from the *Tsa-chi* 雜集 of Sthiramati) which is quoted here by the Japanese editor of the *Kośa*. We will find in *Madhyamakāvatāra* (vi.213, p. 322-337) a commentary extracted from the *Dhāraṇīśvaraparipṛcchā*. Very close too are the lists of *Mahāvastu*, i.160 (see the note p. 505) and the Pāli sources, *Abhidhānappadīpikā* and *Jinālamkāra* (Burnouf, *Lotus*, 649, Kern, *Geschiedenis*, i. 272, *Milinda*, 285).

The particularity of Yaśomitra's list is in the first terms: *nāsti skhalitām nāsti ravitām nāsti dravatā*; the *Mahāvastu* and the *Mahāvīyutpatti* have: *nāsti (s)khalitām nāsti ravitām nāsti muṣitasmr̥tītā*; the *Jinālamkāra* gives: . . . *natthi davā* (commented upon as *kicchābhippāyena kiriyā*, a bad reading for *khiḍḍābhippāyena*), *natthi ravā* (commented upon as *sahasā kiriyā*). Note the close relationship with the glosses of Yaśomitra: *dravatā* = *kriḍābhipprayatā*, *a* = *sahasā kriyā* (=Tibetan *ca co*, "outcry" = Chinese: "defect of the voice"). For *dravatā*, *Mahāvastu*-*Mahāvīyutpatti* have, we would say *muṣitasmr̥tītā* (which has, perhaps a double use with the ninth declension: *nāsti smṛter haniḥ*), but Candra Das (p. 511) has a variant *muṣitā* or *moditā*, which suggests the idea of *kriḍā*.

*Apratisamkhyāyopekṣa* is an *upekṣā* or indifference, which does not result from the consciousness, due, we would say, to apathy, not to wisdom (see vii.8).

c. The *Bodhisattvabhūmi* (iii.4) admits 140 *āveṇikas* (see *Muséon*, 1911, 170): 32 and 80 marks, 4 "omniform" (*sarvākāra*) purities, 10 *balas*, 4 *vaifaradyas*, 3 *smṛtyupasthānas*, 3 *āraṅkṣaṇas* (compare the 4 *āraṅkṣyas* of *Mahāvīyutpatti*, 12), *mahākaraṇā*, *asampramoṣadharma*, *vāsanāsamudghāta*, *sarvākāravarajñāna* (compare *sarvabhājñāna*, *Kośa*, vii. p. 1146).

d. The *āveṇikas* of the *Bodhisattva*, *Mahāvīyutpatti*, 29, and *Madhyamakāvatāra*, vi.212.

163. *Lotus*, 343, 781, Spence Hardy, *Manual*, 380 and other works quoted in *Dharmasaṃgraha*, p. 51; *Mahāvīyutpatti*, 7 (according to the *Yogasāstra*).

Pāli list in the *Jinālamkāra* of Buddharaṅkṣita; the ancient "Sanskrit" source is the *Mahāvastu*, i.159 (a valuable commentary by the editor, p. 502-505); on the other hand, *Paṭisambhīdā*, ii.174, *Vibhaṅga*, 335.

The Buddha is *daśabala*, *Mahāvagga*, i.22.13; "Dasabala" is the title of a *vagga* of the *Saṃyutta*; see however the observations of Rhys Davids, *Milinda*, ii. 134. the ten *balas* of the *Bodhisattva*, *Mahāvīyutpatti*, 26.

The *balas* are explained, according to the *Dhāraṇīśvaraparipṛcchā* in the *Madhyamakāvatāra*, Tibetan translation, p. 369-395.

If the *balas* belong to the Śrāvakas; if the first nine *balas* are pure or *āryan* "knowledges", *Kathavatthu*, iii.1-2.

164. A different list in the smaller *Vyutpatti*, 5 (Minayev, 1887). The *Vyākhyā* quotes the Sūtra: *daśāyusmantas tathāgatabalāni/ katamāni daśa/ ihāyusmantas tathāgataḥ sthānam ca sthānato yathābhūtam prajānāti/ asthānam cāsthānataḥ/ idam prathamam tathāgatabalam yena balena samanvāgatas tathāgato'rhan samyakṣambuddha udāram ārṣabham sthānam pratijānāti brāhmaṇa cakram pravartayati paṇḍasi samyakṣimhanādāni nadati// punar aparam āyusmantas tathāgato'vītānāgatapratayutpannāni karmadharmaśamādānāni sthānato hetuto vastuto vipākatā ca yathābhūtam prajānāti yad āyusmantas tathāgataḥ pūrvavād yāvad vipākatā ca yathābhūtam prajānāti idam dvitīyam tathāgatabalam yena balena . . .// Punar aparam āyusmantas tathāgato dhyānavimokṣasamādhisamapattinām samkleśavyavādānavyavasthānavisuddhim yathābhūtam prajānāti yad āyusmantas . . . 3.// . . . Parapudgalānām indriyaparāparatām yathābhūtam prajānāti . . . 4.// . . . nānādhimuktikam lokam anekādhimuktikam yathābhūtam prajānāti . . . 5.// . . . nānādhātukam lokam anekadhātukam . . . 6.// . . . sarvatragāminīm pratipadam . . . 7.// . . . anekavidham pūrvanivāsam anusmarati/ tadyathākaṁ api jātim dve tisraś catasraḥ pañca ṣaṭ saptaṣṭau nava daśa vimśatiṁ yāvad anekān api samvartavivartakalpān anusmarati/ api nāma te bhavantaḥ sattvā yatrāham āsa evamānāmā evamajātya evamāgotra evamāhāra evamsukhaduḥ-*

*kḥapraṭisamvedī evamādirghāyur evamācīrasthītika evamāyusṣparyantaḥ/ so'haṁ tasmāt sthānāc cyuto'nutropapannaḥ/ tasmād api cyuta ihopapannaḥ/ iti sākāraṁ sanidānaṁ soddesaṁ anekavidhāṁpūrvānuvāsamanusmarati/ yadāyusṣmantaḥ . . . 8. // . . . divyena cakṣuṣā viśuddhenātikrāntamanuṣyakeṇa sattvān paśyati cyavamānān apy upapādyamānān api survarṇān durvarṇān bhīmān prāṇitān sugatīm api gacchato durgatīm api yathākarmopagān sattvān yathābhūtaṁ prajānāti/ amī bhavantaḥ sattvāḥ kāyaduṣcaritene samanvāgatā vāgmanoduṣcaritena samanvāgatā āryāṇāṁ apavādakā mīthyādṛṣṭayo mīthyādṛṣṭikarmadharmaśamādhānabetos taddhetu tatpratrayaṁ kāyasya bhedāt paraṁ maraṇād apādyadurgatīvinipātān narakeṣūpapadyante/ amī punar bhavantaḥ sattvāḥ kāyasucaritena samanvāgatā . . . samyagdṛṣṭikarmadharmaśamādhānabetos (?) . . . sugataḥ svargaloke deveṣūpapadyante/ yad . . . 9. // . . . āśravāṇāṁ kṣayād anāśravāṁ cetovimuktīm prajñāvimuktīm dṛṣṭva eva dharme svayam abhijñāya sākṣātkeṭtvopasaṁpadya prativedayate/ kṣiṇā me jātir uṣitam brahmacāryaṁ kṛtāṁ karaṇīyaṁ nāparaṁ asmād bhavaṁ prajānāmīti yad āyusṣmantaḥ tathāgataḥ . . . 10. //*

165. This first *jñānabala* is by nature ten *jñānas*. *Sthānāsthāna* is divided into 1. *saṁskṛta*, which is eightfold; 2. *saṁprayukta* (associated with the mind), of four types—*Kāmadhātu*, *Rūpadhātu*, *Ārūpyadhātu*, and *anāśrava*; 3. *viprayukta* (disassociated from the mind), the same; and 4. *asaṁskṛta*, which is either morally good or neutral.

*Sthānāsthānabala* as *saṁvṛtījñāna* bears on these ten things; as *dharmajñāna* on five; as *anvayañāna* on seven . . .

How are these ten things *sthānāsthāna*? See the Sūtras: "There is the *sthāna* (possibility, *saṁbhava*) that a male realizes Buddhahood (*buddhatvaṁ kārayiṣyati*); there is the *asthāna* (impossibility, *asambhava*) that a female . . .; there is the possibility that a male becomes Brahman (*brahmatvaṁ kārayiṣyati*); there is the possibility of the destruction of suffering . . .

Definition of this *bala*, *Vibhaṅga*, p. 335.

166. Action and its result are in fact included within the Truths of Suffering and Arising, not in the Truths of Extinction or the Path.

167. We have Four Dhyānas (viii.1), Eight Vimokṣas (viii.32), Three Samādhis (*sūnyatā*, etc., viii.24), Two Samāpattis (*asaṁjñī* and *nīrodha*, ii.42) and Nine *anupūrvavibhārasamāpattis* (*dhyānas*, *āraṇyās*, *nīrodha*). The same in *Vibhaṅga*, 339.

168. That is *indriyaparopariyatta*, *Vibhaṅga*, 340, *Paṭisambhidā*, i.121. *Indriya* is rendered in the stanza by *akṣa*; the Buddha knows if the faculties of beings (faith, etc.) are weak, etc. (*parāpara*). (*Vibhaṅga* is more developed).

169. *Adhimukti* = *adhmokṣa* = *ruci* (i.20); the Buddha knows the aspirations of beings, their likes.

170. Saṁghabhadra explains: *pūrvābhyāsavāsanāsamudāgata āśayo dhātūr iti*; the Buddha knows the mental dispositions which result from former habits and practices. On *vāsanā*, vii.30c, 32d; on *āśaya*, iv. note 375, and p. 663, vi.34, *Vibhaṅga*, 340. The *Vibhaṅga* differs and places the consciousness of *āśaya*, *anūśaya*, etc., in the seventh *bala*.

171. The knowledge relative to *nīrodha* is only included in the knowledge of the Path (*pratipad*) if one understands *pratipad* as *pratipatphala*; in fact *pratipad* is not the cause of *nīrodha* even though it is the cause of the realms of rebirth.

The *Vibhaṅga* (p. 339) does not envision the *pratipad* of *nīrodha*; the *Paṭisambhidā-magga* takes it into consideration.

172. On the expression *jambusandagata*, see iii.41 at the end.

173. This is the story recounted in the *Sūtrālaṁkāra* of Aśvaghoṣa, trans. Huber, p. 283. Saeki refers to the *Sūtra of the Wise and the Foolish*, TD 4, no. 202) where the story is much

more detailed, see TD 4, p. 376b2-p. 380a10; *Dsanglun* of Schmidt, 107-128. See also *Zapiski*, vii.281, 286, and *Histoire des Religions*, 1903, i.323. According to a note by P. Pelliot, the hero is called Śrīrddhi. Saeki also mentions *Vibhāṣā*, TD 27, p. 530c19 and following.

The *Vyākhyā* explains: Śāriputra searches in vain to see in the series of this person a root of good "which could produce deliverance" (*mokṣabhāgīya*, iii.44c, iv.124, vi.24c, vii.34), and as a consequence refused to admit this person into the Saṃgha. But the Bhagavat saw this root of good and conferred *pravrajyā* upon him. On this occasion the Bhagavat, questioned by the Bhikṣus, said: "He did an action so that he obtained the state of Arhat. For actions do not mature in water . . . and the rest." And it says: *Mokṣabījāṃ ahaṃ by asya susūkṣmam upalaksaye/ dhātupāśānavivare nīlīnam iva kāñcanam//* (Quoted in *Vyākhyā*, i. p. 5; translated by Burnouf, *Lotus*, p. 340).

174. Paramārtha: "It is related also of the pigeon chased by the hawk that Śāriputra was not capable of knowing the beginning and the end of his rebirths [as a bird?]."

The *Vyākhyā* has: *upapattiyādiparyantājñānam ceti/ ādisabdena cyutiparyantājñānam*, that is to say: [There was also ignorance on the part of Śāriputra] concerning the limit of births and deaths [of the pigeon . . . ].

175. *Yogasūtra*, iii.24, on the acquisition of the power of an elephant, etc.

176. *Vibhāṣā*, TD 27, p. 155a8: As the Sūtra says, the body of the Bodhisattva has the force of Nārāyaṇa. What is the measure of this force? There are those who say, "The force of nineteen bulls is equal to the force of one *hao-niu* 豪牛 (Couvrier: a bull which has long hair down to the joints of his legs) . . ." There are some who say: "This measure is too small. In the body of the Bodhisattva, there are eighteen great joints: each has the force of Nārāyaṇa . . ." The Mahābhaddanta says: "This measure is too small. In the same way that the force of the mind is infinite, so too the force of the body. How do we know this? . . . When the Bodhisattva undertakes the resolution: "I shall not get up before I have attained Bodhi . . .," the great chiliocosm moved in some six different ways, but the very hairs of the Bodhisattva did not stir . . ."

177. This is an explanation of the opinion of others. *Vyākhyā*: *samdhīṣu anyā ity uktam/ asthisamdhīviśeṣopanyāsaḥ/ nāgagrāntīr itī vistarāḥ/ nāgagrāntīhasamdhayo buddhāḥ/ nāgapaśo nāgagrāntīḥ/ saṃkalāsamdhayaḥ pratyekabuddhāḥ/ saṅkusaśamdhayaś cakraṇavartīnaḥ*.

178. On the Mahānāgās, see *Divya*, 372, Burnouf, *Introduction* 363, *Lotus*, 452. Cāpūra is an enemy of Kṛṣṇa.

179. In *Mahāvīyūtpatti*, 252 (which relies on the *Kośa*), *varāṅga* precedes *praskandīm*.

180. Paramārtha replaces ten with one hundred.

181. The original has *yathā tu bahutarāṃ tathā yujyate*. Paramārtha adds: "Why? Because the force of the Buddha is without measure." The *Vyākhyā* says: "The author defends the first opinion, for the reason that, otherwise, the body of the Buddha would not be able to support the force of infinite knowledge."

182. Five opinions in *Vibhāṣā* (see TD, p. 154b8 where the discussion begins; the theories are given p. 154c24-p. 155a7), and four in Saṃghabhadra's *Nyāyānusāra* (TD 29, p. 748b12-b20).

183. The Sūtra (*Ekottara*, TD 2, p. 645b27), quoted in the *Vyākhyā*, is very close to *Anguttara* ii.8 (*vesāraṇṇa*): *catvārimāni śāriputra tathāgatasya vaiśāradyāni yaś vaiśāradyaiḥ samanvāgatas tathāgato'rhan samyak sambuddha udāram āraṣabhaṃ sthānam pratijānāti brāhmaṇaṃ cakram* (*Kośa*, vi.54) *pravartayati paśādi samyak simhanādam nadati/ katamāni catvāri samyak sambuddhasya vata me sata ime dharmā anabhisambuddhā ity atra mān*

*kaścic chramaṇo vā brahmaṇo vā saba dbarmena codayet smārayet/ tatrāhaṃ nimittamapi na samanupaśyāmi evaṃ cāhaṃ nimittam asamanupaśyan kṣemaaprāptaś ca viharāmi abhayaprāptaś ca vaiśāradyaprāptaś ca udāram ārṣabham* . . . Same text quoted in the *Vijñānakāya*, TD 26, p. 544a6, which has: *chramaṇo vā brahmaṇo vā devo vā māro vā brahmā vā* . . .

In *Majjhima*, i. 501, the *vesārajjas* are attributed to all Arhats; compare *Mahāvagga*, i.6.32. In a fragment of the *Samyukta*, JRS, 1907, p. 377, the Householder Śroṇa is *vaiśāradyaprāpta* (an epithet of the Srotāpanna).

The young lion (*kiśora*) possesses *vaiśāradya*, *Bodhicarya*, vii.55.

The *vaiśāradyas* of the Bodhisattva, *Mahāvvyutpatti*, 28, *Daśabhūmi*, viii, *Madhamakāvātāra*, 320.

Etymology of the word *vaiśāradya*, Wogihara, *Bodhisattvabhūmi* (Leipzig, 1908), p. 41.

184. The readings of *Mahāvvyutpatti*, 8, differ a little: . . . 3. *antarāyikadharmānanyathātvānācītavya-karaṇa*. . . 4. *sarvasaṃpadadhiḡamāya nairāyikapratiṭattathāva-vaiśāradya*.

185. Paramārtha differs: As these absences of fear are realized by *jñāna*, the *jñāna* is called *vaiśāradya*. What is the reason for the four *vaiśāradyas*? They are useful to oneself and to others. The first two are useful to the Buddha himself; the last two are useful to others. Or rather the four are useful to others, for they expel all defilement both in the speaker and in his discourse.

186. The *Vibhāṣa* remarks: These three *smṛtyupasthānas* are included within *sthānāsthānājñānabala*, and within the six *satatavihāras* (see iii.35d, at the end).

187. When the disciples have the contrary attitude, *tathāgatasya nāghāto bhavati nākṣāntir nāpratyayo na cetaso'nabbhirāddhiḥ*.

Compare *Majjhima*, iii.221 (very close) and i.379; *Mahāvvyutpatti*, 11.

For *utplāvitatvam*, see *Bodhicaryāvatāra*, p. 13, note 3; *manasa utplavaḥ* in *Śikṣāsamuccaya*, 183.6.

188. See Asaṅga, *Sūtrālamkāra*, xvii.43; *Divya*, 359 (the *krpā* of the *Śrāvaka*); compare the stanzas in *Divya*, 96, 125 and Huber, *Sūtrālamkāra*, p. 284.

189. The *pāramitās* of giving, the precepts, and patience are the provisions of merit; the *pāramitā* of *prajñā* is the provision of knowledge.

The *pāramitā* of absorption or *dhyāna* gives rise to merit, as it is the cultivation of the Four Apramāṇas (viii.29), and it gives rise to knowledge as it is the cultivation of the thirty-seven "adjuncts of Bodhi" (vi. p. 1022).

The *pāramitā* of energy or *vīrya* is also doubly useful: *na hi vinā vīryeṇa dānaṃ dīyate śīlaṃ samādīyate kṣāntir bhāvya itaḥ puṇyasambhārābhāgiyam vīryaṃ bhavati/ tathā nāntareṇa vīryaṃ prajñā bhavati* . . .

Same doctrine in the Mahāyāna (where it is explained that the *pāramitās* are *pāramitās* by the fact of *prajñā*), in *Bodhicaryāvatāra*, ix.1.

When and how the Bodhisattva practices the *pāramitās*, *Kośa*, iv.111.

190. On *ākaraṇa*, ii.34b, vi.18a.

191. An excellence which results notably from *saṃskāraduḥkhatākāra* and *prajñāsvabhāvatā*.

192. In this formula, one undertakes, along with the First Dhyāna, Anāgāmya and Dhyānāntara. Compassion is of the six *bhūmis*.

193. "Śrāvakas, etc." refer to the Pratyekabuddhas and Pṛthagjanas.

194. By *karuṇā*, the Śrāvakas have simply compassion (*karuṇāyante*); they experience commiseration, affliction; they do not protect one from the fear of *saṃsāra*. But the



Bhagavat, having compassion along with *mahākaruṇā*, protects one from the great terror of *samsāra*.

195. a. Differences between Buddhas, *Kośa*, iv. 102, trans. p. 685, *Bodhisattvabhūmi*, I.vii, *Museon* 1911, 173 (life, name, *gotra*, body); Wassilieff, 286 (314). *Kathāvatthu*, xxi.5: the Theravāda admits differences, *vemattatā*, for the body (*saṁsāra*), the length of life and light (*pabbhā*); the Andhakas admit other differences. *Milinda*, 285 (differences between Bodhisattvas, family, time period, length of life, stature). Below note 197.

b. Vasubandhu groups together, vii.28-34, the elements of a Buddhology. Interesting from this point of view are the following passages of the *Kośa*:

i.1. Difference of the wisdom of the Buddha and that of other saints; omniscience (also *Kośa*, ix). The Buddha and the Bodhisattvas are Bhagavats.

ii.10. Abandoning of the *āyusamskāras*; victory over the Four Māras.

ii.44, vii.41d, 44b. All the qualities (*guṇa*) acquired through detachment and actualized at his will.

ii.44, vi.24a. The conquest of Bodhi in thirty-four moments.

ii.62. Knowledge of the future.

iii.94. Time period of the appearance of Buddhas.

iv.12. *Avyākṛta* mind, "non-absorbed" mind; the Nāga.

iv.32. The cult of the Buddha.

iv.32. The Dharmakāya, refuge; the Rūpakāya.

iv.73. Accepts the gifts made to Stūpas. iv.102. Retribution of his former actions.

iv.102. Schism.

iv.109. The marks of the Bodhisattvas, the objects of their minds.

iv.109, vii.30, 37, 42. The memory of the Buddha.

iv.121. The cult of Caityas.

vi.59. Falling away from the joys of the absorptions.

viii.28. Conquest of Bodhi through the Fourth Dhyāna.

c. On the Bodhisattva, and in particular, the future Buddha Śākyamuni.

iii.94, iv.108-112. Origin, vows, and practice of the *pāramitās* (iv.117), duration of his career (because the Bodhisattva is naturally charitable, iii. 94a), development of the marks.

iv.106. Death of the Bodhisattva.

vi.23. Change of vehicle, animal births of the Bodhisattva.

Last birth, iii.9, *jarāyujā* and why (relics); 13a, in the form of an elephant; 17a, conscious at conception, etc.; 41, a Prthagjana until sitting under the Bodhi Tree; 53d, the Vajrāsana; 85a, free from premature death. [According to the *Kāraṇaprajñāpti*, *Cosmologie*, p. 327, explanation of the marks; 331, rain of flowers; 332, 334, life in the womb; 333, miracles at his birth; 335, why he has a son, why he is of good family, why he is not born in such a continent, etc. On the mother of the Bodhisattva, death on the seventh day, 331, 337; when pregnant, sheltered by fire, etc.].

196. *Vyākhyā: anāsravadharmasamitāno dharmakāyab/ āśrayaparivṛttir vā*: "The Dharmakāya is a series of pure dharmas (*Kośa*, iv.32) or a renewing of the psycho-physical organism, the personality (*āśraya*).". Some examples of the renewing of the personality, iv.56, trans. p. 631; see viii.34d. On the *dharmakāya* of the mother of the Buddha, of an Upāsaka who has entered the Path, see Huber, *Sūtrālamkāra*, 217, 390.

*Dīgha*, iii.84 (*Ajjaññasutta*): *Tathāgataṁ hetam Vāseṭṭha adbhivacanāṁ dhammakāyo iti pi brahmakāyo iti pi dhammabhūto iti pi brahmabhūto iti pīti* (Fragment of the commentary in *Dialogues*, iii.81).

Frequently *dharmakāya* = the body of scriptures = the second *ratna*, *Divya*, 396, Przyluski, *Aṣoka*, 359, etc.; *Bodhicaryāvatāra*, i.1.

For the Mahāyāna, we quote only the *Abhisamayālamkāra*, vi.2 - 11; JRAS, 1906, 943; Burnouf, *Introduction*, 224; *Si-yu-ki* (= *Hsi-yu-chi*), end of book iv.

197. The word *ādi* is not in the Sanskrit or Tibetan *Kārikās*. Paramārtha: "the word *et cetera* indicates the duration of the Law, the destruction or the non-destruction of relics, etc. Such are the differences due to the time period when the Buddhas appear."

The time of the seven Buddhas, their cast, *gotra*, length of life, tree, etc., in *Mahāpadānasuttanta*, *Dīgha*, ii.1 and *Dīrgha*, TD 1, p. 1c19. The Law of Kāśyapa lasts eight days; that of Śākyamuni lasts one thousand years (see viii.39). *Kośa*, iii.93a.

198. "Perfection" is a term more useful than exact. The *Kośa*'s presentation is frequently clarified by the theories of the Mahāyāna which we find notably in the *Bodhisattvabhūmi* (for example First Part, Chap. V, on *prabhāva*, *Muséon*, 1911, p. 155).

199. *Sarvagūṇajñānasambhārābhyāsa*: the qualities (*guṇa*) are by their nature five *pāramitās*; the knowledges (*jñāna*) are the *prajñāpāramitā*. *Abhyāsa*, exercise = *punaḥ punaḥ prayogaḥ*.

200. *Dīrghakālābhyāsa*: *tribhīr asamkhyeyair mahākālpaish* (Doctrine summarized, iv. p. 691-2). Ānanda thinks that to become a Buddha through meditation for six years is to become a Buddha quite easily . . . (Chavannes, *Cinq cents contes*, ii.100).

201. *Vyākhyā*: *sarvathā jñānam iti sarvaprakārāvabodhanāribhena*.

However, according to the gloss of the Japanese editor who follows Fa-pao, *sarvatra jñāna* is to be understood as the consciousness of common characteristics (*sāmānyalakṣaṇa*), impermanence, etc.: from this point of view any Arhat knows all thing (see *Vyākhyā*, i.15, p. 73: no salvation for one who does not know all *dharma*s); and *sarvathā jñāna* is the consciousness of self characteristics, which is *āveṇika*, proper to the Buddha (see the stanza on the tail of the peacock, *Vyākhyā*, i. p. 6, and *Kośa*, Chapter IX, trans. Hsüan-tsang, TD 29, p. 157c23; compare p. 155a1).

The *Vibhāṣā*, TD 27, p. 382c23, teaches that the Buddha possesses both *sarvatra jñāna* and *sarvathā jñāna* relative to the twelve *āyatana*s; whereas Śāriputra possesses only the First Dhyāna, which he owes to the teaching of the Master.

202. *Vāsana*, above p. 1143.

203. We have: *apūrvabāhyaviśayotpādana* = *nirmāṇa*; *āsmādinām suvarṇādibhāvāpādana* = *pariṇāma*; *dīrghakālāvasthāna* = *adhiṣṭhāna*. On *nirmāṇa* and *adhiṣṭhāna*, see vii. 49 and following.

204. See *Kośa*, ii. trans. p. 165.

*Ekottara*, TD 2, p. 639a14: The Buddha asked Śāriputra, "Why do you not remain a *kālpa* or more?" Śāriputra answered, "I hold that the life of the Bhagavat himself is very short. The longest life does not go beyond one hundred years; and as the life of beings is short, the life of the Tathāgata is also short. If the Tathāgata continues to live a *kālpa*, I too shall continue to live a *kālpa* . . . "How can Śāriputra speak thusly? Beings are not capable of knowing whether the life of the Tathāgata is long or short. Śāriputra should know that there are four incomprehensible things about the Tathāgata. (Compare the four *acinteyyas* of *Āṅguttara*, ii.80, *Sumaṅgalavilāsiṇi*, i.22).

205. Compare the power of *samkṣepa-prathana*, a Mahāyāna text, *JRAS*, 1908, 45; also *Dīgha*, ii.109

206. a. *Vividhanijāścaryadharmasamṣad*. This is the *sabajaprabhāva* of the *Bodhisattvabhūmi*, *Muséon*, 1911, 161.

The *Vyākhyā* quotes the Sūtra: *dharmataiṣā buddhānām bhagavatām yat teṣām gacchatām nimnasthalaṃ ca samibhavati yad uccaṃ tan nīcibhavati yan nīcam tad uccibhavati andhāś ca dṛṣṭim pratilabdhante badhīrāḥ śrotṛam unmattāḥ smṛtim . . .*

These are, almost identically, the *citrāṇy āścaryāni adbhutatdharmaḥ* of *Divya*, 250-251. Compare the quotation in *Milinda*, 179.

b. Hsüan-tsang adds here: "Or rather conversion of those difficult to convert; the solution of difficult questions; the teaching that leads to salvation; to defeat Māra, Tīrthikas, etc."

207. On *adhiḡama*, see viii.39a.

208. Actions involving necessary retribution (iv.50) are *alaṅghaniya*, "insurmountable."

209. According to Hsüan-tsang. Paramārtha: "Anyone who, having come into this world, plants a small amount of merit in the Buddha, after having taken up heavenly births, certainly obtains an immortal dwelling." But the *Vyākhyā* has: *kārāṇ ity upakārāṇ pūjādīkāṇ*. Therefore Vasubandhu quotes here the stanza of the *Divya*, p. 166: *ye'lpān api jine kārāṇ karisyanti vināyake/ vicitraṁ svargam āgamaya lapsyante'mṛtaṁ padam*. (On *Divya*, xii, Lévi, T'oung Pao, 1907, p. 107).

210. *Raṇa* = *kleśa* *Raṇayati kleśayatīty arthaḥ*. *Araṇāvihārīn* in *Divya* and in the Pāli sources (JPTS, 1891, 3).

a. See *Kośa*, i.8 where the *Bhāṣya*: *raṇā hi kleśā ātmaparavyābādhanāt* is explained: *ye hy ātmānam parāṁś ca vyābādhanā te raṇā yuddhānīty arthaḥ*.

There are three *raṇas*, *skandharāṇa*, *vāgraṇa*, and *kleśarāṇa*.

To the reference in *Kośa*, i. trans. note 37, add: "*Maṣṭrī* and *Araṇā*," *Séances Ac. de Belgique*, April 1921; *Kośa*, iv.56; *Bodhisattvabhūmi*, fol. 37b and 83a; *Sūtrālamkāra*, xx.45; Śarad Chandra Dās, 1164.

b. The *Vibhāṣā* (TD 27, p. 898b23) enumerates the five means by which the Arhat avoids producing defilement in another: 1. purity of attitude (walking, etc.); 2. Knowledge of what he should say and should not say . . . 5. before entering into a village to beg, he examines whether a man or woman could, because of him, produce any defilement.

c. The doctrine of the Mahāyāna, for example the *Mahāyāna-saṁgraha* (9.2) differs from that of the *Kośa*: The Pratyekabuddha only arrests *savastuka* defilements, whereas the Buddha arrests all the defilements . . . The Buddha creates *nirmitas* or fictive beings . . . (TD 31, p. 151c).

d. The *araṇā* of the Śrāvaka and the Buddha are defined in *Abhisamayālamkāra*, vi.7: *śrāvakasyāraṇā draṣṭṛṇkleśaparibhāritā/ tatkleśasrota-ucchittiyai grāmādiṣu jīnāraṇā/*

The *araṇāsamādhi* of a Śrāvaka: "May there be no arising of any defilement in anyone who sees me! (*māsmaddarśanāt kasya cit kleśotpattiḥ syāt*)." But, for the Tathāgatas: "He roots out, in the villages, etc., the process of the defilements in all persons."

211. He who kills an Arhat commits a "mortal sin," even if he does not know that this is an Arhat, iv.103; the monk who insults a junior monk who is an Arhat is reborn five hundred times as a slave.

212. An Arhat causes another person to have no hatred with respect to himself, without however rooting out hatred in another; he can only cause another person to not have *satkāyadrṣṭi*, "the idea of a personality," with respect to himself; for if another person has *satkāyadrṣṭi*, he has *satkāyadrṣṭi* with respect to all persons.

213. *Abhisamayālamkāra*, vii.8: *anābhogam anāsaṅgam avyāghātāṁ sadā sthitaṁ/ sarvapratīnāpanud baudhāṁ prañidhijñānam iṣyate/* On *prañidhijñāna*, see ii.62a, p. 300, vi.22c, p. 938, Chap. IX (Hsüan-tsang, xxix.9a); *Mahāvuyutpatti*, 48.52.

214. I interpret the *Bhāṣya* according to the *Vyākhyā*, which says simply: *ārūpyas tu na sāksāt prañidhijñānena jñāyante/ niṣyandacaritaviśeṣāt tu/ karṣakanidarśanam cātreṇi vaibhāṣikāḥ*.

215. *Vibhāṣā*, TD 27, p. 897b24: Does *prañidhijñāna* know the future? Some say that by reason of the past and of the present he infers (*pi-chih* 比知 : to compare, to know)

the future; the same way that a person infers with certainty from fields or seeds that there will be a certain fruit. Some say that, if this is the case, *pranidhijñāna* is an *anumāna*, an inferential reasoning, not a *pratyakṣa* or direct perception. We say that *pranidhijñāna* does not know a result through its present cause, nor a cause through its present result: it is therefore *pratyakṣa* and not *anumāna*. (Compare *Kośa*, ii.62, p. 300).

*Āṅguttara*, iv.402, on the fact that the Bhagavat knows that Devadatta is destined for hell; on the omniscience of the Bhagavat, see *Paṭisambhidāmagga*, ii.194-195.

Explanations of Āryadeva, *Caṭuṣṭikā*, 257 (*Memoirs of the Asiatic Society of Bengal*, 1914, p. 492): ... *anāgatārthālambanam yoginām pranidhijñānam/ yathārtham ... tāttvikayā kalpanayā dṛṣyante'nāgato bhāvah*.

*Kathāvatthu*, v.8 (on consciousness or knowledge of the future: Andhakas).

216. *Yāvāms tatsamādhivijaya iti*: that is, as a Śrāvaka, the ascetic knows what is of the sphere of the Śrāvaka ... (vii.55 and elsewhere).

217. The four *Pratisamvids* are, it appears, the consciousnesses which make an excellent preacher. Burnouf, *Lotus*, 838-842 (*pratisamvid* = distinct consciousness); Childers, 366; Spence Hardy, *Manual* 499; a valuable note in the *Appendix* of the translation of the *Kathāvatthu*, p. 377-382.

*Paṭisambhidā* is to be understood in the very general sense of exact consciousness, for example: *Paṭisambhidāmagga*.

The four *paṭisambhidās*, *Paṭisambhidāmagga* i.119, *Vibhaṅga*, 293, 331, *Niddesa*, 234 (interesting), *Visuddhimagga*, 440-443; the four *pratisamvids*, *Daśabhūmaka*, ninth sphere (very different definitions); *Bodhisattvabhūmi*, Third Part; *Dharmasamgraha*, 51, *Mahāvūyutpatti*, 13, *Sūtrālaṅkāra*, xviii.34-37, xx.47 (the third is the gift of languages of different countries).

*Artha* and *dharma* are explained vii.39c-d. ... *teṣu dharmeṣu arthapratīsamvedī bhavati dharmapratīsamvedī ... Vyākhyā*, i. p. 56; *Dīgha*, iii.241. *dharmānūsārin*, *Kośa* vi.29a-b.

218. a. The Chinese render *pratisamvid* by *wu ai chieh* 無礙解 or *wu ai chih* 無礙知 = "unhindered understanding," "unhindered knowledge."

The *Vyākhyā* (ad 37c-d) has a gloss: *avivartī ity asakyaṁ vivartayitum*.

The *Bodhisattvabhūmi* (below) has *asaktam avivartayam*.

The meaning of *asaktam jñānam* is fixed by the definition of Bodhi in the *Bodhisattvabhūmi*. Bodhi is pure (*suddha*) knowledge, universal (*sarvajñāna*) and immediate (*asaṅgajñāna*): a knowledge obtained "by a simple bending of the mind," *ābhogaṁ mātreṇa*, without this bending being repeated, *na punaḥ punar ābhogaṁ kurvataḥ* (*Bodhisattvabhūmi*, I. vii. *Muse'on* 1911, p. 170).

b. *Bodhisattvabhūmi*, fol. 100a (I.xvii.7): *yat sarvadharmānām sarvaparyāyeṣu yāvadbhāvikatayā yathāvadabhāvikatayā ca* (See vi. note 326) *bhāvanāmayaṁ asaktam avivartayam jñānam iyaṁ eṣāṁ [bodhisattvānām] dharmapratīsamvit/ yat punaḥ sarvadharmānām sarvalakṣaṇeṣu ... iyaṁ eṣāṁ arthapratīsamvit/ yat punaḥ sarvadharmānām eva sarvanirvacaneṣu ... iyaṁ eṣāṁ nirukṣipratīsamvit/ yat punaḥ sarvadharmānām eva sarvaparakārapadaprabhedeṣu ... iyaṁ eṣāṁ pratibhānapratīsamvit/*

By reason of these four, *skandhakaūśalam*, *dhatvāyatanaḥkaūśalam*, *pratītyasamutpādaśtānāsthanakaūśalam*, the *dharma*s are well known and well preached.

219. Here the *dharma* is *deśanā*, the teaching (*deśanādharmā*), as it is said: "I shall teach you the *Dharma*, propitious in the beginning, propitious in the middle, propitious in the end, of good meaning, of good syllables; the single complete, pure, purified *brahmacārya*, I shall promulgate it to you." [We have *pratipattidharma* in the text: "What is the *Dharma*? The Eightfold Path." We have *phaladharmā* = *nirvāṇa*, in the text: "Take refuge in the *Dharma*." See iv.31, vi.73c.]

But the word of the Buddha is *nāman* or *vac*, see *Kośa*, i.25, trans. p. 86.

220. The expression *yuttamuttapaṭibhāna* in *Āṅguttara*, ii.135; explained in *Puggalapaññatti*: the *yuttapaṭibhāna* person, when questioned, answers well, but not quickly; the *muttapapaṭibhāna* person answers quickly, but not well; the *yuttamuttapaṭibhāna* person answers quickly and well. The preacher of *Divya*, 329,493 is *yuktamuktapratibhāna*; of *Avadānaśataka*, ii.81, *yuktamuktapratibhānin* and *yuktamuktavidhānājñā*. [Compare the *asaṃsaktākṣarapada* of the *Mahābhārata*, xviii.6.21, Hopkins, *Great Epic*, 364?].

I translate *mukta* by "easy," "without difficulty" according to the Pāli; Paramārtha has *li chang shih* 離障失, free from the defect of any obstacle.

221. Above page 1154 line 16.

222. On the meaning of *pratibhāna* see *Mahāvastu*, i.511, *Avadānaśataka*, i.48.10, ii.50.12, 81.

223. When it has voice for its object (*vāgāmbanā*) it is, by nature, *duḥkha*, *samudaya*, *dharma*, *anvaya*, *kṣaya*, *anutpāda*, and *saṃvṛtījñāna*.

When it has the Path for its object, it is *mārga*, *dharma*, *anvaya*, *kṣaya*, *anutpāda*, *paracitta*, and *saṃvṛtījñāna*.

224. *Vibhāṣā*, TD 27, p. 904a25: Three opinions. First opinion: it is of Kāmadhātu and the First Dhyāna; second opinion: it is of Kāmadhātu and the Four Dhyānas; third opinion: it is of Kāmadhātu and Anāgamyā, Dhyānantara and the Four Dhyānas. P'u-kuang observes that the first opinion supposes that *nāman* is bound to the voice, and that the other two opinions suppose that *nāman* is bound to the body. Fa-pao does not agree.

225. The Sūtra says: *vitarkaṃ vicārya vācāṃ bhāṣate* (ii.33, p. 203).

226. The word *ādi* includes *kāla*, *kāraka*, etc.

227. According to Paramārtha: "*Pratibhāna* is to speak victorious words of demonstration and refutation"; according to Hsüan-tsang: "A flow of words without a dike."

228. *Mahāvvyutpatti*, 76.12.

229. Paramārtha: "But, according to other masters . . ." Hsüan-tsang: "In fact, only the study . . ."

230. *Kim cit tadvyatiriktaṃ kevalaṃ prāntakoṭikam iti*: "There is a *prāntakoṭika*, distinct from the preceding ones, called simply *prāntakoṭika*." According to the gloss of Saeki, this refers to the *prāntakoṭika* upon which the Saint supports himself in order to reject his life . . . (See *Kośa*, ii.10a, trans. p. 168; vi.59, note 389).

231. For the Sarvāstivādins, only the Fourth Dhyāna can be *prāntikoṭika*; according to Shīramati (*Tsa-Chi*, 9.9), all Four Dhyānas and all four *ārūpyas* are *prāntakoṭika*. *Vibhāṣā*, TD 27, p. 895c6.

232. *Mahāvvyutpatti*, 67.5 and *Dharmaśāstra*.

233. Hsüan-tsang adds: "*Prānta* signifies 'not passing beyond'; this absorption is called *prānta* because another cannot pass beyond it." Hsüan-tsang translates *prāntakoṭi* = *pien-chi* 邊際 (limit-end), Paramārtha, *yuan-chi* 遠際 (distant-end).

Compare *Yogasūtra*, ii.27, *prāntabhūmi*.

234. *Mahāvvyutpatti*, 94.2, *bhūtakoti*, *shih-chi* 實際, *chen shih chi* 真實際, *yan day par mba'* (which appears to signify "the true limit"). Burnouf, *Lotus*, 309, observes that *bhūtakoti* is not the equivalent of Bhavāgra, "the highest stage of existence," since, in the *Lankavatara*, *bhūtakoti* signifies *sūnyatā* or emptiness.

The gloss of Kyokuga Saeki, "*bhūta* = all the *dharma*s" appears to be very good. The *Madhyamakāvatara* (Tibetan trans. p. 344) says clearly that *nīrodhasamāpatti* = *bhūtakotisamāpatti*. (It belongs to the Bodhisattva stage of *Dūramgamā*). The ascetic who

has attained Bhavāgra can penetrate into *nirodhasamāpatti* (ii.44d. p. 229), which is "the true end," "the absolute end of existence."

The use of *bhūtakopi* in Mahāyāna writings does not interest us here; let us mention however *Bodhicaryāvatāra*, ix.2.38, *Śikṣāsamuccaya*, 257, *Madhyāntavibhāga*, i.15; Commentary on the *Nāmasamgīti*, vi.6: *aviparyāsārthena bhūtakopiḥ*; *Madhyamakāvatāra*. 340.

235. vi. trans. p. 1012.

236. At the moment when he becomes a Buddha by becoming an Arhat, by detaching himself from Bhavāgra, ii. p. 227.

237. If the Tīrthikas possess the five *abbhijñās*, yes, according to the Haimavatas, Sarvāstivādins, and Vātsīputriyas; no, according to the Mahīśāsakas, and Dharmaguptakas (according to Vasumitra and Bhavya).

Pūrṇa, who is only a *prajñāvimukta* (and not a *ubhayatobhāgavimukta*, vi.64a), is not able to exercise supernormal powers (*ṛddhi*) "in common with the Tīrthikas"; but he quickly obtains the six *abbhijñās* (*Divya*, 44).

238. By reading *upapad* for *upapāda* (?).

The bibliography on the *abbhijñās* is infinite. *Majjhima*, i.34, ii.238, *Dīgha*, i.8, iii.110; *Anguttara*, iii.245; *Visuddhimagga*, 202, 373, 406; *Compendium*, 209 and above all the *Introduction*, p. 61 and following; *Mahāvūyutpatti*, 14, *Dharmasamgraha*, 20; *Daśabbhumī*, iii. (trans. *Madhyamakāvatāra*, p. 57, *Museon* 1907); *Sūtrālamkāra*, vii.1.9; *Śikṣāsamuccaya*, 243, *Bodhicarya*, ix.41.

*Abhijñā*, compare *oñhāna* in Hoernle, *Uvāsaga*, trans. p. 48.

For the primitive sense of the word, see Burnouf, *Lotus*, 820; Kern, *Lotus*, 131; Rhys Davids, *Milinda*, ii.231, *Dialogues*, i.62, 157; Windisch, *Geburt*, 9.62. *Abhi-jñā* is spoken of with reference to a knowledge of the Truths, vi.54c, 66, ix. (Hsüan-tsang xxix.14b), etc.

239. On *ṛddhi*, iv.117d ("an ornament of the mind"). vi.69 (*ṛddhipāda*), vii.47 (*ṛddhipratihārya*), 48 (*gamana* and *nirmāṇa*), 53 different types of *ṛddhi*; viii.35b (*ārya ṛddhi*).

a. We have: *ṛddhiḥ samādhiḥ/ ṛddhivīśayo nirmāṇam gamanam ca/ ṛddhivīśaye jñānam tasya sāksātkriyā sanimukhibhāvah* (*Vyākhyā*).

For the definition *ṛddhi* = *samādhi*, vi.69, p. 1024, vii. 48a; *Paṭisambhidāmagga*, ii.205-206.

*Mahāvūyutpatti*: *ṛddhividhijñāna*; Pāli: *iddhividhā, iddhippabbheda; prabbheda* can be understood as in *prajñāprabbheda*, viii.27c.

The Pāli sources occasionally includes *ṛddhi* in the category of the "knowledges"; *yamakapāṭibhīre nāṇa* is the knowledge having the miracle of the water and the fire for its object; *iddhividhe nāṇa* (*Paṭisambhidāmagga*, ii.125, i.111); *pañcābbhiññānāṇa* and *aṭṭhasamāpattiñāṇa* (*Mahāniddeśa*, 106).

b. The Sūtra of the *Rddhyabbijñā* is quoted in the *Vyākhyā*, vi. 69; it presents some variants to the Pāli edition (*Dīgha*, i.77, *Majjhima*, i. 34, *Anguttara*, ii.280; commentaries in *Paṭisambhidāmagga*, ii.207, *Visuddhimagga*, 373-406), and also to the text of the *Mahāvūyutpatti*, 15 (according to the *Mahāparinirvāṇa sūtra*): *anekavidham ṛddhivīśayam pratyabubhavati/ eko bhūtvā babudhā bhavati/ babudhā bhūtvā eko bhavati/ āvirbhavati tirobbhavam apy anubhavati/ tirah kudyam tirah prākāram [tirah parvatam] asajjamānah kāye (?) gacchati tadyathākāse/ pṛthivyām unmajjananimajjanam karoti tadyathodake/ udake/ bhīdyamānena srotasā gacchati tadyathā pṛthivyām/ ākāse paryāṅkeṇ kramati tadyathā sakuniḥ pakṣi/ imau vā punaḥ sūryacandramasāv evam maharṛddhikāv evam mahānubhāvan pānini āmāṣṭi parimāṣṭi yāvad brahmalokaṁ kāyena vāse vartayata* (see note 293) *itīyam ucyaṭa ṛddhiḥ*.

Pāli edition: ... *sa evam samāhite citte añejaṇṇapatte iddhividhāya cittam abhinīharati abhininnāmeti/ so anekavīṭṭam iddhividham paccanubhoti/ eko pi hutvā ... Anekavīṭṭam*

*iddhividham* corresponds to *anekavidham ṛddhivisayam*. Yaśomitra explains *ṛddhivīṣaya* as "the operation of magical power, the object of the consciousness which realizes the miracle" and employs the expression *ṛddhivīṣaye jñānam* as in the *Paṭisambhidāmagga*, i.111: *iddhividhe ñāṇam*. Rhys Davids-Stede mention *Vinaya*, iii.67 (*Pārājikā*, ii.47): "There is no sin in this magical operation (*iddhivisaye*) for those who possess *iddhi*," and *Nettipakaraṇa*, 23: "... impossible to resist at death, if not through the operation of magic (*aññatara iddhivisaya*)." [The version "extent of psychic power" is not admissible].

*Pratyannubhaviati-paccannubhoti*, *Lotus*, 838 (on *Divya*, 204); we have *ṛddyanubhava* in *Avadānasataka* ii.129 (= *ṛddhi*); *ānubhāva* = "supernatural power" (Childers).

c. According to *Paṭisambhidāmagga* (ii.207), the miracles of the Sūtra of the *Rddhyabhiññā* (above b) are one of the ten *iddhis*, *adhiṭṭhāna iddhi* [The same *Compendium*]. See *Kośa*, vii.52a; on different manifestations of *ṛddhi*, vii.38 and following.

*Prabhāva* is not to be confused with *ṛddhi* (above page 81). Occasionally we have *ṛddhiprabhāva* as *ṛddhisampāda*, *ṛddhivāsitā*, or *ṛddhyasāivarya*.

240. The Sūtra continues with a description of *divyacakṣus* and *pūrvanivāsānusrīti*.

241. The fifth *abhiññā* in the Sūtra.

*iha bhikkhū parasattvānāṃ parapudgalānāṃ vitarkitaṃ vicaritaṃ manasā mānasam yathābhūtaṃ prajānāti/ sarāgaṃ cittaṃ sarāgaṃ mīti yathābhūtaṃ prajānāti/ vigatārāgaṃ ... sadveṣam ... vigatadveṣam ... samohaṃ ... vigatamohaṃ ... vikiṣiptaṃ ... samākiṣiptaṃ ... linaṃ ... pragṛhitaṃ ... uddhataṃ ... anuddhataṃ ... avyupasāntaṃ ... vyupasāntaṃ ... samāhitaṃ ... asamāhitaṃ ... abhāvitaṃ ... bhāvitaṃ ... avimuktaṃ ... vimuktaṃ cittaṃ vimuktaṃ iti yathābhūtaṃ prajānāti/ iyaṃ ucyate cetaḥparyāyājñānasākṣātkriyābhiññā.*  
Above page 1099 and following.

Explanation of the expression *cetaḥparyāya*: *cetaḥparyāyo viśeṣo raktaṃ dviṣṭam mūḍham iti vā/ karma va paryāyāḥ kadā cid raktaṃ kadā cid dviṣṭam mūḍham vā.*

Another name of this *abhiññā* is *paracittajñāna*, see above page 1098.

242. *Abhiññā*s four, five, and six are the three "knowledges" acquired by the Bodhisattva on the night of Bodhi; on the three knowledges, see vi.45.c.

243. *Vyākhyā*: *iha bhikkhū anekavidhaṃ pūrvanivāsaṃ samanusrītiṃ iti babhūḥ sūtravad grāhito yāvad iyaṃ ucyate pūrvanivāsaṃ nusrītiṃ jñānasākṣātkriyābhiññā.*

Below (*ad vii.43.d*): ... *amī nāma te sattvā yatrābham abhūvam evamānāṃ evamāgotra evamājātir evamāsuksaduhkhapratīsamvedī evamācīrasthitika evamāyupaparyantaḥ/ so'ham tasmāc cyuto'nutropapannaḥ/ tasmād api cyuto'nutropapannaḥ/ tasmāc cyuta ihopapanna iti sākāraṃ soddeṣam [sanidānam] anekavidhaṃ pūrve nivāsaṃ anusmaratītiyam ...* (Variants in the Pāli edition).

Commentary in the *Samantapāsādikā*, i.158.

This *abhiññā* is not to be confused with *jāṭismarata* which is one of the "natural powers" of the Buddhas or Bodhisattvas, vii.55, iv.109, notes 493 and 494 (the limits of the consciousness of the past).

244. This is the third *abhiññā* in the Sūtra. It has two names: Hsüan-tsang calls it *divyacakṣus*, *Paramārtha*, *cyutyupapādaññāna*. *Mahāvīyutpatti* has *cyutyupapatti*, the *Sūtrālamkāra*, *cyutopapāda*.

*Kośa*, viii.27c, *Suttanipāṭa*, 1112, 1136, *Saṃyutta*, iii.213.

245. See *Paṭisambhidāmagga*, i.115, the explanation of *āsavakkhaya* as a function of the three pure *indriyas* (*Kośa*, ii.4) and of the results (*Srotāpaṇna*, etc.) [Curious description of *bhavaśava*].

246. See page 1164 line 5.

247. According to *Vibhāṣā*, TD 27, p. 728a28, reproduced almost literally in the *Bhāṣya*.

248. *Vyākhyā*: In the same way that the results of the religious life (vi.51), as conditioned *dharmas*, are by nature *vimuktimārga*. See Below note 257, note 270.

249. Saṅghabhadra: According to the Westerners, six *jñānas*: for example *dharma*, *anvaya*, *duḥkha*, *samudaya* and *mārgajñānas*, and knowing *saṁvṛta* things of the past.

250. What is under consideration here is the consciousness of the mind of another, which is pure (four of the five *jñānas* enumerated, with the exception of *saṁvṛtijñāna*) and impure (*saṁvṛtijñāna* and *paracittajñāna*).

251. See vii.28c.

252. According to the *Compendium*, 61, the fifth *dhyāna* is *adhiṣṭhānapādakajhāna*, that is to say the *dhyāna* which serves as the support for the process of volitions which creates the manifestation of the *abhiññā*. But *Paṭisambhidāmagga*, ii.205 considers the Four Dhyānas the four *bhūmis* of *ṛddhi*.

253. Same doctrine in *Paṭisambhidāmagga*, i.113: "Such *rūpa* has its origin in *saumanasyendriya* . . ."

254. *Vibhāṣā*, TD 27, p. 518c25: Does the preparatory exercise (*prayoga*) take place through a memory of "moments" (*kṣaṇa*) or of successive "states" (*avasthā*)? Evidently by a memory of states, not of moments. If the ascetic proceeds by reviewing moment to moment, death would come before he had reviewed one half of his life. How does one complete the *prayoga* exercise? . . . P'u-kuang: There are three explanations; the second is the best, because the word "to complete" (in "to complete the *prayoga* exercises") should not be understood in a narrow sense (*fei pi chū* 非必局, Couvreur, 1904, p. 244).

255. According to the Sūtra quoted above: . . . *sākāraṃ soddeṣam* . . . (above note 243).

256. According to Paramārtha; Hsüan-tsang: "he no longer considers *svacittarūpa*."

257. According to Paramārtha. Hsüan-tsang: ". . . and up to his remembering the moment before *antarābhava*. [Gloss of the Japanese editor: "that is to say, the last thought of his former existence"]. Then the *prayoga* or preparatory exercise of *pūrvanivāsa* [*anusmṛti*] is achieved." *Prayoga* is *ānantaryamārga*; *abhiññā* is *vimuktimārga*.

258. *Vyākhyā*: *parasamṛtatyadhiṣṭhānenotpādanam iti/ dhyānasamgrhātām pūrvanivāsānusmṛtijñānam/ tena cārūpyāvacarām cit cittaṃ na grhṇāti/ katham ca punaḥ parasamṛtatyadhiṣṭhānenotpādanam/ samanantaraniruddhān manovijñānāt parakīyān nimittam udgrhyeti vistarāḥ* (see page 1159 line 25)/ *anyeṣām iti ya ārūpyebhyo na pracyutās teṣām svasamṛtatyadhiṣṭhānenavotpādanam pūrvanivāsānusmṛtijñānasya sukaratvāt*.

259. The discipline by which the ascetic obtains divine sight (or *jñānadarśana*) is defined in *Paṭisambhidāmagga*, i.114. One should cultivate *alokasāñña* day and night (*Dīgha*, iii.223). How the ascetic produces trembling of the earth, *Dīgha*, ii.108. "Lightness," i.10d, 12c.

260. Hsüan-tsang adds: "Furthermore, in the *Ārūpyas*, *vipaśyanā* is deficient, *saṁatha* is in excess: now the five *abhiññās* should be in a sphere where *vipaśyanā* and *saṁatha* are in equilibrium. By this very fact, *Anāgamyā*, etc., are also out of the question."

261. Quoted in *Vyākhyā* ad vii.36.

262. According to Paramārtha. Hsüan-tsang is briefer, and places a note here on the extension of the power of the *abhiññā* of different saints, a note which Vasubandhu places above, page 1177.

263. Paramārtha: the *abhiññā* of the mind of another is included in three *smṛtyupasthānas*.

264. Paramārtha: *ṛddhi*, ear and eye are the first [*smṛtyupasthāna*].



265. See vii.49.

266. Compare *Majjhima*, i.22, *Samyutta*, ii.214.

267. There is another knowledge, *abhiññāparivārañāna*.

268. Hsüan-tsang's version of the *Kārikā* has: "The other [*abhiññās*] are four [*smṛtyupasthānas*]," and the Bhāṣya: "The other *abhiññās* are included in the four *smṛtyupasthānas*, because they have the five *skandhas* for their object."

269. See vii.25d, page 1133.

270. All acquisition includes two paths, the path which removes the obstacle, and the path of deliverance. We have seen that the *abhiññās* are "*prajñā* of the path of deliverance" (vii.42d). According to the opinion presented here an ascetic depends on any of the Dhyānas for everything that is necessary for the obtaining of the *abhiññā* of divine sight; but the obtaining itself is of the First Dhyāna.

271. These are the three knowledges of *Majjhima*, i.22, 246 (acquired in the three watches of the night of Bodhi); see viii.27c; *Anguttara*, vi.211, *Dīgha*, iii.220, 275.

272. Compare iii.25, 31. In certain sources, we have a list of eight *vijjās* among which are six *abhiññās*, Childers, 571, *Visuddhimagga*, 202.

The *Vyākhyā* quoted the Sūtra: *trīṣiṭo bhavati trividyā iti*. Compare *tevijja*, *tevijjaka*.

273. Bhagavadviśeṣa and others explain the formula "in order," *yathākramam*, as follows: One destroys error relative to the past by the remembrance of former existences; error relative to the present by the consciousness of death and rebirth; and error relative to the future through the consciousness of the destruction of the *āsravas* (for one says *nāparam asmād bhavaṃ prajānāmi*). In fact, the order of the time periods is past, present, and future.

But Saṃghabhadra (in his *Nyāyānusāra*, TD, p. 754a14) explains: Remembrance of former existences puts an end to error relative to the past; the consciousness of death and rebirth puts an end to error relative to the future; and the consciousness of the destruction of the *āsravas* puts an end to error relative to the present. This is why these three *abhiññās* are *vidyās*. By the first, one sees the suffering of self and others; by the second, the suffering of others, and one experiences *saṃvega* or disgust; thus disgusted, one produces the third, and one sees the happiness of Nirvāṇa. This is why these three *abhiññās* are *vidyā*.

Yaśomitra shows that the thought of Vasubandhu is correctly interpreted by Saṃghabhadra.

274. Paramārtha: "Two have the same name, having arisen in its series."

275. Paramārtha: "In the Śāikṣa, they are not called *vidyā* because the series is accompanied by *avidyā*." Hsüan-tsang has only one *pāda*: "In the Śāikṣa, there is obscurity, not *vidyā*."

In *Samyutta*, ii.58, the ascetic in possession of purified *dharmā-nāṇa* and *anvaya-nāṇa* is called a *diṭṭhisampanna*, and *sekha nāṇa* and *sekha vijjā* are attributed to him.

276. According to Paramārtha.

According to Hsüan-tsang, "There is error-obscurity in the Śāikṣa. Thus, even though the first two *abhiññās* exist in him, they are not called *vidyā*, and even though, for a certain time, they conquer and destroy obscurity, they are again obscure: therefore they are not called *vidyā*."

277. We have *pāṭihāriya*, *pāṭihārika*, *pāṭihera*, and *pāṭihira*, Senart *Kaccāyana*, 536; Childers, 361; Kern, *Manual* 60; Geiger, *Pāli Grammar* 51.

*Pāṭihira* = a miracle; *yamakapāṭihira* in *Paṭisambhidāmagga*, ii.125.

*Milinda* 309, miracles produced on the tomb of one who has attained Nirvāṇa through their former *adhiṭṭhāna* (see *Kośa*, vii.51), by the *adhiṭṭhāna* of the gods or of believers.

Paramārtha and Hsüan-tsang translate *tao* 導 ; in *Mahāyutpatti*, *pien-hua* 變化 to change-convert, *shen-pien* 神變 *iddhi*-change.

278. *Dīgha*, i.193, 212, iii.220, *Āṅguttara*, i.170 (belonging to numerous Bhikkhus), v.327; *Mahāvastu*, iii.116; Burnouf, *Lotus*, 310, *Divya*, xii, the *Prāṭihāryasūtra*.

The *prāṭihāryas* are means (*upāya*) of seduction (*āvarjana*), *Bodhisattvabhūmi*, i.6.

279. This phrase is not in Paramārtha. *Mahāyutpatti*, 146.7, *pratibhatacitta*.

280. Hsüan-tsang: "These three *abhiññās*, in this order, cause one to take refuge in the Buddhadharma, to believe in it, and to cultivate it (in the sense of *adbigama*)."

281. *Rddhiprāṭihārya* is inferior because one can produce *iddhi* by formulas: Vasubandhu here records an old opinion, *Dīgha*, i.213 (below note 283). [But the Buddha cultivates *iddhiprāṭihārya*, *Dīgha*, iii.9, and elsewhere]. We should mention *Culla*, v.8.2 (the story of Piṇḍola): one who manifests his *uttarimanussadhamma* *iddhiprāṭihārya* to householders is guilty of a *dukkāṭa* [or better: "he who manifests his magical powers"] [See the remarks of Rhys Davids on the period of the usage of the term *dukkāṭa*, *Dialogues*, iii.3]; compare *Divya*, 275, and Przyłuski, *Aṣoka*, 80.

*Dīgha*, iii.112, says that *iddhi* which is "holy, free from *āsava* and from *upadhi*" is equanimity (*upekkhā*), and that the *iddhi* of miracles (*eko pi hutvā*, etc.) is *iddhi* which is "not holy (*na ariyā*), possessing *āsava* and *upadhi*." See viii.35b.

*Āṅguttara* opposes *dhamma-iddhi* and *āmisā-iddhi* (i.93); Devadatta only obtained *puthujjanikā iddhi* (*Culla* vii.1.5. and commentary to *Dhammapada* 17).

The *anusāsanavidhās* of *Dīgha*, iii.107, have nothing in common with *anūsāsanī*.

282. Paramārtha translates *vidyāsthāna*; Hsüan-tsang has the expression that Eitel translates "magic incantation" in the words of the *Atharva Veda*.

The four *ādesanavidhās*, *Dīgha*, iii.103.

283. See *Divya*, p. 636 at the bottom.

*Dīgha*, i.213: *atthi kho bho gandhārī nāma vijjā/ tāya so bhikkhu anekavibhitam iddhividham paccanubboti/ eko pi hutvā ... / imam kho aham iddhiprāṭihārye ādinavam sampassamāno iddhiprāṭihāryena ... jigucchāmi ...*

[*Dialogues*, i.278: in *Jātaka*, iv.498, this *vijjā* is a charm for making one invisible].

*Gandhārī*-*Gandhārī* is a *vidyādevī* (Hemacandra).

It is by the *Gṛndhṣṛamantra* that the hero of Ralston-Schiefner, *Tibetan Tales*, p. 288 (Kanjur iv.171) obtains the fruits of the mountain Gandhamādana.

284. Hsüan-tsang, *ikḍaṇi*; Paramārtha, *ikḍanikṣ*. Below vii.56b. *Bodhicaryavatṣara*, ix.25; *Saṃyutta*, ii.260, *utthi ikkhanikṣ*.

*atthi maṇiko (maṇikā) nāma vijjā/ tṣya ... parasattānam ... cittam pi ādisati cetasikam pi ādisati ... / ... jigucchāmi/ katamam ca kevaḍḍha anusāsaniprāṭihāryam ...*

[*Dialogues*, i.278, Buddhaghosa identifies the "jewel charm" with *cintāmaṇi vijjā*; Rhys Davids refers to *Jātaka*, iii.504, *Sumaṅgalavilāsini*, 265, 267, 271.]

285. Hsüan-tsang: "*Anusāsaniprāṭihārya* can only be realized through *āsravakṣayābhijñā*, thus it is *avyabbicārin*." According to the editor, we should understand: "thus it necessarily has the results of salvation and happiness."

286. See above note 239.

The ten types of *iddhi*, of which the last three interest us here (*adhiṭṭhānidhi*, *vimubbaniddhi*, *manomayiddhi*), are defined in the Introduction to the *Compendium*, p. 60 (*Paṭisambhidāmagga*, ii.205, *Atthasālinī*, 91, *Visuddhi*, 202, 373, 766). Mrs. Rhys Davids, *Psychology*, 199.

For the limits of the power of *iddhi*, see *Kathāvatthu*, xxi.4.

*Bodhisattvabhūmi*, i.5 (*Muséon*, 1911, p. 156-164).

287. Paramārtha: *atra ākāśagamanam nirmītam*. Hsüan-tsang: "The *viśaya* is twofold, *gamana* and *nirmīta*."

*Samyutta*, v.282, is interesting.

288. "Displacement of *adhimokṣa*," originating from *adhimokṣa*, *ādhimokṣika*."

"Rapid displacement like the mind." We have, in *Divya*, 52-53, the narration of the journey of the Buddha and Maudgalyāyana through the Marīcika universe. They go by means of Maudgalyāyana's *ṛddhi*, by using Sumeru as a spring-board: the journey takes seven days; but they return instantly through the *ṛddhi* of the Buddha: "What is the name of this *ṛddhi*? *Manojavā*." *Ibid*, p. 636 at the bottom, a *manojavā vidyā*. Rhys Davids-Stede mentions *manojavā* as an epithet of horses, *Vimānavatthu*.

289. See above page 1146-7.

290. Compare *Anguttara*, ii.80, *Divya*, 53.

291. *Pakkhī sakuno*. See the explanations of *Visuddhi*, p. 396.

292. *Prthagjanas* possess this first *gamana* (Hsüan-tsang).

293. The *Paṭisambhīdā* quoted in *Visuddhi*, 401: . . . *brahmalokaṃ gantukāmo . . . dūre pi santike adhiṭṭhāsi santike hotū ti santike hoti*: Desiring to go to Brahmaloaka, he creates *adhiṭṭhāna* that this may be near or far: "May this be near!" and this becomes near. [This is the explanation of the Sūtra *kāyena vasam vatteti*, above note 239b.

294. Four *āyatana*s, not sound; see page 1173 and vii.51b.

295. *Bāhya*, external, in the sense of *anupātta*, not constituting a sense organ in the service of the mind, *Kośa*, i.34c.

296. As we have seen i.30b-d.

297. Compare the definitions of the three magical *iddhi*s in *Compendium*, Introduction, p. 61 and *Visuddhi*, 405.

298. That is to say, a mind of the sphere of Kāmadhātu through which one creates *rūpa* of Kāmadhātu, and a mind of the sphere of the First Dhyāna through which one creates *rūpa* of the First Dhyāna. vii.51a-b.

299. Paramārtha: "Not higher"; *Bhāṣya*: "The mind that is capable of creating fictive beings of a higher sphere is not the result of a mind of a lower *dhyāna*." Hsüan-tsang does not translate this *pāda* in the *Kārikā*, but in the *Bhāṣya*: "[The mind that is capable of creating fictive beings] does not depend on a lower sphere."

300. A fictive being created in Kāmadhātu by a mind capable of creating fictive beings of the Second Dhyāna, even though it is of the sphere of Kāmadhātu, can appear in the heaven of the Second Dhyāna. A fictive being created in the heaven of the First Dhyāna by a mind capable of creating fictive beings of the First Dhyāna does not have access to the heaven of the Second Dhyāna.

301. One obtains the *dhyānas* through detachment (*vairāgya*). By becoming detached from Kāmadhātu one obtains the First Dhyāna. At the same time, one "takes possession" of the minds capable of creating fictive beings which can exist in this *dhyāna*.

302. Is there a falling away (*vyutthāna*) from contemplation at the end of *nirmāṇacitta*? No. 50c-d. It proceeds from pure *dhyāna* and from itself; it is followed by the two. After *suddhaka dhyāna* there succeeds an *abhiññā* of fictive creation. After this *abhiññā* of fictive creation there succeeds *nirmāṇacitta*, the result of this *abhiññā*. After this *nirmāṇacitta* there succeeds innumerable *nirmāṇacittas*, which do not arise from another *citta*. Finally after the last *nirmāṇacitta* there succeeds an *abhiññā* of fictive creation. After this there succeeds a

*suddhaka dhyāna* or a *nirmāpacitta*. How is this? If the person who is in the *samādhiphala* (*samādhiphalasthitasya* = *nirmāpacittasthitasya*) does not return to the *mūladhyāna*, there will be no falling away (*vyūthāna*) from the *samādhiphala*.

303. Quoted in *Vyākhyā*, ii.71b.

304. This stanza is commented on in *Vyākhyā*, i. p. 27 (Petrograd 1918). See *Divya*, 166, closely related to the *Kośa*: *yam khalu śrāvako nirmītam abhinirmīte yadi śrāvako bhāṣate nirmīto'pi bhāṣate/ śrāvake tūṣṇibhūte nirmīto'pi tūṣṇibhāvati/ ekasya bhāṣamānasya sarve bhāṣanti nirmītaḥ/ ekasya tūṣṇibhūtasya sarve tūṣṇibhāvanti te// bhagavān nirmītam praśnam prcchati bhagavān vyākaroṭi* (Read rather: *bhagavantaṁ nirmītaḥ praśnam prcchati/ bhagavān vyākaroṭi/ nirmītam bhagavān praśnam prcchati/ nirmīto vyākaroṭi*). Compare *Dīgha*, ii.212. *Madhyamaka*, xvii.31-32.

On the *nirmītas*, *Kāraṇaprajñapti*, xi. *Madhyamakavṛtti*, p. 45.

305. a. We encounter many times *adhiṣṭhāna*, *adhiṣṭhāti*, in the sense of "making last" (*adhiṣṭhānikī ṛddhi*, iii.9; below note 306; ii. page 165, *āyusamskāraṇ adhiṣṭhāti* (*stapayati*) = *adhiṣṭhānavaśū*; vii. p. *adhiṣṭhānaprabhāva*).

b. Numerous uses in a little or non-technical sense, for example *Bodhicaryāvatāra*, ii.45: "The preacher is *adhiṣṭhita* (= *ātmasāṅkṛta* by the messengers of Yama"; *Mahāvastu*, iii.376; *Śikṣāsamuccaya*, 314: "Beings who do not understand the speech of the Bodhisattvas are possessed (*adhiṣṭhita*) by Māra." *Śikṣāsamuccaya* 356: "All good actions are presided over (*adhiṣṭhita*) by diligence"; *ibid.* 285: "The Bodhisattva makes his dispositions (*āśaya*) well guarded, purified, taken in hand (*svadhiṣṭhita*)"; *Samyutta*, v.278: *suggahita svadhiṣṭhiti*; *Samyutta*, iii.10, 135 (*adhiṣṭhānabbhiniveśa* of the defilements in the mind).

c. *Adhiṣṭhāti* = "To exercise a certain action on a thing, a person, oneself, by means of *adhimokṣa*, 'volition, will,' applied to this thing, etc."

This general sense is specialized, in the *Kośa*, into the sense of "making last"; [in the vocabulary of the *Paṭisambhidāmagga*, ii.207, it refers to the miracles of multiplication, etc.; ii.207; see also *Atthasālinī*, trans. p. 121 and *Compendium*]. But it is through *rūpādhiṣṭhānabala* (*Śikṣāsamuccaya*, 330.11) that flowers place themselves around the head of Dīpaṁkara (*Divya*, 251.1); the Buddhas convert through *adhiṣṭhāna* (= *anubhāva*), *Bodhicaryāvatāra*, i.5.

d. Burnouf translates "benediction" from *byin kyi rlabs*. It is, he says, through the benediction of a Thera that a person travels in the *Thūpavamsa*; it is through a benediction of the Buddhas that the Bodhisattvas form vows to become Buddhas. (See the two *adhiṣṭhānas*, of which the second is a consecration, *abhiṣeka*, of the *Laṅkāvatāra*, Nanjo, p. 100). The eighth *bhūmi* is the *adhiṣṭhānabhūmi* (a great variety of Chinese equivalents): this *bhūmi* is so called because it cannot be shaken (*parāvīkopaṇatvān*).

e. Compare *Gūṭā*, iv.6: *prakṛtiṁ svaṁ adhiṣṭhāya sambhavāmy ātmamāyayā*, and *Lotus*, xv.3: *ātmānam adhiṣṭhabhāmi sarvāṁś ca sattvān . . . nirvāṇabhūmiṁ cupadarśayāmi . . . na cāpi nirvāmy abu tasmī kālē*.

306. *Divya*, 61. *maîtreyaḥ . . . kāśyapasya bhikṣor avikopitam asthisamghātaṁ dakṣiṇena paṇinā grhītvā . . .* On all the legends relative to Kāśyapa, Przyluski, *J.As* 1914, ii.524, and *Açoka*, 169, 331; *Fa-hien* (= *Fa-hsien*), chap. xxxiii.

*Kośa* iii.9d examines why the Bodhisattva is born from a womb, and not through an apparitional birth. It is with an end to being able to leave his relics, for the bodies of apparitional beings disappear at their death. This explanation is given by the masters who deny the *adhiṣṭhānikī ṛddhi* of the Bhagavat.

307. Dreams provoked by the *adhiṣṭhāna* of the gods, *Vinūta-deva ad Nyāyabindu*, p. 47 (Tibetan translation in *Bibl. Indica*).

308. Commentary in *Āṅguttara*, i.209.

309. *Manaāyatana* and *dharmāyatana* are not capable of being created, because the fictive being (*nirmāta*) is devoid of mind, as results from the *Sāstra*: *nirmītaḥ acintiko vaktavyaḥ* / . . . *nirmātuḥ cittavaśena vartate* (*Vyākhyā*). This *Sāstra* is the *Kāraṇaprajñāptiśāstra*, analyzed in *Cosmologie bouddhique*, p. 340-341.

310. See i.10b. It has been said above, page 1169, that "creation" consists of external *āyatanas*.

311. Hsüan-tsang puts *Kārikā* 54 before *Kārikā* 53c-d.

312. The *ṛddhi* that certain beings possess because they belong to a certain category of beings.

Examples of innate *ṛddhi*, *Mahāvagga*, i.15.2, vi.15.8, *Culla* vii.1.4; 2.1. The four *iddhis* (beauty, long life, absence of sickness and good digestion, popularity) of the king of the *Mahāsuddassanasutta* have something of the supernatural about them; but when Ajātasattu promises to destroy the Vajjyas, however great their *iddhi* may be, however great their *anubhāva* may be (*Mahāparinibbāna*), the sense of these expressions remains doubtful. His minister Vassakara is without doubt a good magician.

313. *Ṛddhi* produced by "magic," through the power of formulas or herbs.

314. For example, the *ṛddhi* that certain persons possess from birth, such as Māndhātār, not because they are humans, but by reason of certain actions; differing thus from innate *ṛddhi* (see below note 328).

315. These persons possess eyes and ears "arisen from karma."

316. Hsüan-tsang: What do the expressions "divine sight," "divine hearing" designate? If they refer to *prajñā*, consciousness, the expression "eye," "ear" is improper. If they refer to the material organs (*rūpīndriya*), how are the organs Abhijñās? The *Kārikā* says: "Divine sight and divine hearing are of pure *rūpa* . . ."

317. "Pure *rūpa*" is *rūpaprāsāda*, *Kośa*, i.9c; the organs are *bhautika*, "derived matter," i. trans. p. 100.

Compare *Kathāvatthu*, iii.7-8.

318. In opposition to divine sight which is innate in the gods.

The divine sight of the gods is defiled by eleven *apakṣālas*, iii.14a; see vii.55d.

319. According to Hsüan-tsang: "Divine sight sees without omission." It sees in all directions, before, behind (*prsthataḥ*), on the side (*pārsvataḥ*), during the day, during the night, in light, in darkness (see i. p. 90).

320. In the version of Hsüan-tsang, *Kārikā* 55a-b is placed after *Kārikā* 43. And the *Bhāṣya* is modified: "In respect to the activity of the Five Abhijñās, extended or narrow, in the universes (*lokadhātu*) which are their domain, all the Āryans are not similar. The Śrāvakas, the Pratyekabuddhas and the Buddhas, when they do not make an extreme act of attention, produce the activity of their mastery in movement (*gamana*) and in creation (*nirmāṇa*), the first in a *mahāsāhasra* universe, the second in a *dvīsāhasra* universe, and the third in a *trisāhasra* universe. When they make an extreme act of attention, in a *dvīsāhasra* universe, in a *trisāhasra* universe, and in infinite universes."

321. Quoted in a commentary to the *Nāmasaṃgīti* (*ad* vi.18, *asaṅgaṛk*).

322. On the chiliocosms, see iii.73.

323. The gods of Kāmadhātu and the Anāgāmins in Rūpadhātu possess, by the fact of their births, these four powers. These powers are absent in Ārūpyadhātu.

324. This is explained iii.14a; above note 318.

325. The treatise of the *ikṣanikas* (*Manu*, ix.258, etc.). Above note 284.

326. *Atthasālinī*, 91.

327. The five powers (*ṛddhyādhika*) are, for humans, either *vairāgyalābhika* (= *abhiññāphala*) or *tarkavidyauśadbakarmakṛta*, not *upapattiilābhika*.

328. *Upapattiilābhikaṁ hi nāma yad upapattikāla eva sarveṣāṁ nisargato labhyate/ na tu yat kasya cid evopapattikālād ūrdhvam/ yathā pakṣiṇām ākāśagamanam*.



## *The Absorptions*

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**O**ñ. Homage to the Buddha.

We have studied the qualities (*guṇa*) of the knowledges (the *prañidhijñānas*, the *abhiññās*, etc.). Let us now study the natures of the Dhyānas, etc. We shall speak first of their supports (*aśraya*), that is, the mental states in which these qualities are produced.

1a. The Dhyānas are twofold; (the Dhyānas are four in number; the Dhyānas as existence have been defined. The concentrations are the applications of pure minds on a single object; with their concomitants, they are the five *skandhas*.)<sup>1</sup>

(We shall first study the Dhyānas, since they are,—with the exception of the Ārūpyas or “non-material concentrations”,—the support of all qualities, either common or specific, pure or impure.)

Each of these Dhyānas is of two types: *samāpatti*, absorption or concentration, and *upapatti* or existence.<sup>2</sup>

1b. The Dhyānas are four in number.

There are four Dhyānas, the First to the Fourth.

1c. The Dhyānas as existence have been defined.



The Dhyānas as existence have been defined in the Third Chapter of this book. How are they defined? It says, "The first Three are threefold and the Fourth is eightfold."<sup>3</sup> (iii.2)

ld. Concentration is the application of a pure mind on a single object;

In general, Dhyāna as absorption is defined as an application of a pure mind on a single object,<sup>4</sup> for the Dhyānas have *samādhi* or concentration (ii, p. 190) for their nature.

le. With their concomitants, they are the five *skandhas*.

If one considers *samādhi* with its following, Dhyāna as absorption has the five *skandhas* for its nature.<sup>5</sup>

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What is "application on a single object"?

The fact that minds have a single object.<sup>6</sup> [The Sautrāntikas object]: If this is the case, then what is designated by the word *samādhi* are the minds themselves which have a single object. There is no reason to admit the existence of a separate thing, a certain mental *dharma*, as *samādhi*.<sup>7</sup>

[The Vaibhāṣikas answer:] What is called *samādhi* is a certain *dharma* by which the minds are concentrated, applied on a single object. The concentrated minds are not *samādhi*.<sup>8</sup>

Here many difficulties present themselves:

1. Since minds are momentary, each of them has a single object. What then is the role of *samādhi*?

2. *Samādhi* causes the second mind to not be distracted or

turned aside from the object of the first mind. But if this is the case, then the *samādhi* does not exercise any action with regard to the first mind which you consider as associated with *samādhi*.

3. Why imagine *samādhi* in and of itself? Why not admit that the minds are applied to a single object by reason of the causes that you assign to *samādhi*?

4. Finally, you have defined *samādhi* as a universal *dharma* (*mahābhūmika*, ii.24): hence all minds should possess the characteristic of being concentrated.

[The Vaibhāṣikas answer this last objection:] No, because of the weakness of the *samādhi*.<sup>9</sup>

The Sautrāntikas say that the minds which have the same object constitute *samādhi*: *samādhi* does not exist separately. For it is said in the Sūtra that the Four Dhyānas are *adhicitta śikṣa*, the "higher mental learning"; another says that the Four Dhyānas are the primary element in the purification of the mind (*cittapariśuddhipradhāna*).<sup>10</sup>

What is the meaning of the word *dhyāna*?

<sup>11</sup>By reason of *dhyāna* the ascetic is "concentrated" and capable of *upanidhyāna*.<sup>12</sup> *Upanidhyai* signifies "to know truly", as it is said in the Sūtra, "He who is concentrated knows truly".<sup>13</sup> (The root *dhyai* is used in the sense of *upanidhyāna*.)

In the School [of the Sarvāstivādins], all *dhyāna* is *prajñā* [whereas in some other schools, *dhyāna* is *cinta* or reflection].<sup>14</sup>

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If the Dhyānas are absorptions or *samādhis*, then are all absorptions,—good, bad, or neutral,—Dhyāna?

No. Only absorptions filled with certain excellences are called Dhyānas. So too in the world, it is the sun that is called "the light maker" (*bhāskara*) (and not the firefly).

What are the excellences?

*Samādhi* is in fact excellent: it is an absorption filled with “parts” (*aṅgasamāyukta*, viii.10), which goes by the means of the yoke of calmness (*śamatha*) and insight (*vipaśyana*) [that is to say, in which calmness and insight are in equilibrium],<sup>15</sup> that is termed in the Sūtra “happiness in this world” (*dr̥ṣṭadharmasukhavihāra*, viii.27) and “the easy path” (*sukhapratipad*, vi.66), the path by which one knows better and easily. It is thus the excellent absorptions which are called *dhyāna*.

But if *dhyāna* is an absorption filled with parts, how can a defiled absorption be called *dhyāna*?

Because it is bad *upanidhyāna*.

But this entails false conclusions. [In fact, one cultivates bad *upanidhyāna* with a mind controlled by sensual desire, and this state cannot be a *dhyāna*.]

No. We give the name of *dhyāna* to the defiled state that resembles true *dhyāna*, as, in the world, one calls a thing that resembles a grain, although incapable of germinating, “rotten grain”, and not a pebble, etc. [In the same way one speaks of a *pārājika* Bhikṣu, iv.39c-d.] And the Blessed One speaks of bad *dhyāna*.<sup>16</sup>

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What are the characteristics of the First to the Fourth Dhyāna?

2a. The First is filled with *vicāra*, *prīti*, and *sukha*, (parts that the following [Dhyānas] successively abandon).<sup>17</sup>

The First Dhyāna is a good concentration filled with *vicāra*, *prīti*, and *sukha* [that is to say, associated with a *vicāra* filled or associated with *prīti* and *sukha*]. This also says implicitly, “filled

with *vitarka*," for *vitarka* necessarily accompanies *vicāra*, as smoke accompanies fire. It does not happen that *vicāra* is associated with *prīti* and *sukha* without at the same time being associated with *vitarka*.<sup>18</sup>

2b. Parts that the following (Dhyānas) successively abandon.

The Second, Third, and Fourth Dhyāna are characterized by the successive abandoning of each of these three parts; eliminating *vicāra*, the Second Dhyāna is only filled with *prīti* and *sukha*; eliminating *prīti*, the Third Dhyāna is only filled with *sukha*; and by eliminating *sukha*, these three parts are absent from the Fourth Dhyāna.

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We have described the Dhyānas. What are the Ārūpyas?

2c. So too, the Ārūpyas (which are made up of four *skandhas*).<sup>19</sup>

a. The Ārūpyas, that is, the absorptions of, and the rebirths in Ārūpyadhātu are like the Dhyānas in their number and nature.

There are four Ārūpyas and each Ārūpya is twofold, existence and concentration. [The existences have been defined in the Third Chapter, iii.3]. The concentrations of Ārūpya, in their nature and in general, are applications of pure minds on a single object.

By reason of this double similarity, the stanza says "So too, the Ārūpyas" (are like the Dhyānas).

2d. They are made up of four *skandhas*.

b. The Dhyānas are accompanied by five *skandhas*, but the Ārūpyas are accompanied by only four *skandhas*, for no *rūpa* or physical matter accompanies it.

2e. It arises out of separation from lower spheres.<sup>20</sup>

All the Ārūpyas are concentration; but there are four Ārūpyas because the concentration that constitutes each Ārūpya proceeds from separation from a lower sphere. Ākāśānantyāyatana is the absorption that proceeds from separation from the Fourth Dhyāna; and so on to Naivasamjñānāsamjñāyatana (or Bhavāgra) which proceeds from separation from Ākīṃcanyāyatana.

What is separation?

The paths (Ānantaryamārga and Vimuktimārga) by which the ascetic is delivered from the lower spheres, because these paths have turned him away from them.

3a-b. They are called *vibhūtarūpasamjñā* together with three *sāmantakas*.<sup>21</sup>

[The basic or *maula* Ārūpyas, with the preparatory stages or thresholds, the *sāmantakas* of the three higher Ārūpyas are defined as abodes of “those who have conquered the idea of physical matter.”]

The first *sāmantaka*, the threshold of Ākāśānantyāyatana is not called a *sāmantaka* because it has the Fourth Dhyāna for its object, and so the idea of physical matter is not completely transcended in it.

The Ārūpyas are so called because there is no *rūpa* or physical matter in them: they are made up of the four *skandhas* as mentioned above.

[Hsüan-tsang: 3c. There is no *rūpa* in Ārūpyadhātu.]

This should be proved, say some Schools, for we maintain that there is some physical matter, *rūpa*, in the Ārūpyas.

But then why are the *ārūpyas* called *ārūpyas*, "absences of physical matter"?

They are called this because their *rūpa* is reduced, in the same way that a little red (*iṣatpiṅgala*) is called "reddish" (*āpiṅgala*, "absence of red") (i.17, English trans. p. 75).

So be it; but what type of physical matter is in Ārūpyadhātu?

i. If you say that this physical matter is neither the body nor the voice, but merely the physical matter that constitutes bodily and vocal discipline (*kāyavāksaṁvaramātra*, the *rūpa* that forms part of the *dharmāyatana*, iv. p. 561), then how can this discipline exist in the absence of body and voice? And how can a *rūpa* derived from the primary elements (*bhautika*), namely discipline, exist in the absence of these same primary elements (*mahābbhūtas*)? If you answer that bodily and vocal discipline exists in Ārūpyadhātu without the cooperation of primary physical matter, in the same way that pure discipline exists without primary elements of their same, i.e., pure, nature, then this answer does not hold, for pure discipline has impure primary elements for its support, elements in the sphere in which the Āryan is born (iv.6).

This proof for the absence of physical matter does not hold only for existence in Ārūpyadhātu, but also for the absorption of Ārūpyadhātu,<sup>22</sup> [in which *saṁvara* or discipline, which is *rūpa*, is also absent].

ii. If you attribute material organs (*rūpīndriya*) to the beings in Ārūpyadhātu, how can you say that the physical matter of Ārūpyadhātu is subtle?

Because their dimensions are very small; the beings are therefore of reduced *rūpa* (*iṣadrūpa*), so the beings are then

“without physical matter.”

But if you reason in this way, then the tiny and invisible aquatic animals [of which the *Vinaya* speaks] would also be “without rūpa.”

Would you say that the physical matter of Ārūpyadhātu is transparent (*accha*, ii. English trans. p. 173)? But intermediate beings and the beings in Rūpadhātu also possess transparent physical matter.<sup>23</sup>

Would you say that the physical matter of Ārūpyadhātu is more transparent and so merits being called “absence of physical matter”? But then you should only call the highest stage of Ārūpyadhātu “absence of physical matter,” for bodies will be all the more transparent the more distinguished is the absorption of the stage to which they belong.<sup>24</sup>

Furthermore, the physical matter of Rūpadhātu (or of the Dhyānas) cannot be perceived by an eye in a lower sphere, since it is too transparent. How does this differ from the physical matter that you attribute to Ārūpyadhātu?

Finally it is a gratuitous affirmation to maintain that the name of the first two Dhātus (i.e., Kāmadhātu and Rūpadhātu) correspond to their objects (*anvartahasamjñā*),<sup>25</sup> but that this is not so in the case of Ārūpyadhātu.

iii. It is maintained that physical matter exists in Ārūpyadhātu. There are four arguments in favor of this position:

1. It is said that life and warmth are interconnected (*saṃsṛṣṭa*).<sup>26</sup>
2. It is said that *nāman* (i.e., the four nonmaterial *skandhas*) and physical matter support one another, like two bunches of roses.<sup>27</sup>
3. It is said that *nāmarūpa* (both the material and nonmaterial *skandhas*) are caused by the consciousness (*viññāna*).<sup>28</sup>
4. It is said that consciousness does not come or go independently of physical matter, sensation, ideas, or the *saṃskāras*.<sup>29</sup>

These texts are not decisive, for there is reason to interpret them:

1. The Sūtra says that life (*āyus*) is associated with warmth, which is physical matter. But does this refer to all types of life or only to life in Kāmadhātu?<sup>30</sup>

2. The Sūtra says that *nāman* and *rūpa* (the nonmaterial and the material *skandhas*) support one another. But does this refer to all of the Dhātus or only to Kāmadhātu and Rūpadhātu?

3. The Sūtra says "*viññānapratyayaṃ nāmarūpam*" ("the nonmaterial and material *skandhas* are conditioned by the consciousness").<sup>31</sup> But does this text teach that all consciousness—either a consciousness caused by the *saṃskāras* of Kāmadhātu or Rūpadhātu, or a consciousness caused by the *saṃskāras* of Ārūpyadhātu, (that is, a consciousness caused by actions retributable by an existence in Kāmadhātu . . .)—is a cause of the material and nonmaterial *skandhas*? Rather, it teaches that all material and nonmaterial *skandhas* have consciousness for their cause.<sup>32</sup>

4. The Sūtra denies that consciousness comes and goes independently of the four "abodes of consciousness" (*viññānasthiti*, iii.7), that is, independently of *rūpa*, *vedanā*, *saṃjñā*, and the *saṃskāras*. But should this Sūtra be understood as meaning "independently of all of these abodes together"?

We would say that the Sūtra expresses itself in a general manner: we do not have to interpret it, and we do not have the right to think that it refers to Kāmadhātu, etc.

The answer does not hold, for to hold to the letter of the Sūtra, one would come to absurd conclusions:

1. Life would necessarily accompany all warmth, even if it be external warmth.

2. External physical matter would be supported by the nonmaterial *skandhas*.

3. External physical matter would be caused by consciousness.



4. There would be material food (lit.: "food taken by the mouthfuls") in Rūpadhātu and Ārūpyadhātu, for the Sūtra says, in a general way, that there are four types of food (iii.40), in the same way that it says in a general way that there are four "abodes of consciousness": from whence you conclude that the "abode of physical matter" exists in Ārūpyadhātu.

No, for the Sūtra, while expressing itself in a general way concerning the four types of food, formulates exceptions to the rule: it speaks of a being "who surpasses the gods who nourish themselves with material food";<sup>33</sup> and it speaks of beings "who nourish themselves with joy".<sup>34</sup>

Very well, but does not the Sūtra also say, and categorically so, that there is no physical matter in Ārūpyadhātu? It says, 1) "One departs from the *rūpas* through the *Ārūpyas*"; 2) "These states of calm deliverance, beyond the *rūpas*, namely the *Ārūpyas* . . .";<sup>35</sup> 3) "There are existences without physical matter, absolutely passing beyond the ideas of physical matter":<sup>36</sup> now, if physical matter were to exist in the existences or the absorptions of Ārūpyadhātu, beings who are in Ārūpyadhātu would have ideas of their own physical matter. And the Sūtra would not say that an ascetic obtains these existences and absorptions by passing beyond all ideas of physical matter.

Our opponent answers that the Sūtra, in these different passages, intends to speak not of physical matter in general, but of gross physical matter of a lower sphere.

We answer that, in this hypothesis, 1. one should understand in the same sense the text relative to material food, which would exist, albeit subtly, in Rūpadhātu and Ārūpyadhātu; 2. one should say that an ascetic leaves the *rūpas* through the Dhyānas, and that the Dhyānas belong to Ārūpyadhātu, for the Dhyānas are beyond the coarse *rūpas* of a lower sphere, namely Kāmadhātu; 3. one should say that, through the *Ārūpyas*, the ascetic leaves sensation (*vedanā*), etc., for the *Ārūpyas* are beyond the sensations of lower spheres, and one should call them "without sensation," etc. But the

Sūtra does not express itself in this way. We then assume that the Ārūpyas are beyond any and all physical matter.

[Objection.] How can the Ārūpyas be the “leaving” of the *rupas*? The Blessed One, in fact, denies that existence (*bhava*) can be the leaving of existence: “I say that one cannot leave *bhava* through *bhava*.”<sup>37</sup> The Blessed One expresses himself in this manner with reason, for one cannot leave a certain existence (or mode of existence, *bhava*) by means of this same existence, and one can neither leave all of the existences nor definitively leave any existence by means of any existence whatsoever.

Finally the Blessed One says that there is *rūpa*, *vedanā*, *saṃjñā*, the *saṃskāras*, and *viññāna* in the Dhyānas, and that there is *vedanā* . . . and *viññāna* in the Ārūpyas. If there were truly *rūpa* in the Ārūpyas, why did not the Blessed One say that there is *rūpa* in them, as he did for the Dhyānas?

Hence,

3c. There is no *rūpa* in the Ārūpyas.

[From the two arguments given above, it follows that there is no physical matter in Ārūpyadhātu. The masters who maintain the existence of physical matter in Ārūpyadhātu maintain a thesis that is false and in contradiction to reason.]

If this is the case, then when a being obtains an existence in Ārūpyadhātu, his series of physical matter is cut off for numerous cosmic periods (iii.81). When this being is finally reborn in a lower sphere, where does his physical matter come from?<sup>38</sup>

3d. Physical matter arises from the mind (*citta*).

A cause was formerly produced—a certain action, etc.,—which should ripen in physical matter; a trace (*vāsanā*) of this cause

remains in the mind: its efficacy now matures;<sup>39</sup> the physical matter that now arises arises from the mind.

But how can a mind in Ārūpyadhātu exist without being supported by physical matter, *rūpa*?

Why could it not exist without physical matter?

Because it never happens here below that a mind exists without a body.

But, by virtue of this same reasoning, you should deny that beings in Rūpadhātu live on material food. Moreover we have already explained<sup>40</sup> how a mind in Ārūpyadhātu has *nikāya* ("genre") and *jīvita* ("life") as its support.

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Do the Ārūpyas receive their different names,—Ākāśānantyāyatana, etc.,—from the fact that they have space (*ākāśa*) etc., for their objects?

No. The first three,

4a-c. Ākāśānantya, Vijñānānantya, and Ākīṃcanya are named after their preparatory exercises.

The three lower Ārūpyas receive their names from the fact that, in their preparatory exercises, one considers space, etc.<sup>41</sup>

4c-d. Because of its weakness, the (next) absorption is called Neither-Ideas-Nor-Absence of Ideas (*na samjñānāpyasamjñākāḥ*).<sup>42</sup>

The Fourth Ārūpya receives its name from the fact that *samjñā*, "ideas," is very weak in it. Ideas are not active in it, but neither is it completely without ideas.

Without doubt, one prepares himself for this Ārūpya by considering, "Ideas<sup>43</sup> are a sickness! Ideas are an ulcer! Ideas are an arrow! The absence of ideas (*āsamjñika*, compare ii.41b) is stupidity (*sammoha*)!<sup>44</sup> This is calmness, this is excellent, the Faculty of Neither-Ideas-Nor-Absence of Ideas!" But it is not by reason of this preparatory exercise that the Fourth Ārūpya receives its name.

And why is the Fourth Ārūpya conceived of, by the ascetic who finds himself in the preparatory absorptions, as Neither-Ideas-Nor-Absence of Ideas?<sup>45</sup>

It is because of the slightness of the ideas in it. This is the explanation of its name.

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5a. There are then eight things that are basic absorptions;

The Four Dhyānas and the Four Ārūpyas are the eight basic absorptions.<sup>46</sup>

5b. The (first) seven are threefold.

The first seven of these eight are threefold.

5c. Enjoyment, pure, and free from the defilements.

They are associated with enjoyment, are pure, and are free from the defilements.

5d. The eighth is twofold.

Bhavāgra is associated with enjoyment and is pure, but it is not

free from the defilements.

6a. The absorption of enjoyment is associated with thirst; (a pure absorption is of a worldly order of good; it is the object of enjoyment of the first; the absorption free from the defilements is transworldly.)<sup>47</sup>

For enjoyment is itself thirst (=desire).

6b. A pure absorption is of a worldly order of good;<sup>48</sup>

Worldly good is also termed "good," because it arises in conjunction with the pure *dharma*s, such as non-desire, etc. (iv.9a).

6c. It is the object of enjoyment.

Pure absorption is the object enjoyed by the absorption of enjoyment. As soon as the pure absorption disappears, the absorption of enjoyment that enjoys it arises. (The ascetic has then left the pure absorption that he is enjoying; but he is concentrated by virtue of the fact of the absorption of enjoyment which he enjoys).<sup>49</sup>

6d. The absorption free from the defilements is transworldly.

The absorption free from the defilements is transworldly. (It cannot be the cause of or the object of desire; hence it is not enjoyed).

The Dhyānas are filled with "parts" or items, but not the Ārūpyas (because calmness and insight are in equal measure in them; below, note 77 ).

How many parts<sup>50</sup> are there in each Dhyāna?

7-8. There are five parts in the First: [*vitarka*, *vicāra*, joy [*prīti*], happiness [*sukha*], and *samādhi*]; four in the Second: faith and joy, etc.; five in the Third: equanimity, mindfulness, awareness (*saṃprajñāna*), happiness, and *samādhi*; and four in the last: mindfulness, equanimity, the sensation of neither suffering nor happiness [*aduḥkhāsukha*], and *samādhi*.<sup>51</sup>

There are five parts or items in the First Dhyāna.<sup>52</sup>

7b. *Vitarka*, *vicāra*, joy, happiness, and *samādhi*.

The teaching of the School is that *samādhi* or concentration is at one and the same time both Dhyāna and a part of this Dhyāna, whereas the other parts are only parts of Dhyāna, but not Dhyāna itself.

But in our opinion, it is the same for the five-part Dhyāna as for a four-part army, which does not exist apart from its parts.<sup>53</sup>

7c. Four in the Second: faith and joy, etc.

The Second Dhyāna contains four parts: joy, happiness, concentration of the mind on a single object (*cittaikāgratā*), and internal purity of faith (*adhyātmasaṃprasāda*).

8a. Five in the Third: equanimity, mindfulness, awareness, happiness, and stability (= *samādhi*).

The Third Dhyāna possesses five parts: 1) equanimity (not *vedanopekṣa*, the sensation of indifference, but *saṃskāropekṣa*), joy which is free from movement towards any object (*anābhoga-lakṣaṇa*);<sup>54</sup> 2) mindfulness (that is, not losing sight of the motive or reason [*nimitta*] for this equanimity, *upekṣanimittāsaṃpramoṣa*);<sup>55</sup> 3) *saṃprajñānam* (consciousness or awareness relating to this mindfulness); 4) happiness; and 5) *samādhi*: *sthiti* or stability is a synonym for *samādhi*, as the Sūtra says, "What is right *samādhi*? It is stability of the mind."

8b. And four in the last: mindfulness, equanimity, the sensation of neither suffering nor happiness, and *samādhi*.

The Fourth Dhyāna possesses four parts: 1) the sensation of indifference (lit.: the sensation of neither suffering nor happiness), 2) pure equanimity (*upekṣāpariśuddhi*), 3) pure mindfulness, and 4) *samādhi*.

These are the eighteen parts of the Dhyānas: the First and the Third Dhyānas have five parts each, and the Second and the Fourth Dhyānas have four parts each. In respect to their names, there are eighteen parts. (But how many of these eighteen are distinct things?)

9a. Regarded as things, there are eleven parts.<sup>56</sup>

Regarded as things or substances (*dravya*), there are eleven parts.

There are five in the First Dhyāna, plus 1) internal purity or faith in the Second Dhyāna; 3-5) equanimity, mindfulness, awareness and happiness in the Third Dhyāna; and 6) the sensation of neither suffering nor happiness in the Fourth Dhyāna.

There are then some parts of the First Dhyāna that are not

parts of the Second Dhyāna. There are four alternatives: 1) parts of the First Dhyāna that are not parts of the Second Dhyāna, namely *vitarka* and *vicāra*; 2) part of the Second Dhyāna that is not part of the First Dhyāna, namely faith; 3) parts common to the First and the Second Dhyānas, namely joy, happiness, and concentration of the mind on a single object (*cittaikāgratā*); and 4) parts that do not belong to either of the First or the Second Dhyānas, namely all of the other parts.

The correspondance of the parts of the other Dhyānas is established according to the same principles.

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Why do you say that the happiness of the Third Dhyāna constitutes a separate thing?

Because the happiness of the Third Dhyāna is agreeable sensation (*sukhā vedanā*), whereas

9b. In the first two Dhyānas, happiness (*sukha*) signifies well-being (*prasrabdhi*).<sup>57</sup>

In the First and Second Dhyāna, *prasrabdhi* (= *karmanyatā*, "aptitude" or well-being," ii, English trans.p. 191) is called happiness: here we have *prasrabdhisukha*, happiness which consists of *prasrabdhi*. In the Third Dhyāna, there is only the sensation of happiness (*sukhā vedanā*).<sup>58</sup>

In fact, in the first two Dhyānas, there can be no faculty of happiness (*sukhendriya*).

The reason for this is: 1) the happiness attributed to these Dhyānas cannot be bodily happiness,<sup>59</sup> in light of the fact that the five sense consciousnesses are absent in a person who has entered into contemplation;<sup>60</sup>

2. the happiness attributed to these Dhyānas cannot be mental



happiness because these Dhyānas possess joy. Now joy is "satisfaction" (*saumanasya*) and it is inadmissible for joy and happiness, even happiness of sensation, to coexist; they cannot even succeed one another, for the First Dhyāna possesses five parts, and the Second, only four.

[Thesis of the Dārṣṭāntikas:]

i. In the first three Dhyānas, there is no mental sensation of happiness, but only a bodily sensation of happiness, which constitutes the part called "happiness" of these Dhyānas (and is activated in the course of the absorption).<sup>61</sup>

Objection: In this hypothesis you should explain how the Sūtra could say, "What is the faculty of happiness (*sukhendriya*)? The bodily and mental sensation of happiness produced by reason of an agreeable contact is what is called the faculty of happiness."

This reasoning is not authentic, answer the Dārṣṭāntikas, as the word "mental" (*caitasika*) has been added. In all the sects the text merely reads "bodily happiness."

Further, they continue, regarding the part of the Third Dhyāna called happiness, the Sūtra says in its own words that, in this Dhyāna the ascetic "experiences happiness through his body" (*sukham . . . kāyena sampravedayate*).<sup>62</sup> Would one maintain that the word "through the body" (*kāyena*) signifies "through the body of the mind" (*manahkāyena* = *manahsamudāyena*, "through the accumulation which is the mind"), and that one should translate, "the ascetic experiences happiness through this complex which is the *manas*"?<sup>63</sup>

ii. [The explanation of the Vaibhāṣikas,] that the happiness part of the first two Dhyānas is well-being (*prasrabdhi*, *karmanayatā*) is untenable, for the well-being of the Fourth Dhyāna is certainly greater than the well-being of the first two Dhyānas, and the Sūtra does not attribute a happiness part to the Fourth Dhyāna.<sup>64</sup>

[If the Vaibhāṣikas answer,] "The well-being of the first two

Dhyānas is called happiness because it is favorable to the sensation of happiness, whereas the well-being of the Fourth Dhyāna does not have this character," [then the Dārṣṭāntikas will answer,] "The well-being of the Third Dhyāna, like that of the first two, is favorable to the sensation of happiness; why do the Vaibhāṣikas not attribute *prasrabddhisukha*, happiness which consists of well-being, to the Third Dhyāna, but rather a happiness consisting of an agreeable mental sensation (*vedanāsukha*)?"

[If the Vaibhāṣikas answer,] "In the Third Dhyāna, well-being whose character is *karmaṇyatā* or aptitude (ii, English trans. p. 91) is opposed by equanimity, whose character is *akarmaṇyatā*," [the Dārṣṭāntikas will deny the truth of this assertion:] for them well-being is accumulated through equanimity, since it results from the fact that the well-being of the Third Dhyāna is greater than the well-being of the first two Dhyānas.

Finally, the Sūtra says,<sup>65</sup> "When the Noble Śrāvaka, having realized the joy born of separation, abides in absorption, then five *dharma*s have been abandoned by him, and he has achieved the cultivation of five *dharma*s, namely joy, well-being, happiness, *prajñā*, and *samādhi*." In this Sūtra, well-being is listed separately from happiness; it should be distinct from happiness in order for the list of the five *dharma*s to be complete. Thus, in the first two Dhyānas, happiness is not well-being.<sup>66</sup>

iii. [Objection of the Vaibhāṣikas:] In order for the happiness of the first two Dhyānas to be agreeable bodily sensation, it is necessary for the person in absorption to produce a consciousness of touch (*kāyaviññāna*). And this is not possible. [Answer of the Dārṣṭāntikas:] In the state of absorption, the body is penetrated by a wind born of excellent mental concentration (*samādhi*); this wind is a tangible which is agreeably felt (*sukhavedaniya*) and is called well-being. Hence there is produced a consciousness of touch (together with the agreeable sensation associated with this consciousness).

[The Vaibhāṣikas:] When this consciousness is produced, there is "a distraction of the mind towards an external object": hence the

ascetic would fall away from his *samādhi*.

Answer of the Dārṣāntikas:] No, for agreeable or happy bodily sensation which arises from *samādhi*, being internal, is favorable to *samādhi*.<sup>67</sup>

[The Vaibhāṣikas:] But would the ascetic fall away from the *samādhi* when he produces the consciousness of touch?

[Answer of the Dārṣāntikas:] No, and for this same reason: this consciousness is favorable to *samādhi*. Immediately after the appearance of this consciousness, the *samādhi* is taken up again.<sup>68</sup>

[The Vaibhāṣikas:] How can the body (*kāyendriya*), which is in Kāmadhātu, give rise to a touch consciousness (i.e., *prasrabdhi*), which is, according to you, a type of wind in Rūpadhātu (i.47c)?

[The Dārṣāntikas:] This criticism does not hold, for this consciousness of touch is produced by reason of well-being.<sup>69</sup>

[The Vaibhāṣikas:] There is a difficulty in regarding well-being as a tangible.<sup>70</sup> Let us suppose that the ascetic is in possession of transworldly, undefiled Dhyāna: the tangible (well-being) and the consciousness of touch (*kāyaviññāna*) which he has will be undefiled, for some of the parts of defiled Dhyāna cannot be undefiled, while the others are defiled. [Now the Sūtra says that "All eyes . . . all tangibles are defiled".]

[The Dārṣāntikas:] There is no contradiction here. In fact physical well-being (*kāyasya karmanyatā*) is defined as "a part of Bodhi."<sup>71</sup> If the Vaibhāṣikas answer, "Even though it is not a part of Bodhi, it is so defined because it is favorable to the part of Bodhi called well-being and which is mental well-being," we would say that, for the same reason, one can consider bodily well-being as undefiled. [If the Vaibhāṣikas answer,] "Bodily well-being cannot be undefiled, for the Sūtra declares that all tangible things are defiled,"<sup>72</sup> we would say that this Sūtra is of intentional meaning and refers to all tangible things with the exception of physical well-being, to the consciousnesses of touch with the exception of the consciousness of touch which cognizes well-being.

[The Vaibhāṣikas:] Do you admit that certain parts of undefiled *dhyāna* are undefiled, and that certain parts are not undefiled?

[The Dārṣāntikas:] The undefiled part is not simultaneous to the non-undefiled part; we know indeed that (bodily) happiness does not exist at the same time as (mental) joy.

[The Vaibhāṣikas:] Then the First Dhyāna will not have five parts, and the Second Dhyāna will not have four parts.

[The Dārṣāntikas:] If Scripture attributes the parts of happiness and joy to the first two Dhyānas, this is because these Dhyānas are susceptible, successively, to happiness and joy; in the same way Scripture attributes *vitarka* and *vicāra*—which can only exist successively—to the First Dhyāna.

[The Vaibhāṣikas:] We affirm that *vitarka* and *vicāra* coexist; but the example that you cite to prove the non-coexistence of the parts is not proved.

[The Dārṣāntikas:] This example is proved; for *vitarka*, which is a coarse mental state, and *vicāra*, which is a subtle mental state, are contradictory and cannot coexist.<sup>73</sup> And you did not say what harm there is in their not coexisting.<sup>74</sup>

Let us consider the theory of the “parts”: one determines the specific features of the Second Dhyāna and of those following by eliminating two, three, or four parts: it is for this reason that the First Dhyāna is said to contain five parts, because the other Dhyānas are defined by the elimination, successively and in order, of the first four of these parts. This is why ideas (*saṃjñā*) and the other *dharma*s of the First Dhyāna are not considered as parts, for they are not cut off in the following Dhyānas. If you do not accept this explanation, then why does the First Dhyāna have only five parts?

But, [say the Vaibhāṣikas,] the five parts are only called parts because they are useful to the Dhyāna. No, this cannot be the case, [answer the Dārṣāntikas,] since mindfulness and *prajñā* are more

useful to the Dhyāna than are *vitarka* and *vicāra*.

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A certain school<sup>75</sup> maintains the system that has been presented, but the ancient masters (*pūrvācāryas*) are not in agreement on this.<sup>76</sup> Consequently the point should be further examined.<sup>77</sup>

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What is the *dharma* called "internal purity (or faith)" (*adhyātmasamprasāda*)?<sup>78</sup>

When the agitation of *vitarka* and *vicāra* has come to an end, the series flows calmly and clearly: this is what is called internal purity. As a river agitated by waves, so too the series, by reason of the agitation of *vitarka* and *vicāra*, is not calm or clear. [This is the explanation of the Sautrāntikas.]

But if we admit this explanation, then internal purity is not a thing in and of itself. Thus there will not be eleven things in the Dhyānas. Then one must say

9c. Purity is faith.<sup>79</sup>

Purity (*prasāda*) is a thing in and of itself, namely faith (*śraddhā*). When the ascetic acquires the Second Dhyāna, he produces a profound faith: he admits that the spheres of absorption themselves can be abandoned. This faith is called internal purity. Faith, having purity (*prasāda*, vi.75) for its characteristic, is called purity. Having abandoned externals, it flows equally and so *prasāda* is internal and equal; hence it is termed "internal purity" or *adhyātmasamprasāda*.<sup>80</sup>

According to other masters [the Sautrāntikas], *vitarka*, *vicāra*,

*samādhi*, and internal purity are not separate things.

[The Sarvāstivādins:] If these are not separate things, how can one say that they are mental *dharma*s?

[The Sautrāntikas:] Some special states of mind receive the name of mental states because they arise in the mind.<sup>81</sup>

But the system of the Abhidharma does not admit this theory.<sup>82</sup>

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[Objection of the Sautrāntikas:] You have said that joy is satisfaction (*saumanasya*), a happy or agreeable mental sensation. How would you establish this definition?

If joy is not satisfaction, then what is the *dharma* that is called joy?

We follow another school.<sup>83</sup> According to this school, there exists a *dharma* distinct from satisfaction, a mental state called joy. The happiness of the three Dhyānas is satisfaction; hence joy, which is distinguished from happiness, is distinct from satisfaction. It is not admissible that happiness, in the Dhyānas, is satisfaction.

9c-d. Joy is satisfaction. This is proved by two texts.<sup>84</sup>

The Blessed One says in the *Viparītasūtra*,<sup>85</sup> "In the Third Dhyāna the faculty of satisfaction (*saumanasyendriya*) which has previously arisen is destroyed without remnant; in the Fourth Dhyāna, the faculty of happiness (*sukhendriya*) is destroyed without remnant."

He says in another Sūtra,<sup>86</sup> "By reason of the abandoning of the faculty of happiness and the faculty of suffering, and by reason of the previous disappearance of the faculty of dissatisfaction (*daurmanayendriya*) and the faculty of satisfaction."

These two texts prove that there is no faculty of satisfaction in the Third Dhyāna. Hence joy is satisfaction, and not happiness.

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Does defiled *dhyāna* possess the parts that we have just studied?

No.

What are the parts that are absent in each defiled *dhyāna*?

10a-c. In the defiled Dhyānas there is no joy, happiness, faith, *sampradhīḥ* (=awareness, *samprajanya*), mindfulness, pure equanimity nor pure mindfulness.

When it is defiled, the First Dhyāna does not contain joy and happiness "arisen from separation", because it is not separated from the defilements of Kāmadhātu (see *Vibhāṣā*, TD 27, p. 814a23).

The Second Dhyāna does not contain internal purity (=faith), because it is troubled by the defilements; the defilements cause it to be unclear.

The Third Dhyāna does not contain mindfulness and awareness, because it is confused by a defiled happiness.

The Fourth Dhyāna does not contain the purity of equanimity or the purity of mindfulness, because it is soiled by the defilements.

That is what some say.

10d. According to some, (there is no) well-being and equanimity.

According to others, there is no 1) well-being in the first two

Dhyānas, and 2) no equanimity in the last two, because well-being and equanimity are *dharma*s that are only found in a pure mind (*kuśalamahābhūmika*, ii.25).

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The Blessed One teaches that three Dhyānas are “disturbed” (*sa-injita*) because of “faults” (*apakṣālas*).<sup>87</sup>

IIa-b. As it is free from the eight faults, the Fourth is undisturbed.

What are the eight faults?

IIc-d. *Vitarka* and *vicāra*, the two breaths, and the four of which happiness is the first.

The eight faults are *vitarka*, *vicāra*, happiness, suffering, satisfaction, dissatisfaction, inbreathing, and outbreathing. None of these eight is found in the Fourth Dhyāna: this is why it alone is termed “undisturbed.” It is true that the Sūtra says that the Fourth Dhyāna is undisturbed because it is not agitated by *vitarka* and *vicāra*, by joy and happiness.<sup>88</sup> (But the intention of this passage is not to attribute the breaths, etc., to the Fourth Dhyāna; the Sūtra is merely noting its difference from the other Dhyānas.)

According to others, the Fourth Dhyāna is “undisturbed” (*aneñjyam*) because it is like a lamp which, in a sealed place, is not agitated by the wind.

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Do the arisings of *dhyāna* existences (*dhyānopapattayaḥ*) or existences in Rūpadhātu, possess the same sensations (*vedanās*) as



do the absorption of the Dhyānas?

No, they do not.

Why is this?

12. In the *dhyāna* existences, there is satisfaction, happiness, and equanimity; equanimity and *sumanaskatā* (= *sau-manasya*, satisfaction); happiness and equanimity; and equanimity.<sup>89</sup>

a. In the First Dhyāna existence, there are three sensations: 1) the sensation of happiness (*sukhavedanā*) associated with three consciousnesses (eye, ear, and body consciousness); 2) the sensation of satisfaction of the sphere of the mind consciousness (*manovijñāna*); and 3) the sensation of equanimity associated with four consciousnesses (eye, ear, body, and *manas*).

b. In the Second Dhyāna existence, there are two sensations, namely satisfaction and equanimity, both of the sphere of the *manas*. There is no happiness here, because the five sense consciousnesses are absent.<sup>90</sup>

c. In the Third Dhyāna existence, there are two sensations, namely happiness<sup>91</sup> and equanimity, both of the sphere of the *manas*.

d. In the Fourth Dhyāna existence, there is a single sensation, the sensation of equanimity.

Such is, with respect to the sensations, the differences between the Dhyāna existences and the absorptions of *dhyāna*.

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If, from the Second Dhyāna on, three consciousnesses (eye, ear, and body consciousness) are absent, as well as *vitarka* and *vicāra*, how can beings born in the three higher Dhyānas see, hear, and

touch? How can they produce the bodily or vocal act of informing (*viññaptikarman*, iv.7d; see also i.46 and following)?

We do not say that beings who are born in these Dhyānas lack visual consciousness, etc. They possess these consciousnesses, but not in the Second, the Third or the Fourth Dhyānas:

13a-c. In the Second Dhyāna and above, the body, eye, and ear consciousnesses, and the consciousness that sets the act of informing into motion belong to the sphere of the First Dhyāna.<sup>92</sup>

The eye consciousness, etc., and the consciousness that sets into motion the act of informing do not exist in the Second Dhyāna and above.<sup>93</sup> But the beings of these Dhyānas manifest these consciousnesses, in the same way as they manifest a fictive mind of creation (*nirmāṇacitta*) of a lower sphere,<sup>94</sup> and, by means of these consciousnesses, they see, hear, touch, and produce the action of informing.

13d. These consciousnesses are undefiled-neutral.

The four *dhyānas* which beings in the Second Dhyāna and above manifest, belong to the sphere of the First Dhyāna. Hence they are not defiled, because these beings are detached from the lower spheres; but they are not good, because the good of a lower sphere is less good.<sup>95</sup>

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How does the acquisition of the absorptions of Rūpadhātu and Ārūpyadhātu take place accordingly as they are pure, undefiled, or defiled? (see viii.5).

14a-b. One who does not possess them acquires them, pure, through detachment or through birth.

One who does not possess these absorptions obtains them by detaching oneself from a lower sphere or by taking up birth in a lower sphere (*adbobbhūmyupapattitas*),<sup>96</sup> with the exception of the fourth absorption of *Ārūpyadhātu*, or *Bhavāgra*, which one acquires solely by detaching oneself from the third absorption.

What is the meaning of the expression, "He who does not possess them"?

This signifies "One who has not acquired them or who has lost them." In fact a person who possesses these pure absorptions of the falling (*hānabhāgīya*) class, etc. (viii.17) can, through cultivation, obtain pure absorptions of a higher class (i.e., of penetration, *nirvedhabhāgīya*); one who possesses pure absorptions of the duration (*sthitibhāgīya*) class can, through falling, obtain pure absorptions of the falling class.

The *Vibhāṣā*<sup>97</sup> also says, "Can one acquire a pure *dhyāna* through detachment? Can one abandon a pure *dhyāna* through detachment? Can one acquire a pure *dhyāna* through falling? Can one abandon a pure *dhyāna* through falling? Can one acquire a pure *dhyāna* through birth? Can one abandon a pure *dhyāna* through birth? Yes, in the case of the First *Dhyāna* of the falling class."

In fact, 1) one obtains this *dhyāna* through detachment from *Kāmadhātu*; 2) one loses it through detachment from *Brahmaloka* (: by passing through the Second *Dhyāna*); 3) one obtains it by falling from detachment in *Brahmaloka*; 4) one loses it by falling from detachment in *Kāmadhātu*; 5) one obtains it by rebirth from here up to *Brahmaloka*; and 6) one loses it by rebirth into *Kāmadhātu*. [Hsüan-tsang: The same for the absorptions of the other spheres].

14c. One acquires them, undefiled, through detachment.

That is, "one who does not possess them." The Āryan who detaches himself from a lower sphere acquires the undefiled absorption of a higher sphere. This rule only refers to the ascetic who has completely abandoned the absorption in question.

One who already possesses an absorption acquires this same absorption of undefiled quality under other conditions. Through the knowledge of extinction (*kṣayaajñāna*, vi.44d), one acquires the undefiled absorption of an Aśaikṣa; through the perfecting of his faculties (*indriyasamcāra*, vi.60), one acquires an undefiled absorption of a Śaikṣa or an Aśaikṣa, according to the case. [Hsüan-tsang: The undefiled absorption, already possessed, is again acquired through cultivation or through falling, as explained above.]

But cannot one say that the ascetic, through entry into the certitude of the acquisition of absolute good (*samyaktvaniyāma*, vi.26a), acquires undefiled absorption for the first time?<sup>98</sup>

No, for the ascetic who, before pursuing the acquisition of the result of the state of Sakṛdāgāmin, has obtained the result of the state of Srotaāpanna (an *ānupūrvana*, ii.16c) can enter into this certitude in the state of Anāgāmya (viii.22c); he does not necessarily acquire the basic absorption. [Hsüan-tsang: Now the Treatise only examines the case that necessarily includes the acquisition of the absorptions.]

14d. One acquires them, defiled, through falling and through birth.

One who falls from the detachment of a sphere acquires the defiled absorption of this sphere. One who, dying in a higher sphere and reborn in a lower sphere, acquires the defiled absorption of this second sphere.

How many types of absorptions arises after how many types of absorptions?

1. Six absorptions can arise after the first undefiled *dhyāna*: 1-2) pure and undefiled absorption of the same sphere;<sup>99</sup> and 3-6) pure and undefiled absorption of the Second and Third Dhyānas.

2. Seven absorptions can arise after undefiled *Ākiṃcanyāyatana*: 1-2) pure and undefiled absorption of the same sphere; 3-6) pure and undefiled absorption of *Vijñānānantyāyatana* and *Ākāśānantyāyatana*;<sup>100</sup> and 7) pure absorption of *Naivasamjñānāsamjñāyatana*, because this *āyatana* does not contain any undefiled absorption.

3. Eight absorptions can arise after the Second undefiled Dhyāna: 1-2) pure and undefiled absorption of the same sphere; and 3-8) pure and undefiled absorption of the Third, Fourth, and First Dhyāna.

4. Nine absorptions can arise after undefiled *Vijñānānantyāyatana*: 1-2) two of the same sphere; 3-6) four, namely two of *Ākāśānantyāyatana* and two of the Fourth Dhyāna; and 7-9) three, two of *Ākiṃcanyāyatana* and one of *Naivasamjñānāsamjñāyatana*.

5. According to these same principles, one will see that ten absorptions can arise after the *dhyāna* and *ārūpya* absorptions not specified above.<sup>101</sup>

The rule is formulated briefly:

15a-c. After the undefiled, up to the third sphere above or below, there arises the good.

[The word "good" designates the pure absorption and the undefiled absorption, for both are morally good (iv.8).]

After an undefiled absorption, we have: 1) either two types of absorption of the same sphere as this undefiled absorption, namely

pure and undefiled; or 2) two types of absorption, pure or undefiled, of a higher sphere or of a lower sphere until the third in either direction. In fact, by reason of its too great distance, the ascetic is not capable of jumping over two spheres.

After inferential knowledge (*anvaya-jñāna*, vii.3c), one can enter into the absorptions of *Ārūpyadhātu*, but not after the knowledge of the *dharma*s (*dharmajñāna*), because this has a lower sphere for its object.

We have seen which absorptions arise after the undefiled absorptions.

15c-d. The same after the pure, by adding the defiled of its sphere.

A defiled absorption of the same sphere can arise after any pure absorption. The rest as for the undefiled absorption.<sup>102</sup> A defiled absorption cannot arise after an undefiled absorption.

16a. After the defiled, the pure and the defiled of its sphere.

A pure or a defiled absorption of the same sphere can arise after a defiled absorption.

16b. And the pure of a lower sphere.

Wearied of the defilements of the sphere in which one finds oneself,—defilements belonging to the defiled absorption of a higher sphere,—the ascetic produces an esteem for the pure absorption of a lower sphere. Therefore, after the defiled absorption of a higher sphere (the Second Dhyāna), a pure absorption of a lower sphere (the First Dhyāna) can arise.

There is a difficulty. If an ascetic were in a position to distinctly

know a defiled absorption and a pure absorption, he would be able to pass from the higher defiled absorption to the lower pure absorption. But, being defiled, he is not capable of this distinct knowledge; how then can he, after a defiled absorption, produce a pure absorption?

By the force of a previous resolution (*pranidhāna*). He made the resolution, "May I be able to obtain a lower pure absorption! What have I to do with a higher defiled absorption?" The "series" develops of itself by reason of this resolution, and, as a consequence, the lower absorption arises after the higher defiled absorption, in the same way as a person forms the resolution to sleep until a certain time, falls asleep, and then wakes up at that fixed time.

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The undefiled absorptions, of whichever sphere, never arise after a defiled absorption. [There is no reciprocal generation between these two types, whereas a pure absorption is in reciprocal generation with both the undefiled and defiled absorptions.]

We have said that the defiled absorption that succeeds the pure or defiled absorption is always of the sphere of the absorption which it follows. This rule should be understood of life in the course of the absorption; but

16c-d. At death, after the pure, the defiled of any type can arise.<sup>103</sup>

At death, a defiled mind of any sphere can arise following a pure absorption obtained through birth.

16d. After the defiled, nothing higher.

At death, only a defiled mind of the same sphere or a lower sphere can follow Rūpadhātu and Ārūpyadhātu.

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We have seen that the undefiled absorption can follow the pure absorptions; but it cannot follow all types of pure absorptions.

17a-b. The pure absorption is of four types, of falling, etc.

The pure (*suddhaka*) absorption is of four types: of falling (*bhānabhāgīya*), of duration (*sthātibhāgīya*), of progress (*viśeṣabhāgīya*), and of penetration (*nirvedhabhāgīya*). Nevertheless, the pure absorption of Naivasamjñānāsamjñāyatana is only of three types, excluding the category of the pure absorption of progress, because it has no higher sphere.<sup>104</sup>

What are these four types?

17b-d. In order, they are suitable to the arising of the defilements, to its own sphere, to a higher sphere, to the undefiled.

When the pure absorption is of such a nature that it tends to lead to the arising of the defilements, it is called an absorption "of falling."

When the pure absorption tends to lead to its own sphere, it is called an absorption "of duration."

When the pure absorption tends to lead to a higher sphere, it is called an absorption "of progress."

When the pure absorption tends to lead to the undefiled absorption, it is called an absorption "of penetration" (vi.20a).



Therefore it is from this last category of pure absorptions that the undefiled absorption arises.

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What is the reciprocal generation of these four categories of absorption?

18a-b. After the absorption of falling, etc., two, three, three, one.

After the absorption of falling, there can arise absorptions of falling and duration.<sup>105</sup>

After the absorption of duration, three can arise, with the exception of the absorption of penetration.

After the absorption of progress, three can arise, with the exception of the absorption of falling.

After the absorption of penetration, only an absorption of penetration can arise.

We have seen (viii.15a-c) that immediately after a pure absorption or an undefiled absorption of a certain sphere, there can arise a pure absorption of an undefiled absorption of the third sphere above or below. When this is produced, the ascetic passes over a sphere and changes the characteristics of his absorption: he cultivates what is called the "transitional absorption" (*vyutkrānta-kasamāpatti*). How is this absorption actualized?

18c-19b. Going and coming in two ways over the eight spheres, in immediate succession, by passing over one sphere, going to the third sphere of a different type, is called "transitional absorption".<sup>106</sup>

"Going and coming": "to go" is to take up the absorptions in ascending order; "to come" is to take up the absorptions in descending (or reverse) order.

"In two ways" refers to undefiled absorption and defiled absorption. This refers to the pure absorptions, not to the defiled absorptions.

"The eight spheres" means the Four Dhyāna absorptions, and the Four Ārūpya absorptions.

"In immediate succession" signifies by degrees.

"By passing over one sphere" means by skipping a sphere.

The preparatory exercise (*prayoga*) includes four successive disciplines: 1) cultivation of the eight defiled absorptions in ascending and descending order; 2) when this cultivation is assured, there is cultivation of the seven undefiled absorptions in ascending and descending order; 3) when this cultivation is assured, then the ascetic, in order to gain mastery in absorption, passes from the first defiled *dhyāna* into the third *dhyāna* of this same quality; from this he passes into Ākāśānantyāyatana, from this into Ākimcanyāyatana; and he then descends in the same way, since all of the absorptions are defiled; 4) when this cultivation is assured, there is cultivation in the same way, and in the two ways, of the undefiled absorptions.

When the ascetic is capable of passing from the first defiled *dhyāna* into the third undefiled *dhyāna*, from this into defiled Ākāśānantyāyatana, from this into undefiled Ākimcanyāyatana, and to return in the same way, then he has realized the entry into the third absorption of a different quality and has returned from this absorption: the transitional absorption has been achieved.<sup>107</sup>

It is impossible to skip over two spheres and enter into a fourth, because a fourth sphere is too distant.

The transitional absorption is cultivated only by humans of the Three Dvīpas; by Arhats who are non-circumstantially delivered, that is, those who realize absorption of their own accord, those

who are delivered independently of circumstances (the *asamaya-vimukta* Arhats, vi.57) because they possess mastery in absorption. The Dṛṣṭiprāptas (vi.31c), that is, the ascetics in whom *prajñā* dominates, (who are informed by speculative views, *dṛṣṭi*), are of keen faculties and possess mastery in absorption; but they lack the first condition. Arhats whose liberation is occasional and circumstantial (the *samayavimukta* Arhats, vi.56c) have destroyed the defilements, but the second condition is absent. Both are therefore incapable of cultivating the transitional absorption.

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How many *dhyāna* and *ārūpya* absorptions can be actualized by beings in different spheres?

19c-d. The *dhyāna* and *ārūpya* absorptions have for their support (*āśraya*) beings of their own spheres or of a lower sphere.

A being in Bhavāgra (=Naivasamjñānāsamjñāyatana) can actualize the absorption of Bhavāgra. Beings in lower spheres down to Kāmadhātu can actualize it also. In the same way the absorptions of the other spheres can be actualized by beings who are in the sphere of these absorptions or in lower spheres. But a being reborn in a higher sphere cannot actualize the absorption of a lower sphere:

19d. A lower absorption has no usefulness.

An absorption of a lower sphere does not have even the smallest amount of usefulness for a being born in a higher sphere, because this absorption is, by comparison, mediocre.<sup>108</sup>

Such is the general rule, which admits of one exception:

20a-b. But, in Bhavāgra, it is by entering the Āryan absorption of Ākiṃcanya that one destroys the defilements.

A being born in Bhavāgra realizes the Āryan, that is, the undefiled absorption of Ākiṃcanyāyatana in order to destroy the defilements relating to Bhavāgra. In fact, the pure (=undefiled) Path cannot be cultivated in Bhavāgra;<sup>109</sup> but on the other hand, Ākiṃcanya is very close to it.

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What is the object of the Dhyāna and Ārūpya absorptions?

20c. Associated with thirst, absorption has its own existence (*bhava*) for its object.

"Absorption associated with thirst" (=desire) signifies the absorption of enjoyment (viii.6). It has for its object "its own *bhava*," that is, the *bhava* of its sphere. *Bhava* signifies "that which is defiled" [This is another manner of presenting the doctrine of viii.6: the absorption of enjoyment has for its object a pure but defiled absorption, not an undefiled absorption]. It does not have a lower sphere for its object, for the ascetic that cultivates the absorption of enjoyment of a certain sphere is detached from the lower sphere.<sup>110</sup> He does not have a higher sphere for his object, for the spheres are delimited by desire.<sup>111</sup> He does not have an undefiled absorption for his object, which would imply that it is pure.<sup>112</sup>

20d. Pure absorption has all that exists for its object.

The pure or undefiled absorption takes as its object all of the

*dharmas* that exist, conditioned (*saṃskṛta*) and unconditioned (*asaṃskṛta*).<sup>113</sup> Nevertheless

21a-b. The pure Ārūpya absorptions of the principal spheres do not have lower defiled absorptions for their object.

The pure absorptions cultivated in the principal spheres of Ārūpyadhātu—in opposition to the Dhyāna absorptions and to the absorptions cultivated in the *sāmantakas* of Ārūpyadhātu (viii.22)—do not have for their object the defiled *dharmas* of lower spheres, but the *dharmas* of their own sphere or of a higher sphere.

The undefiled *dharmas* grasp all inferential knowledge (*anvayaajñāna*, vii.3c) for their object, the *sarvānvayaajñānapakṣa*; they do not concern themselves with the knowledge of the *dharmas* (the *dharmajñāna*, whose object, namely Kāmadhātu, is too distant); they no longer concern themselves with the extinction (which is undefiled) of a lower sphere, which would be possible only if they were concerned with this sphere.

The absorptions cultivated in the *sāmantakas* of Ārūpyadhātu have for their object the lower sphere, for they include an irresistible Path (*ānantaryamārga*) whose sole object is the lower sphere.<sup>114</sup>

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Among the three types of *dhyāna* and *ārūpya* absorptions,—undefiled and supermundane (*anāsrava*), pure (*śuddhaka*), and defiled (*kliṣṭa*),—which one destroys the defilements (*kleśas*)?

21c-d. The defilements are abandoned through the undefiled absorptions.

Any undefiled absorption is capable of cutting off the defilements; not the pure absorptions, nor even less, the defiled absorptions.

The pure absorption does not cut off the defilements of a lower sphere, for the ascetic can only obtain the pure absorption of a certain sphere because he is detached from a lower sphere; he does not cut off the defilements of his sphere, for he does not oppose these defilements;<sup>115</sup> he does not cut off the defilements of a higher sphere, because they are more subtle than he himself.

21d. And also by means of the *sāmantakas*, (likewise pure).

If the *sāmantakas* of the *dhyānas* and the *ārūpyas* are pure, they cut off the defilements, because they are opposed to a lower sphere.

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How many *sāmantakas*, prefatory or threshold absorptions, are there?

22a. There are eight *sāmantakas* in the basic absorptions.

Each basic absorption has a *sāmantaka* or prefatory absorption, through which one enters into the basic absorption.

Are the *sāmantakas* of three types,—undefiled, pure, or defiled,—like the basic absorptions? Do they possess the same sensations (*vedanā*, namely joy or *prīti* in the first two Dhyānas, happiness or *sukha* in the Third, and equanimity or *upekṣa* in the Fourth) as they do?

22b. They are pure; they possess the sensation of equanimity.<sup>116</sup>

The *sāmantakas* are exclusively pure and are associated with the sensation of equanimity, because one traverses them through effort, because the abhorance of the lower sphere has not yet disappeared,<sup>117</sup> and because they are the Path by which one detaches oneself from the lower sphere.<sup>118</sup> Therefore they only contain the sensation of equanimity and they are not associated with enjoyment.

22c. The first is also Āryan.

The first *sāmantaka* is called Anāgamyā.<sup>119</sup> It is of two types, pure and Āryan, that is, undefiled.

The *sāmantaka* mind through which one enters an existence is defiled; but the *sāmantaka* mind through which one enters absorption cannot be defiled, for this thesis has been refuted (above, p. 1253, line 16).<sup>120</sup>

22c. Some say that it is threefold.<sup>121</sup>

Other masters say that the Anāgamyā *sāmantaka* is also associated with enjoyment.<sup>122</sup>

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Does *dhyānāntara*, the intermediate *dhyāna*, differ or not from *sāmantaka*?

It differs from it. In fact *sāmantaka* is the door to detachment from a lower sphere, but this is not the case for *dhyānāntara*. Furthermore

22d. *Dhyānāntara* is without *vitarka*.<sup>123</sup>

The First basic *dhyāna* and the first *sāmantaka* are associated with *vitarka* and *vicāra*. In the seven higher absorptions (the basic Dhyānas and their *sāmantakas*), there is neither *vitarka* nor *vicāra*. Only *dhyānāntara* is filled with *vicāra* without being filled with *vitarka*; consequently it is superior to the First Dhyāna but inferior to the Second. This is why it is called *dhyānāntara*, or intermediate *dhyāna*.<sup>124</sup>

Consequently in the higher spheres there is no *dhyānāntara*, for the other spheres do not have, like the First, a higher and a lower (*viśeṣābhāvāt*).<sup>125</sup>

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To how many categories does *dhyānāntara* belong? What sensation does it have?

23a. To three;

It can be associated with enjoyment, a pure absorption, and an undefiled absorption.

23b. It has the sensation of equanimity.

Like the prefatory or threshold absorptions (*sāmantakas*), it has the neither-disagreeable-nor-agreeable sensation, for it is associated with the faculty of equanimity (iv.48). One progresses through it through effort (*abhisamāskāravāhin*)<sup>126</sup> and therefore it cannot be associated with satisfaction (*saumanasya*). Hence it is considered to be a "difficult path" (*duḥkha pratipad*, vi.66).

What is the result of *dhyānāntara*?



23c. It has Mahābrahmā for its result.<sup>127</sup>

Whoever cultivates this absorption to the highest degree is reborn as Mahābrahmā.

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[We have explained the absorptions or *samāpattis*.<sup>128</sup>

What are the *samādhis*?]

The Sūtra<sup>129</sup> says that there are three types of *samādhi*: 1) *samādhi* with *vitarka* and *vicāra*, 2) *samādhi* without *vitarka* but with *vicāra*, and 3) *samādhi* without *vitarka* and *vicāra*. *Dhyānāntara* is *samādhi* without *vitarka* but with *vicāra*.

As for *dhyānāntara*,

23d-e. Up to it, *samādhi* exists with *vitarka* and *vicāra*; (beyond, *samādhi* exists without either).<sup>130</sup>

All *samādhi* that is below *dhyānāntara* contains *vitarka* and *vicāra*. This refers to the First Dhyāna and to any other *samādhi* supported by the First Dhyāna.<sup>131</sup>

23e. Beyond, *samādhi* exists without either.

Above *dhyānāntara*, all *samādhi* is without *vitarka* and *vicāra*, from the *sāmantaka* of the Second Dhyāna to the Fourth Ārūpya absorption.

The Sūtra<sup>132</sup> teaches that there are three absorptions, the absorption of emptiness (*śūnyatāsamādhi*), the absorption of the absence of characteristics, (*ānimittasamādhi*), and the absorption of the absence of intention (*apranīhitasamādhi*).

24a. The absence of characteristics has the aspect of the Tranquil.<sup>133</sup>

The absorption associated with the aspect of the Truth of Extinction (*nirodhasatya*),—that is, the absorption in which the ascetic considers extinction,—is called the absorption of the absence of characteristics. This absorption has four aspects.<sup>134</sup>

Why does it receive this name?

Because Nirvāṇa or extinction, being free from ten characteristics (*nimitta*) is called *animitta*. The absorption that has Nirvāṇa for its object is thus *ānimitta*.<sup>135</sup> The ten characteristics or marks (*nimitta*) are: 1-5) the five *āyatana*s, external sources of the sense consciousnesses, physical matter (*rūpa*), sound, etc.; 6-7) male and female; and 8-10) the three characteristics of conditioned things (the *saṃskṛtalakṣaṇa*s, ii.45), arising, duration-and-change, and death.

24b-c. Emptiness has the aspects of emptiness and non-self.<sup>136</sup>

The absorption associated with the two aspects of emptiness (*śūnya*) and of non-self (*anātman*) is called the absorption of emptiness (*śūnyatāsamādhi*). There are thus two aspects, the last two aspects of the Truth of Suffering.

24c-d. The absence of intention is associated with the other Truths.

The absorption of the absence of intention is the absorption that grasps the other ten aspects.

On the one hand, there are aspects of impermanence (*anitya*) and suffering (*duḥkha*, the first two aspects of the Truth of

Suffering) and the four aspects of the Truth of Origin, which provoke dread (*udvega*), and on the other hand, the four aspects of the Path, for the Path is like a raft and should necessarily be abandoned.<sup>137</sup>

The absorption that grasps these ten aspects is turned toward the passing beyond of things that are impermanent, suffering, arising, and the Path; it is then called *apraṇihita*, free from *praṇidhāna*, the will or desire for something.

On the contrary however, Nirvāṇa, under these four aspects and as the object of the absorption of the absence of intention, should not be abandoned; and the last two aspects of the Truth of Suffering, namely emptiness and non-self, the object of the absorption of emptiness, do not provoke dread, for these two aspects belong to Nirvāṇa as well as to conditioned things.

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These three absorptions (*samādhi*) are of two types

25a. Pure or immaculate (*amala*).<sup>138</sup>

They are pure (*śuddhaka*) or immaculate, that is undefiled (*anāsrava*), accordingly as they are worldly or transworldly. Worldly, they exist in eleven spheres; transworldly, they exist in the sphere of the Path.<sup>139</sup>

25b. Immaculate, they are the three gates to deliverance (*vimokṣaṃukha*).<sup>140</sup>

When they are undefiled, they are also called "the gates to deliverance", because they are effectively the gates leading to Nirvāṇa or deliverance (*mokṣa*). We have emptiness as a gate to deliverance (*śūnyatāvimokṣaṃukha*), the absence of characteristics

as a gate to deliverance (*ānimittavimokṣamukha*), and the absence of intention as a gate to deliverance (*apraṇihitavimokṣamukha*).

25c-d. There are also three other absorptions (*samādhi*) called "emptiness of emptiness", etc.<sup>141</sup>

They are also called the absorption of the emptiness of emptiness (*sūnyatāsūnyatāsamādhi*), the absorption of the absence of intention in the absence of intention (*apraṇihitāpraṇihitasamādhi*), and the absorption of the absence of characteristics in the absence of characteristics (*ānimittānimittasamādhi*), because they have respectively, and in a manner that we shall explain, the absorptions of emptiness, the absence of intention, and the absence of characteristics for their objects.

26a-b. The first two refer to the Aśaikṣa under the aspects of emptiness and impermanence.

They have for their object the absorption of the Aśaikṣa; that is, they have respectively the absorption of emptiness and the absorption of the absence of intention as realized by an Arhat for their object (see viii.27a).

The absorption of the emptiness of emptiness considers the absorption of emptiness, which considers the *dharma*s as empty and non-self, as empty but not as non-self, because a view of non-self does not provoke the same dread as does a view of emptiness.<sup>142</sup>

The absorption of the absence of intention in the absence of intention (*apraṇihitāpraṇihitasamādhi*) has the absorption of the absence of intention of the Aśaikṣa for its object, an absorption which considers things under ten aspects, impermanence, suffering, etc. (viii.24c), by considering them as impermanent. It does not consider them as suffering, nor as cause (*hetu*), origin (*samudaya*), condition (*pratyaya*), or appearance (*prabhava*), for

the absorption of the absence of intention, being undefiled, is not suffering or cause; and although the absorption of the absence of intention is the Path, the absorption of the absence of intention in the absence of intention (*apraṇihitāpraṇihitasamādhi*) no longer considers it as a Path, the truth (*nyāya*), the route, (*pratipad*), nor as pertaining to the truth (*nairyāṇika*), because the goal pursued by the ascetic is that of becoming disgusted with the absorption of the absence of intention.<sup>143</sup>

26c-d. The absence of characteristics in the absence of characteristics has for its object extinction (*kṣayam*) not attained through conscious effort, and considers it as tranquil (*śānta*).<sup>144</sup>

This is to say that the absorption of the absence of characteristics in the absence of characteristics has for its object the extinction that is not attained through conscious effort (*apratisaṃkhyānirodha*) of the absorption of the absence of characteristics,<sup>145</sup> and considers this extinction under the aspect of tranquility, thinking, "This extinction is tranquil".

It does not have for its object the extinction attained through conscious effort (*pratisaṃkhyānirodha*) of the absorption of the absence of characteristics, for this absorption is undefiled, and there is no extinction attained through conscious effort of anything that is pure.<sup>146</sup>

And of the four aspects under which one can consider extinction attained through conscious effort, namely extinction (*nirodha*), tranquility (*śānta*), excellent (*praṇīta*), and leaving (*niḥsarana*, 9vii.13a), the aspect of tranquility is the only one that is appropriate here.<sup>147</sup> In fact, the characteristic of extinction belongs to the extinction not attained through conscious effort, as well as to the extinction brought about by impermanence,<sup>148</sup> the extinction not attained through conscious effort is not excellent, for it is morally neutral (*avyākṛta*);<sup>149</sup> it is not leaving, for it is not disconnection from the defilements.<sup>150</sup>

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The three absorptions (*samādhi*) are exclusively

27a. Defiled (lit: possessing the defilements, *sāsrava*).

Because they hate the Path [: they turn away from it, regarding it as empty, etc.] Now such absorptions are not pure, or undefiled *dharma*s.

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Where do they arise?

27a-b. Among humans, (among the Unshakeable Ones).<sup>151</sup>

They are cultivated only by humans of the Three Dvīpas, and not by the gods.

Among whom do they arise?

27b. Among the Unshakeable Ones.

Only among the Arhats of the Unshakeable (*akopya*, vi.56a) class, and among no other Arhats.

In what sphere (*bhūmi*) do these also exist?

27c. With the exception of the seven *sāmantakas*.

These absorptions arise ("have for their support") eleven

spheres, namely Kāmadhātu, Anāgāmya, the eight basic absorptions (Dhyāna and Ārūpya absorptions, with the exception of the prefatory absorptions, *sāmantakas*) and *dhyānāntara*.

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The Sūtra says that there are four cultivations of absorption (*śamādhibhāvanā*): "There is a cultivation of the absorption that cultivates, practices, repeatedly cultivates, which has for its result the possession of happiness in this world," and the rest.<sup>152</sup>

27c. The First good Dhyāna is the cultivation of the absorption that has for its result happiness; (the supernormal knowledge of the [divine] eye has sight for its result; the cultivation of good arisen from exercise has comprehension for its result; the absorption likened to a Vajra (= Vajropamasamādhi) of the Fourth Dhyāna has the extinction of the defilements for its result.)<sup>153</sup>

1. The "First good Dhyāna" is the first pure (*śuddhaka*) or undefiled *dhyāna*. Through this *dhyāna*, the ascetic obtains "happiness in this world" (*dr̥ṣṭadharmaśukhavihāra*).<sup>154</sup> This holds for the other three Dhyānas as for the First Dhyāna.

This absorption does not necessarily have for its result happiness beyond this world, for the ascetic who possesses it can fall from it, he can be reborn higher, or he can obtain Nirvāṇa: in these three cases he does not enjoy happiness in a future life.

28a. The supernormal knowledge (*abhijñā*) of the eye has sight for its result.

2. The second cultivation of the absorption has for its result the acquisition of the seeing of knowledge (*jñānadarśana*).<sup>155</sup> This is the supernormal knowledge of the divine eye (*divyacakṣurabhijñā*).<sup>156</sup>

28b. The cultivation of good arisen from exercise has comprehension for its result.

3. The third cultivation of the absorption has for its result the attainment of the excellent forms of *prajñā* (*prajñāprabheda*).<sup>157</sup> This is the *samādhībhāvanā* of the superior qualities which arise from cultivation, that is, the qualities of the three spheres and pure, undefiled qualities.<sup>158</sup> The *samādhī* that produces these qualities is called the *samādhībhāvanā* of these qualities.<sup>159</sup>

28c. The absorption likened to a Vajra of the Fourth Dhyāna has the extinction of the defilements for its result.

4. In the Fourth Dhyāna there is produced an absorption that is called the cultivation "like a Vajra" (*vajropama*). This absorption destroys all of the defilements.

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According to the School, the Blessed One, in setting forth this fourfold cultivation of the absorptions, teaches his own cultivation.

How is this?

Because he places himself in the Fourth Dhyāna in order to realize the Vajropamasamādhī (vi.44b) which, as we have seen, can be realized in other states of absorption.<sup>160</sup>

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We have explained the absorptions. Let us explain the qualities (*guṇa*) that the ascetic realizes by being in the absorptions.<sup>161</sup>



29a. The Immeasurables (*apramāṇas*) are four in number.<sup>162</sup>

They are goodwill or friendship (*maitrī*), compassion (*karuṇā*), joy (*muditā*), and equanimity (*upekṣā*). They are called the Immeasurables (*apramāṇas*) because they apply to an immeasurable number of beings, drawing after them an immeasurable merit, and producing immeasurable [retributive] results.<sup>163</sup>

29b. Because they oppose ill-will, etc.,<sup>164</sup>

In order the four Immeasurables oppose ill-will (*vyāpadā*), harm (*vihimsā*), dissatisfaction (*arati*), and sensual craving (*kāmarāga*) and hostility (*vyāpadā*). Goodwill causes persons who are given over to ill-will to abandon ill-will, and so on.

We have seen that the meditation on loathsome things (*aśubhabhāvanā*, vi.9c) opposes sensual craving (*kāmarāga*); we learn here that equanimity also opposes it.

What is the difference between the meditation on loathsome things and equanimity in their opposition to sensual craving?

According to the *Vibhāṣā* (TD 27, p. 427c), there is reason to distinguish, with respect to sensual craving, craving for the visible things (*varṇa*) of Kāmadhātu, and sexual craving (which is craving for a certain tangible thing). Now meditation on loathsome things opposes the first of these two craving, whereas equanimity is opposed to the second.<sup>165</sup>

We would say rather that meditation on loathsome things is opposed to sexual craving, (for this meditation eliminates all desire relating to color, shape, aspect, contact, or bearing); whereas equanimity is opposed to craving that has for its object father, mother, children, and parents.

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[What are the Immeasurables?]

29c. Goodwill is the absence of hatred.

The nature of goodwill is the absence of malice.

29d. So too compassion.

This is also the case for compassion.

29e. Joy is satisfaction.

The nature of joy is satisfaction (*saumanasya*, ii.8a).

30a. Equanimity is the absence of desire.

The nature of equanimity is the absence of desire.

[But if equanimity is the absence of desire,] how can it oppose ill-will?

[The Vaibhāṣikas answer:] Because ill-will is provoked by desire.

We would say rather that equanimity is both the absence of desire and the absence of ill-will.<sup>166</sup>

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[What are the aspects or the objects of the Immeasurables?]

30a-c. Their aspects are happiness, unhappiness, joy, and beings.

Happy beings (*sukhita*) are the object of goodwill. The ascetic produces with regard to them a mind having the following aspect, "Beings are happy!"<sup>167</sup> and by this, he enters the meditation of goodwill (*maitrībhāvanā*).

Suffering beings (*duḥkhita*) are the object of meditation. With regard to them the ascetic thinks, "Beings are unhappy!"<sup>168</sup> and, by this, he enters the meditation of compassion (*karuṇābhāvanā*).

Joyful beings (*modantām*) are the object of joy. With regard to them, the ascetic thinks, "Beings are joyful!"<sup>169</sup> and, by this, he enters into the meditation of joy (*muditābhāvanā*).

Beings considered merely as beings and without making any distinction, are the object of equanimity. With regard to them the ascetic produces a mind having the following aspect, "Beings!" and, by this, by means of what can be called "impartiality" (*mādhyaṣṭhya*),<sup>170</sup> he enters into the meditation of equanimity (*upekṣābhāvanā*).

Yet, to consider the beings who do not possess happiness as happy is an intentional view (*adhimokṣa*)<sup>171</sup> not conforming to the facts, and is erroneous (*viparīta*).

No; it is not erroneous: 1) when the ascetic thinks, "Beings are happy!" the sense is "May beings be happy!" 2) there is no error in his intention, for 3) the ascetic indeed knows that he conceives an intentional view. And even though the ascetic himself is mistaken, what harm in there in that? Would you say that the Immeasurables are bad because they take beings as they are not? But they are the roots of good since they oppose ill-will, etc.

The Immeasurables have living beings for their object. More precisely

30d. They have the living beings of Kāmadhātu for their sphere.

In fact they oppose ill-will with respect to these beings.

However, according to the Sūtra,<sup>172</sup> the ascetic produces the mind of goodwill with regard to one cardinal direction, with regard to two cardinal directions, . . . The Sūtra speaks of the physical world but it has in view the beings that are to be found in the physical world.

In what spheres does the ascetic produce the Immeasurables?

31a. Joy in two Dhyānas.

One cultivates joy (*muditā*) in only the first two Dhyānas, for joy is satisfaction (*saumanasya*) and satisfaction is absent in the other Dhyānas.

31b. The others in six spheres.

The other three Immeasurables exist in six spheres: in Anāgamyā, in *dhyānāntara*, and in the Four Dhyānas.

31c. Or, according to some, in five.

However, according to other masters, they are in only five spheres, with the exception of the Anāgamyā.

According to still other masters, they exist in ten spheres, by adding to the six 7) Kāmadhātu and 8-10) the three *sāmantakas* of

the higher Dhyānas. The number varies accordingly as one attributes the Immeasurables to a state of non-absorption (i.e., Kāmadhātu) as to a state of absorption, or to the prefatory stages (the *sāmantakas*) as to the principal Dhyānas.

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We have said that the Immeasurables oppose ill-will, etc. Does this mean that one could abandon the defilements by means of the Immeasurables?

31d. There is no abandoning through the Immeasurables.

For the Immeasurables have the basic Dhyānas for their sphere or places of their arising;<sup>173</sup> because they include an arbitrary or voluntary (*adhimukti*-, ii.72) judgment and not an exact judgment; and because they have for their object living beings and not the general characteristics of things (*dharmasāmānyalakṣaṇas*, ii.72, English trans. p. 320).

However in their preparatory stage (*prayoga*), the Immeasurables disturb ill-will, etc., and the Immeasurables dispel (lit.: "render distant") ill-will already abandoned: this is why we have said above that the Immeasurables oppose ill-will, etc.

More specifically:<sup>174</sup> goodwill, compassion, etc., cultivated in Kāmadhātu and in Anāgamyā (viii.22c), the preliminary stages (iv., p. 706), only resemble goodwill, compassion, etc. in a state of absorption which will be cultivated in the basic Dhyānas, the stages of the Immeasurables properly so called. After having disturbed ill-will, etc., by means of preliminary goodwill, compassion, etc., the ascetic produces, in the absorption of Anāgamyā, a path of abandoning (*prahāṇamārga*)—independent of goodwill, compassion, etc.,—by which he abandons the defilements. Once the defilements are abandoned, the ascetic obtains detachment from Kāmadhātu, enters the basic Dhyāna, and then obtains the

Four Immeasurables of the sphere of the basic Dhyāna. The defilements are thus dispelled, and made distant, and the ascetic will henceforth be invincible, even when he encounters powerful causes of defilement.

How does the beginner (*ādikārmika*) cultivate goodwill?

He calls to mind the happiness that he himself has experienced; he hears one speak of the happiness experienced by others, by the Buddhas, the Bodhisattvas, the Śrāvakas, and the Pratyekabuddhas. He forms the vow that all beings shall obtain this happiness.

When his defilements are too strong, the ascetic is not capable of carrying out his intention impartially: he should then divide all beings into three categories, friends, persons to whom he is indifferent, and enemies. The first category is also divided into three: good friends, medium friends, and lesser friends; and in the same way the third (=enemies). The category of persons to whom he is indifferent is not so subdivided: thus there are altogether seven groups. Having made this division, the ascetic first forms the vow of happiness with regard to his good friends; he then follows this with a vow of happiness with regard to the medium friends and lesser friends. Finally the ascetic no longer distinguishes the three categories of friends; he then forms the same vow with regard to persons to whom he is indifferent and with regard to his enemies. Through the force of all these, he produces, with regard to his greatest enemies, the same vow of happiness as with regards his dearest friends.

When this meditation or cultivation of the vow of happiness, sevenfold and impartial, is achieved, the ascetic then progressively enlarges the domain of this vow, embracing his town, his country, one cardinal direction, and then all the universe. When all beings, without exception, are embraced in his infinite mind of goodwill, the meditation of goodwill is achieved.

Those who take pleasure in the qualities of others easily and rapidly cultivate goodwill, but not so those who take pleasure in

discovering the faults of others. For even persons who have cut off their roots of good (iv.79) are not without their good qualities, and one can even find faults among the Pratyekabuddhas themselves: the consequences of the ancient good deeds of the former, and the bad deeds of the latter, are visible in their bodies, etc.

So too one should understand the meditation or cultivation of compassion and joy. One sees beings falling into the ocean of suffering: one forms the vow (*adhimucyate*): "May they be delivered from suffering! May they enter into joy."<sup>175</sup> In this way one exercises himself in compassion and joy. One who cultivates equanimity takes as his point of departure the category of persons to whom he is indifferent, for the aspect of equanimity is, as we have seen, merely "Beings!"<sup>176</sup>

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In what sphere of rebirth does one cultivate the Immeasurables?

31e. One produces them among humans.

Only humans can cultivate the Immeasurables and not beings in any of the other spheres of rebirth.

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When a person possesses one Immeasurable, does he necessarily possess all of the others?

He does not necessarily possess them all.

31f. He necessarily possesses three.

The person who has produced the Third Dhyāna or the Fourth Dhyāna cannot possess joy, since satisfaction is absent in these Dhyānas. When one possesses one Immeasurable, one always possesses three.

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What are the Deliverances (*vimokṣa*)?

32a. The Deliverances are eight in number.<sup>177</sup>

According to the Sūtra:<sup>178</sup> "One endowed with physical matter sees physical matter" (*rūpi rūpāṇi paśyati*):<sup>179</sup> this is the First Deliverance; 2) not having the idea of internal visible things, he sees external visible things: this is the Second Deliverance; 3) bringing forth agreeable Deliverance, he dwells in this absorption: this is the Third Deliverance (see below, note 196).

The Fourth to the Seventh Deliverances are the four absorptions of Ārūpyadhātu (Ākāśānantyāyatana, etc.). The Eighth Deliverance is the absorption of the extinction of ideas and sensations (*saṃjñāveditanirodhasamāpatti*).

32a-b. The first two are meditations on loathsome things; (in two Dhyānas).<sup>180</sup>

The first two Deliverances are, by their nature, meditations on loathsome things (vi.9), for they have for their aspect the idea of a corpse turning blue, etc. [The ascetic considers internal and external visible things, his body or external bodies, under the aspects of a corpse turning blue, etc.] Consequently the rules that concern the first two Deliverances are the same as for the meditations on loathsome things.



32b. They are in two Dhyānas.

They are cultivated only in the first two Dhyānas; when they are cultivated in the First Dhyāna, they oppose<sup>181</sup> attachment to the visible things (*varṇarāga*) of Kāmadhātu; cultivated in the Second Dhyāna, they oppose attachment to the visible things of the First Dhyāna. [In the Second Dhyāna there is no attachment to visible things that should be opposed in the Third Dhyāna, viii, p. 1232, 1241,]

32c. The Third in the last Dhyāna.

The Third Deliverance can only be cultivated in the Fourth Dhyāna.

32d. It is the absence of desire.

It is by nature the root of good absence of desire, not a meditation on loathsome things; in fact it takes for its object a thing that it considers as good: it therefore has the aspect of something good.

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These three Deliverances, with the *dharma*s that are associated with them, are the five *skandhas*. As for the Deliverances of Ārūpyadhātu:

32d. They are good Ārūpyas and absorption.

The Third to the Seventh Deliverances are the good *ārūpyas*,

that is, they are pure or undefiled (viii.5), and they pertain to absorption (*samāhita*), but not the *ārūpya* that is produced at the end of life<sup>182</sup> [viii.16c, note 103].

According to others, the mind and mental states produced by retribution among beings born in *Ārūpyadhātu* are not concentrated.

The name of "Deliverance" is also given to the Path of Deliverance (*Vimuktimārga*) of the prefatory stages of the absorptions of *Ārūpyadhātu* (*ārūpyasāmantakasamāpatti*, viii.22), but not to the Irresistable Path (the path of abandoning, *Ānantaryamārga*) of these same prefatory stages: for these have for their object the lower sphere that they abandon, and the Deliverances receive their name from the fact that they turn away from the lower sphere.<sup>183</sup>

### 33a. The absorption of extinction (*nirodhasamāpatti*).

The Absorption of Extinction, or more fully the absorption of the extinction of ideas and sensations (*saṃjñāveditānirodhasamāpatti*) is the Eighth Deliverance. We have already mentioned it.<sup>184</sup> It is called "Deliverance" because it turns away from ideas (*saṃjñā*) and sensations (*vedita* = *vedanā*); or rather because it turns away from all conditioned things.<sup>185</sup>

According to others, the Eight Deliverances<sup>186</sup> are called deliverances because they deliver one from the obstacles to absorption.<sup>187</sup>

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The absorption of extinction is realized

### 33b. Immediately after a subtle-subtle mind.<sup>188</sup>

A mind in Bhavāgra (=Naivasamjñānāsamjñāyatana), which belongs to the ascetic who is in a position to realize the absorption of extinction, is subtle in comparison with any mind associated with ideas (*samjñā*).<sup>189</sup> "Subtle-subtle" (*sūkṣmasūkṣma*) signifies a mind more subtle, but yet one which enters the absorption of extinction [that is to say, "upon which there immediately succeeds . . ."].

33c-d. Going out into a pure mind of the sphere of the same absorption, or into a lower Āryan mind.

The absorption of extinction comes to an end when there appears either a pure mind (viii.5) of the sphere of Bhavāgra, the Fourth Ārūpya—the sphere to which the absorption of extinction belongs—or an Āryan, that is, an undefiled mind of the sphere of the Third Ārūpya. Then there is entry into the absorption of extinction by a defiled mind, and going out by a defiled or undefiled mind.<sup>190</sup>

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What is the object of the Deliverances?

34a. The first ones have for their object the visible things of Kāmadhātu.

The first three Deliverances have for their object the physical matter (*rūpāyatana*) of Kāmadhātu, unpleasant physical matter in the first two, pleasant physical matter in the Third.

34b. As for those that are non-material, all that which belongs to the Knowledge, the Suffering of their sphere and of a higher sphere, etc.

The Deliverances of Ārūpyadhātu have for their object the Suffering of their sphere and a higher sphere,<sup>191</sup> the cause and the extinction of this Suffering, the totality of Non-dual Knowledge (*anvayaajñāna*), the extinction not attained through conscious effort (*apratisamkhyānirodha*)<sup>192</sup> and space (*ākāśa*).<sup>193</sup>

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We have seen (32a-c) that the first two Deliverances belong to the first two Dhyānas, that the Third Deliverance belongs to the Fourth Dhyāna. Why is there no Deliverance in the Third Dhyāna?

Since body consciousness is absent in the Second Dhyāna, there is no craving for the visible things of this Dhyāna [which should be opposed in the Third Dhyāna], because the Third Dhyāna is "agitated by its excellent pleasures".

But if there is no craving for visible things in the Third Dhyāna, what purpose does the ascetic pursue in producing the Third Deliverance [which is a meditation on an agreeable physical object]?

The ascetic should gladden his mind which the meditation on loathsome things has depressed; or rather he should take into consideration his achievement or his lack of achievement. He asks himself if the first two Deliverances have been achieved or not. If, in his contemplation on an agreeable object (the Third Deliverance), defilements do not arise, then the first two Deliverances have been achieved.<sup>194</sup> In fact the ascetic cultivates the Deliverances, the Dominant Āyatanas, etc., with a twofold purpose: 1) to remove, to render more distant, the defilements; and 2) to obtain mastery in absorption. This mastery has for its result the production of the qualities, such as Absence of Contention (*Araṇā*), etc., and the production of the supernormal powers (*rddhi*) of the Āryans: the supernormal power by which a saint

transforms objects or causes them to last, by which he gives up his life, etc.<sup>195</sup>

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Why does the Sūtra use the expression “having experienced [through the body] (*[kāyena]*) *sākṣātkṛtvā*) in the definition of only the Third and the Eighth Deliverances?<sup>196</sup>

It is because of their excellence and because they are found to exist in the final sphere of the two Dhātus.<sup>197</sup>

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35a. The Dominant Āyatanas (*abhibhāvāyatanas*) are eight in number.<sup>198</sup>

1. There is the idea of internal physical matter,<sup>199</sup> and there is the seeing of a small amount of external attractive and unattractive physical matter: [at this time there is the thought, “I have succeeded in (*abhibhūya*) in knowing all of the *rūpas*, I have succeeded in seeing all of the *rūpas*.<sup>200</sup> This is the First Dominant Āyatana.] 2. There is the idea of internal physical matter, and there is the seeing of an unlimited amount of external physical matter. 3. There is an absence of any idea of internal physical matter, but there is the seeing of a small amount of external physical matter. 4. There is an absence of any idea of internal physical matter, but there is the seeing of a large amount of external physical matter. These are the first four Dominant Āyatanas.

5-8. There is an absence of any idea of physical matter, but there is the seeing of external blue, yellow, red, and white. These make a total of eight.

35b. Two are like the First Deliverance.

The first two Dominant Āyatanas are similar to the First Deliverance.

35c. The following two are like the Second.

The Third and the Fourth Dominant Āyatanas are like the Second Deliverance.

35d. And the last four are like the Third Deliverance.

And the last four are like the Third Deliverance.

What is the difference between the Deliverances and the Dominant Āyatanas?

Through the Deliverances, one is only "delivered", but through the Dominant Āyatanas, one attains domination of their object. This domination includes the view of the object as one desires and the absence of any defilement provoked by the object.<sup>201</sup>

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36a. There are ten All-Encompassing Āyatanas (*kṛtsnāyatana*s).<sup>202</sup>

They are called "all-encompassing" (*kṛtsna*) because they embrace their object in its totality and exclusively.<sup>203</sup>

They are ten in number: this is the totality of earth, water, fire, and wind; blue, yellow, red, and white; plus the uninterrupted *āyatanas* (*ānantyāyatanas*) of space and consciousness (the First and the Second Absorptions of Ārūpyadhātu).

36b. Eight are the absence of desire.

The first eight are, by nature, the root of good which is absence of desire:(with their concomitant *dharmas*, they are the five *skandhas*).

36c. They belong to the last Dhyāna.

They are realized by an ascetic in the Fourth Dhyāna.

36d. They have Kāmadhātu for their object.

They refer to the visible things (*rūpāyatana*) of Kāmadhātu.

However some think that the Fourth, the All-Encompassing Āyatana of Wind (*vāyukṛtsnāyatana*) has for its object the tangible thing that is called the wind element (*vāyudhātu*). Some think that the first four have tangible things for their object, while the last four have visible things for their object.<sup>204</sup>

36e. Two are pure *ārūpya*.

The last two are pure absorptions of Ārūpyadhātu.

36f. They have the four *skandhas* of their sphere for their object.

Their objects are the four *skandhas* of the sphere to which they belong (the First and Second absorptions of Ārūpyadhātu).

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The Eight Dominant Āyatanas have the Eight Deliverances for their "entry" and the Ten All-Encompassing Āyatanas have the

Eight Dominant Āyatanas for their entry: the following, in fact, are superior to the preceding ones.

All these qualities can have the mental series of a Pṛthagjana or the mental series of an Āryan for their support, with the exception of the Deliverance of Extinction (*nirodhavimokṣa*) which can only be produced by Āryans.

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(Concerning the acquisition of these qualities and the persons who can produce them:)

37a. Extinction has been explained.

All of the types of the Deliverance of Extinction have been previously mentioned.

37b. The others are obtained either through detachment or through cultivation.

The qualities other than the Deliverance of Extinction are obtained either through detachment (*vairāgya*) or through cultivation (*prayoga*) accordingly as they have been, or have not been, habitually cultivated.

37d-c. The qualities of Ārūpyadhātu are obtained by beings of the Three Dhātus; the others, only by humans.

The Deliverances and the All-Encompassing Āyatanas of Ārūpyadhātu are cultivated by beings born in any of the Three Dhātus. But only humans can cultivate the other absorptions,—the three Deliverances, the Eight Dominant Āyatanas, and eight



All-Encompassing Āyatana, —because these absorptions arise through the power of the Teaching.

If this is the case, how can beings born in the spheres (*bhūmi*) of Rūpadhātu and Ārūpyadhātu realize the *dhyāna* and *ārūpya* absorptions higher than the spheres in which they are born?

They can do this be reason of the power of cause, of actions (*karma*), and the nature of things (*dharmatā*).

38a-b. In two spheres, it is through the power of cause and of action (*karma*) that one produces the absorptions of Ārūpyadhātu.<sup>205</sup>

In Rūpadhātu and in the lower spheres of Ārūpyadhātu, the higher absorptions of Ārūpyadhātu arise through the power of cause,<sup>206</sup> when they have been, in the course of a previous existence, closely and intensively cultivated.<sup>207</sup> They also arise through the power of action (*karma*), that is to say, by reason of the imminent retribution of a previous action of the category “to be retributed later,” including a sphere of retribution higher than that (Rūpadhātu or the lower spheres of Ārūpyadhātu) in which one is born. This is because someone who is not detached from a lower sphere cannot be reborn in a higher sphere.<sup>208</sup>

38c-d. In Rūpadhātu, it is through these two powers, and also by reason of the nature of things, that one produces the *dhyānas*.

Beings born in a lower stage of Rūpadhātu produce *dhyānas* higher than the stages in which they have been born because of the two powers described above. Also, at the period at the end of the world,<sup>209</sup> they are produced through the force of *dharmatā*.<sup>210</sup> In this period, all the beings of lower spheres produce higher *dhyānas*, for the efficacy of good *dharma*s becomes fully developed.<sup>211</sup>

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How long will the Good Law (*saddharma*) of the Blessed One last, during which time these different types of *dharmas*<sup>212</sup> can be clearly known and seen?<sup>213</sup>

39a-b. The nature of the Good Law of the Master is twofold, *āgama* and *adhigama*.<sup>214</sup>

*Āgama* ("the traditions") is the teaching, the Sūtra, the Vinaya and the Abhidharma.<sup>215</sup> *Adhigama* ("holiness"<sup>216</sup> or understanding) are the *dharmas* aiding Bodhi (the *bodhipāṅśikadharmas*, vi.67b, cultivated by the Āryans of the Three Vehicles, and the results of the religious life, vi.51, obtained through the Three Vehicles.) Such is the Good Law, twofold in its nature.

39c-d. Those who preach it and who cultivate it support it.<sup>217</sup>

Those who preach the Good Law, the Sūtras, etc., support the Good Law which is *āgama*. Those who cultivate or who realize the Good Law, the *dharmas* aiding Bodhi, etc., support the Good Law which is *adhigama*. As long as such persons exist in the world, the Good Law will last.

It is said (in the *Saṃyuktāgama*, TD 2, p. 177b19) that the Good Law will last one thousand years after the Parinirvāṇa.

This number refers to the *adhigama*,<sup>218</sup> but according to others, the *āgama* will last longer.<sup>219</sup>

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This present treatise is based on the treatises of the Abhidharma [and includes the sense of the Abhidharma, i.2c]. [There are many ways of explaining the Abhidharma:] according to which interpretation is the Abhidharma explained in this treatise?<sup>220</sup>

40. The Abhidharma that is established through the interpretation of the Vaibhāṣikas of Kaśmīr is, in its totality, what I have explained. That some ideas, poorly grasped, are reproduced here is our fault, for only the Munis are authoritative (*pramāṇa*) in the interpretation of the Good Law.<sup>221</sup>

For the most part, this Abhidharma, which has been spoken by me, is the Abhidharma as established by the Vaibhāṣikas of Kaśmīr. That which, herein, has been poorly grasped, is my fault: for the Buddhas and the sons of the Buddhas alone are the authority for the interpretation of the Good Law.<sup>222</sup>

41. The Master, the eye of the world, is closed; the witnesses, for the most part, have perished; the Law is put into confusion by persons who have not seen the Truth; and by bad sophists, independent, from whom nothing is gained.

42. For he who knows for himself has departed on the Supreme Path, as well as the supports of the Law of the one who knows for himself: the world no longer has a Protector. The defilements, which destroy spiritual qualities, now have full liberty.

43. Seeing that the Law of the Muni is in its last breath, and that it is the hour of the forces of defilements, those who desire liberation should be diligent.<sup>223</sup>

This concludes the Eighth Chapter of the *Abhidharmakośabbhāṣyam*, entitled "Instruction in the Absorptions."

1. The *Kārikā* has *samāpattiḥ śubhaikāgryam*; but the *Sūtra* speaks of *samādhi*. On the traditional summary of Chapter VIII and of *samāpatti-samādhi*, see below viii.23 note 128.

2. Paramārtha translates: "dhyāna produced through arising, dhyāna produced through concentration."

3. *Kośa*, iii.2c, vi.38a-b, 43 a-b, ii.41d. There are three (or two) stages in the heaven of the First Dhyāna, etc. On the "dhyāna existences," see viii.12, 14a, 16c, 19c.

4. Pāli: *kusalacittsekaggatā* (*Visuddhimagga*, 84; see index); *Majjhima*, i.301, *Samyutta*, v.21, *Dhammasaṅgani*, 11 (*cittassekaggatā*) (The translator mentions *Yogāvacara's Manual*, p. xxvi); Mrs Rhys Davis, *Psychology*, 1914, 104 (references to the canonical texts). *samādhi*=*adhicitta*, vi, p. 978; part of the Path, part of Bodhi, vi. 54d, 70; *ṛddhipāda*, vi.69c-d, part of the Śaikṣa and Aśaikṣa, vi.75.

*Bodhisattvabhūmi*, fol. 82b, quotes viii. 27c-28.

On *samādhi*, a very "scholastic" text in *Majjhima*, i.301.

The *samādhiskandha* embraces three parts of the Path, *samyagvyāyāma*, *samyaksmṛti*, and *samyaksamādhi* it then follows that one distinguishes: 1. *samādhi* (= *cittassa ekaggatā*); 2. the *nimittas* of the *samādhi* which are the *smṛtyupasthānas*; 3. the *pariṣkāras* of the *samādhi* which are the *samyakpradhānas*; and 4. the cultivation (*bhāvanā*) of the *samādhi* which is the cultivation of the *samādhi*, the *pradhānas*, and the *smṛtyupasthānas* ["cultivation" is understood in the sense of *parisuddhi*, *paripūri*].

5. Whoever enters into *dhyāna*, that is to say whoever possesses the absorption called *dhyāna*, necessarily also possesses the mental states and the mind (the four *skandhas*), the "discipline of *dhyāna*" (iv. 2), which is a *rūpa*.

6. *Ekāmbanātā cittānām* is the definition of the Abhidharma; but one should understand *cittacittānām*: "The fact that minds and the mental states . . ."

7. Defined in *Kośa*, ii. 24; English trans. p. 190.

8. In the same way that the Vaiśeṣika maintains that a piece of cloth is white *śuklaguṇayogāt*, through conjunction with the quality of white.

9. All minds contain *samādhi*, but a mind associated (*saṁprayukta*) with a weak *samādhi* is not concentrated (*ekāgra*).

10. According to the *Vyākhyā*, the two definitions in the same *Sūtra*: *adhicittam śikṣa katama / catvāri dhyānāni*. On the three *śikṣās*, see *Ānguttara*, i.235; ii.194, *Kośa*, vi.43c, p.

In the same way that *adhiśīlam śikṣā* is *śīla*, so too *adhiprajñam śikṣā* is *prajñā*, and *adhicittam śikṣā* is simply *citta*. Now the *dhyānas* are *adhicittam śikṣa*, and are thus *citta*; the *dhyānas*, moreover, are *samādhi*, thus *samādhi* is *citta*.

In the same way that *śīlapariśuddhi* is *śīla*, *drṣṭipariśuddhi* is *drṣṭi*, and *vimuktīpariśuddhi* is *vimukti*. (See *Kośa*, vi. 76c, p. 1035)

11. The Sarvāstivādin answers.

12. *Māhavyutpatti*, 245, 1052 1055, *upanidhyātavya, nidhyāyati*. *Kośa*, i.41c, where the *Vyākhyā* explains: *saṁtīraṇam . . . viśayopānidhyānapūrvakam niścayākarṣaṇam*.

13. This formula figures notably in the *Sūtra* of the Five Vimuktyāyatanas quoted in *Vyākhyā* ad i.27 (*Māhavyutpatti*, 81, *Dīgha*, iii.241, *Ānguttara*, iii.21); *Samyutta*, iii.13: *samāhito bhikkhave bhikkhu yathābhūtam pajānāti*; ii.31 *samādhūpanisam yathābhūtam ānāpāssanam*, etc. And elsewhere, *Nettipakaraṇa*, 66, *Ānguttara*, v.3, *Visuddhimagga*, 371, etc.

According to all these texts, *samādhi* is necessary for the exact knowledge necessary for salvation. On this point, see *Compendium*, 55, 75; *Kośa*, vi. Foreword p., viii.22d.

14. According to Hsüan-tsang and the glosses of Saeki. According to Paramārtha: "Some other masters say that *ting* 定 (= *samādhi*) is *dhyāna*. What is the meaning of *dhyāna*? Through "ting" one obtains knowing, seeing, so it is called *dhyāna*. Why? Because the mind that obtains *ting* [=the *samāhita* mind] truly knows and sees. The word *dhyāna* signifies *ssu* 思 (*cintā, cetanā*); *liang* 量 (to measure). *Ssu* is *prajñā*. Such is the Siddhānta."

15. As an advance chariot is pulled by two mares bound by a yoke, so *dhyāna* is bound by *śamatha* and *vipaśyanā*. In the Ārūpyas, calm is great, intellection small; the opposite in Anāgāmya (viii.22); in the *dhyānas*, there is equilibrium.

*Śamatha* = *samādhi* = absorption; *vipaśyana* = *prajñā*.

The editors of the Pāli Text Society (against several manuscripts) read *yuganandha* (*Paṭisambhidā*, ii.92 and foll.; *Visuddhimagga*, 149): "Some cultivate *vipassana* preceded by *śamatha*; . . . some cultivate *śamatha* preceded by *vipassana* . . . some cultivate *śamatha* and *vipassana* bound together (*śamathavipassanaṃ yuganandhaṃ bhāveti*)." "The *dharma*s bound together and which are called *samādhi* and *prajñā* do not go beyond one another . . . (*samādhipaññāsambhātā yuganandhadhammā aññamaññāṃ anativattamānā*. . . ) *Bodhicāryāvatāra*, viii.4, *Śikṣasamuccaya*, 119, *Sūtrālmkāra*, xiv.9. *Compendium*, 55, 75.

In Tantrism, *yuganaddhaka* or *yuganaddhakrama* is the non-distinction of *saṃsāra* and *nirvāṇa*, of *grāhaka* and *grāhya*, etc. (*Pañcakrama*, p. 46-48).

16. On defiled *dhyāna*, the story of the monk who believes himself to be a *Srotaāpanna* of the First *Dhyāna*, an *Arhat* in the Fourth, but who in fact remains subject to desire, Przyluski, *Aṣoka*, 390.

Three bad "meditators," *dhyāyin*, *Kośa*, v. 21b-d trans. p. 800 and note 76; *Majjhima*, iii.14.

17. The meaning of *vitarka*, *vicāra*, *prīti*, and *sukha*, is explained below.

In *Niddesa*, p. 373, an interesting essay on the classification of the *dhyānas*.

18. There is a difficulty. How does the author, by saying that the First *Dhyāna* is *vicāraprītisukhavat*, say implicitly that this *Dhyāna* also "contains *vitarka*"? In fact, *dhyānāntara* "contains *vicāra*" and "contains *vitarka*." The *Vyākhyā* explains: *yathā dhyānāntare vicāro na prītisukhavān ity avitarko naivam ayaṃ vicārah / viśeṣito hy ayaṃ vicārah prītisukhasahapañhitah / vicāraprītisukhavat itī prītisukhavatā vicāreṇa prītisukhena ca samprayuktaṃ prathamam dhyānam ity arthah*.

Visubandhu does not say *vitarkaprītisukhavat*, because he wishes to say that the other *Dhyānas* lose, in this order, the parts indicated. If one were to define the Second *Dhyāna* as without *vitarka*, then *dhyānāntara* would be confused with the Second *Dhyāna*.

19. On the Ārūpyas, see *Majjhima*, i.2, 164, 410, ii.261 (where the first two are ignored), *Visuddhimagga*, III, 336-340; *Comm. on the Dīgha*, *Dialogues*, iii. p. 273: *ākāśānācāyatana* is a *dhyāna* which has the infinity of space for its object; references to the notes of Rhys Davids, *ibid.* p. 216.

20. Paramārth translates: "Separation-sphere-lower arises." Hsüan-tsang: "Separation lower sphere arisen, from whence the division into four." P'u-kuang (*TD* 41, p. 418b16) explains, "It is fourfold because it arises from separation from arising in a lower sphere." Fa-pao (*TD* 41, p. 788c3) says, "It is fourfold by reason of separation from arising in a lower sphere": "separation from arising" signifies "separation from defiled *dharma*s," or rather "to separate oneself and to arise." One arises above because one separates oneself from what is below.

21. *Kośa* iii.3 explains how Ārūpyadhātu, which does not include any places, or stages, is still fourfold from the point of view of the mode of existence of the gods who arise there: *ārūpyadhātur asthāna upapattiyā caturvidhaḥ*. The *Abhisamayālmkāra* (*ad Aṣṭasahas-rīkā* 153, 18), after having quoted this definition, adds a line (borrowed from which *Śāstra*?): *dhyānād ūrdhvaṃ saṃsthāno rūpeṣadbbhāvo 'tha vā* (Sic MSS): "Or rather,

placed above the world of the *dhyānas* (= Rūpadhātu), it includes shape, for there exists there a small amount of *rūpa*." Buddhist cosmology recognizes some heavens superimposed on Ārūpyadhātu in which there are mansions (*kung* 宮); see for example Hastings' *Encyclopedia of Religion and Ethics*, article "Cosmology."

Kathāvatthu, viii.8, xvi.9. The Andhakas maintain that some subtle matter exists in Ārūpyadhātu (by reason of the text = *viññānapratyayanāmarūpam*, see above p. 1222). See Vasumitra and Bhavya.

The Mahāsāṃghikas and Mahīśasakas,—and the *Vibhāṣā* adds the Vibhajyavādins (see below),—admit the existence of *rūpa*.

Notes of the Japanese editor—*Commentary on the Samayabhedā*: The six types of consciousness (*saḍ viññānakāyāh*) exist in Rūpadhātu and Ārūpyadhātu. But, if *rūpa* exists in Ārūpyadhātu, why is it called Ārūpyadhātu? Because subtle *rūpa* exists there, but not gross *rūpa*.

*Vibhāṣā*, (TD 27, p. 431b6): Some say that *rūpa* exists in Ārūpyadhātu, as do the Vibhajyavādins . . . The Mahāsāṃghikas think that in Ārūpyadhātu there is a *rūpa* which is the result of action.

The *Sāriputrābhidharma* says that, in Ārūpyadhātu, there is a *rūpa*, namely the *rūpa* that forms part of the *dharmāyatana* (*Kośa*, iv. English trans. p. 361).

The Mahāyāna says that in Ārūpyadhātu there is a *rūpa* which is the result of *saṃādhi*. See *Mahādharmabherisūtra* (TD 9, p. 293c10) and the *Mahāyāna Parinirvāṇa sūtra* (TD 12, p. 415a17, a25): "The saints of the Two Vehicles cannot know how the gods of Naivasamjñānāsāmjhāyatana are . . . Only the Buddha knows of those that have life (*āyus*, *shou-ming* 壽命) among the *Asamjñān* gods; the same for beings in Naivasamjñānāsāmjhāyatana."

22. *Vyākhyā*: "According to others, we should understand: By virtue of the text: *sarvaśo rūpasamjñānām samatikramāt*, it is proved that there is no longer any *rūpa* in *ārūpyasamāpatti*." The Japanese editor understands: "Because it is denied that there is *anāsrava saṃvara* in this *samāpatti*."

23. See iii.14a. "Transparent" poorly renders the sense of the word *accha*. This refers to a *rūpa* which can pass through other *rūpas*, a material resembling ether."

24. For "the absorption existences" (*upapatti*), like the "absorptions" (*samāpatti*), become better and better.

25. See ii.14, iii.3.

26. On *samsr̥ṣṭa*, vii. p. 1099, and iii.32a-b.

Mahākopṭhita converses with Sāriputta on this subject, *Majjhima*, i.206 (See *Kośa*, ii.45, English trans. p. 233).

27. But the two Chinese versions, here and below, read: *nāmarūpa-viññānayo*, a reading confirmed by the Pāli sources.

However the *Vyākhyā* quotes the Sūtra: *tadyathāyusman sārūputra dve naḍakalāpyāv ākāśa ucchrīte syātām / te'nyonyaniśrīte / anyonyam niśrītya tiṣṭheyātām / tatra kaścid ekām apanayed / dvitīya nīpatet / dvitīyām apanayed ekā nīpatet / evam āyusman sārūputra nāma ca rūpam cānyonyaniśrītam anyonyam niśrītya tiṣṭhati* . . . Same comparison in *Sāmyutta*, ii.114; but *nāmarūpa* and *viññāna*, not *rūpa* and *nāman*.

28. Argument of the Vibhajyavādins according to the *Vibhāṣā*, see above, note 21.

29. A very close edition, *Sāmyutta*, iii. 53 (trans. of Warren, p. 162).

30. *Vyākhyā*. The stanza: *āyur usmā'thā viññānam* . . . "When life, heat and *viññāna* leave the body, it remains, deserted, insensible, like a piece of wood" (ii.45a), proves that the Sūtra refers only to Kāmadhātu, for 1. there is no physical body in Ārūpyadhātu; 2. in Rūpadhātu,

the body exists, but "does not continue after death": *rūpadhātu tu yadi api kāyo'sti tatra kāyanidhanam* [according to iii.9].

31. The formula for those beings who spontaneously arise (*upādukas*) is: *viññānapratyayaṃ ṣaḍāyatanaṃ*, for *nāmarūpa* are five *skandhas* which have not yet taken up the state of *ṣaḍāyatana* (*aniṣpannaṣaḍāyatanaāvastha*); now *ṣaḍāyatana* exists from the very beginning of *upapāduka* beings, *Kośa*, ii.14, p. 175.

32. This results from the Sūtra of which we have the Pāli edition in *Dīgha* ii.63: *viññānaṃ ced ānanda mātubh kukkūṃ navakrāmed api nu tan nāmarūpaṃ kalalatvāya saṃmūrchet / no bhadanta / viññānaṃ ced ānandāvakrāmya kṣīpam evāpakrāmed api nu tan nāmarūpaṃ itthavāya prajñāyeta / no bhadanta / viññānaṃ ced ānanda daharasya kumārasya kumārīkāyā vā ucchidyeta vinasen na bhaved api nu tan nāmarūpaṃ viddhiṃ vipulantiṃ āpadyeta / no bhadanta*.

33. The *Udayīsūtra* (*Kośa*, ii. 44d, English trans. p. 229) says: *bhedāc ca kāyasyātikramya devān kavādīkārābhārabhākṣān anyatamasmin dīvye manomayakāya upapadyate*.

*Ānguttara*, iii.192, compare *Dīgha*, i.34, 186.

34. *Prītyābhāravacanā*; iii.98.

35. *Ye te śāntavimokṣā atikramya rūpāṇy ārūpyāb . . . viii.32*.

36. *Madhyama*, TD 1, p. 581b19.

37. Compare *Udāna*, iii.10. *Bhava* defined i.8c.

See the Foreword to Chapters V and VI, p. xxi. Through the first worldly *dhyāna*, which is a certain *dhyāna*, one cannot rise above the First *Dhyāna*; and thus following. There is no *bhava* by which one can go beyond *Naivasamjñānāsamjñāyatana* which is *Bhavāgāra*, the summit of *bhava*. Furthermore, one cannot encounter a definitive departure from a lower state in any superior state of existence, for falling is always possible. Thus one leaves *bhava* only through the Path which does not from part of the *Dhātus*, and which is not *bhava*.

38. *Vibhāṣa*, TD 27, p. 431b15: Objection of the *Vibhajyavādins*: If there is absolutely no *rūpa* or physical matter in *Ārūpyadhātu*, then the *rūpa* of beings who die in *Kāmadhātu* and *Rūpadhātu* and who are reborn in *Ārūpyadhātu*, and who, later, die in *Ārūpyadhātu* and are reborn below, will have been cut off for 20,000, 40,000, and 80,000 *kalpas*. How is their *rūpa* produced anew? If, as you suppose, their *rūpa*, having been cut off, abandoned (*prahīṇa*), is produced anew, what would prevent us from saying that the *saṃskāras*, even though cut off and abandoned upon the obtaining of *Nirvāṇa*, would reappear later? In order to avoid this problem, one should admit that *rūpa* exists in *Ārūpyadhātu*.

39. *Paramārtha*: "Because it is perfumed (*vāsita*) by a former cause which should ripen in *rūpa*, the mind has the efficacy to now produce *rūpa*."

It indeed appears that the expression *vāsanā* (*Kośa*, iv.27d, p. 594, vii.28c, p. 1137, 30c, 32d) is foreign to the *Sarvāstivāda*. However this may be, *Samghabhadra* does not use it.

*Samghabhadra*, in his *Prakaraṇaśāśana* (TD 29, p. 964b26) says, "What is the meaning of the expression *ārūpya*? It means that there is no *rūpa* there. When one dies [in *Ārūpyadhātu*] and is born below, the *rūpa* arises from *citta*. We maintain that, in this world, material and non-material (*rūpin*, *arūpin*) *dharma*s are produced in fact in reciprocal dependence: from the change of the mind there arises the diversity of *rūpa*; when the material organs are modified, the *viññāna* is likewise different. Thus, when a being dying in *Ārūpyadhātu* arises below, the series of his mind (*cittasamtati*) is found to be favorable to the production of *rūpa*, and, by its force, the *rūpa* of a lower existence if favorable to this arising. However it is not permissible to say that *rūpa* arises solely from the mind. It also exists by reason of the series-of-mind-accompanied-by-*rūpa* of a former existence: the *rūpa*, destroyed for a long time, is its own seed. We maintain in fact that *sabhāgaḥetu* is at one and

the same time past and present (ii.52a, p. 262). For the Arhats who have already attained Nirvāṇa have cut off without residue the series of the *skandhas*; there does not remain any cause which could produce the arising of new *skandhas*: there is no case to which one could apply the example of a being who dies in Ārūpyadhātu [and takes up a new *rūpa*]. (See the argument of the Vibhajyavādins, note 38).

In his *Nyāyānusāra* (TD 29, p. 757c17), Saṃghabhadra says, "The *āgamas* quoted by our adversary do not prove that *rūpa* exists in Ārūpyadhātu. His argument from reason has no value, for, even though there is no *rūpa* in Ārūpyadhātu, the *rūpa* of a being of Ārūpadhātu who has just died and is about to be reborn below comes from the mind. We state that in this world. . .

40. iii.3c-d: *nikāyaṃ jīvitaṃ cātra nīritā cittasamātib*; see ii, 41, 45.

41. *Vyākhyā*: *prayogakāla ākāśādīny ākambanāni*. Hsüan-tsang: The ascetic, in his preparatory exercise, thinks "Space is infinite," "The sixfold *viññāna* is infinite," "There is nothing."

On the *ārūpyas*, and how one obtains them, see *Majjhima*, i.164, Sutta 121, *Visuddhimagga*, 326 and following.

*Vibhāṣā* (TD 27, p. 432c23): "It is not by reason of its nature or by reason of its object, but by reason of its *prayoga* that *ākāśānantya* receives its name. The beginner (*ādikārmika*) considers the characteristic of emptiness of the top of a wall, of a tree, of a house; when he has 'grasped' this characteristic of infinite *ākāśa*." In the *ārūpyas*, the ascetic considers *anītya*, *pratyaya*, etc.

Vasumitra explains how, in *ākāśānantya* (preparatory stage), the notion of subject and object is dispelled; see above Chap. VII note 325.

42. This is a question as to whether or not there is *saṃjñā* in the fourth *ārūpya*, the sphere of "non-*saṃjñā* and neither non-*saṃjñā*." *Āṅguttara*, iv.426; *Kathāvatthu*, xiii.12; Vasumitra and Bhavya.

The "absorption of the cessation of *saṃjñā* and *vedanā*" can be obtained only by an ascetic who has entered into this *ārūpya* (ii.44d, English trans. p. 229 and iii.6c). From this fact, one can conclude that *saṃjñā* exists there. (On the subtle-subtle mind, viii.33b).

43. Compare *Majjhima*, i.435, ii.230; *Āṅguttara*, iv.422, *Visuddhimagga*, 335. This refers to the *saṃjñās* of the lower absorptions. In fact one enters into the fourth *ārūpya* by being disgusted with it, by a meditation practiced in the *sāmantaka* of the third *ārūpya*.

44. That is to say "a cause of stupidity" (*saṃmohakāraṇa*).

45. *kasmāt tu taṁ tad evaṃ grhyate*, that is to say, according to the *Vyākhyā*: *taṁ iti tatsamāpattibhis tad iti naivasamjñānāsamjñāyatanam evaṃ grhyata iti naiva saṃjñā nāsamjñeti grhyata ity arthaḥ* /

46. Hsüan-tsang: The *maulasamāpattis*, *dhyānas* and *ārūpyas*, explained above are eight in number. . .

Paramārtha: The *maulasamāpattis*, considered as real *dharma*s (or *dravyas*), are solely eight *dravyas*: four *rūpasamāpattis* and four *ārūpyasamāpattis*.

*Vyākhyā*: *samāpattidravyāṇi maulāṇi śākhās teṣāṃ nopanyasyanta ity abhiprāyaḥ*. The *Śākhās* or "branches" are the *sāmantakas* (viii. 22a) and *dhyānāntara*, or *asamjñīsamāpatti* and *nirodhasamāpatti*.

47. Here we should give up translating *anāsrava* by "pure," a translation justified elsewhere by the synonym *amala*, *nirmala*.

*Vibhāṣā*, TD 27, p. 821c17. *Anāsrava samāpatti* is pure in the proper sense of the word; why not call it *suddhaka*? . . . Some say: The name is established by reason of the difference of meaning. The *samāpatti* which is at one and the same time good (*kuśaka*) and



possessing-*āsravas* (*sāsrava*, *laukika*), is the first contradiction of the defiled *dharmas*: it is called *śuddha* because the sense of purity is dominant in it. The sense of *anāsrava* is dominant in the Noble Path.

*Śuddhaka* means *kuśala sāsrava*; *anāsrava* is the Path. But the *kuśala sāsrava* absorption is *samala*, *sakaṣāya*, *saviṣa*, *sakaññaka*, *sāsrava*, and *sadoṣa*: how can one call it *śuddhaka*? Now it is called thus, even though it is not absolutely pure, because it is partially so, because it is not mixed with *kleśa*; because it opposes the *kleśas*; because it favors the *anāsrava* absorption which is pure in the true sense of the word; because it is favorable (*anukūla*) to the Path; and because it is the escort of the *anāsravas*.

48. That is to say, "one cannot, having entered into this eighth absorption, meditate on the Path." *Vibhāṣā*, 162.11. One cannot practice the Path in Kāmadhātu, nor in Bhavāgāra. See Foreword to Chapters V-VI.

49. *Mahāvūyutpatti*, 85.7: *āsvādanasamprayuktadhyāna*. *Anguttara*, ii.126: "A person . . . enters and abides in the First Dhyāna; he tastes it, loves it, and finds therein sensible delight (*taṃ assādeti taṃ nikāmeti tena ca vittiṃ āpajjati*). If he dies without having fallen from it, he is reborn in the world of the Brahmakāyikas." The same for the other Dhyānas, with rebirth in the heaven which is suitable to it.

This text is invoked by the Andhakas against the Theravādins in the *Kathāvatthu*, iii.7.

50. One will find in F. Heiler, *Buddhistische Versenkung*, 1922, a very complete European bibliography. The most notable Pāli sources are *Vibhāṅga*, 257, *Visuddhi*, 139. Among the European works, Burnouf, *Lotus*, 800, Senart, *Mahāvastu*, i.552 (forgotten by Heiler). It is quite evident that the canonical definitions remain obscure, in spite of the efforts of the Ābhidharmikas-Ābhidhammikas.

51. In the *Bhāṣya* of the first line, Hsüan-tsang says, "The *kārikā* designates *teng-ch'ih* 等持 (= *samādhi*) by the word *ting* 定 (fixed, set): the words differ, but the meaning is the same. This is why the Sūtra (*Samyukta*, 28.21; see also *Madhyama*, TD 1, p. 788c19) says "The *ting* 定 (*sthitī*) of the mind, the *teng-ting* 等定 (*samsthitī*) of the mind, is what is called *samyaksamādhi*; it is also called *subhāikāgrya*."

In the *Bhāṣya* of the third line, Paramārtha says, "By *chu* 住 (*sthitī*) one should understand *subhāikāgrya*, because *chu* 住 is another name for *samādhi*. The Sūtra says, 'What is *samādhi*? It is the *chu* 住 (fixedness) of the mind in a correct object (*samyagviśaya*), correct condition (*avasthā*).'"

The First Dhyāna: contains *vitarka*, *vicāra*, *prīti* (= *saumanasya*, agreeable sensation of the *manas*, "satisfaction," ii.8a), *sukha* (= *prārabdhi*, forming part of the *saṃskārasandha*, ii.25, English trans. p. 191), and *samādhi*.

The Second Dhyāna: contains *adhyātmasamprasāda* (= *śraddhendriya*), *prīti* (= *saumanasya*), *sukha* (= *prārabdhi*) and *samādhi*.

The Third Dhyāna: contains *upekṣā* (= *saṃskāropekṣā*, ii. 25, English trans. p. 192), *smṛti*, *samprajanya*, *sukha* (agreeable sensation, *vedanā*, ii.7c), and *samādhi*.

The Fourth Dhyāna: contains *upekṣā* (neither-agreeable-nor-disagreeable sensation), *upekṣā-parisuddhi* (= *saṃskāropekṣā*), *smṛtipatisuddhi*, and *samādhi*.

52. Here Paramārtha adds "These five in absorption are capable of bringing about opposing *prārabdhi*."

53. *Caturāṅgasenavāt*: compare *Samantapāsādikā*, i.146: "In the same way that one understands by "army" the parts of the army and no other (*yathā senāṅgesu eva sanāsammuti*), in this way one understands by Dhyāna the fine parts and nothing else . . . The *Vibhāṅga* says: "Dhyāna contains *vitarka*, *vicāra*, *prītisukha*, and *cittasya ekāgratā*"; . . . thus *cittaikāgratā* is also a part." In this same way the School teaches that *dharmapraṇāyāsa* is at one and the same time both Bodhi and a part of Bodhi, that *samyagdṛṣṭi* is both the

Path and a part of the Path, and that absorption from food is both Upavāsa and a part of Upavāsa (iv.29, English trans. p. 597).

54. The formula of this *upekṣā* is given in *Vyākhyā*, iii. 35: *cakṣuṣā rūpāni dṛṣtvā naiḥva sumanā bhavati* [= *nānuniyate*] *na durmanā bhavati* [= *na pratibhanyate*] *upekṣako bhavati* [= *nābhujati*] / *katham nābhujati kiṃ pratisamīkhyāya āhoṣvid apratisamīkhyāya itī viśeṣayann āha*] *smṛtimān samprajānan* [= *smṛtisamprayuktayā prajñayā pratisamīkṣamāṇaḥ*]. As is the case of visual consciousness and its objects, so too for the five other consciousnesses. This sixfold equanimity is the sixfold *sātata*, *satatavibhāra*. See vii.32, note 186.

This is "majjhatta" of the mind, in the *Vibhaṅga*; *Visuddhimagga*, 659.

*Samantapāsādikā*, i.150, commentary on *upekkhako vibhāsim*.

55. It is for this reason that it is said that the Buddha is never *apratīsamīkhyāya upekṣā*. How one can expell bad *upekṣā* by good *upekṣā*, iii.35d.

56. *Vibhāṣā* (TD 27, p. 412a26): The parts of the *dhyānas* have eighteen different names; but how many actual entities are there? Only eleven. In the First Dhyāna, there are five names and five things. In the Second Dhyāna, the parts are four in number, three as in the First and *adhyātmasamprasāda*. In the Third Dhyāna, five parts: but the fifth has already been mentioned; and four new ones. In the Fourth Dhyāna, four parts; the last three have been mentioned; one adds the first. . .

In the first two Dhyānas, there is *praśrabdhisukha*, that is, *sukha* consisting of *praśrabdhi*; in the Third, there is *vedanāsukha*, *sukha* consisting of sensation. The *sukha* of the first two Dhyānas forms part of the *saṃskāraskandha*; the *sukha* of the Third is part of the *vedanāskandha*. . .

The unity of the object of the mind (*cittaikāgrya*) is *dhyāna* because *dhyāna* is by nature *saṃādhi* (that is to say *cittaikāgrya*). The *saṃādhi* and the other [*dharmas*] so enumerated are parts of the *dhyāna*.

See *Kośa*, vi. trans. p. 922.

57. In the *Vibhaṅga*, the *sukha* of the First Dhyāna is *cetasika sukha*, *cetosamphassaja sukha*; this *sukha* is accompanied by *pīti* and is thus called *pītisukha*; *pīti* is *pāmojja* . . . *attamanatā cītassa*.

On *praśrabdhi*, ii.17c, 25, English trans. p. 191, iv.48 (*saṃskāraskandha*).

*Vibhāṣā* quoted note 56.

*Samghabbhadra*, TD 29, p. 761a2: In our system it is not said that *praśrabdhi* is *sukhendriya* (=agreeable sensation), rather *praśrabdhi* is the cause of *sukha*, the *sukha* part of the first two Dhyānas . . . In Scripture, it is not only the *vedanā sukhā* (agreeable sensation) which is called *sukha*; some other *dharmas* receive this name. Thus it is said that there are three *sukhas*, *prahāṇasukha*, *virāgasukha* (?), and *nirōdhasukha*; elsewhere it is said that *sukha* is fivefold, *pravrajyāsukha*, *vivekasukha*, *śamathasukha*, *bodhisukha* (see vi.50b, p. 1006), and *nirvāṇasukha*. The term *sukha* thus designates, in the Sūtras, all types of *dharmas* . . .

58. *Vyākhyā* ad iii.32c. The *sukha* of the Third Dhyāna rests only on the *manas*, not on the other organs (*mana evāśṛitam*). Kāmadhātu has no *sukha* of the sphere of *manas* (*manobhūmika sukha*).

59. "Agreeable sensation having for its support the five organs made up of the atoms, sensation associated with the five consciousnesses (visual . . . touch)." ii 25, English trans. p. 191.

60. Beings arisen in the heaven of the First Dhyāna possess visual consciousness, etc.; but not the persons who have entered into the absorption of the First Dhyāna.

61. *Vyākhyā*: *saṃāhitāvasthāntarālasamudācārāt / dārṣṭāntikānām kilaiṣa pakṣaḥ / teṣāṃ hi na dvibhūmikam eva sukhendriyaṃ kāmāprathamādhyānabhūmikaṃ kiṃ tarhi caturbhūmikam*

(= *Sukhendriya* not only belongs to the two "spheres", to *Kāmadhātu* and the First *Dhyāna*, but also to the Second and to the Third *Dhyāna*). *Ata eva ca vibhāṣāyām bhadantena sautrāntikenoktam ābhīdhārmikāṇām paramaṣeneva* (?) *caḥsurvijñānādikam adbhastād ārabham ākrīyata iti tad evaṁ asyeṣṭam bhavati caḥsurvijñānādikam dvitīyādīdhyānabhāmikam api bhavatīty api*. The *Ābhīdhārmikas* think that visual consciousness exists only in *Kāmadhātu* and the First *Dhyāna* (i.46, viii.13a); but they admit that beings in higher *Dhyānas* see by means of a lower visual consciousness: "the visual consciousness is drawn from below to above as with a machine" (?). In the *Vibhāṣā*, the *Sautrāntika* *Bhadanta* thinks that it is simpler to admit that the visual consciousness is of the sphere of the Second *Dhyāna* . . .

62. How can a mental (*caitasika*) sensation be felt by the body (*kāyena*)?

63. The *Vibhāṣa* (p. 259) here understands *sukha* as *cetasika sukha* (as do the *Vaiḥbhāṣikas*), and it explains *kāya* as the three *skandhas* of *saññā*, *saṁkhāra*, and *viññāṇa*.

*Kāyena sāksātkaṛoti*, vi.43c, 58b, viii.9, 35n. SBE. 45, p.23.

64. *Vyākhyā*: *caturthe dhyāne praśrabdhisukham bhuyo bhavati bahutaram bhavati dhyānāntarebhyas caturbhasya praśrabdhataravāt / tadbhūyastv 'pi sukhasyāvacanam / caturthe dhyāne sukham nocyate / tasya sukhasyāvacanād vedanā sukham eva tṛtīya dhyāne na caturthe sukhā vedanāstī tatra nocyata ity abhiptīyāḥ*.

In the Fourth *Dhyāna* the *sukha* which consists of *praśrabdhi*, "well-being," is greater than in the lower stages, and yet one does not attribute *sukha* to this stage: hence it results that, in the lower stages the part of *sukha* signifies the sensation of *sukha*, the *sukha* of sensation [and not *praśrabdhisukha*], and that, in the Fourth *Dhyāna*, there is no sensation of *sukhā*.

65. According to the *Vyākhyā*. The *Bhāṣya* only gives the first words of the *Sūtra* (as we see in the version of *Paramārtha*).

a. Hsüan-tsang: Further, because the *Sūtra* (*Samyukta*, TD 2, p. 123a13) says: *yaśmin samaye āryaśravakāḥ pravivekajām prītim kāyena sākaṣātkeṭvopasampadya viharati pañcārya dārmās tasmīn samaye prabhīyante pañca dharmā bhavanāparipūrīm gacchanti [iti vistarāḥ yāvad bhāvanīyā dharmāḥ katame / tadyathā prāmodyam (?) prītiḥ praśrabdhiḥ sukhām samādhiḥ ca.]*

Compare the list in *Samyutta*, iv.76. *Anguttara*, v.1, etc. (*prāmodyādayaḥ*).

b. *Paramārtha*: "Further, because the *Sūtra* distinguishes *praśrabdhi* and *sukha*. As the *Sūtra* says: *yaśmin samaye āryaśravakāḥ praśrabdhijām prītim kāyena sāksātkeṭvā upasampadya viharati*. In the *Sūtra* *praśrabdhi* is named separately from *sukha*. We know thus that they differ." [The reading *praśrabdhijā* is perhaps a mistake.]

66. *Vyākhyā*: "Thus, in the first three *Dhyānas*, *sukha* is only bodily *sukha*."

67. *Vyākhyā*: *samādhiyasya abahirbhūtasya kāyavijñānasamprayuktasya veditasukhasya samādhyanukūlatvāt*.

68. *Vyākhyā*: A touch consciousness of the sphere of *Kāmadhātu* cannot grasp a tangible of the sphere of *Rūpadhātu* from whence it results that the touch consciousness which grasps *praśrabdhi* is of the sphere of *Rūpadhātu*, and that the agreeable sensation (*sukha*) associated with this consciousness can be a "part of *dhyāna*."

69. According to Hsüan-tsang: *naiśa doṣaḥ / praśrabdhipratyayasya vijñānasya utpatteḥ*; according to *Paramārtha*: *naiśo'rthas svayam praśrabdhivijñānasya utpatteḥ*.

The *Vyākhyā* gives a very satisfying explanation: "The organ of touch of the person in absorption [even though it is of the sphere of *Kāmadhātu*], passes into a state such [*tām anasthām gataṁ yad. . .*] that it can be the support of a touch consciousness of a higher sphere.

*Samghabhadra*, TD 29, p. 761b10. The *Vaiḥbhāṣika*: Further, it is inadmissible that, having

for its support (*āśraya*) an organ of touch (*kāyendriya*) of Kāmadhātu, there arises a consciousness of a tangible of the sphere of Rūpadhātu. Thus one cannot say that the touch consciousness (*kāyaviññāna*) of a Kāmadhātu body would grasp a tangible of *prāśrabdhi* arisen from *dhyāna*. If one answers us that even though this tangible is produced internally (by supporting itself on an internal object), it is capable of producing a similar consciousness [of a tangible of Rūpadhātu] even though this latter is supported on a body in Kāmadhātu, this response is nothing more than vain words, without reason or texts to sustain it. What reason or text establishes that a body of Kāmadhātu would grasp a *rūpa* which is *prāśrabdhi*, and not another tangible? This thesis contradicts the authorities; only the doctrine of the Abhidharma is irreproachable. Here the Sthavira says: How do you know that *prāśrabdhi* is called of *sukha*? . . .

70. To the Vaibhāṣikas, *prāśrabdhi* (=the part of *dhyāna* called *sukha*) is a *saṃskāra* which can be *anāsrava*. For his opponent, the said part of *dhyāna* is the sensation associated with touch consciousness which knows *prāśrabdhi*, a certain tangible.

71. This question is discussed ii.25, English trans. p. 191.

72. Hsüan-tsang adds: "It is said in the Sūtra fifteen *dhātus* are solely *sāsrava*"; *Kośa*, i.31c-d: English trans. p. 95, in the note; see *Vibhāṣā*, TD 27, p. 871c11.

73. ii.33, p. 202-4, see i.33, English trans. 97; iv.11d; viii., note 74, 27c-28.

74. The *Vhyākḥā* mentions two readings: *vitarkavicārayor ayaugapadyam doṣavacanāc ca* and *doṣavacanāt* (= *asamavadhānena ca na kaścid doṣa ucyata ity arthaḥ*). Paramārtha follows the second reading.

75. The Sautrāntikas, according to Saeki; above, the Dārṣṭāntikas.

76. Paramārtha (p. 298c24): the ancient masters do not say this. One cannot, according to their authority, know which *dharma*s are parts of the Path(? *pu sui k'o chih* 不隨可知.).

77. *Vyākḥyā*: *yogācarabhūmidarśanena vicāryam etat*. Then there follows an exposition of the doctrine of Saṃghabhadra: *tatra kautūhalam pātayety ācāryasaṃghabhadraḥ / ayaṃ cārārthasaṃkṣepo draṣṭavyaḥ*.

The *dhyāna*s contain a large number of *dharma*s: why are certain *dharma*s considered as parts (*aṅga*)? The *dharma*s which are *pratipakṣāṅga* or opposition, *anuśaṃsāṅga* or excellence, and *tadubhāyāṅga* or both opposition and excellence are considered as parts, [In the absorptions of Ārūpyadhātu one does not distinguish parts, because the taste of all of them is calmness, *śamathaikarasatā*]. In the First Dhyāna: 1. *vitarka* and *vicāra* are opposed to the bad minds (*vitarka*) of Kāmadhātu (*kāma* or lust, *vyāpāda* or injury, and *vibhīṣa* or killing); 2. *prīti* and *sukha* are obtained when *vitarka* and *vicāra* have expelled their opposites (*vipakṣa*), and through the fact of the separation from these opposites; and 3. *saṃādhī* or *cittasāgratā*, concentration or one-pointedness-of-mind through whose force the four other parts exist. In the Second Dhyāna: the *adhyātmasaṃprasāda* is opposed to *vitarka* and *vicāra*; *prīti* and *sukha* constitute the part of excellence. In the Third Dhyāna: *upekṣā*, *smṛti* and *saṃprajanya* are opposed to *prīti*; *sukha* is the part of excellence. In the Fourth Dhyāna: *upekṣapariśuddhi* and *smṛtipariśuddhi* are opposed to *sukha*; *adupkḥāsukhavedanā* is the part of excellence. See *Vibhāṅga*, 263.

78. *Yogasūtra*, i.47.

79. *Śraddhā prasādaḥ*; ii.25, English trans. p. 191.

Vasumitra (in *Vibhāṣā*, TD 27, p. 415c28) compares *vitarka-vicāra* to waves which trouble the waters; when they have been expelled the mind is *saṃprasanna*, like the water which becomes clear when the waves have been calmed; thus faith (*śraddhā*) is called *adhyātmasaṃprasāda*.

80. Paramārtha omits these explanations.

81. The *Vyākhyā* gives some examples: *pariyeṣakamanojalpāvasthā vitarkaḥ* / *pratyavekṣa-kamanojalpāvasthā vicārah* (see *Kośa*, ii, English trans. p. 203) / *vāksamutthāpikāvasthā-vitarkaḥ* / *śadanyāvasthā vicāra iti bhagavadvīṣeṣaḥ* / *avikṣiptāvasthā samādhīḥ* / *praśāntavāhitavasthā cittasyādhyātmasaṁprasāda iti* / On the existence of *caitasikas* distinct from the *citta* (and of *bhauṭikas* distinct from the *mahābhūtas*), see ii, English trans. p. 188.

82. Hsüan-tsang: "However reasonable this may be, this is not my system."

83. The *Śthaviras*, according to P'u-kang (TD 41, p. 424c5) and Fa-pao (TD 41, p. 792c29).

Hsüan-tsang: How does another school maintain that *prīti* is not *saumanasya*? It says that there is a separate *prīti*, a *caitasika dharma*; as the *sukha* of the Three Dhyānas is totally *saumanasya*, *prīti* and *saumanasya* are distinct.

84. See ii.7c-8a, p. 161, on *prīti*.

85. Paramārtha (TD 29, p. 299a9) transcribes *pi-p'o-li-to ching* 毘波利多經. See also *Kośa*, v.9a, *Madhyāma* (TD 1, p. 695b4), *Saṃyutta*, v.213, *Majjhima*, iii.26, *Atthasālinī*, 175.

Hsüan-tsang translates: "The Buddha, in the *Sūtra of the Explanation of the Viparyāsa*, reached the gradual disappearance of the five *indriyas* of sensation, *daurmanasya*, etc. [In the First Dhyāna, the destruction of the *daurmanasya*, in the Second of *duḥkha*], in the Third of *saumanasya*, in the Fourth of *sukha*. Thus *prīti* is *saumanasya*."

86. Definition of the Fourth Dhyāna: *sa sukhasya ca prahāṇād duḥkhasya ca prahāṇat pūrvam eva saumanasyadaurmanasyayor astanṅgamād aduḥkham asukham upekṣāsmṛtiparivuddham caturtham dhyānam* . . .

87. The problem of *señjita* and *āniñjua* is examined iv. 46, English trans. p. 621-3, (vi.24a-b); the *apakṣālas*, iii. 101. *Madhyāma*, 5.1, *Majjhima*, i. 454, ii. 261 (*āṇāṇasappāya-sutta*), *Aṅguttara*, v.135 (*kaṇṭaka*= *apakṣāla*).

88. Compare iii. 101.

89. See *Kośa*, i.30b (above p. 1232) and ii. 31 on the *dhātus* and the *caitas* which exist in *Rūpadhātu*.

90. Hsüan-tsang: "and because mental satisfaction is gross there" [Thus there is *sukha* of the sphere of *manas* there].

91. Saeki adds: "because mental satisfaction is subtle there."

92. See iv.8a.

93. Hsüan-tsang: "Beings arisen in the three higher *bhūmis* (=Dhyānas) produce three *viññānakāyas* (eye consciousness, etc.) and an "informative mind" (*viññapticitta*) of the sphere of the First Dhyāna. See vii.50.

94. See vii.50. Hsüan-tsang: "Beings arisen above produce the *dharma* from below, for example *nirmāṇacitta*."

95. It is through usefulness (*prayojanena*) that these beings produce a *viññāna* of the First Dhyāna, and not through esteem (*bahumāna*); furthermore, if this *viññāna* is good, it will contain a retribution which they must create; thus they do not make an effort in order to manifest a good *viññāna*.

96. *Triprakāram anyatra nirvedhāgīyāt* (see viii.17.)

97. Paramārtha: "In the *Vibhāṣā*."

The *Vyākhyā* quotes the *Vibhāṣā*: *syāc chuddhakam vairāgyeṇa lābhetā, parihāṇyā*

*vijahyāt / syāc chuddhakam upapattiyā labbhetā, upapattiyā vijahyāt / āhu / syāt / katham ity āha / hānabbhāgiyāṃ prathamāṃ dhyānam.*

98. *Vibhāṣā*, TD 27, p. 822c14. When one obtains the state of Arhat (or *ḥṣayajñāna*), one has already obtained the First Dhyāna, of the *anāsrava* class at the moment of entry into *samyaktvaniyāma*; why say that one obtains it upon obtaining the state of Arhat? The text should say that one obtains this Dhyāna at the moment of entry into *samyaktvaniyāma*, and not upon the obtaining of the state of Arhat.

99. Having entered the First Dhyāna the ascetic contemplates the Truths. He possesses the first *anāsrava* Dhyāna. If he continues his contemplation (*pravāhe*) the moment of *anāsrava* Dhyāna is followed by a moment of *anāsrava* Dhyāna; if he leaves (*vyutthānakāle*) this contemplation, the *anāsrava* Dhyāna is followed by a pure or *suddhaka* Dhyāna.

100. When an ascetic cultivated the mixed absorption (vi.42, vii. 23a) when the *anāsrava* and pure (*suddhaka*) moments succeed one another, there is an ascending absorption, an "absorption that passes over certain spheres" (viii.18c), i.e., the First Dhyāna is followed by the Second or the Third Dhyāna.

101. After the Third Dhyāna: 1-2, two of this *bhūmi* (*suddhaka* and *anāsrava*), 3-6, four, two of the Fourth Dhyāna, two of *ākāśānantya*; 7-10, four, two of the First, and two of the Second Dhyāna.

And so on for the Fourth Dhyāna and for *ākāśānantya*.

102. Hsüan-tsang adds: "After [pure] *naivasamijñānāsamijñāyatana* there can arise six types of absorption: the pure and the defiled of this same *āyatana*, the *anāsrava* and the pure of the Second and Third *Ārāpyas*. Following upon the First [pure] Dhyāna, seven types: the three of the First Dhyāna; the *anāsrava* and the pure of the Second and Third. Following upon *ākāśānantya*, eight; following the Second Dhyāna, nine; following *vijñānānantya*, ten; following the other pure absorptions, eleven."

103. Up to here the author has spoken of the *samāpattidhyānas*, absorptions, or states of contemplation, and their succession; but the *kuśālasambhita*, the "good mind of absorption," which exists in the *upapattidhyānas*, that is, in *Rūpadhātu* (=of *dhyāna*; *brahmaloka*, etc.), and which is acquired through arising (*upapattilābhika*), is also called pure *dhyāna* (*suddhaka dhyāna*). When a being in *Rūpadhātu* dies, this pure *dhyāna* comes to an end; can it be followed only by a defiled *dhyāna* of the same sphere? No: "At death, following upon the pure, there is a defiled state of some sphere." In fact, death (*marañabhava*) is never absorbed (*samāhita*) (iii.43): a being in *Rūpadhātu*, at the moment when he dies, never possesses the *dhyāna* of pure absorption. The "mind of reincarnation" (*pratisamdhicitta*) which follows "the mind at death" (*marañabhava*) will be defiled, not absorbed.

104. Paramārtha translates: "with the exception of the *nirvedhabbhāgiyā*."

These four categories are the *duppaṭivijjha dharmas* of *Digha*, iii.277. See the source quoted iv.125, English trans. p. 707, vi.20a, p. 707.

105. *Hānabbhāgiyā* succeeds upon *hānabbhāgiyā* when there is no progress, *sthitibhāgiyā* succeeds when pure absorption is strengthened and when there is progress (*viśeṣagamana*).

106. *Mahāvvyutpatti*, 68.5; see *Kośa*, ii.44d, English trans. p. 229. *Visuddhimagga*, 374 (*Atthasālinī*, 187): *jhānānulomato jhānapaṭilomato jhānukkantiṭto*.

107. According to Paramārtha. Hsüan-tsang differs: . . . (3) to cultivate the *sāsravas* and *anāsravas* in a mixed and continuous order (that is to go from the First *sāsrava* Dhyāna to the Second *anāsrava* Dhyāna); (4) to cultivate the *sāsravas* in a discontinuous order (that is to go from the First Dhyāna to the third . . .); (5) the same with respect to the *anāsravas*; (6) to cultivate the *sāsravas* and *anāsravas* in a mixed and discontinuous order. The *Vibhāṣā* (TD 27. p.835b7) differs: 1. a good mind of *Kāmadhātu*, 2. *sāsrava* absorption, to go and

return, up to Bhavāgra, 3. *anāsrava* absorption, to go and return, 4. *sāsrava* by skipping over, 5. *anāsrava* by skipping over.

108. Hsüan-tsang: 1. for there is no usefulness for a being of a higher sphere to produce a lower absorption; 2. because his own sphere outweighs this absorption; 3. because the power of this absorption is weak; 4. because he has rejected this absorption; and 5. because this absorption is now the object of disgust.

109. See ii.45a-b (English trans. p. 236) ; vi.73a-b; above p. 1228, 1244. *Vyākhyā: yāvad eva samjñāsamāpattis tāvad ajñāprativedha iti vacanāt* (Ānguttara, iv.426).

110. We have seen that the *dhyāna* "of delight" has for its object *dhyāna* which is pure (*suddhaka*), not *anāsrava*. Let us understand then: "It does not bear on the pure *dhyāna* of a lower sphere."

111. The *Vyākhyā*, the text of which is unfortunately unsure, explains: *tr̥ṣṇāparicchinnavād bhūmīnām iti / yā yasyām bhūmau tasyām eva bhūmāv anusayanām (?) tayā sā bhūmīḥ paricchinā bhavati / anyathā hi tasyottaratvaṃ (?) na sidhyed ekabhūmisthānāntaravat / ata svauttarādharye'pi sthānāntarānām trayānām trayānām aṣṭānām caikabhūmitā sidhyati tr̥ṣṇāyatiḥārayogā: It is tr̥ṣṇā which determines the bhūmī. It is thus that the three sthānas or "places" of the heavens of the first three Dhyānas and the eight sthānas of the heaven of the Fourth Dhyāna constitute only one bhūmī, even though these places are superimposed one over the other, because the same tr̥ṣṇā becomes active and grows in all of the bhūmīs to which it belongs (v.17). See iii.3c, a definition of the Dhātus.*

112. Desire for *anāsrava* is not thirst, but "desire for the good". *Kośa*, v.16, p. 794.

113. According to Paramārtha, Hsüan-tsang: "It has for its object all *saṃskṛta* of its sphere, of lower and higher spheres, and *asaṃskṛta*. Nevertheless the morally neutral *asaṃskṛtas* are not the object of an *anāsrava* absorption.

114. In order to become detached from the Fourth Dhyāna, the ascetic enters into the *sāmantaka* of the first *ārūpya* and considers the Fourth Dhyāna as gross, etc. (iv.49): this is the *anantaryamārga* of detachment from the Fourth Dhyāna. See iii.35a, on the *sāmantaka* of *ākāśānanta* and the *Ārūpyas*.

115. *Vyākhyā: na hi bhavena bhavaniḥsaranam astīti* (see above viii. p. 1225).

116. See iii.35d, vii.26a, viii.22c.

117. Consequently *prīti* and *sukha* are absent: *prītisukhayaṃ ayoga iti*.

118. Being paths of detachment, they cannot be associated with delight; thus they are pure.

119. Explanation of the term *anāgamyā*, vi.44d, p. 981, note; below, note 125.

Actually the *sāmantakas* or prefatory absorptions of all the *dhyānas* and *ārūpyas* can be called *anāgamyā*, because they do not enter the principal absorptions, and because they cut off the *kleśas*. But Saṃghabhadra says that the name *anāgamyā* is reserved for the *sāmantaka* of the First Dhyāna in order to show that this *sāmantaka* differs from the others. The ascetic produces it before entering any state of absorption and, when he is in one, he does not experience any delight (*āsvādana*). The other *sāmantakas* are produced by the force of a previous absorption; the ascetic who is in it can experience delight. This does not appear to be reconcilable with the definitions of Vasubandhu. The *Vibhāṣā* says, "It is called *anāgamyā*, because it is produced without one having arrived (*an-āgamyā*) at the principle sphere (*maulabhūmī*), for the qualities of the principle sphere are absent from it."

120. By saying that the *sāmantaka* is the path by which one becomes detached from a lower sphere: This is according to Paramārtha. The *Vyākhyā* explains the first phrase of the *Bhāṣya: aṣṭāsv api dhyānārūpyeṣu yasya yat sāmantakam tasya tena sāmantakacittena*

*kliṣṭenāsamābitena saṁdhibandha ity eṣa siddhānta ity ata idam ucyate yady api sāmāntakacittēti vistarab.* The first thought of a *dhyāna* existence, *upapattibhava* (iii.38), belongs to the *sāmāntaka* of this *dhyāna*; it is defiled by all of the *kleśas* of the sphere of this *dhyāna*.

121. *Vibhāṣā*, TD 27, p. 719c13. Some say that the *sāmāntaka* spheres include joy (*prīti*), for the Sūtra says that by being supported by joy one abandons sadness (*daurmanasya*). According to other sources, the joy of the *sāmāntaka* of the First Dhyāna includes movement and is not like of the principle *dhyāna* . . . The following two *sāmāntakas* include *sukha*. *Vibhāṣā*, 164.5: The Dārṣṭāntikas say that the *sāmāntakas* are only good; it is explained that they are good, defiled, or neutral.

122. Hsüan-tsang adds: "Because he who does not produce the principle absorption is also attached to the *sāmāntaka*." The *Vyākhyā* says: By reason of the aptitude (*paṭutva*) of the *anāgāmya*: he can be *anāsrava*, and he can also be associated with delight. Such is the intention (of this passage). Saṁghabhadra explains: *maulapratispardhitvād āsvādanasamāpattisadbhāvāt*.

123. Pāli sources: *Points of Controversy*, p.329 (*Psychological Ethics*, p. 43, 52, *Theragāthā*, 916, with another *pañcaṅgika samādhī*) *Kośa*, viii.2a-b, p. 5, and 23c.

On *dhyānāntara* and the theory of the Saṁmitīyas and Andhakas, see *Kathāvatthu*, xvii.7.

124. According to Hsüan-tsang, Paramārtha has "This *dhyāna* is not associated with *vitarka*. It is called *dhyānāntara*, because it differs from the two *dhyānas*. As it outweighs the First Dhyāna, it is not placed in the First Dhyāna. It is not placed in the Second, etc., in view of the absence of *vīṣeṣa*."

I doubt that the Chinese translators really understood this.

The *Vyākhyā* says: The *Bhāṣya* has *dhyānavīṣeṣatvāt*, that is, the first principle *dhyāna*, through the absence of *vitarka*, becomes more distinguished and receives the name of *dhyānāntara*: *tad eva maulam prathamam dhyānam vitarkāpagamād vīṣiṣṭam dhyānāntaram ucyate ity arthab.*

125. Saṁghabhadra reproduces the text of Vasubandhu and adds some useful explanations on *anāgāmya* and *dhyānāntara*. We shall put this explanations into paragraphs.

a. *Dhyānāntara*, which is included in the First Dhyāna, differs from this latter by the fact that it has less *vitarka*. In the higher sphere (Second Dhyāna, etc.), what are the *dharma*s whose suppression would give rise to a *dhyānāntara*? Thus there is a *dhyānāntara* in the First Dhyāna, but not in the higher spheres.

b. Doesn't the Sūtra say that the seven absorptions (*samāpatti*)—namely the Four Dhyānas and the first three *ārūpyas*—are the support [of pure *prajñā*, of the "qualities," viii.20a, 27c]? Furthermore how do you prove that there is an *anāgāmya*, and a *dhyānāntara*?

c. We know that there is an *anāgāmya* by Scripture and by reasoning.

The Sūtra says, "He who is not capable of entering into the First Dhyāna, etc., and of remaining in it, obtains down here the destruction of the *āsravas* by means of an *āryan* or pure (*anāsrava*) *prajñā*." If there were no *anāgāmya*, what would be the support of this *prajñā*?

Furthermore, the *Sūtra of the Good Precepts* (*Suśīla-Sūtra*?) says, "There is a person delivered-through-Prajñā (*prajñāvimukta*, vi.64) who has not obtained the principle *dhyāna* (*mauladhyāna*: the *dhyāna* itself)." Now is it not by being in the *dhyāna* that the ascetic obtains the deliverance-through-*prajñā*? Thus there is a *dhyāna* which is not the *mauladhyāna*, namely the preliminary *dhyāna*, the *anāgāmya*. On the mixed character of *anāgāmya*, iii.35d.

d. The Sūtra says, with respect to *dhyānāntara*, that there are three *samādhis*, *sa-vitarka*,



*sa-vicāra*, etc. (viii.23c). It says that the First Dhyāna contains *vitarka* and *vicāra*; and that, in the Second and the following Dhyānas, *vitarka* and *vicāra* have ceased. If there were no *dhyānāntara*, what *saṁādhi* would "possess *vicāra* and not *vitarka*"? Because the *citta-caittas* cease gradually, it follows that there would be a *dhyāna* with *vicāra* but without *vitarka*.

Furthermore, out from *dhyānāntara*, what would be the cause of the arising of Mahābrahmā the King of the World (*Kośa*, viii.23b)?

e. The Buddha does not speak of *anāgāmya* and *dhyānāntara*, because both are included the First Dhyāna. The word "*dhyāna*" includes them also.

f. The first "vestibule," *sāmantaka*, is called *anāgāmya* because one would distinguish it from the others. A *dhyāna* does not arise before one has entered into this first *sāmantaka*. (See above vi.44d, p. 981.)

126. Elsewhere *abhisamāskāravāhya*; see iv.78c, (*vahati* = *gacchati*), vi.66a, 71d.

127. See ii.41d.

128. Not in Paramārtha. Hsüan-tsang, *saṁāpatti* = *teng-chih* 等至, *saṁādhi*, *teng-ch'ih* 等持 (to grasp, *dhar*).

*Vibhāṣā*, TD 27, p. 821c2. Opinions differ on the difference between *saṁādhi* and *saṁāpatti*. *Samādhi* is understood as a momentary (*kaṣaṇika*) absorption; *saṁāpatti* as a prolonged (*prābandhika*) absorption. The *saṁādhis* always involve the mind (*citta*); they are *sacittaka*; this mind can be either *saṁāhita*, absorbed, or *vyagra* (or *vikṣipta*, i.33c-d), dispersed. The *saṁāpattis* (ii.44d, English trans. p. 231) can be accompanied by mind or not (for example *asaṁjñīsamāpatti* and *saṁjñāveditaṇirodhyāsamāpatti*). Thus we have: 1. exclusively *saṁādhi*, the [semi]-absorption associated with the *vyagra* mind [We do not understand how a non-*saṁāhita* mind can be in *saṁādhi*, see above note 4; but it is certain that a mind in Kāmadhātu can be in *saṁādhi*, viii.25a, etc.]; 2. exclusively *saṁāhita*, the states free from mind; and 3. *saṁādhi* and *saṁāpatti*, all states of absorbed mind. *Samatha* is a state accompanied by an absorbed mind.

A traditional summary of the Eighth Chapter holds that it treats of 1. the *dhyānas*, 2. the *ārūpyas*, 3. the *saṁāpattis* (all of which are "absorbed," *saṁāhita*, with or without mind (see ii.44d, p. 231); and of 4. the *saṁādhis*, that is to say in the proper sense of the word, the *sūnyatāsaṁādhi* group (viii.24).

129. *Madhyama*, TD 1, p. 538c3, *Dirgha*, TD 1, p. 110a24, *Samyukta*, TD 2, p. 132a18 (not closely related); *Dirgha*, iii. 219, 274, *Majjhima*, iii. 162, *Samyutta*, iv. 363, *Anguttara*, iv. 300, *Kathāvatthu*, ix.8 and trans. p. 239, note; elsewhere only *saṁvitarka-saṁvicāra* and *avitarka-avicāra* are distinguished, *Samyutta*, v.III, etc. [The role of *avitakka-avicāra* in the reading of the mind of another, *Dirgha*, iii.104.] See *Compendium*, Introduction, 58, *Visuddhimagga*, 169.

*Vibhāṣā*, TD 27, p. 744b24. The Dārṣṭāntikas think that there is *vitarka* and *vicāra* up to Bhavāgra.

130. On *vitarka-vicāra*, see above note 18.

131. Hsüan-tsang: "This refers to the First Dhyāna and its *sāmantaka*."

132. *Dirgha*, TD 1, p. 50b1, *Ekottara*, TD 2, p. 630b3; *Dirgha*, iii.219: *suññato saṁādhi*, *animitto saṁādhi*, *appanibhū saṁādhi*; *Dhammasaṅgaṇi*, 344, 505; *Vibhaṅga*, Preface, p. xviii; *Atthasālini*, 221 and foll.; *Sūtrālaṅkāra*, xviii, 77-79. *Anguttara*, iii.397, *animitta cetosaṁādhi*. Below note 140.

a. *Vibhāṣā*, TD 27, p. 538a27. The *saṁādhis* are numberless; why say that they are three? From the point of view of their opposition (*pratīpalśa*), their intention (*āśaya*), and their object (*ālambana*): 1. *sūnyatā-saṁādhi* opposes the belief in a self (*satkāya-dṛṣṭi*); as it considers things under the aspects of non-self and emptiness, it is opposed to the aspects of self and mine; 2. *apraṇihitasamādhi* is the *saṁādhi* in which there is no intention (*āśaya*), no will (*praṇihāna*) with respect to any *dharma* of the Three Dhātus (or *bhava*, *tribhāva*,

threefold existence, i.8c). There is no complete absence of *pranidhāna* with respect to the Path; but, although the Path rests on *bhava*, intention relative to the Path is not related to *bhava*; 3. *ānimittasamādhi* has an object free from the *nimittas*, namely from *rūpa*, *śabda*, etc. For others, the three *samādhis*, in this order, oppose *satkāyadṛṣṭi*, *śīlavrata* and *vicikitsā*.

b. The *Bodhisattvabhūmi*, fol. 106a, arranges the *samādhis* in the following order: *śūnyatā*, *apranīhāna*, and *ānimitta*, and gives a definition of them very different from that of Vasubandhu:

*tatra katamo bodhisattvasya śūnyatāsamādhiḥ / iha bodhisattvasya sarvābhilāpātma-ena svabhāvena virahitaṃ nirābhilāpyasvabhāvaṃ vastu paśyataḥ yā cittasya sthitiḥ ayam asyocyate śūnyatāsamādhiḥ / apranīhitasamādhiḥ katamaḥ / iha bodhisattvasya tad eva nirābhilāpyasvabhāvaṃ vastu mitthyāvikalpasamutthāpitena kleśena paṇigṛhītatvād anekadoṣaduṣṭaṃ samanupaśyato yā āyatyāṃ tatrāpranīdhānapūrvakā cittasthitir ayam asyāpranīhitasamādhir ity ucyate / ānimittasamādhiḥ katamaḥ / iha bodhisattvasya tad eva nirābhilāpyasvabhāvaṃ vastu sarvavikalpaprapañcanimittāny apanīya yathābhūtaṃ śāntato manasi kurvato yā cittasthitir ayam asyocyate ānimittasamādhiḥ /*

The second *samādhi* refers to conditioned (*samskṛta*) things through which one does not form any *pranidhāna*; the third to unconditioned things (i.e. *Nirvāṇa*), the object of *pranidhāna*; the first to non-existent (*asat*) things, the self, mine, with respect to which one does not form either *pranidhāna* or *apranidhāna*, but which one regards solely as empty.

133. Calm = *nirodha* = *Nirvāṇa*, has four aspects of *nirodha*, *praṇīta*, *śānta*, and *niḥsaraṇa*, vii.13a.

134. Hsüan-tsang: "This *samādhi* has the four aspects of *nirodha*; *nirodha* can be called *sama* [or *śānta*]."

135. On *ānimitta* as noun and adjective, see Wogihara, *Bodhisattvabhūmi* p. 19-20. On *nimitta*, see *Kośa*, ii.14c.

136. The "emptiness" of the *Hinayāna* should be studied in greater depth.

137. See i.7c-d, note 33. *Majjhima*, i.135: . . . *evam eva kho bhikkhave kullūpamo mayā dhammo desito nūtharaṇatthāya no gahaṇatthāya / kullūpamaṃ vo bhikkhave ājānantehi dhammā pi vo pabātābbā prāg evādhammā*.

*Vajracchedikā*, p.23: . . . *na khalu punaḥ subhūte bodhisattvena mahāsattvena dharma ugrahitavyo nādharmāḥ / tasmād iyaṃ tathāgatena saṃdhāya vāg bhāṣitā / kolopamaṃ dharmaparyāyam ājānadhbhir dharmā eva prahātavyāḥ prāg evādhammā iti*.

*Bodhicaryāvatāra*, ix.33: *adhigata upeya paścāt kolopamatvād upayasyāpi prahānam*.

Compare the *bhisī* (*vr̥ṣī*, raft) of the *Suttanipāta*, 22: "I have passed over . . . there is no (further) use for a raft."

In *Saṃyutta*, iv.174-175, the *magga* is a raft, but it is not said that one should abandon it.

The *Vajracchedikāṭikā* (Tanjur, Mdo, xvi.237b) quotes the *Ratnakaraṇḍa*, where the formula: *kolopamaṃ dharmaparyāyam . . . prāg evādhammāḥ* is completed by the words: *ye dharmāḥ prahātavyā na te dharmā nāpy adhammāḥ*.

One should examine the meaning of *dharma* and *adharma* in these sources.

138. See viii.5.

139. Excluding *Kāmadhātū*, *Bhavāgra*, and the *sāmantakas* from the *sāmantaka* of the Second *Dhyāna*.

140. *Paṭisambhidāmagga*, ii.35: *tayo me bhikkhave vimokkhā suññato vimokkho animitto vimokkho appaṇihito*; *ibid.* ii.67. *Dhammasaṅgani*, 344, *Atthasālini*, 223, *Visuddhimagga*, 658 (*Compendium*, 211, 216); *Nettipakaraṇa*, 90, 119, 126; *Milinda*, 413. *Mahāvūyutpatti*, 73, the Three *Vimokṣamukhas*, *śūnyatā*, *animitta*, *apranīhita*; *Madhyamakavṛtti*, 246: *trīṇi vimokṣamukhāni śūnyatānimittāpranīhitākhyāni vimuktaye vineyebhyo bhagavatā*

*nirḍiṣṭāni* . . . ; *Madhyamakāvatāra*, 319; Deva, *Catuhṣatikā*, p. 497; *Bodhisattvabhūmi*, I.17.14 (with *nirodhasamāpatti*, the three *Vimokṣas* constitute the *āryavahāra* of the Buddha).

141. According to *Ekottara*, 26.5

The *Vibhāṣā* (see below) and Hsüan-tsang (in the *Kośa*) call these *saṃādhis* *chung* 重 - *saṃādhis*, "repeated" *saṃādhis*, "accumulated" *saṃādhis*, since they are *śūnya-śūnya* . . . The thought that inspires the theory of these *saṃādhis* is clearly explained by Saṃghabhadra (in his *Prakaraṇāśāsa*, TD 29, p. 971b26), "In the same way that one burns the wood of the funeral-pyre which first burned the body, so too when the absorption of emptiness (*śūnyatāsamādhi*) burns the *kleśas*, the ascetic namely produced *śūnyatāsamādhi* in order to obtain disgust-abandon with respect to the first emptiness (=the first *śūnyatāsamādhi*)."

The *Vibhāṣā* treats of these *saṃādhis*, TD 27, p. 543a27 (and c5 and following): 1. At what moment does one obtain them? According to one opinion, in *darśanamārga*, when one obtains *abhisamayāntika* (vii.21a); according to another opinion, in *bhāvanāmārga*, when the detached (*virakta*) Ārya obtains *nirmānacitta* (vii.49c); according to a third opinion (the orthodox opinion), at the moment of *śayyajñāna* (vi.44d), because, at this moment, the ascetic cultivates all the impure (*sāsrava*) good *dharma*s of the three spheres (vii.26c). 2. Does one obtain them without effort? 3. After which *jñānas*? 4. In what spheres (viii.27b)? 5. With what *āśraya* (viii.27a)? 6. What *ākāras* do they take up?

142. The *Vyākhyā*, doubtlessly quoting a Śāstra or the *Vibhāṣā*: *āha* / *kim atra kāraṇam yac chūnyatāśūnyatāsamādhiḥ śūnyatākāra eva na punar anātmākāro 'pi śūnyatāvad iti* / *atrocyate* / *śūnyatākārapravṛttāśūnyatāprṣṭhenotpatir na punar anātmākārapravṛttāśūnyatāprṣṭheneti* / *atrocyate* / *tadutpattyanukūlyāt sa eva hi śūnyatākāraḥ śūnyatāsamādhis tasya śūnyatāśūnyatāsamādher utpattāv anukūlyenāvatīṣṭhate nānātmākāraḥ* / *na hy evam anātmadarśanam udvejayati yathā śūnyatādarśanam* / *drṣṭeṣu hy anātmato bhavesv abhirati asti saṃsāre śūnyatādarśanābhāvāt* / *tadyatbādhvagasyaśāmbādhbādhvadarśanād api prītiḥ* / *ekākinas tu tacchūnyatāvad aprītir iti* / *tadvat*. *Śūnyatāśūnyatāsamādhi* follows a *śūnyatāsamādhi* which has the aspect of *śūnya*, not one which has the aspect of *anātman*. *Śūnyatā* disgusts more than *anātmata*: one continues to find pleasure in things which one recognized as *anātman*, as a traveller sees with pleasure a path little frequented (*śāmbādh*; MSS: *śāmbādh*), but, alone, he has a fear of the path because it is empty.

143. *dūṣaṇīyatvāt*. *Vyākhyā*: *so 'śaīkṣasamādhir dūṣayitavya iti*.

144. See viii.35b-d.

145. There are two *nirodhas* of *ānimittasamādhi*:

a. *anīyatānirodha* [or *svalakṣaṇanirodha* or *svarasanirodha*] (i.20a, English trans. p. 77, ii.45c): the extinction of which is the law of all conditioned things (*saṃskṛta*).

b. *apratīsamābhīyānirodha* (see i.5c, 6c-d, ii.55d, English trans. p. 280). Here the word *nirodha* cannot be legitimately translated by extinction, but it is not impossible to say what it refers to: when an Arhat leaves the *ānimittasamādhi*, some pure or impure thoughts arise in him which are no longer of this *saṃādhi*; if these thoughts had not arisen, some new moments of the *ānimittasamādhi* would have arisen. When these other thoughts arise, the Arhat takes possession of *apratīsamābhīyānirodha* of the new moments of *ānimittasamādhi* which, lacking causes, would not arise.

*Vyākhyā*: *katham tasya [ānimittasamādher] apratīsamābhīyānirodhaḥ* / *śaīkṣā ānimittat samādher vyutthitasya tadanantaram ye sāsravāḥ kṣaṇā atikrāmanty anye vānsravā(s) yadi te notpannāḥ syur śaīkṣā ānimittakṣaṇā utpannāḥ syuḥ* / *teṣāṃ sāsravāṇāṃ anyeṣāṃ anāsravāṇāṃ votpattikāle teṣāṃ śaīkṣāṇāṃ ānimittakṣaṇāṇāṃ apratīsamābhīyānirodha abhyate pratīyavaikalpā* / *tam apratīsamābhīyānirodham ālambate śāntākāreṇa*.

*Ānimittānimittasamādhi* thus takes the *apratīsamābhīyānirodha* of the *ānimittasamādhi*

as its object, for this *nīrodha* is the enemy of this *saṁādhi* (*tatpratītyarthikabhūtatvāt*) and the ascetic desires to become disgusted (*vidūṣaṇam abhilaṣaṇam*) with this *saṁādhi*.

146. As we have seen in i.6c. *Pratisaṁkhyānīrodha* is the *nīrodha* which one obtains by a type of *prajñā* called *pratisaṁkhyā*.

The *Vyākhyā* explains: *apratikūlatvāt / yad dhi prtikūlam āryānām tatsaṁyogavisāmy-ogāya yante / visāmyogaf ca pratisaṁkhyānīrodhaḥ*.

The pure *dharma*s are *abeya*, i.40a, English trans. p. 112.

147. Saṁghabhadra (in his *Prakaraṇaśāśana*, TD 29, p. 972a3) says, "The *sānta* [characteristic or aspect] indicates only cessation (*chih-hsi* 止息); *apratisaṁkhyānīrodha* thus has the characteristic of *sānta*; the prolonged practice of the Path (*āryamārga*) (which culminates in *sūnyatā*, *apraṇihita*, and *ānimittasamādhi*) includes fatigue, so one produces pleasure (*sukhalakṣaṇa*) with respect to its cessation."

148. If the ascetic were to consider the *apratisaṁkhyānīrodha* of *ānimittasamādhi* under the aspect of *nīrodha* or extinction, he would not consider it as "calm," *sānta*; he would consider it under an aspect which renders it hateful, and as a consequence he would not take a dislike to *ānimittasamādhi*.

149. As we have seen iv.9d.

150. The *apratisaṁkhyānīrodha* of a certain *kleśa* is not the *visāmyoga* (ii.55d) of this *kleśa*. Let us suppose that an ascetic takes possession of the *apratisaṁkhyānīrodha* of good or bad *dharma*s, but he remains bound to these *dharma*s because their possession (*prāpti*), which alone can cut off the possession of *pratisaṁkhyānīrodha*, has not been cut off. [A good example, Kośa, vi.23: the possessor of *kṣānti* contains the *apratisaṁkhyānīrodha* of the *kleśa*s whose *pratisaṁkhyānīrodha* can only be attained by *darśanamārga*.]

151. Only the *akopyadharman* Arhat, by reason of his sharp faculties, has the power (*sāmarthya*) to produce them; not the other Arhats. The *Vyākhyā* explains: *tebhyo dṛṣṭadharmaśukhavibhāratvād āsāṅgāspadabbūtebhyo 'śaikṣebhyaḥ sūnyatādisamādhibhyo vaimukhyārtham aparasamādhiṁ samāpadyante*.

152. On *saṁādhibhāvanā*, above note 4; *Visuddhi*, 371.

The *Vyākhyā* quote the Sūtra: *asti saṁādhibhāvanā āsevītā bhāvitā bahulikṛtā dṛṣṭadhrmasukhavibhārayā saṁvartate / asti . . . divyacakṣurabhijñāññānadarśanāyā saṁvartate / asti . . . prajñāprabhedāyā saṁvartate / asti . . . āśravakṣayāyā saṁvartate*.

On the value of *āsevita*, *bhāvita*, *bahulikṛta*, the *Vyākhyā* says: *āsevītā niṣevītā bhāvanāyā / bhāvitā vipakṣaprahānatayā / bahulikṛtā vipakṣadūrikanatayā*. When this refers to the paths of action, iv.85a.

*Dīgha*, iii.222, *Anguttara*, ii.44: *atth'āvuso saṁādhibhāvanā bhāvitā bahulikāṭā dīṭṭhadhammasukhavibhārayā saṁvattati . . . ānādasanapatilābhāyā . . . satisampajaññāyā . . . āśavānaṁ khayāyā saṁvattati*.

In *Bodhisattvabhūmi* (I,13, fol. 82), the theory of the three *saṁādhibhāvanā*s is modified:

*tatra katamo bodhisattvānām dhyānasvabhāvaḥ / bodhisattvapīṭaka-śrāvaṇacintāpūrvakam yal laukikam lokottaram bodhisattvānām kuṣalam cittaiśāgryam cittasthitiḥ samathapakṣyā vā vipaśyanāpakṣyā vā . . . tadubbhayapakṣyā vā ayam bodhisattvānām dhyānasvabhāvo veditavyaḥ / tatra katamad bodhisattvānām sarvadyānam / tad dvividham laukikam lokottaram ca / tat punar yathāyogam trividham veditavyam dṛṣṭadhrmasukhavibhārayā dhyānam bodhisattvasamādhibigūṇanirbhārayā dhyānam sattvārthakriyāyā dhyānam / tatra yad bodhisattvānām sarvavikalpāpagatāṁ kāyikacaitasi-kaprasārabdhijanakaṁ paramaprasāntam manyanāpagatam anāsvāditam sarvanimittāpagatam dhyānam idam eṣām dṛṣṭadhrmasukhavibhārayā veditavyam / pratisaṁvidam arāṇāpranid-bijñānādinām guṇānām śrāvakāsādhāraṇānām acchinirbhārayā saṁvartate idam bodhisattvasya dhyānam saṁādhibigūṇabhirbhārayā veditavyam . . .*

153. The fourth *pāda*, the version of Paramārtha appears to be: *prajñāprabhedāya saṃskārajāb*; the version of Hsüan-tsang: *prajñāprabhedāya prāyogikasubhabhāvanā*.

The theory of Harivarman, TD 32, no. 1646, chap. 158, is very different from that of the Abhidharma.

154. On the *dr̥ṣṭadharmasukhavihāras*, *Kośa*, ii.4, vi.42c, 58b, 65b, (note 415).

*Vihāra* = *saṃādhibhiseṣa* (ii., note 229).

According to ii.4, English trans. p. 157, happiness here below depends on *ājñātāvindriya* and thus supposes the state of Arhat; it consists of the fact of experiencing the "satisfaction" and the "well-being" of deliverance from the defilements (*klesavimuktiṣṭrīṣṭisukhapratīsamvedanā*); in other words, this happiness is the enjoyment (*upabhoga*) of Nirvāṇa ii.6, English trans. p. 159).

We should distinguish *śāntavīhāra* from *sukhavīhāra*, the former being *nīrodhasamāpatti* (ii.43b), which is similar to Nirvāṇa (the *śānta vimokṣas*, viii. p. 10, *Majjhima* i.472). We owe to Saṃghabhadra (above note 57) the fact that the word *sukha* does not necessarily signify "agreeable sensation"; but *sukhavīhāra*, being *pratisamvedanā*, is "sensation."

According to the *Vibhāṣā*, the *sukhavīhāras* solely refer to the four fundamental *dhyānas*, pure (*suddhaka*) or *anāsrava*, not to the prefatory spheres (*sāmantakas*) or the *ārūpyas*.

In Buddhaghosa, the Arhats (compare *Majjhima*, iii.4) cultivate the right *samāpattis* (four *dhyānas* and four *ārūpyas*) "in order to agreeably pass the day with their minds concentrated" (*ekaggacittā sukhāṃ divasaṃ viharissāma*), and the ninth *samāpatti* (*saṃjñāveditanīrodha*) "in order to agreeably pass seven days delivered in mind, having attained *nīrodhā*, Nirvāṇa in this world": . . . *sattāham acittakā hutvā dittheva dhamme nīrodhaṃ nibbānaṃ patvā sukhāṃ viharissāma* (*Samantapāsādikā*, i.156).

155. The *Vyākhyā* explains the value of these two words. *Jñāna* is a discernment (*prajñā*) associated with the mental consciousness (*manovijñānaśaṃprayukta*) which includes *vikalpas* (or "judgements" as here: "Beings, endowed with bad bodily actions . . .," *Darsana*, on the contrary, is discernment associated with the visual consciousness, and as such free from *vikalpa* (*avikalpika prajñā*, see i.33a-b, English trans. p. 97).

Some references to *jñānadarsana*:

i. Worldly insight-consciousness.

a. The Buddha possesses *aśaṅga apratibhata jñānadarsana* with respect to the past, present, and future (last three *āveṇikas* of the *Mahāvūyutpatti*, 9. *Kośa*, vii. note 162); this *jñānadassana* is *atiraka*, *Dīgha*, iii.134.

*Jñānadassana* has previous existences for its sphere, *Nettipakaraṇa*, 28.

b. The ascetic obtains *jñānadassana* through *ālokaśaṃjñāmanasikāra* (by having, both day and night, the idea that it is daytime, *divāsaññā*); in this way *sappabhāsaṃ cittaṃ bhāveti*, *Dīgha*, iii.223.

c. The Bodhisattva obtains *jñānadassana* after *saṃādhisampad*, before the *jhānas*, *Majjhima*, i.203.

d. The Bodhisattva, before Bodhi, purifies his *jñānadassana*: he *saṃjānātis* the *obhāsa* but does not see the *rūpas*; then the gods, *Anuttara*, iv.302.

e. The Niggantha affirms that "going, staying in place, lying down, awaking, *jñānadassana* is present in me without interruption," *Ānguttara*, i.220, iv.428.

f. The *jñānadarsana* of Śakra views what is below, *Avadānaśataka*, i.189.

g. *Bodhisattvasya sarvavidyāsthāneṣv avyābataṃ paṇisuddhaṃ paryavadātāṃ jñānadarsanam* (*Bodhisattvabhūmi*, I.xv, *Muséon* 1906, 22a); later, by virtue of *vipaśyanāparisuddhi*, this *jñānadarsana* acquires *prthuvrddhivaipulyatā*.

ii. Consciousness, knowledge, *sambodha*.

a. *Kośa*, vi.75b, *ñāna* = *dassana*; *Majjhima*, i.173: *ñānam nesam dassanam udapādi: akuppā no vimutti*; *Mahāvūyutpatti*, 81.9: *vimuktasya vimukto'smīti jñānadarsanam bhavati*; this is the *vimuktijñānadarsanaskandha* (*ibid.* 4.5, *Kośa*, vi. p. 1033, vii. p. 1157).

b. *Kośa*, vi.54, *darśana* = *cakṣus*, *jñāna*, *vidyā*, *buddhi*.

c. *Mahāvvyutpatti*, 245.51: *saṃyak pratyātman jñānadarśanam pravartate*.

d. *Āṅguttara*, ii.200: *abhabbā te jñānadassanāya anyattarāya abhisambodhāya*.

156. Why does the text say: "The *abhiññā* of divine sight has *jñānadarśana* for its result," and not: "The cultivation of the absorption of the *abhiññā* of divine sight has *jñānadarśana* for its result?" The *Vyākhyā* explains:

*āha / divyacakṣurabhiññā jñānadarśanāya saṃvartata ity uktam na ca divyacakṣurabhiññā samādhībhāvanā / atrocitate / ayam phale hetūpacārāḥ / yasya hetoḥ samādhībhāvanāya divyacakṣurabhiññā phalaṃ tatra phale hetūpacārāḥ / jñānadarśanāya samādhībhāvaneti / yeṣāṃ punar ayam pakṣaḥ śaḍvidhā muktimārgadbhir (vii.42) iti dhyā nasamgrhīt eva mānasā vimuktimārgaḥ śaḍ abhiññā iti tesāṃ acodyam evaitat tesāṃ vimuktimārgānāṃ samādhītatvāt / pūrvaka eva tu pakṣo 'bhīdharmaśāntakānām ity avagantavyam / divyacakṣuḥśrotravijñānayoḥ abhiññātenābhiṣṭatvāt*.

157. *Vyākhyā*: *prajñāprabhedāyeti prajñāviśeṣākaraṣāya* = *viśeṣikaguṇābhīrharāya*, *Kośa*, vi., note 415. Compare the expression *iddippabhedā*, vii.trans. p. 1157.

158. The *dharma*s or *guṇa*s, "qualities," of the Three Dhātus are *aśubbhā*, *ānāpānasmṛti*, *araṇā* (viii.36), *prañidhijñāna* (vii.37), *pratisamvid*, *abhiññā*, *vimokṣa* (viii.32), *abhiḥbhāyātana*, etc. The pure "qualities" are *vimokṣamukha* (viii.25), *vyutkrāntakasamāpatti* (viii.18c), *āśravakṣayābhiññā*, etc.

159. *Vyākhyā*: *traidhātukā anāsravā iti traidhātukā aśubbhā ... / atra tu samādhisaṃprayogāt prāyogikānām guṇānām samādhībhāvanety upacārāḥ*.

160. *Vyākhyā*: *bodhisattvo hi karmāntapratyavekṣaṇāya niṣkṛānto jambumūle prathamam dhyānam utpāditavān* (see *Kośa*, iii. 41) / *bodhimūle ca devaputramāram bhaṅktvā prathamē yāme divyam cakṣur utpāditvām / tena divyena cakṣuṣā sattvān cyutyupapattisam-kaṣasthān abhiṣīkṣya tatparitṛāṇāya madhyame yāme dhyānavimokṣasamāpattiḥ saṃmukhiḥkṛtavān / te'sya prāyogikaguṇāḥ prajñāprabhedāya jāyante / tatas tṛtiye yāme caturtham dhyānam niṣṛita niyāmam (vi.26a) avakramya yāvadvajropamena samādhinā sarvayojanaprahāṇam kṛtavān* (Compare *Majjhima*, i.22). On the conquest of Bodhi by the Bodhisattva, vii. p. 1145.

161. *Vibhāṣā*, TD 27, p. 420b8. "Why explain the Immeasurables immediately after the *dhyānas*? Because the *dhyānas* give rise to or produce the Immeasurable; because the *dhyānas* and the Immeasurables reciprocally occasion one another; because the Immeasurables are the best qualities among [those that produce the] *dhyānas*."

162. *Mahāvvyutpatti*, 69; *Dīgha*, iii.223, *catasso appamaññāyo*; *Dīrgha*, TD 1, p. 50c24, *Ekottara*, TD 2, p. 658a21, *Madhyama*, TD 1, p. 563b8.

These are the Four Brahmavihāras. *Visuddhimagga*, *Atthasālinī*, 192, *Itivuttaka*, 15, *Bodhisattvabhūmi*, *Musōn*, 1911, p. 177, *Yogasūtram* i.33; cultivated by a Rājārasipravrajita, *Divya*, 122.

Considered by many sects as parts of Bodhi (Bhavya), *Kośa*, vi. p.281; they figure in the presentation of the Path, *Āṅguttara*, i.38, between the *dhyānas* and the *smṛtyupasthānas*.

On *maitrī* and *araṇā*, *Kośa*, vii.36; formula of *maitrī*, iv.124; difference between *karuṇā* and *mahākaruṇā*, vii.37.

Saṃghabhadra (in his *Nyāyānūsāra*, TD 29, p. 769b17) observes here that the Immeasurables do no good to another. Why are they good and not morally neutral? Because they oppose hatred, etc.; because they assure the mind of mastery over itself.

On the miracles that *maitrī*samāpanna manifests, *Divya*, 1886.2, *Cullavagga*, v.6, 1; *Dīgha*, ii.238, etc.

163. Paramārtha omits the second reason. P'u-kuang (TD 41, p. 433a10), because they produce immeasurable retributive results and outflowing results. Three reasons in Fa-pao

(TD 41, p. 798b18).

164. *Vyākhyā: adveṣasvabhāvatvān maitrī vyāpādapratipakṣaḥ / duḥkḥāpanayanākāratvāc ca karuṇā duḥkḥopasamjārākārāyā vibhīṣāyāḥ pratipakṣo bhavati / muditā cārateḥ pratipakṣaḥ saumanasyarūpatvāt / upekṣā ca mādhyasthayāt kāmarāgavyāpādayoḥ pratipakṣa iti (apalṣapātīve nāpy anuṇīto nāpi pratihata iti)*, v.47, note 170.

165. Saṁghabhadra (in his *Nyāyānysāra*, TD 26, p. 769b16) says the contrary: *Aśubbhā* and *upekṣā* oppose, in this order, sexual desire and the other desires. On the attachment to visible things, below viii.32a.

166. We do not mean to say that a single *dharma*, *upekṣā*, possesses two natures; we mean to say that the word *upekṣā* designates both non-desire and non-hatred.

167. *Sukḥitā vata sattvāḥ*. The meaning is: "May they be happy!" *Maitrībhāvanā* analyzed as *puṇyakriyāvastu*, iv.112c; its efficacy, iv.121b; above note 162.

168. *Duḥkḥitvata sattvāḥ*. The intention is: "May they be delivered from suffering!"

169. Compare the *anumodanā* of the Mahāyāna (*Bodhicaryāvatāra*, Third Chapter).

170. The absence of sympathy (*anunaya*) and antipathy (*pratighāta*). On *saṁskāropekṣā*, iii.35d.

171. See ii.72, English trans. p. 320, *adbimuktimanasikāra*; vi.9, viii.32, 34, 35.

172. *Dīgha*, i.250, iii.223, etc.

173. *Maulasuddhakādhyānabhūmikatvāt*: "They have the fundamental *dhyāna*s of the *suddhaka* or pure category for their sphere" (viii.5). The prefatory (*sāmantaka*) absorptions bring about worldly abandoning of the defilements, not fundamental absorptions (viii.21c).

174. *Vibhāṣā*, TD 27, p. 427b14. Do the Apramāṇas bring about the abandoning of the defilements? No. The abandoning (*prabhāṇa*) of the defilements is of two types, temporary abandoning and definitive abandoning. Concerning the first abandoning, the Sūtra says that the Apramāṇas cause abandoning; of the second, the Samādhiskandha says that they do not bring about the abandoning.

*Vibhāṣā*, TD 27, p. 819b10. Why do the Apramāṇas not bring about the abandoning of the defilements? For the following reasons: 1. on account of the diversity of their aspects; sixteen aspects cut off the defilements: the four aspects of the Apramāṇas do not; 2. the Apramāṇas are *adbimuktimanasikāra*; and only *tattvamanasikāra* cuts off the defilements; 3. the Apramāṇa are *anugrahamanasikāra* (tseng-i 增益), beneficial act of attention; and only the acts of attention which do not have this characteristic cut off the defilements; 4. the Apramāṇas have for their object the present; and only the Path which has the three time periods, or the *asaṁskṛta* for its object, cuts off the defilements; and 5. only *ānantaryamārga* cuts off the defilements; and the Apramāṇas are obtained at the moment of *vimuktimārga*.

175. Hsüan-tsang adds: "He has the idea that they are delivered from suffering and obtain happiness."

176. Hsüan-tsang adds: "From equanimity one passes through the other categories to the moment when one has, for his greatest friends, the same though as for those to whom he is indifferent."

177. References given by Saeki: *Madhyama*, TD 1, p. 582a17, p. 694a28 and following, *Dīrgha*, TD 1, p. 490c3, p. 489b27, *Prakaranapada*, TD 26, p. 720c23-721b3, *Samyukta-brdaya*, TD 28, p. 927a19, *Tattvasiddhi* (*Ch'eng-shih lun*), TD 32, p. 15. 10, and the *Amṛta-śāstra*, TD 28, p. 976a18.

*Dīgha*, ii.70 (*Lotus*, 824; *Dialogues*; O. Franke, 212), *Atthasālinī* 190, *Paṭisambhidā*

magga, ii.38, *Dhammasaṅgani*, 248 (seven).

*Mahāvvyutpatti*, 70 (according to the *Samgūtiparyāya*, TD 26, p. 443a26); Chavannes, *Religieux éminents*, p. 164, compare the *Mahāvvyutpatti* and the *Numerical Dictionary*.

Vasubandhu follows *Vibhāṣā*, TD 27, p. 434b15, where the *svabhāva* (nature) of the *Vimokṣas*, their *bhūmi* (the stage in which one produces them), their *āśraya* (the persons who produce them), their *ākāra* (aspect), their *ālambana* (object), their *smṛtyupasthāna* (of which "application of mindfulness" they consist), their *lābha* (mode of acquisition), etc. are explained.

178. Text of the *Vyākhyā*:

- a. *rūpi rūpāni paśyati.*
- b. *adhyātmam arūpasamjñi babirdhā rūpāni paśyati.*
- c. *śubham vimokṣam kāyena sāṅgātkeṭvopasaṃpadya viharati.*
- d. *sarvaśo rūpasamjñānāṃ samatīkramāṃ pratigbasamjñānāṃ astamgamāṃ nānāvasamjñānāṃ amanasikārād anantam ākāśam anantam ākāśam ity ākāśānantyāyatanam upasaṃpadya viharati tad yathā devā ākāśānantyāyatanopagāḥ.*
- e. *punar aparāṃ sarvaśo ākāśānantyāyatanāṃ samatīkramyānantaṃ vijñānam anantaṃ vijñānam iti vijñānānantyāyatanam upasaṃpadya viharati tadyathā devā vijñānānantyāyatanopagāḥ.*
- f. *punar aparāṃ sarvaśo vijñānānantyāyatanāṃ samatīkramya nāsti kiṃ cid ity ākiñcanyāyatanam upasaṃpadya viharati tadyathā devā ākiñcanyāyatanopagāḥ.*
- g. *punar aparāṃ sarvaśo ākiñcanyāyatanāṃ samatīkramya naivasamjñānāsamjñāyatanam upasaṃpadya viharati tadyathā devā naivasamjñānāsamjñāyatanopagāḥ.*
- h. *punar aparāṃ sarvaśo naivasamjñānāsamjñāyatanāṃ samatīkramya samjñāveditāni-roddham kāyena sāṅgātkeṭvopasaṃpadya viharaty ayam aṣṭamo vimokṣa iti.*

179. It is very difficult to interpret this formula.

a. The Pāli sources read *rūpi rūpāni paśati*; the *Vyākhyā* comments: *rūpi rūpāṇi svātmani rūpāni vibhāvya babir api rūpāni paśyati.*

Paramārtha, Hsüan-tsang, one of the versions of the *Mahāvvyutpatti*, 70, and Harivarman (in his *Tattvasiddhi*), read *adhyātmam rūpasamjñi babirdhā rūpāni paśyati* (which is the formula of the *Abhibhāvāyatanas*, viii.35).

b. The exegesis of the *Abhidhamma* is developed in *Paṭisambhidā*, ii.38a and elsewhere: the ascetic considers *rūpa* (blue, *nīla*, etc.) which is internal and external (First *Vimokṣa*), and then only external *rūpa* (Second *Vimokṣa*). It appears probable that the *Kośa* intends the same.

c. What is the meaning of the expression *rūpāni vibhāvya* (*Vyākhyā* quoted above a.)? According to *Atthasālini*, 163: "In the expressions *rūpaṃ saññāṃ vibhāvehi*, the word *vibhāvanā* signifies *antaradhāpana*, to make disappear." Above, *Kośa*, viii.3a, *vibhūtarūpasamjñā* = which makes the notion of *rūpa* disappear.

Harivarman translates *vibhāvanā* as "to expell, break." "First *Vimokṣa*: *adhyātmam rūpasamjñi babirdhā rūpāni paśyati* [=He grasps the notion of internal *rūpa* (=of the body) as being horrible (*aśubha*), and continues to see external *rūpa*: The ascetic, by this *Vimokṣa* breaks and rends (*p'o-lieh* 破裂) *rūpa*. How do we know this? Because, in the Second *Vimokṣa*, it is said: *adhyātmam arūpasamjñi babirdhā rūpāni paśyati*. The ascetic is termed *adhyātmam arūpasamjñi*, because he has broken internal *rūpa*. By this we know that in the First *Vimokṣa* the ascetic sees only external *rūpa*, the internal *rūpa* having been eliminated. In the Third *Vimokṣa*, the external *rūpa* also having been eliminated, the ascetic no longer sees internal and external *rūpa*. This is what is called the notion of *rūpa*, he abandons-desires desire; he does not see any internal or external *ātman*." Compare *Suttanipāta*, 1113: *vibhūtarūpasamjñissa sabbakāyappahāyino* (the Chinese reads *kāma*) *ajjhataṃ ca bahiddhā ca n'atthi kiṃ cūti passato*.

180. Hsüan-tsang differs. *Kārikā*: The *Vimokṣas* are of eight types. The first three are



non-desire (*alobha*); two are in two absorptions; one in one absorption . . . *Bhāyya*: . . . the first two are, by their nature, non-desire, because they oppose desire. The Sūtras (*Madhyama*, TD 1, p. 582a17) define Vimokṣa by saying that the ascetic sees (*paśyati*): [it does not mean that Vimokṣa is sight; it expresses itself thus] because seeing increases Vimokṣa. Hsüan-tsang puts into the text of Vasubandhu the definition of *Vibhāṣā* volume 84 (TD 27, p. 434b28) [where it is noted that the eighth Vimokṣa is a *cittaviprayuktasamiskāraskandha*].

181. This opposition is *dūribhāvapratipakṣa* "opposition which renders distant" (v.61, p. 855) for, from the fact that the ascetic enters into the First Dhyāna, attachment to the *rūpa* of Kāmadhātu is already abandoned (*prahīna*: by means of a *prahānapratipakṣa* realized in *anāgāmya*, viii. p. 1268, line 25).

182. See iii.43: One does not die in a state of *samādhi*.

183. *Vibhāṣā*, TD 27, p.434c1: Why are they called Vimokṣas? What is the meaning of *vimokṣa*? The meaning of *vimokṣa* is "rejection" (*ch'i-peī* 棄背, to discard, turn the back on) . . . The first two reject the mind of desire for *rūpa* (*rūpalobhacitta*); the third rejects *aśubhāsamījña*; the Four Vimokṣas of Ārūpyadhātu each reject the mind of the sphere immediately below; the Vimokṣa of *nirodhasamāpatti* rejects any thought having an object (*sālambanacitta*). Consequently the meaning of *vimokṣa* is "rejection." The Bhadanta says that the Vimokṣas are so called because they are obtained by the force of *adhvimokṣa*; according to Pāśva, because they are the locus wherein one rejects.

184. See ii.44d, vi.64a, viii.196. *Majjhima*, i.160, 301,333,400,456, *Anguttara*, iv.426,448.

185. Hsüan-tsang corrects: "because it turns its back on everything that has an object (*sālamba*)," that is to say, the mind and mental states.

186. Hsüan-tsang: "The Eight Vimokṣa is called Vimokṣa because it delivers . . ."

It is evident that the possession of the Eighth Vimokṣa, [which confers the name of Kāyasākṣin and, when one is an Arhat, the name of Ubhayatobhāgavimukta], assures perfect mastery in absorption, the complete deliverance of *vimokṣāvaraṇa* (vi.64a); but the version of Paramārtha is doubtless correct, for the possession of the first two Vimokṣas, which supposes the cultivation of the First Dhyāna, makes this same cultivation sharper by "making more distant" the *rūpa* already abandoned (above note 181), by giving the mind *karmanīyatā* (see following note). The Third Vimokṣa surpresses obstacles to the Vimokṣas of the sphere of Rūpadhātu (*rūpivimokṣāvaraṇa*, below note 198).

187. The obstacle is the ineptitude of the mind (*akarmāṇyatā*) which causes a person detached from the three Dhātus to be incapable at the same time of entering the First Dhyāna.

188. *Vibhāṣā* TD 27, p. 774a14: The Dārṣṭāntikas and the Vibhajyavādins maintain that a subtle mind is not destroyed in *nirodhasamāpatti*. According to them, there is no being (*sattva*) without matter (*rūpa*), and there is no absorption without the mind. If absorption were free from mind, the vital organ (*jīvitendriya*) would be cut off; one would say that [the ascetic] is dead and not that he is in an absorption. In order to refute this opinion, one indicates that *nirodhasamāpatti* is absolutely without mind. See *Kośa*, ii.44d, note 253.

189. Definition of Bhavāgra, viii.4c.

190. According to the Mahāyāna, the "entering mind" of this absorption is always *anāsrava*, and the leaving mind is of two types, *anāsrava* or *sāsrava* . . . Among the Buddhas, the Eight Vimokṣas are *anāsrava*; among others, the Eighth is always *anāsrava*, the first seven are of two types accordingly as they have for their nature worldly or transworldly knowledge (*laukikalokottarajñāna*).

191. *Anvayañāna* is the Path with the exception of the part of Path relative to Kāmadhātu.

The *Vyākhyā* indicates the spheres where the Vimokṣas are cultivated: eleven spheres by excluding seven *sāmantakas*.

192. *Vyākhyā*: āha / *katamaḥ punar apratisamkhyānirodhas tesām ālambananam / atrocyate / asaikṣasyānimittasya samādher apratisamkhyānirodham ālambate / ete hy ārūpyā vimokṣā ānimittānimittasamādhisvabhāvāḥ sambhavanti* (viii.26c).

193. *Ākāśa* is the object of the *ākāśānantyāyatana* Vimokṣa.

194. *Vibhāṣā*, TD 27, p. 436b10: Because he wishes to assure himself whether the *kuśalamūlas* are complete (*man* 滿) or not. The ascetic thinks: Even though I contemplate the horrible (*aśubha*), I do not produce any defilements, but I do not know if the *kuśalamūlas* are complete; if, by contemplating the agreeable, the defilements do not arise, I would know that the *kuśalamūlas* are complete.

195. See vii.42, 48. On *abhinirbhāra*, see Speyer, *Avadānaśataka*, ii.221; index of the *Mahāvastu* and the *Divya*; *Mahāvvyutpatti*, 21.88, 25.12; Lévi ad *Sūtrālamkāra*, iv.12; Rhys Davids-Stede.

On *ārya rddhi* in Pāli sources, vii. p. 1168.

196. *Vyākhyā*: *atra sākṣātkṛtveti pratyakṣīkṛtyety arthaḥ / upasamṇadya viharatīti tām samāpatīm samapadya viharatīty arthaḥ*.

vi.43c, 58b (p. 1006), 63; viii. p. 1232. *Uttarādhyayana*, v.23, SBE, 45, p. 23.

Compare the expressions *phusati cetosamādhim*, *nirodham* (*Dīgha*, 184), and *catasso appamaññāyo* (*Theragāthā*, 386), etc.

*Vibhāṣā*, TD 27, p. 776a21: In the other Sūtras, the Bhagavat employs, in the definition of the Eight Vimokṣas, the expression *kāyena sākṣātkṛtvā upasamṇadya viharatī*; notably in the *Mahāhetupratyayasūtra* ... Some say: "The Third and Eighth Vimokṣas are found at the end of the two Dhātus ..." Some say: "These two Vimokṣas are found at the end of two *bhūmis* ..."

197. *Vyākhyā*: *prathamadvītiyābhyāṃ vimokṣābhyāṃ tṛtīyasya vimokṣasya prādhānyāt / rūpivimokṣāvaranaśākalyaprahāṇād āśrayaparivṛtītas tṛtīyasya sākṣātkaraṇam uktam / aṣṭamasyāpi prādhānyād ārūpyavimokṣāvaranaśākalyaprahāṇād āśrayaparivṛtītaḥ sākṣātkaraṇam uktam*: "The Sūtra says that the ascetic manifests (*sākṣātkaraṇa*, *pratyakṣīkaraṇa*) the Third Vimokṣa because this Vimokṣa outweighs the first two. It includes the abandoning of all the obstacles which are opposed to the Vimokṣas of *dhyāna* (*rūpivimokṣa*): once he has acquired it, he possesses a suppleness of mind which permits the realization, without effort, of the first three Vimokṣas. And this because it includes an *āśrayaparivṛtī*, a certain transformation of the *āśraya* or physico-psychological complex. So too the Eighth Vimokṣa outweighs the preceding Vimokṣas because it includes the abandoning of all the obstacles to the Vimokṣas of the sphere of *Ārūpyadhātu*.

The Third Vimokṣa is obtained by an ascetic who cultivates the Fourth Dhyāna, the highest sphere of *Rūpadhātu*; the Eighth, by an ascetic who cultivates *Bhavāgra*, the highest sphere of *Ārūpyadhātu*.

a. iv.56, English trans. p. 631, *āśrayaparivṛtī* by the Path of Seeing, etc.; 14c, *parivṛttavayañjana*: "whose sex has been changed"; 38, *āśrayatyāga*, *āśrayavikopana*: "abandoning, overthrowing the *āśraya* by death, by hermaphroditism"; the *Dharmakāya*, vii., note 196. The *Sūtrālamkāra* prefers the expression *āśrayaparivṛtī*.

b. *āśraya* = "the body endowed with organs." iii.41; among the organs, the *manas*, ii.5, i.20a (p. 78). A synonym of *āśraya* is *ātmaabhāva*, v.2c. See also i.34d (p. 99), ii.55d (p. 285), vii. 21b, and elsewhere. The translation "personality" is not bad, for example vi.21 has: "a feminine personality ..." Certain qualities can only have for their support (*āśraya*) persons

of the Three Dvipas; one only obtains them with a human *āśraya*.

In a different usage of the word, a certain *dhyāna*, or a certain *bhūmi* is the *āśraya*, or the support, of the acquisition of a certain "knowledge," etc.

198. *Dīgha*, ii.110. iii.260, *Samyutta*, iv.85, *Majjhima*, ii.13, *Visuddhimagga*, 175, *Atthasālinī*, 187.

*Mahāvuyutpatti*, 71; *Sūtrālamkāra*, xx-xxi.44.

When an ascetic considers the six *āyatana*s (physical matter, sound, etc.) without producing any bad ideas, these *āyatana*s have prevailed, *abhibhūta*: such as the ten *abhibhāyatana*s, *Samyutta*, iv.77.

References of Saeki: *Madhyama*, TD 1, p. 799c25, *Dīrgha*, TD 1, p. 55c26, *Amṛta-sāstra*, TD 28, p. 976a25, *Samgūtiparyāya*, TD 26, p. 445b19, *Prakaranapada*, TD 26, p. 721b4, *Samyukta-hṛdaya*, TD 28, p. 927b19, and *Tattvasiddhi*.

In the *Madhyama* version, *ch'u-ch'u* 除處 "expelling *āyatana*," Paramārtha, *chib-ju* 制入, Hsüan-tsang, *sheng-ch'u* 勝處 (*abhi-āyatana*). The *abhibhūya* of the formula *iāni rūpāny abhibhūya* is translated by *chib-hsiu* 制修 (control-*bhāvana*) or by *ch'u* 去 (to expel, *vinodana*, *apanayana*, *vibhāvana*).

199. Pāli: *eko bahirdhā*.

200. *Vyākhyā*: *jānāti . . . paśyati*. Paramārtha: *jānāmi paśyāmi*.

On *jñāna* and *darsana*, above viii.27c.

201. On *ākārayati*, vi. p. 931, vii, p. 1144

202. The classical list *Aṅguttara*, v.46, 60 (Comm. i.27.10), *Majjhima*, i.423. ii.14, *Dīgha*, iii.268 (*Sumaṅgala*, i.115, Franke, p.210); *Visuddhi*, 110, replaces the last two by *āloka*, *paricchinākāsakasiṇa* (see Childers); the last two omitted occasionally in *Paṭisambhidā*. On *āloka* *manasikāra*, etc., vii. p. 1160, 1177.

*Visuddhimagga*, 425 (see Index and Warren, 293), *Atthasālinī*, 185, *Compendium*, *passim*; Spence Hardy, *Eastern Monachism*, 252; Kern, *Geschiedenis*, i.393 ("universal or cosmic circles"); *Yogāvacara-Manual*, p. xxix; Rhys Davis-Stede.

*Mahāvuyutpatti*, 72, *Samgūtiparyāya*, 11th section, *Sūtrālamkāra*, vii. 9, xx. 44.

These summary remarks in the *Kośa* have been extracted from a more complete treatise in the *Vibhāṣā*.

203. Paramārtha sometimes (TD 29, p. 303b12) translates *kṛtsna* as *pien* 徧 (= total), sometimes (p. 303b18) as *wu-pien* 無邊 (= *ananta*). There are Ten *Kṛtsnāyatana*s (*pien ju* 徧入). They are called *kṛtsna* (*wu-pien*) because they totally embrace one type without interval-crack. What *dharma*s are *kṛtsna* (*wu-pien*)? Earth, water, fire, wind, blue, yellow, red, white: these contain *rūpa* (literally: *rūpalakṣaṇāni*) . . . There are some masters who say that the *vāyukṛtsnāyatana* (*feng wu-pien ju* 風無邊入) has tangible things for its object.

The *Vibhāṣā*, TD 27, p. 440b17, translates *kṛtsna* by *pien* 徧: . . . They are called *Kṛtsnāyatana*s for two reasons: because they do not present any interval (*chien* 間), and because they are extended (*kuang-ta* 廣大): on the one hand, the act of imaginative attention (*adhimukṣīmanaskāra*) bears exclusively on blue, etc., without being mixed with any other characteristic; on the other hand, the act of attention, bearing on all blue, etc., has for its object an infinite characteristic. The Bhadanta says: "Because their object is large-extended (*k'uan-kuang* 寬廣), and because there is no interval-crack (*chien-hsi* 間隙)." . . .

204. This last phrase is not in Hsüan-tsang.

205. This problem is examined *Visuddhimagga*, 415.

206. The cause or *hetu*, is the *ārūpya* absorption acting as *sabhāga* *hetu*, that is to say, leading to a new *ārūpya* absorption.

207. Someone who produces an *ārūpya* absorption falls from this absorption, dies, and is reborn in a heaven of Rūpadhātu by virtue of some former action: there he will produce a new *ārūpya* absorption because the preceding *ārūpya* absorption is close (*āsanna*). Someone frequently enters an *ārūpya* absorption: he has a strong habit (*abhiṣṇābhyaśa*) with respect to it; he dies, is reborn into Rūpadhātu, and here he will produce an *ārūpya* absorption again.

In the same way, born in Ākāśānantyāyatana, one could produce a higher *ārūpya* absorption, Vijñānānantyāyatana, etc.

208. Someone accomplished an action which could be retributed in Ārūpyadhātu, not immediately upon his death, but in a later birth (iv.50). By reason of other actions "of immediate retribution," he is first reborn in Rūpadhātu. The imminence of the retribution of the action retributable in Ārūpyadhātu makes this person produce an *ārūpya* absorption. This is necessary for the retribution of this action, for not being detached from the sphere where he is born (*adhistād avītarāga*), namely of Rūpadhātu, this person could not be reborn in Ārūpyadhātu if he were not detached from it, by an *ārūpya* absorption of the said sphere.

209. When the universe perishes by fire, Kāmadhātu and the heavens of the First Dhyāna disappear; when it perishes by water, the heavens of the Second Dhyāna disappear; when it perishes by wind, the disappearance also includes the spheres of the Third Dhyāna (*Kośa*, iii.100c-d). Thus, at the end of the world, all beings should be reborn in spheres sheltered from this destruction, and so they should produce absorptions entailing rebirth in the heavens of the Second, Third or Fourth Dhyāna.

Hsüan-tsang: The receptacle or physical world begins to perish by the force of *dharmatā*. Such is the law of nature (*dharmatā*) of beings in the lower spheres that they produce higher *dhyānas*, because, under these circumstances (*avasthā*), the good *dharmas* undergo full development by the force of this *dharmatā*. Beings in the two higher Dhātus (in the heavens of the Fourth Dhyāna and in Ārūpyadhātu) produce *ārūpyasamāpatti* by the force of cause and actions, and not by the force of *dharmatā*, for the Anabhakra gods, etc. (Fourth Dhyāna) are not affected by the three catastrophes.

*Vibhāṣā*, TD 27, p. 779a28: Why do beings in Rūpadhātu first produce the *dhyānas*, the *ārūpyas*, and not *nirodhasamāpatti*? They produce the *dhyānas* by reason of three causes (*pratyaya*): 1. by the force of cause (*hetubala*): in a former existence, they produced, and destroyed, the *dhyānas*; 2. by the force of action (*karmabala*): they did and accumulated actions of necessary retribution which should be retributed in a later existence in a sphere of *dhyāna*; this action will give forth its fruit; and 3. by the force of the "nature of things" (*dharmatā*): when the world perishes, beings in the lower spheres are necessarily reborn above . . . The first two reasons hold for the production of the *ārūpyas*.

210. *Vyākhyā*: *keyaṃ dharmatā nāma / kecīd tavaṃ sautrāntikā ābhuḥ / eṣāṃ eva dharmānāmudbhūtavṛttiṇāṃ pūrvadhyanāvāsānādhipatyāt tadutpattāṃ upadeśam antareṇa dhyānotpattāṃ ānugūnyam dharmatā prakṛtiḥ svabhāva ity arthaḥ // vaiśbhāṣikā apī kecīd ābhuḥ / paurvajāgarikāt sabhāgaheṭoḥ niṣyandaphalam dhyānotpādanam tadupadeśam antareṇānyato dharmateti.*

On *dharmatā*, see ii.46, English trans. p. 248, iv.17a, 20, 67, vi.34a.

211. On *vāsanā*, see vii. p. 1137 to 1143. Here, the good *dharmas* are *adhipatipratyaya*.

212. As long as the Good Law lasts, it is possible to know all the *dharmas*, pure and impure, to which Dhātu and sphere they belong, their aspect, etc.

213. According to Paramārtha. Hsüan-tsang: All these diversely specified *dharmas* have for their goal the expansion and duration of the Good Law. What is the Good Law? How long will it last?

214. The Saddhamma is threefold: *pariyattisaddhamma*: all the words of the Buddha in the Three Piṭakas; *paṭipattisaddhamma*: the thirteen *dhutagūṇas*, the fourteen *khandhakavattas*, the eighty-two *mahāvattas*, *sīla*, *samādhi*, and *vipassanā*; and *adbhigamasaddhamma*: the four *ariyamaggas*, the four fruits and Nirvāṇa (*Samantapāsādikā*, i.225). See below note 219.

215. The twelve *aṅgas* are enumerated in the *Abhisamayālaṅkāra*:

*sūtram geyam vyākaraṇam gāthodānāvadānakam /*  
*śiṣṭikam nidānam vaipulyam ca saṁśālikam /*  
*upadeśo'dbhutā dharmā dvādaśāṅgam idam vacaḥ //*

216. It appears that "holiness" is not too bad an equivalent for *adbhigama*, since *adbhigama* is defined as being "the *dharmas* attendant upon Bodhi plus the results of *brahmacarya*." [It is through the *bodhipāṅśikas* that *brahmacariya* is *addhaniya ciraṭṭhitika*, *Dīgha*, iii.27.] Moreover *adbhigama* signifies "penetration, intelligence."

*Adbhigama* is a synonym for *satyābhisamaya* (see vi.27) in the definition of the three *prajñās* or discernments: A fool (*bāla*) is one who lacks innate (*upapattilābhikā*) *prajñā* which proceeds from the traces of a former cultivation (*pūrvābhyāsavāsānānirjātā*); unlearned (*aśrutavān*) is one who lacks the *prajñā* which arises from the Teaching (*āgamajā*); an ordinary person (*prthagjana*) is one who lacks the *prajñā* which arises from *adbhigama*, which arises from the comprehension of the Truths (*yaśyādbhigamajā satyābhisamayajā nāsti . . .*) (iii.28a).

The *Bodhisattvabhūmi*, in the definition of "refuge" (*pratisaraṇa*), defines *jñāna*, which is a refuge—as *adbhigamajñāna* created from absorption, *bhāvanāmya* (See iv. English trans. p. 655, notes 341 to 343 and below Chapter IX, trans. Hsüan-tsang, xxix.15a, note).

217. a. Hsüan-tsang translates: "As long as there are bearers, preachers, and acquirers, the Law will endure."

The two Chinese translators distinguish three categories: the first two (those who bear and those who preach) with respect to the *āgama*; the third (those who acquire) with respect to the *adbhigama*. The *āgama* lasts as long as the first two categories exist; the *adbhigama* lasts as long as the third exists. Thus the Law lasts because of these three classes of persons.

Paramārtha (*TD* 29, p. 303c23) adds: Why? Because there are two causes of the duration of the Good Law: speaking correctly and receiving correctly. There are some other masters who say that the Law lasts one thousand years: this is in reference to the *adbhigama*, not to the *āgama* which lasts longer. Why? In the future, persons capable of bearing the Law will be of two types: those who believe by reason of hearing, and those who believe by reason of correct intelligence (? *cheng-chieh* 正解). The gods protect these persons in order that the *āgama* and the *adbhigama* do not quickly disappear. Consequently one should, both literally and in meaning, meditate and practice.

b. Saṁghabhadra (in his *Nyāyānusāra*, *TD* 29, p. 775b1) corrects Vasubandhu on many points and adds some interesting details: The Saddharma of the Bhagavat is twofold, *āgama* and *adbhigama*. The *āgama* is Sūtra, Vinaya, and Abhidharma. The *adbhigama* is the pure path (*anāśravamārga*) of the Three Vehicles. [For Vasubandhu, this is the *bodhipāṅśikas* which are not all necessarily pure.] As long as the *adbhigama-saddharma* lasts in the world, the *āgama-saddharma*, increased and sustained by it, also lasts. At present, in the East, the *adbhigama-dharmas* is disappearing; the *āgama* has much disappeared. As the *adbhigama-dharma* flourishes in the North, the good *āgama* of the Bhagavat is being extended and augmented. Consequently, this is the land of the supreme knowledge of the Tathāgatas (. . . *jñānagocara*). The Āryans dwell therein; the correct sense of the Abhidharma flourishes in this country; not in the East, etc., where tradition and practice are impossible (??). The *āgamadharma*, essentially depends on its "bearers" (*dhātā*, those who have learned it) and preachers in order for it to last in the world; the *adbhigama* lasts solely depending on "those who practice" (*pratipattar*). But these are not only the supports of the *adbhigama*; the *āgamadharma* also depends on *pratipattar*: as long as persons practice the Dharma without error (*aviparīta*), the *adbhigama* will last; as long as the *adbhigama* lasts, the *āgama* will last.

For the disappearance of the Law in the West, compare the Dreams of Kṛkin, *Vinaya of the Mahīśāsakas* (*Wu-fen Lü*, TD 22, p. 172a7), Chavannes, *Cinq cents contes*, II. p. 348. The eleventh dream (a piece of water whose center is troubled but whose sides are calm and clear) signifies that the Good Law will begin to disappear in the Middle (Kingdoms), but that it will prosper in the frontier kingdoms.

218. The *Vyākhyā* approves of this explanation: *esa eva pakṣo yukta iti paśyāmaḥ*.

219. a. Condition for the duration of the Law (respect for the Buddha; no mention of years), *Āṅguttara*, iv.84. *anāgatabhaya*, iii.15, 108, etc.

Why the Law of certain Buddhas lasts a long time, *Suttavibhaṅga*, *Pārājika*, i.3.3, *Samantapāsādikā*, i.184, *Kośa*, vii. p. 1145.

The Arhat prolongs his life in order to prolong the Law, *Kośa*, ii. English trans. p. 165. The Law is protected by humans and gods, *Samyukta*, TD 2, p. 177b22. Lévi and Chavannes, "Les seize Arhats protecteurs de la Loi," *J. As.*, 1916, ii.9; J. Przyluski, *Aṣoka*, Chap. VII. Development of eschatological ideas relative to the Law, and also 207, 336, 399, 452.

b. *Cullavagga*, x.1.6: "If women had not been admitted, this *brahmacariya* would last a long time, it would last one thousand years; but as they have been admitted, this *brahmacariya* will not last for a long time: the Saddharma will last five hundred years." No mention of years in the *Bhikṣuṇīkarmavācānā* (Bulletin of the School of Oriental Studies, 1920, p. 125), nor in Rockhill, *Life*, p.60.

Same doctrine in the *Pi-ni-mu ching* (TD 24, p. 818c5), where the explanation is given by Kāśyapa (Przyluski, *Aṣoka*, p. 173); see also *Madhyama*, TD 1, p. 607b8 (quoted by Saeki). Saeki also quotes the *Vinaya of the Mahīśāsakas* (TD 22, p. 186a14) "If the Bhikṣuṇīs observe the eight *gurudharmas*, the Law will last one thousand years."

But, in the *Vinaya of the Sarvāstivādins* ("judgment of Ānanda"), the duration of the Law is limited to one thousand years because women have been admitted. Same doctrine in the other texts relative to the First Council (Przyluski, *ibid.*).

*Aśokāvadāna*, and many other texts, have one thousand years; the *Mahāmāyāsūtra*, TD 12, p. 1013b21 - 1014a2, has fifteen hundred years (Przyluski, p. 161-173); see also the [*Fo lin nieh-p'an ch'i*] *Fa-chu ching*, TD 12, p. 1113a2-c10.

*I-ching* (Takakusu, 106) quotes the *Vinaya*: "As long as there is a *karmācārya* (? "the Upholders of rules"), my Law will not be destroyed. When there will be no person who "upholds and supports" the *karman*, my Law will end," and again: "As long as my precepts exist, I shall live." *Sum. Vil.* i.11.

c. Five successive disappearances: *adbigama*, *paṭipatti*, *pariyatti*, *liṅga*, and *dhātu*, in *Manorathapūraṇī*, i.87, *Anāgatavaṃsa* (Minayev, JPTS. 1886 and Warren, 481).

Three disappearances, *adbigama*, *paṭipatti*, and *liṅga*, in *Milinda*, 133.

"Disappearance of the shadow of the Buddha . . . and how many Arhats do we have who can preserve the Good Law? It is said in a Treatise: The Great Lion has closed his eyes and his witnesses have disappeared one after the other . . ." *I-ching*, Takakusu, p. 106 [compare above p. 1282].

The *Vajracchedikāṭīkā*, Mdo, xvi. fol. 234a, explaining *Vajracchedikā*, p. 22: *paścime kāle paścime samaye paścimāyāṃ pañcaśatyāṃ saddharmavipralopakāle vartamāne*: "It is well known (*prasiddha*) that the teaching of the Bhagavat lasts five times five hundred years; this is why the text specifies: during the last period of five hundred years, for it is then that the five *kaṣāyas* (iii.4c, 93a) increase."

Nanjo (in Max Müller, *SBE*. 49, p. 116) mentions the *Mahāsaṃnīpātasūtra* (TD 13, p. 363a29): In the first five hundred years, the Bhikṣus and others will be "strong in deliberation" (will obtain *satyābhisamaya*?); in the second period of five hundred years, they will be strong in meditation (*samādhi*, *dhyāna*); in the third, in Scripture (*śrūta*); in the fourth, the building of monasteries; and in the fifth, in quarrels and slander. The White Law will become invisible.

d. *Samyukta*, TD 2, p. 226c6: In the Period when the Saddharma of Kāśyapa began to perish, a *pratirūpaka* Dharma arose; when this has arisen, the Saddharma will have perished." *Saddharmapundarikā*, 67.7: "The Saddharma of Padmaprabha will last thirty-two *antarakālpas*; when it is destroyed, the *saddharmapratirūpaka* will last the same number of *kālpas*"; 377: "The Saddharma of Jitasvararāja was destroyed, and in the period when the *saddharmapratirūpaka* was disappearing, this religion (*śāsana*) was overrun by Bhikṣus who attributed to themselves spiritual gifts which they did not possess . . ."

220. According to Hsüan-tsang. According to Paramārtha: I have presented in this treatise the Abhidharma of the Buddha Bhagavat. Have I presented it according to the school of the Sautrāntikas or as it is presented in the *Vibhāṣā*?

According to the *Vyākhyā*: Is the Abhidharma that I have taught here, namely the *Abhidharmakośa*, the Abhidharma of the canonical Treatises, the Abhidharma of the *Jñānaprasthāna*, etc.?

221. *Vyākhyā*: *yo 'yam iti vistaraḥ / yo 'yam ibhābhidharmakośalakṣaṇo 'bhidharma uktaḥ kim eṣa eva śāstrābhidharmo jñānaprasthānādhlakṣaṇo deśito 'ta idam ucyate / kāmīravaibhāṣikanīṣidhā iti vistaraḥ / kāmīre bhavāḥ kāmīrāḥ / vibhāṣayā divyanti vaibhāṣikā iti vyākhyātā erat / santi kāmīrā na vaibhāṣikā ye vinayacintādayaḥ sautrāntikā ity bhadantādayaḥ / santi vaibhāṣikā na kāmīrā ye bahirdeśakā ity ubhaya viśeṣaṇam // teṣāṃ nītyā yaḥ siddho 'bhidharmah sa prāyeneha mayā deśitaḥ / arthād uktaṃ bhavati / anyanīṣidhō 'pi deśita itī // yad durgbhūtaṃ kāmīravaibhāṣikanāyena nāyena vā tad ihavacane 'smādāgo 'smadaparādhah // kiṃ kārāṇam ity āha / saddharmanītau munayaḥ pramāṇam itī / saddharmasyāgamādhigamalakṣaṇasya nītau varṇane munayo buddhā bhagavanto buddhaputrāś cāryaśāradvatīputrādayaḥ pramāṇam sarvākārasarvadbharmāvabodha āptā ity arthah.*

222. a. Hsüan-tsang: "The discussion of the Abhidharma of the Vaibhāṣikas of Kāśmīr [=the Five Hundred Arhats, according to Saeki] is correctly established. [There is perhaps a mistake here with respect to the sense of *siddha*.] It is by depending on them that I have, in general, presented the Abhidharma. That which is false is my fault. The authority for judging the Dharma is only the Buddhas and the Mahāśrāvakas."

b. Saṅghabhadra (in his *Nyāyānusāra*, TD29, p. 775b18): ". . . It is by depending on them that I have, in general, presented the Abhidharma. Here the Sautrāntika [= Vasubandhu] presents his fundamental thought, saying: Depending on the *Mahāvibhāṣā*, discussing the principles of the Abhidharma of the Saugatas of this land, and making an effort to correctly understand to the end that the Good Law will last a long time for the salvation of beings, I have composed this treatise. The great bulk of this book presents the system [of the *Vibhāṣā*]; a smaller part follows other paths, for example with respect to physical matter as shape (*saṃsthānarūpa*, iv. English trans. p. 557), with respect to the past and future (v.27), etc. But the nature of the *dharma*s is very profound, and my intelligence is weak: I am not capable of diligently searching out (*ch'in-ch'iu* 勤求) the Preacher of Truth (*yathābhūtavādīn*) (?). Consequently, in the interpretation (*nīti*) presented in this long treatise, if one small part is poorly supported (*durgbhūta* = *pien-liang* 貶量 = of contestable authority; the meaning is perhaps "If I have, sometimes, reproduced some incorrect doctrines . . ."), it is my fault. The Buddhas, having accumulated the provisions of knowledge (*jñāna* *sambhāra*) know any object of consciousness. The Pratyekabuddhas cannot judge the characteristics of the *dharma*s; even less the Śrāvakas, for the Dharma that they realize depends on a teaching. Thus, in order to judge the *dharma*s, there are only the true great Munis. By this we know that the Abhidharma is truly the word of the Buddha. One should accept it with faith, practice-meditate on it correctly, and diligently search out deliverance."

c. Paramārtha (TD 29, p. 304a5): The Vaibhāṣikas of Kāśmīr possess the two *cheng*<sup>1</sup> = 二證. This Abhidharma—the *Abhidharmakośa*—has been presented by me, in

general, conforming to their doctrine. If there is here anything that is badly grasped, it is my fault. In lieu of *cheng* capable of correctly judging the Good Law, only the Buddhas Bhagavats are the supreme measure (*pramāṇa*). Why? Because they immediately see all the *dharma*s. Apart from Scripture (*āgama*) and the Path (*mārga*), the Āryaśrāvakas of the Buddha are not even a mediocre measure in correctly judging the Good Law.

1. What is this twofold *cheng* (= *sākṣin*, *pratibhū*, etc.)? Perhaps persons who possess the *āgama* and the *adhigama* (as explained viii.39a), in other words the *āgama* and the *mārga*.

223. The first stanza is quoted in *I-ching*, Takakusu, p. 106.

*Vyākhyā: nimilīte śāstari lokacakṣuṣīti / parinirvṛte bhagavati lokasya cakṣurbhūte mārgāmārgasamdarśake / anenāndhabhūtātām lokasya darśayati // kṣayaṁ gate sākṣijane ca bhūyaseti / sākṣād draṣṭari sākṣī / mārgāmārgajño bhagavan iti ye'dhigatatattvā bhagavataḥ sākṣijanaḥ sahāyabhūtaḥ / tasmin parinirvāṇe kṣiṇe / avidyāndhādṛṣṭatattvair niravagrahair nirāṅkuṣaiḥ svayam dṛṣṭikatayā kutarkāpannair bhavadhir bhagavataḥ śāsanam granthataś cārthataś cākulam kṛtam // gate hi śāntim paramām svayambhuvītyādi pūrvāślokoṭyarthasya heturūpo'yaṁ dvītyaḥ śloka upanyasyate / buddhabuddhapuṭreṣu hi parinirvṛteṣv anāthajagati śāsanāntardhānahetubhir dṛṣṭyādisubhir malair doṣair nirāṅkuṣaiḥ svayaṁ yatheccham iha loke'dye samprati caryate / bhāvasādhanaṁ etat // tataś caivam kaṇṭhagataprāṇam ivety arthah / tad viditvā / balakākaṁ ca mālānām doṣāṇām na pramādyam mumukṣubhir iti bhāvasādhanaṁ iti.*





## C H A P T E R   N I N E

### *Refutation of the pudgala*

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**O**in. Homage to the Buddha.

Is there any liberation outside of Buddhism?<sup>1</sup>

No, there is not.

What is the reason for this?

There is no liberation outside of this teaching, because other doctrines are corrupted by a false conception of a soul. The word as other doctrines conceive it is not a metaphoric expression for a series of *skandhas*. By the power of their belief in this soul as a substantial entity, there arises clinging to the soul, the defilements are generated,<sup>2</sup> and liberation is impossible.

How do we know that the word "soul" is only a designation for a series of *skandhas*, and that no soul exists in and of itself?<sup>3</sup>

We know this because no proof establishes the existence of a soul apart from the *skandhas*, no proof by direct perception, nor any proof from inference.<sup>4</sup> If the soul were a real entity, separate like other entities,<sup>5</sup> it would be attained (i.e., known) either by direct perception as are the objects of the five sense consciousnesses and the object of mental consciousness,<sup>6</sup> or by inference, as are the five *indriyas*.

In fact, the five organs are known through inference. In the world, despite the presence of general causes, a result is not produced if certain specific causes are absent: for a sprout to be generated, one needs not only water, earth, and human effort, but also a seed. In the same way, even though general causes — the presence of an external

object, attention, etc.,—are present, the blind and the deaf do not see and hear to the extent that the non-blind and the non-deaf see and hear. The first are lacking, whereas the second have the specific causes for visual and auditory consciousness: these are the organs, material organs that are made of an invisible and intangible matter, and which is known only through inference.<sup>7</sup>

There is neither direct perception nor inference of a soul independent of the *skandhas*. We know then that a real soul does not exist.

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The Vātsīputrīyas admit a *pudgala* which is neither identical to the *skandhas* nor different from them.<sup>8</sup> We should examine whether this *pudgala* exists as an entity or as designation of a nominal existence. If it has a separate existence, like physical matter, then the *pudgala* exists as an entity. If it is only a collection, like milk, then it exists as a designation.<sup>9</sup>

[The Vātsīputrīyas:] What is wrong with admitting the first or the second hypothesis?

If the *pudgala* is an entity, it will be different from the *skandhas*, because its nature is separate, as the *skandhas* are different one from another (or it will be produced by causes and then it will not be eternal as you say), and you will have to name its causes; or it will be unconditioned: and this is a non-Buddhist opinion; and if it is unconditioned, the *pudgala* will be useless.<sup>10</sup> (It is thus false to believe that the *pudgala* is an entity.) But if you admit that the *pudgala* exists only as a title of designation, you will abandon your doctrine and you will be placed within our doctrines.

[The Vātsīputrīyas:] We do not say that it is an entity, and we do not say that it exists only as a designation of the *skandhas*: for us the designation *pudgala* occurs in necessary relationship to present, internal *skandhas* (*skandhān upādāya*).<sup>11</sup>

A blind response, containing little to enlighten us! What does the expression “in relation to” mean? If you explain *skandhān upādāya* as *skandhānām lakṣate* (characterized by the *skandhas*), you must then say “The designation *pudgala* occurs by taking the elements as an object”: this is to recognize that *pudgala* is a word designating the *skandhas*, the same as the designation “milk” occurs taking as its object the constituents of milk—color, etc. If you explain *skandhān upādāya* as *skandhān pratītya* “conditioned by the *skandhas*,” the same conclusion follows. You admit, in fact, that it is the *skandhas* which are the cause of the designation *pudgala*.

[The Vātsīputrīyas:] This is not the way that we conceive of the *pudgala* and its relationship with the *skandhas*, but rather as the world conceives of fire and its relationship with fuel.

How does it conceive of fire and its relationship with fuel?

The world conceives of fire “in relation to fuel”: they do not conceive of fire independent of fuel; they believe that fire is not identical with fuel nor different from fuel. If fire were different from fuel, fuel would not be hot; if fire were the same as fuel the consumed would be the consumer. In the same way we do not conceive of the *pudgala* as independent of the *skandhas*; we hold that the *pudgala* is neither identical to the *skandhas* nor different from the *skandhas*: if it were different from the *skandhas*, it would be eternal and thus unconditioned; but if it were identical to the *skandhas*, it would then be susceptible of annihilation.

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Define the terms “fire” and “fuel,” so that I can better understand what the expression “fire in relation to fuel” signifies.

[The Vātsīputrīyas:] What shall we say to you? The consumed is the fuel, the consumer is fire.

But that is precisely what I want to know with precision: what

is the thing consumed, and what is the thing that is the consumer?

[The Vātsīputriyas:] In the world, a thing to be burned, not on fire, wood, is called the combustible matter (i.e., fuel), the consumed;<sup>12</sup> the thing that exercises the action of burning, bright, very hot, in flames, is called the consumer or fire. The series that constitutes the fuel, is ignited by the fire, and it is reduced to ashes;<sup>13</sup> by means of fire, each moment of existence of the series of fuel is made different from the preceeding moment. The fire and fuel are both made up of eight substances;<sup>14</sup> consequently, fire is generated by reason of the fuel, as milk ferments and vinegar is created by reason of milk and wine. This is why the world agrees in saying that fire exists "in relation to fuel."

If this were the case, fire is different from the fuel, as their time-periods are different: there is first fuel, and then fire. If your *pudgala* exists in relation to the *skandhas*, as fire exists in relation to fuel, you then have to admit that, since it is produced by reason of the *skandhas*, it is different from the *skandhas*, and that it is, moreover, transitory.

[The Vātsīputriyas:] In the thing set on fire, wood, etc.,<sup>15</sup> one of the substances, namely a tangible object which is heat, is the fire; the other substances are the fuels. Then your remark on the priority in time of the fuel does not hold.

But fire and fuel, generated at the same time, are "separate," since their characteristics are distinct.<sup>16</sup> The meaning that you attribute to the expression "in relation to" has not yet been explained.<sup>17</sup> Since fire and fuel are generated at the same time, how can one say that fire exists in relation to fuel? Fire, which is one of the substances of the object on fire, does not have fuel for a cause, which is the other substances of the said object, since all these substances were generated at the same time, each from its own, separate cause. One can say no more than that the term "fire" had for a cause (or object) fuel, since this term is applied to the tangible "heat."<sup>18</sup>

[The Vātsīputriyas:] The expression "fire in relation to fuel"

means that the fire has fuel as its basis, or that the fire coexists with fuel.<sup>19</sup>

This means that the *pudgala* coexists with the *skandhas* or that it depends on the *skandhas*: this then admits that it differs from the *skandhas*. And logic demands also that, as fire does not exist when fuel is absent, likewise the *pudgala* does not exist without *skandhas*. You do not admit these conclusions; then your explanation is worthless.

Fire is not different from fuel (p. 1315, line 18), because, in this hypothesis, fuel would not be hot.

What does "hot" mean? If "hot" is defined as being the tangible "heat" (namely fire), as has been done above, then fuel will not be hot (since fuel is the object on fire, without the tangible element "heat"). If "hot" is that which is associated with heat (i.e., if one admits that fuel is termed hot by reason of its association with heat), then this means that different things (of "heat") are called "hot": the one tangible "heat" is designated by "fire" and all that is associated with this tangible is designated by the name "hot." To think thus is to confess that fuel is termed hot although it is different from fire or from the tangible "heat."<sup>20</sup> The Vātsīputrīyas are thus justified in saying that "fire is not different from fuel since fuel is hot."

[The Vātsīputrīyas:] Wood on fire is called fuel; it is also called fire.

Explain then the meaning that you attribute to the expression "fire in relation to fuel"! The *pudgala* (the active data of relation, of the *upādāya*) will be identical to the *skandhas* (passive data of the said "relation"): no reasoning can establish the non-identity (of these two). The thesis of the Vātsīputrīyas, that the *pudgala* exists in relation to the *skandhas* as fire exists in relation to fuel, cannot be rationally established in any hypothesis.

The *pudgala* is ineffable in that which concerns its relationship—its identity or non-identity—with the *skandhas*. How can they distinguish “five categories of things susceptible of being known”:<sup>21</sup> 1-3. conditioned things, in other words, past, present, and future things; 4. unconditioned, or non-caused things, and 5. the ineffable (or *pudgala*)”?

The *pudgala*, in fact, should also be ineffable from this point of view: if it is ineffable, one cannot say either that it is a fifth category, nor that this is not a fifth category.<sup>22</sup>

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Let us examine what this word “*pudgala*” depends on. If it depends on the *skandhas*, then the *pudgala* exists solely as a designation, as the expression *pudgala* depends on the *skandhas* and not on a real *pudgala*. If it depends on a real *pudgala*, why did the Vātsīputrīyas say that the designation “*pudgala*” is “in relation to the *skandhas*”? Then would have had to say “in relation to the *pudgala*.” But, in fact, they do not maintain that the *pudgala* is established in relation to a *pudgala*. Moreover the expression *pudgala* is a simple designation of *skandhas*.

[The Vātsīputrīyas:] Given the *skandhas*, the *pudgala* is perceived:<sup>23</sup> that is why we say that the designation “*pudgala*” is “with relation to the *skandhas*.”

But color is perceived when diverse causes are present, the eye, light, etc.; may we thereby conclude that the designation “color” is “with relation to these diverse causes”?

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Another point. By which of the six consciousnesses—consciousnesses of the eye, ear, nose, tongue, body, or mental consciousness—is the *pudgala* perceived?

[The Vātsīputriyas:] It is perceived by all six consciousnesses. When the eye consciousness recognizes physical matter (=a body), it indirectly discerns the *pudgala*,<sup>24</sup> and then we can say that the *pudgala* is known by the eye consciousness. But the relationship of the *pudgala* with physical matter, be it identical or different, is inexpressible. The same for the other consciousnesses: when the mental consciousness recognizes the *dharmas* (mind and mental states), it indirectly discerns the *pudgala*; it is then known by the mental consciousness, but its relationship with these states is inexpressible.

It follows from this explanation too that the *pudgala* exists solely as a designation exactly like milk. When the eye consciousness recognizes the color of milk, it indirectly discerns the milk: the milk is then known by the eye consciousness and one cannot say that the milk is the same thing as its color or is different from its color. The same for ear, nose, tongue, and body consciousness: the body consciousness recognizes tangibles; from whence there is the consciousness of milk; the milk is then known by the body consciousness without which one could only say that the milk is identical to the tangible, or different from the tangible. In fact milk is not fourfold: then it is not color, smell, taste, tangible; but furthermore, one cannot say that the milk is not made of these four. The conclusion is that one metaphorically designates a complex of elements by "*pudgala*," the same as the designation "milk" is understood as a coming together of color, smell, etc. They are merely names without reality.

What meaning do you therefore attach to the phrase, "When the eye consciousness recognizes physical matter, it indirectly discerns the *pudgala*"? Do you want to say that physical matter is the cause of the perception of the *pudgala*, or that the perception of physical matter and the *pudgala* takes place at the same time?

If the Vātsīputriyas answer that physical matter is the cause of the perception of the *pudgala* but that, nevertheless, one cannot say that the *pudgala* is different from physical matter, then the condition and the causes of the perception of physical matter—eye,



light, an act of attention—would not be different from physical matter.

If the Vātsīputrīyas answer that one perceives the *pudgala* at the same time as one perceives physical matter,<sup>25</sup> we would question whether one perceives the *pudgala* by the same operation which perceives physical matter, or by a different operation.

In the first hypothesis, the *pudgala* is only physical matter and the designation “*pudgala*” applies only to physical matter. It is then impossible to distinguish “This is physical matter; that is *pudgala*.” Without this distinction, how can one prove that there is physical matter and that there is a *pudgala*? The existence of the *pudgala* cannot be proven by this distinction.

In the second hypothesis, since the time of the two perceptions is different, the *pudgala* will be as different from color and shape as yellow is from blue, as former is from latter.

The same reasoning follows for the other *skandhas*.

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[The Vātsīputrīyas:] As one cannot say that the *pudgala* is the same thing as color and shape, nor that it is different from color and shape, likewise the perception of the *pudgala* is not the same as the perception of the color and shape, nor different from this perception.

This point of view obliges you to say that the perception of the *pudgala*, being ineffable, is not made part of the category of “conditioned things”: but now you do not admit this thesis, since, for you, all perception is “conditioned”.

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If the *pudgala* is an entity that one cannot define as being

matter (the *rūpa skandha*), nor as being non-matter (the four non-material skandhas, *vedanā skandha*, etc.), why did the Blessed One say that "matter and the other *skandhas* are not self"?<sup>26</sup>

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The *pudgala*, you say, is attained by the eye consciousness. Is this consciousness generated by color and shape, or by the *pudgala*, or both? In the first hypothesis, one cannot maintain that this eye consciousness perceives the *pudgala*, because the *pudgala* is not the object of this consciousness, as neither is sound. In fact, all consciousnesses that are produced having as their condition a certain thing, has this same thing as an "object as condition": now the *pudgala*, not being a condition of the eye consciousness, cannot be its object. Thus the visual consciousness does not perceive the *pudgala*.

The other two hypothesis contradict the Sūtra which says that the eye consciousness is generated by reason of two things,<sup>27</sup> namely, by the eye and by physical matter and shape. The Sūtra says "Oh Bhikṣus, eye consciousness is generated having the eye as its cause (*hetu*) and physical matter as its condition (*pratyaya* = *ālambanapratyaya*). All eye consciousness is by reason of the eye and physical matter."<sup>28</sup>

If the *pudgala* is the cause of the eye consciousness, it will be impermanent, because the Sūtra says, "All causes and all conditions that produce consciousnesses are impermanent."

[The Vātsīputrīyas:] We admit then that the *pudgala* is not an object as condition (*ālambana*) of consciousness.

[Very well; but then it is not discernible (*viññeya*), an object of *viññāna*; if it is not discernible, it is not cognizable (*jñeya*), the object of *jñāna*; if it is not cognizable, how can one prove that it exists? If one cannot prove that it exists, your system collapses.]

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You have said that the *pudgala* is discerned by the six consciousnesses.<sup>29</sup> But, if it is discerned by the eye consciousness, it will be, like physical matter and shape, different from sound; if it is discerned by the ear consciousness, it will be, like sound, different from physical matter and shape. And thus for those (consciousnesses) that follow.

Moreover, your thesis is in contradiction to the Sūtra. The Sūtra says, "Oh Brāhmin, the five organs (of sight, hearing, smell, taste, touch) have distinct spheres (*gocara*) and objects (*viṣaya*). Each one of them perceives its own sphere and its own object,<sup>30</sup> and does not perceive the sphere or the object of the others. And the mental organ perceives the spheres and the objects of the first five organs, and they have the mental organ as their refuge."<sup>31</sup> Or rather would you say, in agreement with the Sūtra, that the *pudgala* is not the object (of the five organs)? In this case, it would not be discerned (by the five organs), and you put yourself in contradiction to your own system.<sup>32</sup>

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[The Vātsīputrīyas:] (You affirm, according to the Sūtra, that each of the five organs has its own object, and you thus conclude that the *pudgala* is not the object of eye consciousness). But, according to the Sūtra, the mental organ also has its own object (and this is in contradiction to your system).<sup>33</sup> In fact, the *Ṣaṭprāṇakopama-sūtra* says, "Each of these six organs has its own object and its own sphere; each seeks after its object and its sphere."<sup>34</sup>

This Sūtra does not intend to speak of the six organs, because the five material organs and the five consciousnesses which are dependent on them do not possess the desire to see, to hear, etc.

This Sūtra understands, by organ of the eye, etc., the mental consciousness which is induced and dominated by the eye, etc.<sup>35</sup> By this fact, the mental consciousness which is isolated—that is to say, which is not induced and dominated by one of the five material organs, but solely by the mental organ—does not have any desire with regard to the spheres and objects of the five organs, but solely with regard to the *dharmāyatana*. Thus the *Śaṭprāṇakopama-sūtra* does not contradict the Sūtra previously quoted.

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The Blessed One has said, "Oh Bhikṣus, I declare to you all the *dharma*s that should be penetrated (*abhiññeya*) and known (*pariññeya*), namely: the eyes, physical matter, eye consciousness, eye contact, the sensation which is produced having as a cause eye contact, painful, pleasant, and neither painful nor pleasant sensation.... And thus following to: "the sensation which is produced having as its cause contact with the mental organ: these are what should be penetrated and known."<sup>36</sup> This text teaches us that the *dharma*s to be penetrated and comprehended are only those enumerated. The *pudgala* does not figure in this list: then it is not susceptible of being discerned (*viññeya*). In fact, the speculative consciousness (*prajñā*) by which one penetrates or comprehends, has the same sphere (*viśaya*) as does ordinary consciousness (*viññāna*).<sup>37</sup>

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The masters who maintain that the eye sees the *pudgala* should learn that the eye sees only that which is real in the *pudgala* (namely physical matter: the same for the other five organs). They fall into the abyss of harmful views in saying that it sees a soul in what is not a soul.<sup>38</sup>

Furthermore, the Buddha explained that the word *pudgala*

designates the *skandhas*. In the *Sūtra of Man*,<sup>39</sup> he said, "Supported by the eye, having physical matter for its object and condition, the visual consciousness arises: by reason of the coming together of these three, contact arises; at the same time there arises sensation, thought, the act of attention, etc."<sup>40</sup> The last four terms—*viññāna*, *vedanā*, *samīññā*, *cetanā*—are non-material *skandhas*: the eye and physical matter are the *rūpa skandhas*. Here is then all that one speaks of when one says "man." In order to express various nuances, one inserts various words, such as *sattva*, being, *nara*, man, *manuja*, born of Manu, *manava*, young man, *poṣa*, he who is nourished, *jīva*, vital principle, *jantu*, he who is born,<sup>41</sup> *pudgala*, person. One says to oneself, "My eye sees physical matter," and according to current usage, "This venerable one is of such a name, of such a family, of such a *gotra*, of such food, of such happiness and of such suffering, of such length of life; he lasts a time; he terminates his life in such a manner."<sup>42</sup> Oh Bhikṣus, know that these are only manners of speaking, words, expressions conforming to the usage of the world, because there are only impermanent things in the *pudgala*, conditioned things, born of causes and conditions, created through deeds."

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The Blessed One declares that the Sūtras of explicit meanings are the authority. The Sūtras that we have quoted are of explicit meaning; one cannot draw a divergent explanation from them.<sup>43</sup>

Moreover, 1. The Blessed One said to a Brāhmin, "When I say that all exists, I mean that there are twelve sources of consciousness (*āyatana*, i.20a)."<sup>44</sup> Then if the *pudgala*<sup>45</sup> is not included in the twelve *āyatanas*, it does not exist; and if it is included one cannot say that it is ineffable.

2. The Vātsīputriyas read a Sūtra which says, "All that is of the eye, all physical matter... the Tathāgata, Oh Bhikṣus, embraces this group (namely the twelve *āyatanas*), terms them 'all,' establishes that 'all' exists, so many *dharma*s in themselves." Now, there is no

*pudgala* there: how can one say that the *pudgala* is a real entity?

3. The *Bimbisāra-sūtra* says, "A stupid, ignorant Pṛthagjana becomes attached to words, and he imagines that there is a self; but there is no self nor things pertaining to self, but only past, present and future painful *dharma*s."<sup>46</sup>

4. The worthy (*arhatī*) Śilā<sup>47</sup> said to Māra, "You fall into wrong views by wrongly maintaining that there is a being in the group of conditions (*saṃskāras*) which is empty: the wise understand that such a being does not exist. As the name 'chariot' is given to a group of parts, the world uses the word 'being': one should know that this is a group of *skandhas*."

5. In the *Kṣudrakāgama*,<sup>48</sup> the Buddha said to the Brāhmin Daridra,<sup>49</sup> "Daridra, those who understand the Truths can deliver themselves from all fetters: through the mind (there is) defilement, and also purification through the mind.<sup>50</sup> The self, in fact, does not have the nature of a soul; it is through error that one thinks that a soul exists; there is no being (*sattva*), no soul, but only *dharma*s produced through causes: *skandhas*, sources-of-consciousness (*āyatana*), *dhātus*, that constitute the twelve limbs of existence; examined in depth, there is found to be no *pudgala* there. Seeing that the interior is empty, see that externals are empty;<sup>51</sup> and there is no ascetic who meditates on emptiness."<sup>52</sup>

6. The *Sūtra*<sup>53</sup> says, "Five calamities proceed from the belief in a soul: one creates a theory of the soul, of a being, of a vital principal; one is not distinguished from heterodox teachers; one takes a road which is not the Way; his mind does not enter into emptiness, his faith does not satisfy him, he is not established in it to his satisfaction, there is no propensity (for liberation); the Āryan qualities are not purified in him."

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[The Vātsīputrīyas:] These texts are not authoritative, because

they are not read in our tradition.

What then is the authority in your system, your tradition or the words of the Buddha? If it is your tradition, then the Buddha is not your teacher, and you are not a child of the Śākya. If it is the word of the Buddha, why do you not recognize the authority of all the words of the Buddha?

[The Vātsīputrīyas:] The texts you have quoted are not the authentic word of the Buddha,<sup>54</sup> since our tradition does not read them.

That is not a good reason.

Why is this?

Because all the other traditions read these texts,<sup>55</sup> and because these texts do not contradict any other Sūtras, nor philosophic truths.<sup>56</sup> Also, when you embolden yourself to brutally reject them by saying, "They are not authentic because we do not read them," this is only pure impudence contrary to all good sense.

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The position of the Vātsīputrīyas is moreover more inadmissible since their sect reads a Sūtra which says, "The *dharma*s are not soul and do not contain a soul."<sup>57</sup>

[The Vātsīputrīyas:] Without doubt we read this Sūtra. But the *pudgala* is neither the *dharma*s which serve as its support, nor is it different from these *dharma*s; that is why it says that "no *dharma* is a soul."

Very well; but it is taught that the *pudgala* cannot be discerned by the mental consciousness, since the Sūtra establishes clearly that the mental consciousness is produced by reason of two conditions,<sup>58</sup> the mental organ (*manas*) and the *dharma*s. Besides how would you explain the Sūtra which says, "To recognize a soul in what is not soul is a mistake of ideas, of mind, and of view"? <sup>59</sup>

[The Vātsīputrīyas:] This Sūtra says that it is a mistake to recognize a soul in that which is not a soul; it does not say that it is a mistake to recognize a soul in what is a soul.

What is understood by "that which is not a soul"? Would you say that it concerns the *skandhas*, *āyatanas* and *dhātus*? This contradicts your theory that the *pudgala* is not identical to physical matter, etc.<sup>60</sup> Further, a Sūtra says,<sup>61</sup> "Oh Bhikṣus, know that all the Brāhmins and monks that contemplate a soul, contemplate only the five *skandhas*-of-attachment." Then this (contemplation) is not a soul, because the self that one recognizes as a soul is solely the *dharma*s that are not a soul but which one falsely imagines to be a soul.<sup>62</sup> Another Sūtra<sup>63</sup> says, "All those that have remembered, do remember, or shall remember their various past existences—their remembrance is solely with regard to the five *skandhas*-of-attachment." Then there is no *pudgala* in any of this.

[The Vātsīputrīyas:] But the same Sūtra says, "In the past, I was handsome (literally: I possessed physical matter)."<sup>64</sup>

This declaration is for the purpose of indicating that the saint capable of recollecting his past lives remembers the variety of characteristics of his series of these existences. But the Buddha does not mean that he sees a real *pudgala* possessing, in a past life, such physical matter, etc.: for to think such is to fall into *satkāyadr̥ṣṭi*. Or rather, if such is the meaning of this sentence, then its sole purpose is to reject it as non-authentic.<sup>65</sup> We conclude that the Sūtra, insofar as it attributes the possession of physical matter, etc., to a soul, has in view "a self of designation", as one speaks of a pile which, being only an accumulation, has no unity; or of a current of water which being only an accumulation, has no unity; or of a current of water which, being only a succession (of waters), has no permanence.<sup>66</sup>

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[The Vātsīputrīyas:<sup>67</sup>] The Blessed One would then not be



omniscient, since the mind and mental states are not capable of knowing all the *dharmas*, seeing that mind and mental states change, arising and perishing from moment to moment. Omniscience can belong only to a soul, a *pudgala*.

We would reply that the *pudgala* would be eternal if it does not perish when the mind perishes: a thesis which contradicts your theory of a *pudgala* about which one can only say that it is eternal or non-eternal. We do not say (as do the Mahāsāṃghikas) that the Buddha is omniscient in the sense that he knows all the *dharmas* at one and the same time:<sup>68</sup> "Buddha" designates a certain series: to this series there belongs this unique ability that, by a single act of modulating his mind, he immediately produces an exact consciousness of the object relative to which a desire for knowing has arisen: one then calls this series "Omniscient." One moment of thought is not capable of knowing everything. On this point, there is a verse: "As fire, by the capacity of its series, burns all, so too does the Omniscient One—but not by a universal, simultaneous knowledge."<sup>69</sup>

[The Vātsīputrīyas:] How do you prove that (the word "Omniscient" should be understood as a series, and not as a particular self of universal knowledge)?

It is spoken of in the Scriptures, on the subject of the Buddhas of the past, present and future. For example the verse: "Buddhas of the past, Buddhas of the future, and Buddhas of the present destroy the sorrows of many."<sup>70</sup> But, in your system, the *skandhas* of existence belong to the three periods of time, but not the *pudgala*.

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[The Vātsīputrīyas:] If the term *pudgala* only designates the five *skandhas*-of-attachment, how can the Blessed One say, "Oh Bhikṣus, I shall explain to you the burden, the taking up of the burden, the laying down of the burden, and the bearer of the burden."<sup>71</sup>

Why may it not be explained in these terms?

[The Vātsīputriyas:] Because, if the *pudgala* is only a name given to the *skandhas*, it cannot be the bearer of a burden.

Why not?

Simply because it is unheard of.

Do not speak then of an ineffable *pudgala*. No one has ever ascertained the existence of an ineffable thing. And moreover you will have to account for the other statements of the Sūtra that thirst (or desire) is the taking up of the burden: as thirst is a *skandha*, the "burden" (is too), and it is unheard of that a burden takes itself up. The "taking up of the burden" is included within the *skandhas*, and so too the bearer of the burden. These are the *skandhas* that the Blessed One designated by the name of "*pudgala*, the bearer of the burden," as one sees in the explanation given a little farther on in the same Sūtra.

After having said that the burden is the five *skandhas*-of-attachment, that the taking up of the burden is thirst, and that the laying down of the burden is the abandoning of thirst, it is said that the bearer of the burden is the *pudgala*; but fearing that one understands the *pudgala* inexactly, as an eternal, ineffable, real entity, he explains, "(It is only to conform to the use of this world that one says:) This venerable one, of such a name, of such a *gotra*," and the rest (as in the *Sūtra on Man*, above), in order that one might well know that the *pudgala* is effable, impermanent, and without a unique nature.<sup>72</sup> The five *skandhas*-of-attachment are painful in their nature: they receive then the name of "burden"; each of the former moments of the series attract each of the latter moments: it receives then the name of "bearer of the burden."<sup>73</sup> The *pudgala* is then not an entity.

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[The Vātsīputriyas:] The *pudgala* exists [as an entity,] as the

Sūtra says, "To say that apparitional beings<sup>74</sup> do not exist is a false view."<sup>75</sup>

Who denies the existence of apparitional beings? We admit the existence of these beings in the sense that the Blessed One understands them. For him, "apparitional beings" designates a series of *skandhas* (the series of five *skandhas* of an intermediary being), susceptible of going to another world without the intervention of a womb, an egg, or of moisture forms of birth. To negate the existence of an apparitional being so defined, is a false view, because this type of series of *skandhas* truly exists.

If you maintain that the negation of the *pudgala* is false, you will have to say how this false view is given up. It cannot be given up by Seeing, nor by Meditation, for on the one hand, the *pudgala* is not included within the Truths, and, on the other hand, false views are not given up by Meditation but by Seeing.<sup>76</sup>

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[The Vātsīputriyas:] But a Sūtra says, "A *pudgala* arises (*utpadyate*) in this world..."<sup>77</sup> Now this does not refer to the five *skandhas*, but to an entity.

Such is not the meaning of the Sūtra that only metaphorically designates as a unit that which exists only as a complex; as the world speaks of a grain of hemp, or a grain of rice, or of a heap, or a word.<sup>78</sup> Further, since the Sūtra attributes an arising to the *pudgala*, it is therefore conditioned (*samśkrta*).

[The Vātsīputriyas:] When it refers to the *pudgala*, the word "to arise" does not have the same meaning as when one speaks of the *skandhas* arising. For the *skandhas*, to arise means to exist after having been non-existent. One says that the *pudgala* arises because, at that moment, it takes on different *skandhas*<sup>79</sup> (for example the *manas* of a human instead of the *manas* of an animal). As one says in the world, when a certain person acquires a certain knowledge, that a sacrificer, or a grammarian is born; when a

layman takes on certain characteristics, one says that a *bhikṣu*, a monk of a certain sect is born: one does not mean by these expressions that there has really been a birth of a sacrificer, or a monk. And again in the same way, through the acquisition of a certain trait, one says: an old man is born, a sick person comes into being.

This explanation of the phrase, "A *pudgala* arises in this world" has been condemned by the Blessed One. In the *Paramārthaśūnyata-sūtra*,<sup>80</sup> the Blessed One said, "There is action; there is result;<sup>81</sup> but, besides the causal production of the *dharma*s (which give the impression of a permanent agent), one does not maintain the existence of an agent which abandons these *skandha*s and which takes up other *skandha*s."<sup>82</sup> And in the *Phālguna-sūtra*: "I do not say that there has been one who takes."<sup>83</sup> There is then no *pudgala* that gives up or takes up the *skandha*s.

Nevertheless, let us examine your examples: "A sacrificer is born." What is the nature of that which became a sacrificer? Would you say that a "soul" became a sacrificer? But you have to precisely prove the existence of a "soul." Would you say that it is a series of minds and mental states? But minds and mental states appear from instant to instant after having been non-existent<sup>84</sup> and they are not capable of abandoning and grasping. Would you say that it is the body (the organ of sense)? The same difficulty holds. Notice then that the knowledge the acquisition of which by a so-called person makes him a sacrificer, differs from this person: it would be then, by a legitimate comparison, that the *skandha*s acquired by a *pudgala* differ from the *pudgala*; and this goes against your definition of a *pudgala*. As for the example of an old man and a sick person, there is a succession of different bodies: to hold that an old man is the transformation of a young man is the Sāṃkhya thesis of transformation (*pariṇāma*), a thesis already refuted.<sup>85</sup> Then your examples are without value. And if you say that the *skandha*s arise, but that the *pudgala* does not arise, it follows that the latter differs from the *skandha*s and is eternal. You maintain again that the *skandha*s are five in number, but that the *pudgala* is one: this is to again recognize that the *pudgala*

differs from the *skandhas*.

[The Vātsīputriyas:] Your position is totally parallel (to ours), since you say that the primary elements, earth, etc., are four; but that secondary matter (*upādāyarūpa*)—color, for example—is one; but that, nevertheless, secondary matter does not differ from the primary elements.<sup>86</sup>

This objection does not go against us, but only against the teachers who say that secondary matter is the four elements.<sup>87</sup> But, to adopt the opinion that you wrongly attribute to us, we say that in the manner that secondary matter is made up of the four elements, in that way the five *skandhas* constitute the *pudgala*.

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[The Vātsīputriyas:] If the *pudgala* is only a word serving to designate the five *skandhas*, why did the Buddha not declare that the vital principal (*jīva*) is the body?<sup>88</sup>

Because the Buddha takes into consideration the intention (*āśaya*) of whomever asks him questions. The person who asks this question of the Buddha understood by *jīva*, not a being, a simple designation of the *skandhas*, but a person, a real living entity; and he was thinking of this person when he asked if the *jīva* is identical to the body or different from the body. Now this *jīva* does not absolutely exist; and so the Buddha only maintained that it is neither identical to nor different from the body, and then the Blessed One condemned the two answers. In like manner one cannot say that the hairs of a tortoise are hard or soft.<sup>89</sup>

The ancient masters have already explained this difficulty. There was once a venerable one named Nāgasena, possessor of the three knowledges (*vidyās*), the six higher knowledges (*abhiññās*), and the eight liberations (*vimokṣas*). At that time, the King of Kalinga went up to him and said, "I have come with the intention of clearing up my doubts. But monks are verbose:<sup>90</sup> shall we agree

that you answer plainly to the questions that I ask?" Nāgasena accepted his request and the King asked, "Is the vital principal identical to the body or different from the body?" "To this question," said Nāgasena, "there are no grounds for answer." "Haven't we agreed that you shall answer plainly? Why speak off the point and not answer?" "I wish to ask the King concerning a doubt. But kings are verbose: shall we agree that the King answers plainly to the question that I shall ask?" The King consented and Nāgasena asked, "Do the mangos in the King's palace give sweet fruit or bitter fruit?" And the King answered him, "There are no mango trees within my palace." Nāgasena protested as the King had protested, saying, "Haven't we made an agreement? Why speak off the point and not answer?" "But," said the King, "as there are no mangos in my palace, how could there be any sweet or bitter fruits?" "In the same way, Oh King, the vital principal does not exist: one cannot then answer your question and say that it is identical to the body or different from the body."<sup>91</sup>

[The Vātsīputriyas:] But, if the *pudgala* does not exist, why didn't the Blessed One answer that the *jīva* absolutely does not exist?

Because he took into consideration the intention of the questioner, that questioning on the *jīva* may be with the idea that the *jīva* is a series of *skandhas*. If the Blessed One answered that the *jīva* absolutely does not exist, the questioner would have fallen into false views. Furthermore, as the questioner was not capable of understanding "dependent origination" (*pratītyasamutpāda*), he was not a fit receptacle for the Good Law: the Blessed One then did not tell him that the *jīva* exists except by way of designation.

The explanation that we have given here is the same that the Blessed One formulated: "Ānanda, the wandering monk Vatsagotra came to me to ask a question thusly: 'Is there, or is there not a soul (*ātman*)?' I did not answer him. In fact, to answer that there is a soul is to contradict the truth of things, because no *dharma* is a soul nor has any relationship with a soul; and if I had answered that there is no soul, I would have increased the folly of Vatsagotra,

for he would have thought: 'I had a soul, but this soul does not now exist.'<sup>92</sup> For, in comparison to the folly of the belief in the existence of a soul, this second folly is graver. Whoever believes in the soul falls into the extreme view of eternity; whoever believes that the soul does not exist falls into the extreme view of annihilation. Thoughtless error, heavy error<sup>93</sup>...", and so on. It has been said:<sup>94</sup>

1. Taking into consideration the injury that heresy does and, also, the falling off of good deeds, the Buddhas teach the Law in the manner in which a tigress carries its young.<sup>95</sup>

2. Those who believe in the reality of the soul are torn by the teeth of heresy; those who do not recognize the conventional self let their good actions fall away, and perish.<sup>96</sup>

And again:

1. Since a real *jīva* does not exist, the Buddha does not say that the *jīva* is identical or non-identical; he does not say any more than that the *jīva* does not really exist, fearing that one would only negate the conventional *jīva*.<sup>97</sup>

2. Series of *skandhas*, actions, and the results of actions are what are termed *jīva*: if the Buddha were to negate the *jīva*, he would negate actions and their results.

3. And if the Buddha does not say that the so-called *jīva* is in the *skandhas*, it is because he sees that the questioner is not capable of tolerating the teaching of emptiness.

4. It is then because of the state of mind of Vatsa that the Buddha, asked if there was a soul, yes or no, did not answer. But if the soul were to exist, why wouldn't he have answered that it exists?<sup>98</sup>

The Buddha did not answer four questions relative to the eternity of the world (*loka*):<sup>99</sup> again this is because he took into consideration the intention of the questioner. If such a person understands *loka* to be a soul (*ātman*), the four alternatives are incorrect, since the soul does not absolutely exist. If he understands *loka* to be transmigration or *saṃsāra*, the four alternatives are

incorrect: if transmigration is eternal, no one could obtain Nirvāṇa; if it is not eternal, all would obtain Nirvana by spontaneous annihilation, and not through effort: if it is both eternal and non-eternal, some would never obtain Nirvāṇa, whereas others would obtain it spontaneously; finally, to say that *loka*, in the sense of *saṃsāra*, is neither eternal nor non-eternal, is to say that beings both would and would not obtain Nirvāṇa: a contradiction in terms. In fact, Nirvāṇa is possible through the Way; then no categorical response is acceptable. In the same way the Buddha did not answer the Nirgranthaśrāvaka who held a bird in his hand and asked if this bird was dead or alive.<sup>100</sup>

The four questions as to whether the world is infinite, namely if it has an end or not, has the same sense as the questions relative to the eternity of the world,<sup>101</sup> and present the same defect.

How do we know that "the infinity of the world" should be understood in this sense? The wandering monk Uktika,<sup>102</sup> after having asked the Buddha about infinity, resorted to a ruse in order to repeat his question and asked, "Does the whole world obtain deliverance through the Way, or only a part of the world?"<sup>103</sup> The elder Ānanda then said to him, "You have already posed this question, Uktika. Why do you repeat it by changing the terms?"

If the Blessed One did not explain concerning the four questions relative to the existence of the Tathāgata after death, this is again because he took into account the intentions of the questioner. Such a person understood the Tathāgata to be a "soul" liberated from the defilements.

We ask in our turn those who hold to a "soul:" The Blessed One, according to you, declared that the *puḍgala* exists, indescribable: why did he not declare that the Tathāgata exists after death?

If [the Vātsīputrīyas] answer that the Buddha kept silent on this point because he feared that the disciple, by admitting the survival of a *puḍgala* named Tathāgata, would fall into the view of eternity, we would then ask why the Blessed One predicted to Maitreya, "In the ages to come, you will be a Tathāgata, an Arhat, a



Samyaksambuddha;”<sup>104</sup> and speaking of one of his deceased disciples, he said, “He is at present reborn in such a place.”<sup>105</sup> Are not these discourses defiled by the opinion of permanence?

If [the Vātsīputrīyas] answer that the Blessed One does not say anything concerning the deceased Tathāgata because, seeing at first the *pudgala*, he now no longer sees the *pudgala* once it has attained Nirvāṇa; it is then through ignorance that the Tathāgata does not make any declaration concerning the deceased Tathāgata, and to speak thus is to deny the omniscience of the Master. Rather one should believe that if the Blessed One abstains from all declarations, it is because the “soul” that the questioner alluded to in speaking of the Tathāgata does not absolutely exist. If [the Vātsīputrīyas] say that the Blessed One sees the *pudgala*, which is in Nirvāṇa, but that he still does not make a statement on this subject; and that the *pudgala* exists but is not, at the same time, an object of a statement of the Blessed One, we then conclude that [the Vātsīputrīyas] admit that the *pudgala* is permanent.

If [the Vātsīputrīyas] say that “whether the Blessed One does or does not see the *pudgala*” is indescribable, they then proceed to say that all is indescribable, and that one can only say that the Blessed One is omniscient or non-omniscient.

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[The Vātsīputrīyas:] The *pudgala* really exists, as it is said, that “To say that I really, truly do not have an *ātman*”<sup>106</sup> is an incorrect opinion.”

This is not a proof, for it is also said that it is an incorrect opinion to affirm the existence of an *ātman*.<sup>107</sup> Scholars of the Abhidharma think that a belief in the existence of an *ātman* and a belief in its non-existence are two extreme opinions, as they identify them with the two branches of “the opinion that consists in believing in extremes.” This doctrine is certain, as it is formulated in the *Vatsagotrā-sūtra*, “Ānanda, those who affirm a

soul fall into the extreme of the belief in permanence; those who deny a soul fall into the extreme of the belief in annihilation..."<sup>108</sup>

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[The Vātsīputriyas]: If the *pudgala* does not exist, what is it that wanders in *saṃsāra*? In fact, one can only allow that *saṃsāra* itself wanders. Further the Blessed One has said, "Beings misled by ignorance, bound by thirst, wander here and there, either among beings in hell, among animals, among *pretas*, humans, or the gods; thus for a long time they experience all suffering."<sup>109</sup>

How does the *pudgala* wander in *saṃsāra*? Would you say that this wandering consists in abandoning old *skandhas* and in taking up new *skandhas*? But we have shown that this explanation is inadmissible. A good explanation is simple: one says that when a flame burns a field it travels, although they be only moments of flame, because it constitutes a series; in the same way the harmony of the *skandhas* which is constantly repeated receives, metaphorically, the name of being; supported by thirst, the series of *skandhas* travels in *saṃsāra*.

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[The Vātsīputriyas]: If only the *skandhas* exist, we do not see how one can explain these words of the Blessed One, "In the past, I was the teacher Sunetra."<sup>110</sup> In fact, in the hypothesis of the existence of the individual *skandhas* metaphorically termed "soul," past *skandhas* are not the same as present *skandhas*, and so the Blessed One cannot express himself in this manner.

But what is the thing that the Blessed One calls "soul"? The *pudgala*, you would say: then, since the "soul" is permanent, a past "soul" is identical with a present "soul". For us, when the Blessed One said, "I was the teacher Sunetra," he teaches us that the *skandhas* that constitute his present "soul" formed part of the

same series as the *skandhas* that constituted Sunetra. In the same way one says, "This fire has been burning here."

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You affirm the existence of a real soul: we hold that only the Buddhas, Tathāgatas would see it (because it is subtle). But if the Buddhas see a soul, they would produce a firm belief in a soul; from this belief in a soul there would be produced among them a belief in things pertaining to a soul; from these two beliefs there would be produced among them affection for the soul and for things pertaining to a soul. The Blessed One said in fact that "whosoever believes in a soul, believes in things pertaining to a soul; believing in things pertaining to a soul, they become attached to the *skandhas* as they form a soul and things pertaining to a soul." There would be then *satkāyadr̥ṣṭi* among the Buddhas; they would be bound by affection for a soul and for things pertaining to a soul; and they would be very far from liberation.

[The Vātsīputrīyas]: Affection is not produced with regard to a soul. We explain: when one recognizes a soul in what is not the soul, as do the non-Buddhists, one feels affection for this pretended soul; but, when one sees the soul in that which is truly the soul, namely the ineffable *pudgala*, as do the Buddhas, no affection is produced with regard to the soul.

This statement has no support. The Vātsīputrīyas, without any shadow of reason, introduce the sickness of heresy into the teachings of the Master. Whereas there are those who admit an ineffable *pudgala*, others deny the existence of all the *dharmas*;<sup>111</sup> non-Buddhists imagine a soul apart from all other substances. All these doctrines are wrong and present the same flaw in that they do not lead to liberation.

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If the soul does not absolutely exist,<sup>112</sup> how can a mind—which perishes as soon as it is generated—be capable of remembering an object perceived a long time before? How is it able to recognize an object similar to what it has formerly perceived?

Memory and recognition are generated immediately, in a series, from a certain type of mind, when this type of mind arises from the idea of object already perceived and which one calls "object of the memory."

[Now then, let us first examine memory.]

What is the type of mind from whence memory immediately shoots up?

We answer: It's a certain mind (*citta-viśeṣa*), bent towards the object of memory, a mind in which one finds ideas related to that thing or resembling that thing, or even "resolutions" of a certain nature, etc.; with the condition however that the power that this mind possesses to produce memory is not paralysed by a psychosomatic change arising from sickness, from grief, from mental trouble, or the disturbing influence of magic formulas, etc.<sup>113</sup>

1. It is necessary that a bending of the mind be produced, an act of attention, towards the object; 2. it is necessary that the mind involves an idea resembling the object, in the case where one remembers by reason of resemblance (for example, I remember fire perceived a long time ago because the idea of fire is placed in my mind by the sight of present fire); 3. or it is necessary that the mind involves an idea in relation to the object, in the case where one remembers without there being resemblance (for example, I remember fire because the idea of smoke is placed in my mind by the sight of smoke); 4. or it is necessary that the mind involves a *pranidhāna*, or resolution, an *abhyāsa*, or habit (for example, the resolution has been placed in the mental series, "I shall remember this at such a time"); 5. also when it is of this nature—that is to say, when it presents the characteristic 1. and one of the characteristics 2 - 4—if the thought does not proceed from the idea of the object of memory—that is to say, if the mind so envisaged is

not produced in a series where the idea of a certain object has been placed by perception, if this mind does not proceed from this idea—the mind cannot produce memory; 6. when it is not of this nature, even though it proceeds from an idea of the object of memory, it cannot produce memory.

[The Vātsīputrīyas:] How can one mind see and another mind remember? It is contrary that Yajñadatta remembers an object that Devadatta has seen.

That is right. There is no connection between Devadatta and Yajñadatta: Their minds are not in the relationship of cause and effect, as is the case for minds which form series. Indeed, we do not say that one mind sees an object and that another mind remembers this object, because these two minds belong to the same series. We say that one past mind, bearing a certain object, brings about the existence of another mind, the present mind, capable of remembering this object. In other words, a mind of memory is generated from a mind of seeing, as fruit is generated from the seed through the force of the last stage of the transformation of the series. This point has been clarified. Memory is generated after recognition.

[The Vātsīputrīyas:] In the absence of a soul, who remembers?<sup>114</sup>

[Vasubandhu:] What do you understand by “to remember”?

[The Vātsīputrīyas:] To grasp an object by the memory.

[Vasubandhu:] Does “to grasp” differ from memory? [The Vātsīputrīyas:] Memory is the agent of the action “to grasp.”<sup>115</sup>

[Vasubandhu:] We have explained what is the agent of this action: it is the cause of memory, namely a certain type of mind.

[The Vātsīputrīyas:] But, if it is only a certain type of mind that is the cause of memory, how can one say that Caitra remembers?

[Vasubandhu:] One gives the name Caitra to a series; a mind of memory is generated, in this series, from a mind of seeing, and by reason of this fact one says that Caitra remembers.

[The Vātsīputrīyas:] In the absence of a soul, whose is the

memory?

[Vasubandhu:] What is the sense of the genitive "whose"?  
[The Vātsīputrīyas:] This genitive designates its master.

[Vasubandhu:] Explain by an example how you understand that someone is the master of memory. [The Vātsīputrīyas:] As Caitra is the master of the cow.

[Vasubandhu:] In what is Caitra the master of the cow?

[The Vātsīputrīyas:] In that he directs and employs the cow as he pleases.

[Vasubandhu:] To what then is the memory directed and employed by a master, for whom you search with great pains.

[The Vātsīputrīyas:] It is directed and employed on the object that one wants to remember (that is to say, it is employed on remembering).

[Vasubandhu:] To what purpose?

[The Vātsīputrīyas:] For the purpose of memory.

[Vasubandhu:] What idle talk! I direct and employ a certain thing with a view to the same thing! Explain to me then how memory is employed: do you want to say that one transmits it to a certain place? Do you want to say that one causes it to be produced?

[The Vātsīputrīyas:] Memory does not die out; it is then not transmitted. One causes it to be produced.

[Vasubandhu:] What you call "master" is then simply the cause, and what you call "subject" is simply the result. In fact the cause, by its command, operates the result; it is then "master"; and the result, in that it is subordinate to the cause at the moment of its arising, is called "subject." Since the cause suffices as master, why require a self to which you could attribute memory? Memory belongs to whatever causes memory. Complexes of *saṃskāras*, or the five *skandhas* forming a homogeneous series, are called

"Caitra" and "cow." One says that the Caitra-series possesses the cow-series, because the Caitra-series is the cause of the geographic displacement and the various changes of the cow-series. There is not there any one, real entity "Caitra," nor another entity called "cow;" there is not, for the Caitra-series, any quality of owner or master outside of its quality of cause.

[As with memory, so too with recognition.]

We would answer, *mutatis mutandis*, to the questions: "Who knows? To whom do we attribute consciousness?" and to other similar questions, "What feels, what makes ideas?" as we have responded to the questions, "What remembers? To whom do we attribute memory and recognition?"

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Certain scholars<sup>116</sup> say that the soul (*ātman*) exists, as existence (*bhāva*) depends on an existing being (*bhavitar*), as the walking of Devadatta depends on Devadatta. Walking is an action, and Devadatta is the active being. In the same way consciousness (*vijñāna*) and all action depends on a "base of support" (*āśraya*), "one who knows (*vijñatar*)," the agent.

We would ask what it is that they understand by "Devadatta." If they regard Devadatta as a real individual, we have discussed and refuted this theory. Devadatta is an imaginary individual and not an individual entity; Devadatta is only the name that one gives to the series of *saṃskāras*. In the sense that one could say that such a Devadatta walks, in the same sense we can say that Devadatta knows.

How does Devadatta walk? "Devadatta" is only a homogeneous series of *saṃskāras*, moments of existence replaced without interruption and roughly similar one to another. The foolish see within this series an entity which would be the cause of the action that this series generates in a different place, the cause by the efficacy of which the successive moments of the existence of the

body of Devadatta is produced in different places. In fact, the walking of Devadatta is simply the act of the generation of the series which is the body in different locations; the cause of this action—that is to say, the previous moment of the series—receives the name of “walker.”

It is in this sense that we say that Devadatta walks, in order to designate a walk totally similar to that of fire or sound: the fire walks, the sound walks, that is to say, the fire-series and the sound-series go being generated from one place to another.<sup>117</sup> In the same way the world says that Devadatta knows (*viñānāti*) because the complex which is Devadatta is the cause of consciousness (*viñāna*); and, in order to conform to received usage, the Āryans also express themselves in this manner, which is inexact.

Nevertheless, a Sūtra says that the consciousness knows the object. What does the *viñāna* do with regard to the object?

Nothing: It is simply produced resembling the object. In the same way that fruit, although it does nothing,<sup>118</sup> is said to correspond to the seed, to reproduce the seed, because it is produced resembling the seed;<sup>119</sup> the *viñāna* also, although it accomplishes no action with regard to the object, is said to know the object because it is produced resembling the object. This resemblance of the *viñāna* consists in that it has the appearance of the object.<sup>120</sup> By reason of this appearance one says that the *viñāna* knows the object which is only one of its causes; the organ is also a cause of the *viñāna*, but one does not say that the *viñāna* knows the organ because the *viñāna* does not take the aspect of the organ. This manner of speaking, “The *viñāna* knows,” is again justified from another point of view. Several successive moments of *viñāna* are produced with regard to the object: the previous moment is the cause of the later moment; the *viñāna* is then a cause of *viñāna*; it is then called the agent (*kartṛ*) since it is the cause.<sup>121</sup> one attributes the action of knowing to it as one attributes the action of ringing to a bell or the action of moving to a lamp.

One says that the lamp moves: here is what the moving of the lamp consists of: “lamp” is the name metaphorically attributed to



the uninterrupted series of moments of the flame that one sees, wrongly, as a “unity.” When one of these successive moments is produced in place other than that of the preceeding moment, one says that the lamp moves. But there does not exist a “mover” apart from and distinct from the moment of the fire. In the same way, one metaphorically designates a series of minds by *viññāna*, or consciousness: when one moment of mind is produced relative to a new object, one says that the consciousness knows this object. One says that the consciousness knows: the same way that one says that “physical matter” exists (*bhavati*), is produced, lasts, without there being an “exister” (*bhavitr*), a “producer,” “anything that lasts,” distinct from what is called its existence, etc.<sup>122</sup>

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[The Sāṃkhya]:<sup>123</sup> If the later consciousness (*viññāna*) is produced from the previous consciousness and not from a soul (*ātman*), why is not the later consciousness always similar to the previous consciousness? Why do not consciousnesses succeed themselves in a determined order, as do shoots, stems, leaves, etc?<sup>124</sup>

Answer to the first question: Because everything that is produced through causes, i.e., conditioned things, presents the character of “transformation” (*sthityanyathātvā*); this is the nature of conditioned things: in the series which they form, the latter should differ from the former. If it were otherwise, the ascetics who had entered into meditation—in which the body and the consciousness are always produced in the same way, the successive moments of the series being identical—would not spontaneously get out of meditation.<sup>125</sup>

As for the second difficulty: The production of consciousness is subject to a certain order. If a certain mind should be produced after a certain mind, it will be produced after this mind.<sup>126</sup> On the other hand, certain consciousnesses present a partial similarity that obliges them to produce one another by reason of the

particular character of their *gotra*.<sup>127</sup> For example, upon the idea of "woman"<sup>128</sup> there immediately arises the idea (among ascetics) of detesting the body of a woman, but (among non-ascetics) there immediately arises the idea of a wife or daughter. Later, following upon the differing development of the succession of ideas, the idea of a woman reproduces itself.<sup>129</sup> This second idea of a woman would have the capacity of producing the idea of the detesting the body, or the idea of wife or daughter, accordingly as it has either of these ideas as *gotra*, that is to say, as a seed; but not when it does not have a similar *gotra*.<sup>130</sup> And again innumerable different ideas can succeed the idea of a woman, and that by reason of multiple causes. Among all these ideas, those are produced first which are the most "numerous"—having formed a series in the past—the most "alive," the "nearest"—as these ideas have most strongly impregnated the mental series:<sup>131</sup> except, of course, at the moment when other ideas are produced by a certain state of the body or by certain external objects.

But why does the consciousness, which has most strongly impregnated the mental series, not continue to so impregnate it without ceasing?

Because, as we have said, transformation is a characteristic of the mental series; this characteristic is eminently propitious for the production of the result of ideas, having medium or small force.

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Here we have, in an incomplete and summary manner, explained the causes and conditions of the order of the reciprocal generation of different categories of consciousness. Complete knowledge of these causes belongs only to the Buddha. Thus the stanza says, "All the types of causes which go to produce a peacock feather—no one can know them except the Omniscient Ones: this is the power of knowledge of Omniscience (to know a thing completely)."<sup>132</sup> The causes of the variety of material things are

difficult to know; how much more difficult is it to penetrate the variety of causes and conditions of non-material things, minds and mental states!<sup>133</sup>

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A certain non-Buddhist<sup>134</sup> believes that the consciousnesses are produced from a soul. One can successfully oppose his objections vainly formulated against us: Why isn't the latter consciousness the same as the former consciousness? Why aren't the consciousnesses produced in a fixed order?

This master<sup>135</sup> explains the variety of consciousnesses and the absence of a fixed rule to their arising by the diversity that he terms "the conjunction (*saṃyoga*) of the soul with the *manas* or mental organ." This explanation does not hold. No type of conjunction is proved.<sup>136</sup>

On the other hand, reasoning shows that two things in conjunction should be delimited, that is to say, localized in distinct places. Judge if your definition of conjunction, "possession succeeding upon non-possession,"<sup>137</sup> admits of the same conclusion as our reasoning, namely that the soul is delimited, localized.<sup>138</sup> (Whence it follows that the soul is not omnipresent: and this contradicts your system.)<sup>139</sup>

It also results from your definition of conjunction that, when the *manas* moves—it goes to such and such a part of the body—the soul should also move to make a place for it (and then it is not *niṣkriyā*, or exempt from action) or that it should perish (and then it is not *nitya*, or eternal).<sup>140</sup> On the other hand, you cannot admit that the soul is in partial conjunction<sup>141</sup> with the *manas*, for, according to you, the soul is an entity without parts.

<sup>142</sup>Supposing also that there is conjunction between the permanent soul and an always immoveable *manas*, how does one explain the diversity of the conjunction (necessary for the diversity of consciousness)? Would you say that this diversity results from

the diversity of the *buddhi*, "intelligence," (which is a quality, *guṇa*, of the soul)? But the *buddhi* presents the same difficulty as the *manas*: if the soul is not diversified, how would *buddhi* be diversified? Would you say that the diversity of the *buddhi* results from the diversity of conjunction of the soul and the *manas*, a variety which results from the *saṁskāras*? In this hypothesis, the soul serves no purpose; why not say that the diversity of consciousnesses comes from the consciousness itself which is diversified by the *saṁskāras*? The soul does not intervene in the beginning of consciousness, and to say that consciousness comes from a soul is to be as the charlatan who, although drugs suffice for the healing of the sickness, pronounces some magic syllables: Phu! Svāhā!

You would say without doubt that consciousness, like the *saṁskāras*, exists through conjunction with the soul: but this is merely a statement without proof. But you would insist, saying that the soul is its support (*āśraya*): please explain by an example the nature of the relationship of the support and the supported. The consciousness (that the *saṁskāras* influence) and the *saṁskāras* themselves are not a picture or a fruit that the soul supports as a wall supports a picture or as a plate supports fruit: in fact, on the one hand, one would have to admit physical contact (between the soul and the thought-*saṁskāras*); and, on the other hand, the picture and the fruit exist independently of the wall and the plate.

You say that you do not understand the support that the soul furnishes the thought-*saṁskāras* to be thus: the soul supports thought-*saṁskāras* as earth supports smell, color, taste, touch. We rejoice in this comparison, for it establishes the non-existence of the soul. In the same way that one cannot perceive the existence of earth independently of smell, etc.—what one designates by the word "earth" is only a collection of smells, etc.—in this same way there is no soul existing apart from the thought-*saṁskāras*: the thought-*saṁskāras* are what one designates by the word "soul". Who can obtain the idea of "earth" apart from smell, etc.?

But, if "earth" does not exist apart from smells, etc., how can one qualify certain smells, etc., as properties of earth: "The smell,

the taste of earth?"

One expresses oneself thus with a view to distinguishing: in other words, one wants to indicate that certain smells, tastes, etc., are what is termed "earth," not other smells or tastes which are termed "water." In the same way, one designates a certain thing as being "the body of a wooden statue" indicating by that that it is of wood, and not of baked clay.<sup>143</sup>

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If the soul produces the consciousnesses by reason of the variety of the *saṁskāras*, why doesn't it produce all the consciousnesses at the same time?

[The Vaiśeṣikas:] Because the strongest *saṁskāra* opposes the weakest *saṁskāra* in producing its result. And if the strongest *saṁskāra* does not constantly produce its result, it is for the same reason that you have given in explaining the traces (*vāsanās*) abandoned by the consciousness in the series: we think that the *saṁskāras* are not permanent and are subject to change.

But then of what use is a soul? Diverse consciousnesses would be generated by the diverse force of the *saṁskāras*, since there is no difference in nature between your *saṁskāras* and our *vāsanās*.<sup>144</sup>

[The Vaiśeṣikas:] One cannot do without the soul. Memory, the *saṁskāras*, etc.,<sup>145</sup> are things (*padārthas*) that are termed "attributes" (*guṇa*); these attributes must of necessity have for their substratum, or support (*āśraya*), a "substance" (*dravya*) and, among the nine substances (earth, etc.), the soul, since it is inadmissible that memory and the other mental qualities would have for their substratum any substance other than the soul (namely earth, etc.), since the soul alone is intelligent.

But this system of substances and attributes is not proved. [You say that memory, the *saṁskāras*, etc., are things included within the category of "attributes" and are not substances: we do

not agree.] We think that all that exists is "substance." A Sūtra says that "the result of the religious life consists of six substances (namely the five pure *skandhas* and *pratisamkhyānirodha*)." It is false that memory, etc., has the soul as its substratum: as we have criticized the idea of a substratum.

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[The Vaiśeṣikas say:] If the soul does not really exist, what is the result of actions?

The result of actions is that the "soul" experiences pleasure or pain.

[The Vaiśeṣikas:] What do you understand by "soul"?

It is what one speaks of when one says "I," the object of the idea of self, the *skandhas* or object.

How do we know this?

It is to the series of *skandhas*, that is, to the *skandhas*—to one's body, to one's sensations—that one becomes attached; as the idea of "I" is generated with reference to the idea of white and other similar ideas: in the world does one not say "I am white, black, old, young, thin, fat"? What one regards as white, etc.,—evidently the *skandha* of physical matter—is also what one regards as "I." The "soul" imagined by the Vaiśeṣika is different from white, etc.; but, in fact, the idea of "I" is relative only to those *skandhas* and not to any "self" imagined [by the Vaiśeṣikas.]

[The Vaiśeṣikas:] It is through metaphor that the world designates the body by the word "I" when it says, "I am white." This metaphor is justified because the body is in the service of the true "I."

So be it: one metaphorically calls what is used by the "I" by the

name of "I." But one cannot explain in this manner the consciousness that says "I" (with regard to the body, sensations, consciousness, etc.)<sup>146</sup>

[The Vaiśeṣikas:] If the idea of "I" has the body for an object why is not this idea generated with regard to the bodies of others?

Because there is no relationship between the series of *skandhas* of others and this idea. When the body or mind is in a relationship with the idea of "I"—a cause and effect relationship—this idea is generated with regard to this body and this mind; but not with regard to the *skandhas* of others. The habit of considering "my" series as "I" exists in "my" series from the very beginning.

[The Vaiśeṣikas:] If there is no "soul," to what do you attribute the idea of "I"?

We have answered this question when we explained what memory belongs to.<sup>147</sup> The master of memory is simply the cause of memory. It is the same for the idea of "I".

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[The Vaiśeṣikas:] What is the cause of the idea of "I"? It is a defiled mind, impregnated by this same idea of self, and having for its object the series of minds wherein it is produced.

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[The Vaiśeṣikas:] In the absence of a soul, who has suffering or pleasure? The *āśraya* within which suffering or pleasure is produced, in the same way that a tree is said to have flowers, a forest has fruit. And the *āśraya* in question may belong to any of the six internal *āyatana*s, the eye-*āyatana*, etc.<sup>148</sup> This has been explained [in the First Chapter.]

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[The Vaiśeṣikas:] In the absence of a soul, who is it that does a deed? Who is it that tastes the result?

What do you understand by "he who does," and "he who tastes"?

[The Vaiśeṣikas:] We understand this to be the agent, the taster.<sup>149</sup>

Your explanation is merely words and explains nothing. The Vaiśeṣikas here invoke the doctrine of the Grammarians.<sup>150</sup> They say that the agent is he who has independent power, that the taster is he who enjoys the result of the deed. In the world he who possesses independent power with regard to a certain action is considered as the "agent"; for example, Devadatta, having the power to bathe himself, to seat himself, and to walk, is called "the bather," "the sitter," and "the walker."

This definition is not admissible. What does one understand by "Devadatta"? If one understands this to be a soul, the example is not proved, and is without value. If one understands a certain coming together or complex of *skandhas*, then Devadatta is indeed an agent, but he is not "an independent agent and creator of deeds." Action is threefold<sup>151</sup> —body, speech, and mind. That which produces bodily action is the wind agitating the body; the body and the mind depend again on their causes and conditions; these causes and conditions depend in their turn on their causes and conditions: in all this there is not any simple entity, a "producer" dependent on itself or, in other words, independent. For everything that exists depends on causes and conditions. The soul as you understand it does not depend on causes and conditions; furthermore, it does nothing: it is not then an independent agent. Nowhere does anyone maintain the existence of an agent conforming to your definition, "He who possesses independent power is termed an agent." That which one terms the agent of a certain action, is, amongst all its causes, that which is the principal cause of this action. Now even if we were to define the agent in this manner, your "self" is not an agent.



What is in fact the principal cause of the beginning of bodily action?<sup>152</sup> Memory causes a wish or a desire for action to surge up; from desire there proceeds imagination; from imagination there proceeds effort which gives rise to a vapor which sets in motion bodily action. In this process, what activity do the Vaiśeṣikas attribute to a "soul"? This soul is certainly not an agent of bodily action. Vocal and mental action can be explained in the same way.

You say that the "soul" enjoys the result, because it discerns or knows the result: but soul has no role in discerning the result; it does not figure among the causes that produce consciousnesses as we have shown above.<sup>153</sup>

[The Vaiśeṣikas:] If there is no soul, why do good and bad deeds not die out in "non-living things"?<sup>154</sup>

Because the "non-assumed" elements, not constitutive of living beings, are not susceptible of serving as a support for sensation, etc. Only the six internal organs are the support of sensation, etc.; not a soul, as we have proved.

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[The Vaiśeṣikas:] In the absence of a soul, how can past action, which is now destroyed, produce a future result?

To this question we would answer now by asking how, even though a soul were to exist, destroyed action can have the force of producing the result. The Vaiśeṣikas would have it that the result is generated from merit or from demerit (*dharmā, adharma*),<sup>155</sup> inherent attributes (*guṇa*) of the soul, supported by the soul: but we have criticized the idea of a substratum or "support"<sup>156</sup> and shown that it is not rational.

According to the Buddhists, future results are not generated from destroyed action;<sup>157</sup> results are generated from the last moment in the evolution of a series that has its origin in action.<sup>158</sup>

How does fruit proceed from the seed? One says, in the world,

that fruit is generated from a seed. But in speaking in this way, one does not intend to affirm that the fruit is generated from a destroyed seed, nor that the fruit arises immediately after the seed (that is to say, from a "dying" seed). In fact, the fruit is generated from the last moment in the evolution of a series that has its origin in the seed. The seed successively produces a sprout, a stalk, a leaf, and finally the flower that brings the fruit into existence. If one says that the seed produces the fruit, this is because the seed, through a series of intermediate stages, projects in the flower the efficacy of producing the fruit. If the efficacy of producing the fruit, efficacy which is found in the flower, did not have the seed as its original cause (as its antecedent, or *pūrvā*), then the flower would not have produced a fruit resembling its seed. In the same way, one says that although a result is generated from an action, it is not generated from destroyed action, nor is it generated immediately after the action: it is generated from an ultimate moment in the evolution of a series issuing from the action.

Series, or *saṃtāna*, means the material and mental *skandhas* succeeding without interruption in a row which has an action for its original cause. The successive moments of this row are different: there is then evolution (*pariṇāma*), or transformation of the series. The last moment of this evolution possesses a special efficacy, the capacity of immediately producing the result: it is distinguished, in this regard, from other moments; it is then termed *viśeṣa*, or the ultimate moment of evolution.

For example, when the mind at death is "associated with attachment," it possesses the capacity of producing a new existence. This mind has for its antecedents many actions of all types: nevertheless, it is the efficacy projected by a weighty action that informs (or qualifies) the last thought; in the absence of heavy action, the efficacy is projected by near action; in its absence by habitual action; and in its absence by the action of a previous life.<sup>159</sup> There is a stanza (by Rāhula) which says, "Weighty action, near action, habitual action, old action: these four die in this order."<sup>160</sup>

There is good reason to establish a distinction between a

retributive result and an out-flowing result. When the force that produces a retributive result has given forth its result, this force is abolished.<sup>161</sup> But the force that produces an out-flowing result, a force projected by a "cause similar to its effect" (*sabhāgahetu*), does not perish by the production of its result; when it is defiled, this force perishes by the force of its opposition; when it is not defiled, it perishes through Nirvāṇa, which involves the abolition of the series, both physical matter and mind.

Why is a new retribution not generated from the retributive result as a new fruit is generated from the fruit of a tree, or as a fruit is also a seed?<sup>162</sup>

But a new fruit is not generated from the fruit seed.<sup>163</sup>

From what is the new fruit generated, if not from the evolution of a new series?

The first fruit seed, encountering the conditions necessary for evolution (water, earth, etc.), finally produces the ultimate moment in the evolution—from whence the new fruit arises. When it produces the shoot, the old fruit takes the name of seed. If, before any evolution, (before any germinative processes), it is given the name of seed, it is an anticipative name, a name justified by the similarity of the ungerminated seed and the germinated seed. In the same way here: when a retributive result<sup>164</sup> (body, etc.) encounters the conditions that produce good and bad—good teaching, bad teaching—it gives rise to minds that will be rewarded, namely bad or good-impure minds. From these minds there proceeds an evolution of their series that results in an ultimate state from whence a new retribution will emerge. This is not generated under any other conditions. The example then justifies our thesis.

One can also take into account the nature of the retribution by another example (that shows that a new retribution does not necessarily succeed the first). If one colors the flower of a lemon tree with milk, a certain evolution of the plant series will take place which will cause the seed of the new fruit to be red. But the

red seed, once planted, will not generate another red seed. In the same way the retribution of an action does not produce a new retribution.<sup>165</sup>

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I have, to the measure of my intelligence, taught in a summary and outline manner the result of actions. Only the Buddhas know how the series, impregnated by actions diverse in nature and in force, evolve from such a type that, arriving at such a stage, it produces a certain result: There is a stanza, "Action, the impression caused by the action, the active entry of this impression, the result that results from it—no one if not a Buddha, knows all this in the totality of its processes."<sup>166</sup>

Seeing then, by a path of demonstrative arguments, that the doctrine of the teaching of the Buddhas is perfect, and rejecting the opinion of those blind through bad views and through bad steps, the non-blind see.<sup>167</sup>

In fact, this doctrine of the non-existence of the soul is the only road to the city of Nirvāṇa; although illumined by the rays which are the words of this sun which is the Tathāgata, although followed by thousands of saints, and although it is without obstacles, it is not seen by persons of weak insight.<sup>168</sup>

In this book one will find but a summary indication for the use of intelligent persons: but poison (of belief in soul), once within a wound, will spread itself everywhere by its own force.<sup>169</sup>

## Preliminary Notes

I. According to the Tibetan, this is "The Ninth Chapter (*Kośasthānam*) entitled An Exposition of the Refutation of the Pudgala" (*gañ zag dgag pa bstan pa zhes bya ba mdzod kyi gnas dgu pa*; *Mdo* 64, Cordier, p. 394).

But the colophon to the Eighth Chapter says that the *Abhidharmakośabhāṣyam* ends with that Chapter: *chos mñon pa'i mdzod kyi bśad pa las sñoms par 'jug pa bstan pa zhes bya ba mdzod kyi gnas brgyad pa'o* / *chos mñon pa'i mdzod 'di rdzogs so* = *Abhidharmakośabhāṣye Samāpattinirdeśo nāma aṣṭamaṁ kośasthānam* / *samāpto 'yam Abhidharma-kośaḥ*.

According to the colophon of the *Vyākhyā*, this Chapter is "The Determination of the Pudgala, An Annex to the Eighth Chapter" (*aṣṭamakośasthānasambaddha eva pudgalavinīś-cayaḥ*). And according to the *Bhāṣyam*, this section is entitled "The Treatise on the Refutation of the Pudgala," *Pudgalapratīśedha-prakaraṇa* (iv.73, p. 650), or "The Refutation of the Doctrine of the Soul," *Ātmavādapratīśedha* (v.27, p. 818).

To certain commentators, the last of the final stanzas of the "Ninth Chapter" refer to the entire *Kośabhāṣyam*; for others,— who appear to be the wiser,—to only the Refutation of the Pudgala.

Evidently the *Abhidharmakośabhāṣyam*, an exposition of the doctrines of the Abhidharma according to the principles of the Vaibhāṣikas, ends with *Kārikā* viii.40. The stanzas which follow on p. 1355 are the conclusion of the work.

The so-called "Ninth Chapter" does not contain any *Kārikās*; here Vasubandhu teaches many doctrines which are common to both the Vaibhāṣikas and the Sautrāntikas, but, on the whole, he adopts the position of the Sautrāntikas.

Samghabhadra does not concern himself with the Ninth Chapter.

The stanzas on p. 1355 are, we would say, the conclusion of the *Abhidharmakośabhāṣyam*. Hsüan-tsang (*TD* 29, p. 152b17-22) places them at the end of his Chapter VIII; Paramārtha, on the contrary, makes them the preamble to Chapter IX (*TD* 29, p. 304a15-20). Thus it is evident that the "Treatise on the Refutation of the Pudgala" importunes,—if one can say this,—these stanzas (see below note 1).

II. Vasubandhu refutes the doctrines of the Pudgalavādins, "followers of the *pudgala*," and he calls them *Vātsīputriyas*. The *Vyākhyā* explains: *vātsīputriyā āryasāmmatīyāḥ* (see note 8). The sources (Vasumitra, Bhavya, and Vinītadeva) do not agree in their enumeration of the sects or schools which admit a *pudgala*. One will find some information in *Nirvāṇa*, 1926, p. 34, and in a version of Vasumitra's treatise which I hope to publish in the *Collection de Matériaux pour l'étude du Bouddhisme*, by J. Przyluski.

The question is asked: are the Pudgalavādins Buddhists? Yaśomitra is very plain in this: *na hi Vātsīputriyāṇāṁ muktīr neṣyate bauddhatvāt*; "one does not pretend that they cannot obtain deliverance, for they are Buddhists" (see note 8). An opposite opinion, however, is given on p. 1338 (Hsüan-tsang, *TD* 29, p. 156c25) and in the final stanzas where the Pudgalavādins are included among the *Tīrthikas*.

Lcan-skya hu-thug-hu expresses a very widespread opinion when he says that the five schools of the Mahāśālistīyas, admitting that the "self" is a "person", cannot be considered as Buddhist (Wassilief, p. 270).

Śāntideva (*Bodhicaryāvatāra*, ix.60) says, "The followers of a *pudgala*, internal non-believers (*antaścārātīrthika*), admit a soul (*ātman*) called *pudgala*, and say that this soul is neither identical to the *skandhas*, nor different from them: otherwise one can see that they enter into the philosophy of the non-Buddhists." They call themselves Buddhists, *saugatammanya*.

Candrakīrti (*Madhyamakāvatāra*, vi.86) says, "The non-Buddhists (*tīrthikas*) speak of a *pudgala*, etc.; seeing that the *pudgala* and other supposed principles do not have any activity,

the Buddha declared that the mind alone is active. To say 'non-Buddhists' is a general manner of speaking; for there are Buddhists ('some sectarians of this Dharma') who admit a *pudgala*. From a certain point of view (*nam pa gcig tu na = ekaprakāreṇa*), they are not Buddhists, for, like non-Buddhists, they do not correctly understand the sense of the Teaching. Consequently, this designation ('non-Buddhist') is extended to all. It says in the *Ratnāvalī*, 'The world, with the Sāmkhyas, Vaiśeṣikas, and Nirgranthas, believe in a *pudgala*, in the *skandhas*, and in other principles. We would ask them if they teach the means to pass beyond being and non-being (or rather: if they pass beyond the affirmation and the negation of existence)... 'Consequently one must consider those who believe in the *skandhas*, etc., as persons outside (*bāhya*).' (We see that the "followers of the *skandhas*" or *skandhavādins*, that is to say, the orthodox Buddhists of the Hinayāna, are, like the followers of the *pudgala*, excluded from the Good Law).

III. The classical etymology of *pudgala* is represented in the Tibetan by *gañ zag* and in the Chinese (*Mahāvuyutpatti*, 207.7) as *ts'eng-chien* 增損 : *pūryati galati ca* (*Sarvadarśana*; and Sarad Candra Das and S. Lévi's translation of the *Sūtrālamkāra*, p. 259: "through which demerit increases and merit decreases, and vice versa").

Buddhaghosa, in his *Visuddhimagga*, 310, has: *pun ti uccati nīrayo tasmīn galantīti puggalā*.

The *Abhisamayālamkāra* 19.2, commenting on the *Aṣṭasāhasrikā*, proposes the etymology: *punaḥ punar gatiṣu liyate*, which is reflected in the translation of Hsüan-tsang "which, on many occasions, takes up the *gatis*."

The *Aṣṭasāhasrikā* has: *sattvadṛṣṭyā jīvadṛṣṭyā pudgaladṛṣṭyā bhavadṛṣṭyā vibhavadṛṣṭyā ucchedadṛṣṭyā śātvatadṛṣṭyā svakāyadṛṣṭyā etāsām evamādyānām dṛṣṭīnām prabhāṇāya dharmaṁ deśayisyatīti tenārthena bodhisattvo mahāsattva ity ucyate*.

The *Abhisamayālamkāra* has: *tatrāhamkāraḍhānārthena ātmā / ābho 'hamkāra etasmīn iti kṛtvā / śīdanāmakatvāt sattvāḥ / jīvitendriyavaśena nikāyasabbhāge parisamāpti vartata iti jīvāḥ / punaḥ punar gatiṣu liyāti iti pudgalāḥ / avirbhavatīti bhavāḥ / tirobbhavatīti vibhavaḥ / nāstīdānīm abhūt pūrvam ity ucchedaḥ (prasajyate) asti yac (ca) svabhāvena na tan nāstīti śātvataḥ / ātmāmīyākāreṇa pañcaskandhadarśanam / evamādyānām dṛṣṭīnām ...*

We would remark that the etymology of *sattva* is as we have encountered in *Kośa*, v.7, note 27 (reading of S. Lévi). Buddhaghosa gets *sattva* from *sakta*, etc.

On other synonyms of *pudgala*, see above p. 1324 (Hsüan-tsang, TD 29, p. 154a28)

IV. Among the sources which must be compared with the present Treatise on the Refutation of the *Pudgala*, we would point out: 1. *Kathāvatthu*, i.1 (translation of S. Z. Aung and Mrs. Rhys Davids, *Points of Controversy*); 2. *Vijñānakāya*, TD 26, number 1539, Chap. II (translated and analyzed in *Études Asiatiques*, 1925, i. p. 358-376); 3. *Sāmmiṭṭiyasāstra*, TD 32, number 1649, an analysis of which will be published in the *Collection de Matériaux pour l'étude du Bouddhisme*, by Przyluski). Vasubandhu quotes part of these last two treatises: some indications on this subject are in the notes of our translation.

On the other hand, the *Sūtrālamkāra* of Asaṅga (edited and translated by S. Lévi, 1907-1911), xviii.92-103, depends to a certain extent on the *Treatise* of Vasubandhu. We would mention for example the discussion of the relationship between fire and fuel, the use of the same scriptural texts, and the demonstration of the inactivity of the *pudgala*.

A dependence by Śāntideva (for example, the *Bodhicaryāvatāra* ix.73) and his commentator on Vasubandhu is no less evident.

Vasubandhu's observations on the inability of an *ātan* to transmigrate, and on the relationship of fire and fuel, is seen in the *Madhyamakāsūtras*, x.14 and xvi.2.

All of the refutation to the *pudgala* in Candrakīrti's *Madhyamakāvatāra*, is, one could say, inspired by Vasubandhu; for example vi.146: "Some maintain the real existence of a *pudgala*, of which one cannot say that it is identical to the *skandhas* or different from them, permanent or impermanent; it is known by the six *vijñānas*, and it is the object of the idea

of self."

In his small *Treatise*, Vasubandhu refutes not only the followers of the *pudgala*, —Buddhists albeit heretical, —but also some non-Buddhists, the Grammarians, the Sāmkhyas, and the Vaiśeṣikas. He quotes Vārṣaganya (v.27, translation, p. 818). He has some details concerning the positions of these non-Buddhists which can be compared with the traditions preserved by Paramārtha and K'uei-chi (Takakusu, *T'oung-pao*, 1904, and *JRAS*, 1905).

V. An argument of the Pudgalavādins, not mentioned by Vasubandhu, is pointed out in the *Vyākhyā* for i.42 (p. 85 of the Petrograd edition). The Sūtra says: *caḥṣuṣā rūpāṇi dṛṣṭvā na nimittagrāhī* . . . "Having seen visibles by the eye, he does not conceive any affection. . ." As the eye sees, so too the *pudgala* sees by the eye (*yasmāc caḥṣuḥ paśyati tasmāt pudgalaś caḥṣuṣā paśyati*; see below note 38).

The *Vyākhyā* for iii.43a admits the two hypotheses that Vasubandhu attributes death (*cyuti*) to the mind (*citta*), or to the *pudgala*.

Buddhaghosa, in his *Manorathapūraṇī*, i.95, explains in terms of which Vasubandhu would approve, why the Bhagavat speaks of a *pudgala*, even though a *pudgala* does not exist.

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1. Vyākhyā: *kiṃ khalv ato'nyatra mokṣo nāstīti / na pramādyān mumukṣubhir iti vacanād ayam eva mokṣopāyo nāsty ato'nyo mokṣopāyas tad atra mokṣukāmaibh pramādo na kartavya ity arthad uktaṃ ācāryeṇa / codakabh pṛcchati kiṃ khalv ata iti vistarab.*

Vasubandhu said, "Those who desire deliverance should apply themselves without weakness to this doctrine." That is to say, "There is no deliverance outside of this doctrine." The opponent answers, "Is there then no deliverance . . ."

2. On this subject, see the stanza of the Stotrakāra (=Māṛceta, Takakusu, *I-tsing*, p. 156):

*sāhaṃkāre manasi na samam yāti janmaprabandho  
nāhaṃkāras calati bhṛdayād ātmaḍṛṣṭau ca satyām /  
anyabh śāstā jagati ca yato nāsti nairātmyavādi  
nānyas tasmād upasamavidhes tvaṃmatād asti mārgab //*

"As long as the mind (*manas*= *citta*) is accompanied by the idea of "I," the series of rebirths cannot be stopped; the idea of "I" is not removed from the heart as long as there exists the view that there is a soul (*ātman*). Now there is not in the world any master who teaches the non-existence of the soul (*nairātmya-vādin*), except you. Thus, there is not, outside of your doctrine, any other path of deliverance."

Compare the stanzas attributed to the Ācārya, *Bodhicaryāvatārapañjikā*, 492: *yab paṣyaty ātmānam tasyāham iti śāvataḥ snehab / snehāt sukheṣu tṛṣyati tṛṣṇādoṣāms tiraskurute . . .*

The same for Candrakīrti, *Madhyamakāvatāra*, vi.120 (quoting the *Madhyamakavṛtti*, p. 340), "Seeing through, *prajñā* that all defilements and all evil (*kleśa*, *doṣa*) comes from the idea of self (*sattkāyadrṣṭi*), and taking into consideration that the object of this idea is the soul (*ātman*), the ascetic (*yogin*) denies the soul."

3. Saeki cites a commentary on the Vijñaptimātra, 2,4: a. the *asaṃskṛtas* do not exist; b. that which exists (*astī-dharma*= *bhāva*) is of three types: things known through direct perception, matter (color), the mind; things like pots and clothes (*hsien shou yung fa* 現受用法); things like the organs (*yu tso yung fa* 有作有法); c. three conceptions of the self: identical to the *skandhas*, different from the *skandhas*, neither identical or different.

4. *Āgama*, the proof from authority, is not mentioned because it is included within *anumāna*, inference.

5. Entity = *bhāva*; Hsüan-tsang translates this as *yu-fa* 有法 which calls to mind the *atthidhamma* of Buddhaghosa.

6. Vyākhyā: *pratyakṣam upalabdhīr iti pratyakṣam ity upalabdhiviseṣaṇam / pratyakṣam tad upalabdhīḥ pratyakṣata upalabdhīr ity arthab / athavā pratyakṣam pramāṇam upalabdhīr upalabhyate' mayā ity upalabdhīḥ /*

On *upalabdhī*, i. English trans. p. 74, ii. p. 205, *Sūtrālamkāra*, p. 155.

The object of the mental consciousness is defined by Yaśomitra: (*upalabdhīr*) *dharmāyatanaṣya vedanādīlakṣaṇasya yogivijñāyasya ca* = the perception of the *dharmāyatana* (that is to say, *vedanā*, etc.) and of things which the Yogins perceive. (In fact the mental consciousness of the Yogins knows the minds and mental states of others, vii.11).

But how can perception (*upalabdhī*) by the *manas* be *pratyakṣam*, immediate or direct perception? In fact the *manas* which has just arisen is known by the *manas* which immediately follows (i.17): *manasā ca kiṃ pratyakṣam upalabdhīḥ / samanantarānirudham hi mano'nantarotpannena manovijñānena vijñāyate*. There is a difficulty here. Some other masters (the Sautrāntikas) think that the mind knows itself: the subject and the object of the consciousness are both directly perceived: *raktaṃ vā dviṣṭam vā sukhasamprayuktam vā duḥkhasamprayuktam vā* (iv. 49) *ity evamādi svasamvedyatayā (pratyakṣam) ity apare / tad etad dvividham pratyakṣam grāhyagatam grahakagatam vā*.

7. Vyākhyā: *maharṣiprañidhijñānaparicchinnavād asty eva cakṣurādīkam indriyam*



*cakṣurvijñānādikāraṇam iti / sarveṣāṃ avivāḍac ca.*

See i. 9c (*Vyākhyā*, p. 25), 44a. On *prāṇidhijñāna*, see vii.37.

8. *Vyākhyā: Vātsīputriyā Āryasāṃmatīyāḥ / anena vitathātmadr̥ṣṭīmiviṣṭatvalakṣaṇo hetur anākāntika iti darśayati / na hi vātsīputriyāṇāṃ mokṣo neṣyate baudbhatvāt / atha vā prāṇpakṣavirodhaḥ / sāpakṣālo'yaṃ pakṣo nāsty ātmā ity anena darśayati.*

From two things, one. The Vātsīputriyas believe in a certain type of real self: now they are Buddhists, and one cannot deny that they can obtain deliverance: thus the author is wrong in saying that a false conception of the self creates an obstacle to deliverance. Or rather the thesis which denies the self is false.

On the *avaktavyatā* of the *pudgala*, see, for example, *Madhyamakavṛtti*, 283.

9. Color, sound, etc., are distinct things (*bhinnalakṣaṇa*); milk, a house, and an army are complexes of colors, tastes, odors, and tangibles, of straw and wood, of elephants, horses, and chariots, not of separate things, *bhāvāntara*: milk is nothing other than color, etc.

Compare *Sūtrālamkāra*, xviii.92: *prajñāptiyastitayā vācyāḥ pudgalo dravyato na tu.*

10. Yaśomitra quotes this stanza of Dharmakīrti:

*varṣātāpābhyāṃ kiṃ vyomnaś carmany asti tayoh phalam /  
carmopamaś cet so'nityaḥ khatulyaś ced asatphalaḥ //*

*Sarvadarśana*, p. 10 (1858); *Nyāyavārttika*, ii.1, 5, Tātparya, 164; in *Ślokovārttika*: *khatulyaś ced asatsamāḥ; Naiśkarmyasiddhi*, ii. 60, etc.

If the *pudgala* is unconditioned (*asaṃskṛta*), eternal, unmodifiable, it is like space, it is like not existing. There exists only that which is "capable of action" (*arthakriyā*), that which is momentary (*yat sat tat kṣaṇikam*): a thesis of the Sautrāntikas; for the Vaibhāṣikas, the *asaṃskṛtas* (space and the two *nirodhas*, i. 5c) exist.

11. For the Vātsīputriya, as for Vasubandhu, the *skandhas* of the past and of the future do not exist. The meaning of the expressions *ādhyātmika* (or *abhyantara*) and *upatta* is explained in *Kośa*, i. 34d, 39a-b.

12. The *Vyākhyā* attributes this paragraph to the author, not to the Vātsīputriyas.

13. For certain commentators, *idhyate* and *dahyate* are equivalent.

14. *Aṣṭagravyaka* (ii.22): the four *mahābhūtas* or primary substances, and the four *upādāyarūpas*, from *rūpa* (the visible) to the tangible.

15. The thing on fire (*pradīpta*) is a complex; it is at one and the same time burner (fire) and the thing burning (*indhana*): in fact this thing is constituted of four elementary substances (above note 13), and one of these substances which is "heat" is the fire.

16. Earth and water are different, for their *lakṣaṇas* differ; the same holds for the burner and the thing burned.

17. *Vyākhyā: upādāyarthas tu vaktavya iti/ ananyatvād ity abhiprāyaḥ.* We must give the word *upādāya* an explanation that justifies the thesis that fire and fuel are not different.

18. The fuel is made up of three *mahābhūtas*, and fire is its *uṣmalakṣaṇa*, the fourth *mahābhūta*. They arise at the same time, like two horns.

19. One should understand: *indhanam upādāya* = *indhanam āśṛitya*: the fire takes its support from the fuel. Or rather the meaning is that of *sahabhāva*, co-existence, or *sahotpāda*, co-arising.

20. Paramārtha: If he says, "that which is hot by its nature (the fire) is called hot. The object in question (fuel), although different from fire which is hot by nature, becomes hot through its association with that which is hot by its nature," we conclude that it is not incorrect to say that fire and fuel differ.

21. See below note 32. See the *Sāṃmitīyanikāyaśāstra*.

22. Stcherbatski, p. 832, differs.

23. This is perhaps better translated, "is ascertained."

24. The Chinese *fen-pieh kuan* 分別觀 maintains the version "to discern." "By reason of physical matter, etc., which the eye perceives (as its own object), the visual consciousness "indirectly knows," "knows in second rank" the *pudgala*, because physical matter is the support (*upādāna*) of the *pudgala*. And one cannot say that the *pudgala* is physical matter.

25. In this hypothesis physical matter is not the cause of the perception of the *pudgala*: there is perception of the *pudgala* "through relationship" with physical matter.

26. Compare *Saṃyutta*, iv. 166.

27. Not by reason of three.

28. *Saṃyukta*, TD 2, p. 57c18. The *Vyākhyā* quotes the first words, *caḥsur bhikṣo hetur (caḥsurvijñānotpādāya / rūpaṃ bhikṣo pratyayaḥ . . .)*.

*Vyākhyā*: *hetur āsannaḥ pratyayaḥ / viprakṛṣṭas tu pratyaya eva // janako hetuḥ pratyayas tv ālambanamātram ity apare / paryāyāv etāv ity apare*. See ii. 61c, vii. 13a, p. 1112, 1113.

29. Saeki has a note (fol. 14a) on the Dārṣṭāntika theory of the six *vijñānas*.

30. Thus none of them "perceive" a *pudgala*.

31. *Madhyama*, TD 1, p. 791b11-17: *svakaṃ gocaraviśayaṃ pratyānubhavanti / nānyad anyasya gocaraviśayaṃ pratyānubhavati / manaś caisāṃ pratisaraṇam*.

*Saṃyutta*, v.218: *pañcimāni brāhmaṇa indriyāni nānāvisayāni nānāgocarāni nāññamaññassa gocaraviśayaṃ paccanubhonti / katamāni pañca . . . / imesaṃ kho pañcannaṃ indriyānaṃ nānāvisayānaṃ nānāgocarānaṃ na aññamaññassa gocaraviśayaṃ paccanubhontānaṃ mano paṭisaraṇaṃ mano ca nesaṃ gocaraviśayaṃ paccanubhotti*.

On the formula *manaś caisāṃ pratisaraṇam*, the *Vyākhyā* says: *anusaṅghedam uktam / nedam udāharaṇam / tathāpi tu manaś caisāṃ indriyānaṃ pratisaraṇam iti tadepekṣānindriyāni vijñānotpatta vijñānotpattau kāraṇaṃ bhavantiṭy arthaḥ*.

*Vibhāṣā*, TD 27, p. 449a16. The Dārṣṭāntikas say, "The objects of the six *vijñānakāyas*, *caḥsurvijñāna*, etc., are distinct." They say, "The *manovijñāna* has a distinct object; it does not bear on the objects of the five *vijñānas*, *caḥsurvijñāna*, etc." They say, "The six *vijñānas* bear solely on external objects; they do not bear on the internal (*ādhyātṃika*, see p. 1313) organs, nor on the *vijñāna*." In order to refute this opinion, it is explained that the first five *vijñānas* have distinct objects, bearing solely on external objects, not bearing on the organs and the *vijñāna*; but that the *manovijñāna* has an object common to the five *vijñānas* and also a different object, which bears on the internal organs and also on the *vijñāna*. It has been explained, *Kośa*, i.48a, that among the eighteen *dhātus*, thirteen are the object of a single *manovijñāna* with the exclusion of visible things, of sounds, etc., which are also the object of the *caḥsurvijñāna*, etc.

32. The words in parentheses are according to Hsüan-tsang.

*Bhāṣya* and *Vyākhyā*: *na vā pudgalo viśaya iti (yadi sūtraṃ pramāṇikriyate) / na ced viśayaḥ (yadi na kasya cid vijñānasya viśayaḥ) na tarhi vijñeyah (tataś ca pañcavidham jñeyam iti svasiddhānto bādhyate)* (above note 21).

Paramārtha: Or rather the *pudgala* is not an object. If it is not an object, it is not discerned by the six consciousnesses.

33. In spite of the Sūtra, you affirm that the object of the mental consciousness is general; so too, in spite of the Sūtra, you affirm that the *pudgala* is discernible by the visual consciousness.

34. *Samyukta*, TD 2, p. 313a15, *Ekottara*, TD 2, p. 723c18; *Samyutta*, iv.198. *ṣaḍ imānindriyāṇi nānāgocarāṇi . . . kukkurapakṣiṣṭṛgālasūmārasarpamarkaṭāḥ ṣaṭ prāṇakāḥ kena cid baddhā madhye granthīm kṛtvotṣṣṭāḥ / te svakām svakām gocaravisayam ākāṅkṣante / grāmākāśaśmanodakavalmikavanā kāṅkṣaṇād evaṃ ṣaḍ imānindriyāṇi . . .*

35. The desire (*ākāṅkṣaṇa*) to see, to understand, etc., is certainly foreign to the organs of sight, hearing, etc., which are material (*rūpasvabhāvāt*), and also to the visual consciousness, the auditory consciousness, etc., which are non-imaginative (*nirvikalpakatvāt*). This refers, under the name of the organ of the eye (*cakṣurindriya*), to the mental consciousness led by the predominating, specifying action of this organ, *tadādhipatyādhyāhṛta*.

36. *Samyutta*, iv.29: *sabbam bhikkhave abhiññāpariññeyyam / kim ca bhikkhave abhiññāpariññeyyam / rūpaṃ bhikkhave abhiññāpariññeyyam cakkhuvijñānam . . .*

37. Compare Vasumitra, *Sectes*, on *jñeya*, *vijñeya*, and *abhiññeya*.

38. This according to Hsüan-tsang. Paramārtha: "The master who believes in a self says, 'I see the *pudgala* through ( 由 *yu* by means of) the eye'; as he sees that there is a self in ( 於 *yü*) that which is not a self, he falls . . ."

The *Bhāṣyam* has the word *anātmanā* which the *Vyākhyā* glosses as *cakṣuṣā cakṣurvijñānenety arthab*. Thus one should understand the *yu* of Paramārtha in the sense of the instrumental, "As he sees, through that which is not a self—that is to say through the eye, through the visual consciousness . . ."

Stcherbatski: "This idea of yours that there is an existing self who through the opening of his eyes contemplates other selves, this idea it is which is called Wrong Personalism."

One can draw the conclusion from the formula *cakṣuṣā rūpāṇi dṛṣṭvā* that the *pudgala* sees through the eyes; *Vyākhyā* ad i.42, p. 117 (Petrograd ed.). *Cullaniiddesa* 234 has: *cakkhunā puriso ālokatī rūpagatāni*. See below n. 67.

39. According to Paramārtha and Hsüan-tsang. Stcherbatski, "In the Ajita-sermon."

40. Version of Paramārtha. This is the well-known text: *cakṣuḥ pratītya rūpāṇi cotpadyate cakṣurvijñānam / trayāṇāṃ saṃnipātaḥ sparsaḥ / sabajātā vedanā saṃjñā cetanā . . .* See iii. 32a-b.

41. Paramārtha transcribes; Hsüan-tsang: *nara=na ramāte, mānava-ju-t'ung* 儒童 = scholar-kumāra, *jantu*, "who is born".

A Yogācārin commentary quoted by Saeki says: *sattva*, because all the Āryans truly see that only the *dharmas* exist, no other thing; or rather because there is affection therein (*sattva* from *sakta*, as in Buddhaghosa?); *manoja* (*i-sheng* 意生), because it is constituted by the *manas* . . . *pudgala*, because it goes frequently taking up realms of rebirth without the power to be disgusted with them; *jīva*, because it presently lives through union with the *āyus* (*Kośa*, ii.45); *jantu* (*sheng* 生), because all the *dharma* which exist are endowed with arising.

Other lists contain thirteen names. Among them, *yakṣa*, *Suttanipāta*, 875.

On *sattva*, see Ledi Sadaw, *JPTS*, 1914, 133, Mrs. Rhys Davids, *Buddhist Psychology*, 1914, 83. We have seen that *sattva* signifies "that which perishes," v. n. 27 and above p. 1319.

42. See below note 71.

43. The Sūtra of the four "authorities," "supports," is quoted in the *Vyākhyā* ad ii.46, English trans. p. 241: *catvārimāṇi bhikṣavaḥ pratisaraṇāṇi / katamāṇi catvāri / dharmāḥ pratisaraṇam na pudgalaḥ / arthab pratisaraṇam na vyāñjanam / nītārtham sūtram pratisaraṇam na neyārtham / jñānam pratisaraṇam na vijñānam*.

*Mahāvīyūtpatti*, 74, where the order differs: *arthapratīsaraṇaṇa bhavitavyaṇa na*

*vyāñjanapratīsarāṇa, dharma . . . jñāna . . . nīr̥thasūtrapatīsarāṇa . . .* (Extracted from the Hsien-yang, TD 31, Tokyo, xviii.7,10a).

*Dharmasaṃgraha*, 53; *Sūtrālamkāra*, xviii.31-33; *J.As.* 1902, ii.269, *Madhyamakavṛtti*, 268, 598.

*Pratīsarāṇa, pratīsarāṇa* (*Divya*, 427.22, 176.26, where the editor translates the word as "confidence") is translated *nton pa* (confidence) and *nten-pa* (support), 依 (support), and *liang* 量 (authority).

i. *Bodhisattvabhūmi*, I.xvii.

*katham bodhisattvaś caturṣu pratīsarāṇeṣu prayujyate.*

*īha bodhisattvaḥ arthārthī parato dharmam śṛṇoti na vyāñjanābhīsaṃskārārthī / arthārthī dharmam śṛṇvan na vyāñjanārthī prakṛtyāpi vācā dharmam deśyamānam arthapratīsarāṇo bodhisattvaḥ satkṛtya śṛṇoti.*

*punar bodhisattvaḥ kālapadeśam mahāpadeśam ca* (*Dīgha*, ii. 124, etc.) *yathābhūtam prajānāti / prajānan yuktipratīsarāṇo bhavati na sthāvireṇābhijñānena vā pudgalena tathāgatena vā saṃghena vā ime dharmā bhāṣitā iti pudgalapratīsarāṇo bhavati / sa evam yuktipratīsarāṇo na pudgalapratīsarāṇas tattvārthān na vicalati aparapratyayaś ca bhavati dharmeṣu. (aparapratyaya= gzhan las šes ma yin, Madhyamakavṛtti, xxiv.8).*

*punar bodhisattvaḥ tathāgate nivṛṣṭasradhho nivṛṣṭaprasāda aikāntiko vacasy abhiprasannas tathāgatanīr̥thasūtram pratīsarati na neyārtham / nīr̥tham sūtram pratīsarann asaṃbhāryo bhavaty asmād dharmavinayāt / tatra hi neyārthasya sūtrasya nānāmukhaprakṛtārthavibhāgo 'niścitaḥ saṃdehako bhavati / sacet punar bodhisattvo nīr̥the'pi sūtre'naikāntikaḥ syād evam asau saṃbhāryaḥ syād asmād dharmavinayāt.*

*punar bodhisattvaḥ adbhigamajñāne sādarsī (?) bhavati na ca śrutacintādbarmārthaviññānamātrake / sa yad bhāvanāmayena jñānena jñātavyam na tac chakyaṃ śrutacintāviññānamātrakena viññātum itī viditvā paramagamābbhīraṇ api tathāgatabhāṣitān dharmān śrutvā na pratikṣipati nāpavadati /*

*evam . . . caturṇām prāmāṇyām prakāśitam bhāṣitayārthasya yুক্তेḥ śāstur bhāvanāmayasya cādbigamajñānasya.*

ii. *arthāḥ pratīsarāṇam . . .* A notion expressed in the *Mahāvagga*, i. 23, 4, *Majjhima*, ii.240; developed in the *Laṅkā*: *arthapratīsarāṇa bhavitavyam . . .* and again *arthānūsārīnā bhavitavyam na deśanābhilāpābhiniṣṭeṇa*. A "word" is like a finger which touches the object that one should see; one must remove the finger in order to see the object (*Laṅkā* quoted in the *Subhāṣitasamgraha*, ed. Bendall, fol. 34).

On the relation between the *attha* and the *vyāñjanas*, see *Dīgha*, iii. 127-129, *Nettipakkaraṇa*, 21.

iii. *dharmāḥ pratīsarāṇam na pudgalaḥ*. Variant: *yuktipratīsarāṇo bhavati na pudgalapratīsarāṇaḥ*.

The refuge is the truth itself, not authority whatever it may be, even the Buddha. This is the teaching of the *Majjhima*, i.265. He who says, "These *dharmas* are taught by a Sthavira, a person possessing the *abhiññā*s, the Tathāgata, or the Saṃgha," is *pudgalapratīsarāṇa*.

Do not lose sight of the teaching of the *mahāpadesas*, below note 56.

iv. A *nīr̥tha* Sūtra is a *vibhaktīr̥tha* Sūtra, "of explicit meaning"; a *neyārtha* Sūtra is of undetermined meaning, of meaning yet to be determined (*Vyākhyā* ad iii.28). iv.30, English trans. p. 614, calls for a Sūtra of explicit meaning. Vasumitra, *Sectes*.

It appears that the sole canonical text of interest here is *Āṅguttara*, i.60: to attribute to the Tathāgata that which has not been said; to not recognize as said by him that which he has said; to consider as *neyyattha* a *nītattha* Suttanta, and vice versa. (The theory of the Sūtra exact in its words but badly understood, *Dīgha*, iii. 127-128, can lead to the distinction between *nītattha* and *neyyattha* Sūtras).

*Nītattha* and *neyyattha* in the *Nettipakkaraṇa* (where the meaning conforms to the letter, *yathārutavasena nītatthabhattham*, where the meaning should be determined through reflection, *niddhāretvā gahetabhattham*); and in the *Dīpavamsa* (Oldenberg, ed., p. 36) quoted in the Introduction to the *Commentary on the Kathāvatthu* (JPTS, 1889, p. 3). "To

confuse *pariyāyabbhāsita* and *nippariyāyabbhāsita* (compare *Visuddhimagga*, 473, 499: that which should not be understood literally, and that which should be understood literally, *nītattha* and *neyyattha*; to attribute another meaning (other than the true meaning) to what has been said with a certain intention (*samādhāya bhaṇita*): thus, respecting the letter destroys the meaning; to create pseudo-Sūtras . . .”

*Atthasālinī*, 91, “We shall weigh the sense of the Sūtra that you allege . . .”

Samghabhadra, iii.25 (Tokyo xxiii.4, 33b16). According to the Sthavira, all *ārya deśanā* promulgated by the Buddha himself (*tathāgatabhāsita* = *ahaccavacana* of the *Nettipakaraṇa*, 21) is *nīrtarhasūtra*; the other Sūtras are *anīrtartha*. Samghabhadra observes initially that this definition is not found in Scripture; then it is bad reasoning: for there are Sūtras not promulgated by the Buddha which are *nīrtartha*, and vice versa. Examples follow. It is said, “It is impossible to say, if not through *abhimāna* (or “presumption”): I shall enter into the *ānimitta* without supporting myself on the void” (These words are not by the Buddha himself, yet the Sūtra is *nīrtartha*) . . .

The Schools, as we see in Wassiliev, 329, and in the *Madhyamakāvatāra*, vi. 94, are not in agreement in placing Sūtras in these two categories.

v. We have seen that, according to the *Bodhisattvabhūmi*, *adbigamajñāna* is absorption consciousness (*bhāvanāmaya*), whereas *viññāna* is consciousness obtained through hearing and reflection (*śrutacintā*).

According to the Vibhajyavādins, *jñāna* is good in and of itself; *viññāna* is good when it is associated with *jñāna* (*Kośa*, iv.8b, note 46): it can be understood that *jñāna* is “supermundane knowledge,” and that the *viññāna*, worldly knowledge, is good when it is consecutive to supermundane knowledge.

According to iv.75, an opinion of the “ancient masters,” *adbigata* (what one knows by absorption) forms part of the *viññāna*: but this refers to a worldly consciousness, a worldly absorption.

(In the *Sūtra of the Four Pratisaraṇas*, *jñāna* primarily designates the knowledge of the Āryans, *anāśravajñāna*, exactly as *prajñā* is, among all the *prajñās*—which can be *avyākṛta*, *kṛtā*, or *kusāla*,—the pure *prajñā*). See above notes 36 and 37.

Some notes in the *Madhyamakāvṛtti*, xxv, 16, p. 65, 74. *Jñāna* is distinguished from *viññāna* in the *Gīta*, iii.41, vi.8, vii.2, ix. 1, xviii.42.

44. *Samyukta*, TD 2, p. 91a27, “All, that is to say, the twelve *āyatanas*, the eye, etc.”; *Kośa*, v. trans. p. 819, *Mahāniddesa*, 133, *Samyutta*, iv.15: *sabbam vuccati dvādasāyatanāni*.

On *sarva*, *sabba*, see *Kośa*, v.27c, Warren, p. 158, Mrs. Rhys Davids’ *Points of Controversy*, 85, Stcherbatski, *Central Conception*, 5; *Nirvāṇa* (1925), p. 139.

45. Hsüan-tsang here translates *Pudgala* = *shu ch’u chu* 數取處 = “who frequently takes up rebirth,” see above note 41.

46. This Sūtra is quoted in *Kośa*, iii.28a-b. (*Cosmologie bouddhique*, p. 45).

One can compare the Sūtra quoted in *Madhyamakāvṛtti*, vi. at the beginning (*Śikṣasamuccaya*, 252, *Madhyamakāvatāra*, 217, *Bodhicaryāvatāra*, ix.73, an extract of the *Pitāputrasamāgama*): *bālo bhikṣavo* (or *mahārāja*) *śrutavān prthagjanah prajñāptim anupatītaś caksuṣā rūpāni dṛṣṭvā saumanasyasthānīyāni abhinivīṣate* . . .

The *Vyākhyā* for iii.28a explains *prajñāptim anupatīta itī yathā samjñā yathā ca vyavahāras tathānugataḥ*. (It defines *bāla*, etc.) Here we have the gloss: *yatraiva prajñāptiḥ kṛtā ātmā itī vyavahārārtham tatraivātmety abhinivīṣṭa ity arthaḥ*.

47. *Paramārtha* omits the first stanza. *Śilā*=*shih-lo* 世羅, translated as “small mountain”; without doubt the *Selā* of *Therāgāthās* 57-59 who has a conversation with *Māra*. Stanzas attributed to *Vajirā* in the *Samyutta*, i.135, trans. in the “Psalms,” p. 190 (*Kathāvatthu*, trans. p. 61, *Madhyamakāvatāra*, 246, 257).

48. Tibetan: *luñ-phran-tshegs*. Hsüan-tsang, “in the *Tso-āgama*”; *Paramārtha*, “in the

*Hsiao-āgama*" (TD 2, number 100).

49. *P'o-t'o-li* 婆陀梨 =old-beam-pear (Hsüan-tsang)= waves-cover-profit (Paramārtha); Stcherbatski reads: Bādarayana.

50. Paramārtha: Listen, Oh Bādari, (you shall obtain) the power to deliver yourself from all bonds; through them, the mind is defiled, through them also it is purified. The self does not have the nature of a self; through error, one imagines (*fen-pieh* 分別) it; there is no self, no *jantu*; only *dharma*s, cause and results . . .

*Vibhāṣā*, TD 27, p. 731b11: Through trouble and defilement (*saṃkleśa*) of the mind, the being (*sattva*) is troubled, defiled; through the purification (*vyavadāna*) of the mind, the being is purified; the two masculine and feminine organs exercise sovereignty over two things, *sattvabheda* and *sattvavikalpabheda* (see *Kośa*, ii. English trans. p. 154).

Saeki remarks: the first stanza exhorts one to the hearing of the Truths.

51. *Vibhāṣā*, TD 27, p. 37a12: *Satkāyadr̥ṣṭi* is opposed by the ten types of *sūnyatā*, *ādhyātmāsūnyatā*, etc. (See the complete lists of the *Mahāvvyutpatti*, 37= *Madhyamakāvātāra*, v.180= *Śatasāhasrikā*, 215; the *Abhisamaya* has twenty *sūnyatās*.)

Saeki refers to the *Samyuktabr̥daya*, (TD 28, p. 925b28).

52. *sūnyam ādhyātmikam paśyan paśya sūnyam bahirgatam / na vidyate so'pi kaścid yo bhāvayati sūnyatām //*

This third stanza (with the reading *paśya paśya*) is attributed to the Bhagavat without any other details in the *Madhyamakavṛtti*, p. 348. The reading *paśya paśya* is recommended by the Chinese versions: *jo kuan nei chih kung* 若觀內之空, "If you visualize that the interior is empty" (Paramārtha); *chi kuan* 既觀 (Hsüan-tsang). The *Gauḍakārikā*, very likely written under Buddhist influence, has: *tattvam ādhyātmikam dr̥ṣṭvā tattvam dr̥ṣṭvā tu bāhyataḥ* (ii. 38).

Hsüan-tsang translates the last line, "A seer capable of meditating on emptiness is not to be found." The *Vyākhyā* glosses: *yogy api nāsti yaḥ sūnyatām abhyasyati*.

53. This text (according to Stcherbatski, from the *Kṣudrāgama*) is quoted in the *Sūtrālamkāra*, xviii.101 (p. 158) as an extract from the "Pentades" (*pañcakeṣu*, Chinese: "In the Ts'eng-wu 增五 *Sūtra*= *Pañcottaṛa*?" S. Lévi): *pañcakeṣu pañcādinavā ātmopā-lambha itī deśitāḥ / ātma-dr̥ṣṭir bhavati jīva-dr̥ṣṭiḥ / nirvīṣeṣo bhavati tīrthikaḥ / unmārgapratipanno bhavati / sūnyatāyām asya cittam na praskandati na prasidati na saṃtiṣṭhate nābhimucyate / āryadharmā asya na vyavadāyante*.

These readings are confirmed by the Tibetan and the *Vyākhyā*, so close in fact that the Tibetan corresponds to an original *ātma-dr̥ṣṭir bhavati sattva-dr̥ṣṭir jīva-dr̥ṣṭiḥ*; the same for the *Vyākhyā*: *ātma-dr̥ṣṭir bhavati yāvaj jīva-dr̥ṣṭir itī prathama ādinavāḥ*. Hsüan-tsang and Paramārtha replace *jīva-dr̥ṣṭi* with "to fall into the *dr̥ṣṭigatas*". The phraseology *praskandati* . . . in the *Anguttara*, iii.246; *Dīgha*, iii.240, *Samyutta*, iii.133: . . . *me nibbāne cittam na pakkhandati na ppasidati na saṃtiṣṭhati na vimuccati* (var. *nābhimuccati*) / *paritassanā upādānam uppajjati paccadāvattati mānasam*. (The editor of the *Samyutta* punctuates after *paritassanā*).

54. *Vyākhyā*: *kenāpy adhyāropitāny etāni sūtrāṇi abhiprāyaḥ*.

a. "Sūtras promulgated by the Tathāgata (*tathāgatabhāṣita*), profound, of profound meaning, supermundane (*lokuttara*), teaching emptiness (*suññatāpaṭisaṃyutta*): they do not listen to them with faith, they do not lend them an ear, they do not recognize them as true (*aññācittam na upaṭṭhāpessanti*) . . . But the Sūtras made by poets (*kavikata*), poetical (*kāveyya*), of artistic syllable and phonemes, external (*bāhira*), promulgated by disciples (*sāvaka-bhāṣita*), these they believe . . . It is thus that the Sūtras of the first category will disappear . . ." (*Samyutta*, ii.267).

*Aṣṭasāhasrikā*, 328: *yad etat tvayedānīm śrutam naitad buddhavacanam kavikṛtam kāvyam etat / yat punar idam aham bhāṣe etad buddhabhāṣitam etad buddhavacanam*.

b. See Saṃghabhadra, Tokyo, xxiii.3, 6a, 25b (translated in *Nirvāṇa*, 1925, p. 23); *mālasaṃgītibhramṣa*, *Kośa*, iii.12d, 13a (Dreams of Kṛkin); *muktaka sūtra*, iii.4c; *apāṭha eva*, see below note 65.

Discussion on the text of the Sūtras, iii.30b, and elsewhere.

55. *Vyākhyā: Tāmrapārṇīyanīkāyādiṣu*. (The school of Taprobane is named in *Vyākhyā* ad i.17a, English trans. p. 75, note 85).

56. See the texts on the four *mahāpadesas* (*Dīgha*, ii.123, *Dialogues*, ii.133, note, *Aṅguttara*, ii.167, *Nettipakkaraṇa*, 21-22; Rhys Davids-Stede break this up as *mahā-padesa*, against the commentator of the *Netti*) and the rule, "That which is in the Sūtra . . . that which does not contradict *dharmatā*" (that is to say the *pañcacasamuppāda*, *Netti*), *Sūtrālaṃkāra*, i.10, *Bodhicaryāvatāra*, ix.42, p. 431, *Abhisamayālaṃkārarāloka*. *kālapadeśa*, above note 43.

57. *sarve dharmā anātmānaḥ* (*Saṃyukta*, TD 2, p. 66b14ff.) *Vyākhyā: na caita ātmasvabhāvāḥ na caiteṣv ātmā vidyate ity anātmānaḥ*.

*Sūtrālaṃkāra*, xviii.101 (p. 158): *dharmoddāneṣu sarve dharmā anātmāna iti deśitam*.

58. If the mental consciousness bears on the *puḍgala*, it will arise from the *puḍgala* in the quality of being its object; thus it would arise from three conditions.

59. *Aṅguttara*, ii.52; *Kośa*, v.9.

60. The thesis: *nātmā skandhāyatanadhātavaḥ*, contradicts the thesis: *no tu vaktavyāṃ rūpāṇi vā no vā* (see above note 24).

61. *Samyutta*, iii.46: *ye keci bhikkhave samaṇā vā brāhmaṇā vā anekavahitaṃ attānaṃ samanupassamaṇā samanupassanti sabbe te pañcupādānakkhandhe samanupassanti etesaṃ vā aññātaram*. Same text quoted in the *Madhyamakāvatāra*, vi. 126c-d.

62. *Vibhāṣā*, TD 27, p. 38a7. The *ātman* is supposed to be *abhinnaḥ*, *avikāraḥ*, without arising-old age-sickness-death. How is it that the Tīrthika says, "Gautama, I think that *rūpa* is *ātman* . . . ?" Why *rūpa* is not *ātman*, vii. 13a.

63. *Saṃyukta*, TD 2, p. 11b23: *(ye kecid anekavidhaṃ pūrvanivāsaṃ . . . ) imā eva pañcupādānaskandhān samanupassanti sa samanvāsamāśṛṣṭv samanupassanti samanupassanti vā*.

64. Only the *puḍgala* can be designated by the word "I," *aham*.

65. If the word "I" is understood as you say, the Buddha, when he says "I," is evidently defiled by *satkāyadrṣṭi*, "the view of personalism." This, as we know, is of some twenty points (*viṃśatikotiḥ*): *rūpaṃ ātmeti samanupaśyati / rūpavantaṃ ātmānaṃ . . . / ātmīyaṃ rūpaṃ . . . / rūpe ātmānaṃ . . .* (*Mahāvīryūtpatti* 208; *Madhyama* TD 1, p. 788a25; *Samyutta* iii.3, 16, etc.) The *Vibhāṣā* gives four examples reproduced in the *Mahāvīryūtpatti*: *svāmiṇat, ālaṃkāravat, bhṛtyavat, bhājanavat*.

66. *Vyākhyā: ekasmin kṣaṇe samavahitānāṃ bahūnāṃ rāṣiḥ / babuṣu kṣaṇeṣu samavahitānāṃ dhārā / rāṣidrṣṭāntena babuṣu dharmeṣu puḍgalaprajñaptim darśayati / dhārādrṣṭāntena babuṣu sati rūpavedanādināṃ skandhānāṃ pravāhe puḍgalaprajñaptim darśayati*. There are other examples as the word *ādi* indicates, for example, the chariot (*yānaka*).

67. Saeki quotes the *Vibhāṣā*, TD 27, p. 42c20: "As the Vātsīputrīyas say, it is the *puḍgala* that knows, not knowledge (*jñāna*)."

68. According to the commentary on the *Samyabhedha*, the Mahāsāṃghikas think that the Buddha, having cultivated his mind during numerous *kalpas*, can, in a single moment of thought, know *sarvadharmasvabhāvavaiśeṣa*.

Saeki quotes the *Vibhāṣā*, TD 27, p. 43a11, "Is there a knowledge (*jñāna*) capable of knowing all the *dharma*s? Yes, *lokasaṃvṛtijñāna* . . ." (See *Kośa*, vii.18c). The

*Samyuktabhāṣya* (see above note 51), "One says universal knowledge (*sarvajñāna*) because he knows all. By "all" one should understand the twelve *āyatanas*, their specific characteristics and their general characteristics." See vii. p. 1146 On the omniscience of the Buddha, his knowledge of the future, etc., see *Kośa* i.1, ii.62 (p. 300), vii.30, 34, p. 1146, 37a.

69. The *Buddhabhūmi*, TD 26, p. 309c9, refutes this stanza.

"Those are vain words. The *paracittajñāna* (knowledge of the mind of another), at the moment when it grasps a thing, does not grasp other things; because it does not know other things, it is not universal knowledge. The series also does not grasp (all), because it knows present being. In your system, it knows solely the general characteristics of a part of the *dhammas*. And if this is the case, it is only by metaphor that the Tathāgata is called omniscient . . ."

70. *Mahāvastu*, iii.327.

*ye cābhyatītasambuddhā ye ca buddhā hy anāgatāḥ /  
yaś cāpy etarhi sambuddho bahūnāṃ śokanāśakāḥ //*

*Udānavarga*, xxi.10, frag. Stein, *JRAS*. April, 1924. *Samyukta*, TD 2, p. 322a22, *Samyutta*, i.40, *Anguttara*, ii.21.

If the Buddha is a "self," it should enter into the fifth category, "the ineffable," distinct from the three time periods and from *asaṃskṛta* (see above p. 1318).

71. *Bhārahārasūtra* (*Sūtrālamkāra*, xviii.102) or simply the *Bhārasutta* (*Visuddhi*, 479, 512).

*bhāraṃ ca vo bhikṣavo deśayisyāmi bhārādānaṃ ca bhāranikṣepaṇaṃ ca bhārahāraṃ /  
tac chṛṇuta sādhu ca suṣṭhu ca manasikuruta bhāṣiṣye / bhāraḥ katamaḥ / pañcōpādāna-  
kandhāḥ / bhārādānaṃ katamat / tṛṣṇā paunarbbhavikī nandīrāgasahagatā tatra  
tatrābhinandini / bhāranikṣepaṇaṃ katamat / yad asyā eva tṛṣṇāyāḥ paunarbbhavikyā  
nandīrāgasahagatāyāḥ tatra tatrābhinandinyā aśeṣaprahāṇaṃ pratiniḥsargo vyantibhāvaḥ  
kṣayo virāgo nirōdho vyupasaṃsaṃgamah / bhārahārah katamaḥ / pudgala iti syād  
vacanīyaṃ yo'sāv āyuṣmān evaṃnāma evaṃjātya evaṃgotra evaṃbhāra evaṃsukhaduḥ-  
khapratīsaṃvedī evaṃdīrghāyur evaṃcīrasatthitika evaṃ āyuṣparyanta iti* (*Vyākhyā*); without doubt the text of the *Ekottara*, TD 2, p. 631c16).

In the *Samyutta*, iii.25, there is the order: *bhāra, bhāhāra, bhārādāna, bhāranikkhepana*. Numerous variants. The "bearer" is defined: *puggalo ti ssa vacanīyaṃ / yo yaṃ evaṃnāmo evaṃgotto ayam vuccati bhikkhave bhārahāro*.

Discussed by Saṃghabhadra, xiii.3, fol. 56a.

*Nyāyavārttika* (*Bib. Ind.*) p. 342; *Bodhicaryāvatāra*, ix.72, *Madhyamakāvatāra*, vi.42, *Bodhisattvabhūmi*, lxvii, Warren, 159, 240, Minayev, *Recherches*, 225; E. Hardy, *JRAS*, 1901, 573 (who explains the Sūtra like Vasubandhu), *Dialogues*, i.27. The *prānas* and the burden, Deussen-Geden, *Upaṇiṣads*, 221.

72. The phrases between parentheses are the additions by Hsüan-tsang.

*Vyākhyā: yadi dravyaśaṃ syāt pudgalaḥ / bhārahārah katamaḥ / pudgala iti syād  
vacanīyaṃ ity etāvad evoktaṃ syāt / tatra sūtre pareṇa sa na vibhaktavyaḥ syāt yo'sāv  
āyuṣmān iti vistareṇa yāvad evaṃāyuṣparyanta iti / prajñaptisatpudgalapratipattiyartham hy  
etat pareṇa vifeṣaṇam ity abhiprāyaḥ*.

If the *pudgala* exists as a thing, to this question "What is the bearer?", the Buddha would simply respond, "What is suitable to be called the *pudgala*"; he would not add the explanations, "It is such a venerable one, of such a name . . . of such an end of life." The aim of these is to show that the *pudgala* exists only through designation.

73. The Tibetan, according to Stcherbatski, gives, "The earlier *skandhas* torment the later ones; they are thus called burden and bearer of the burden." Paramārtha: the *skandhas* torment (iit. destroy) the *skandhas*, namely the earlier ones torment the later ones; in order to indicate that they present the characteristic of bearer and of burden, the text employs



expressions." It is thus that the *Vyākhyā* is understood: "The text has: among the *skandhas*, etc. The *skandhas* which cause one to suffer, the causes of suffering, receive for this reason the name of burden. The following, those which are tormented, receive for this reason the name of bearer of the burden." (*skandhānām iti vistaraḥ / tatra ye upagbhāṭāya samvartante duḥkhabhetavaḥ skandhās te bhāra iti kṛtvoktāḥ / uttare ye pīḍyante te bhārahāra iti kṛtvoktāḥ*).

74. On apparitional beings and the intermediate existence, see iii.8c.

75. According to the *Sāmmūṭṭīyanikāyaśāstra*, the Third Chapter.

*Vibhāṣā*, TD 27, p. 988a14, "This world does not exist; the other world does not exist; there are no apparitional beings"; this is a false view, a negation of causes (Compare iv.78, 79b and v.7, p. 777). "There are no apparitional beings": there are some non-Buddhist (*bāhya*) masters who say that all beings arise by reason of seed and blood, etc.; that there are no beings who arise without *pratītyas*, suddenly, of themselves . . . According to some, apparitional beings are beings in the intermediate existence (*antarābhava*); to deny this world and the other world is to deny *upapattibhava*; to deny apparitional beings is to deny *antarābhava*.

The text of the *Karmaprajñāpī* (chap. iv. Mdo, 62 fol. 218) differs from the usual version, "There is neither gift, nor sacrifice, nor oblation, nor good action, nor evil action, nor retributive result of good and evil actions. This world does not exist, nor does the other one. There is neither father, nor mother, nor apparitional beings. There is not in this world any well gone, well come Arhat, who knows and realizes by himself this world and the other, thinking, 'My births are destroyed, the religious life well practiced . . .'"

76. *Kośa*, Chap. v and vi, p. xvi. The *pudgala*, as you understand it, is not contained within the Truths: it is not Suffering (=the *skandhas* of attachment), nor Arising, nor Extinction, nor Path. Thus if the negation of the *pudgala* is, as you say, a false view (*mithyādr̥ṣṭi*), this false view cannot be expelled by Seeing the Truths. In fact a "view" (*dṛṣṭi*) is expelled through Seeing the Truth with which it is in contradiction (*yaśmin satye vipratipannā*). On the other hand, a defilement is abandoned through Meditation (*bhāvanā*) when this defilement has for its object a thing abandoned through Meditation, a thing which is necessarily included within the Truth of Suffering or of Arising (*bhāvanāprahātavyo hi kleśo bhāvanāprahātavyam eva vastu duḥkham samudayaṁ vālabate*) . . . Moreover no "view" is abandoned through Meditation.

77. *Ekottara*, TD 2, p. 561a18, p. 569b20. Paramārtha: "A *pudgala* arises in this world; arisen, it is for the use, profit, and happiness of many" = *Āṅguttara*, i.22, *ekapuggalo bhikkhave loke uppañjamaṇo uppañjati babujanahitāya* . . .

This text is used in the *Sāmmūṭṭīyanikāyaśāstra*.

78. The grain of *tila* is made up of eight substances, a word is made up of syllables.

79. Thus we recognize that it arises; but it is not, for that, "conditioned."

80. *Paramārthasūnyatāsūtra* in the *Samyukta*, TD 2, p. 92c15: When the eye arises, Oh Bhikṣus, there is no place from whence it comes, and when it perishes, there is no place to which it goes. In this manner the eye is not real and yet it arises (*pu shih erh sheng* 不實而生); having arisen, it perishes. There is retribution for action, yet there is no agent: when these *skandhas* are destroyed, other *skandhas* continue (*hsiang hsu* 相續); outside of any *dharmaśamketa*. The ear . . . By *dharmaśamketa* (*su shu fa* 俗數法) we understand: if this is, then that exists . . . If ignorance exists, then the *saṃskāras* exist. . .

By bringing together the different fragments preserved in our sources, we obtain a section of the Sūtra: *caḥsur bhikṣava utpadyamānaṁ na kutaś cid āgacchati / nirudhya-mānaṁ ca na kva cit saṃnicayaṁ gacchati / iti hi bhikṣavaś caḥsur abhūtvā bhavati bhūtvā*

ca prativigacchati (Kofa, v.27, trans. p. 814) / *asti karma asti vipākaḥ / kāraḥ tu nopalabhyate ya imāṃś ca skandhān nikkṣipati anyāṃś ca skandhān pratisaṃdadhāti anyatra dharmasamketāt* (the text that we have here, and also Kofa, iii.18, *Sūtrālamkāra*, xviii.101, *Bodhicaryāvatāra*, ix.73 which presents some variants) / *atrāyāṃ dharmasamketō yad utāsmīn satīdāṃ bhavati asyotpādād idam utpadyate* (Kofa, iii.18, *Bodhicaryāvatāra*, *ibid.*) / *avidyāpratayayāḥ saṃskārāḥ . . .*

81. See Kofa, v.27, p. 814; Buddhaghosa, *Visuddhi*, 602, quotes the Ancients (Porāṇa): *kammaṃsa kāraḥ natthi vipākaṃsa ca vedako*. (We see, p. 513, that *dukkha*, *kamma*, *nibbuti*, and *maggā* exist, but not *dukkin*, *kāraka*, *nibbuta* . . .)

Same doctrine, or same text, in the sources of the Mahāyāna, *Madhyamakāvatāra*, vi.84, *Śikṣāmuccaya*, 244, 262, *Sūtrālamkāra* (which depends on Vasubandhu), xviii.101.

82. The meaning of the expression *anyatra dharmasamketāt* (*chos su brdar brtags pa ma gtogs pa*) is not in doubt. The *Vyākhyā* explains: *dharmasamketād iti prāṭīyasamutpādala-kṣaṇāt*: "outside of the combination of the *dharmas*, that is to say, outside of the successive causation of the *dharmas*"; and elsewhere (*ad* iii.18): *saṃketā = hetuphalasambandhavyavasthā*. But Paramārtha understands *saṃketā* as "metaphorical designation," from whence the translation, "One does not maintain the existence of an agent . . . except when, conforming to worldly usage, one says that the *dharmas* are a *pudgala*."

83. *Samyukta*, TD 2, p. 182a17; *Samyutta*, ii.14. Paramārtha: "I do not say that a being, apart from the series of the *dharmas*, takes up the elements." The Sanskrit edition has: *upādatta iti phālguna na vadāmi / abhāṃ ced evaṃ vadeyam upādatta iti atra te kalpaḥ syād vacanāya ko nu bhādanta upādatta iti*.

Note nevertheless that the Bhagavat speaks of the man "who casts off this body and takes up another body," *taṃ ca kāyaṃ nikkṣipati aññaṃ ca kāyaṃ upādīyati* (*Samyutta*, iv.60). (In the way that the flame which goes far without fuel has for the mind its support (*upādāna*) so too the being who casts off this body and has not (yet) taken up another body has thirst for his support, *ibid.* 400). We have seen above, note 41, the etymology of *pudgala*: *punaḥ punar* . . .

84. Saeki quotes the *Sāṃmitīyanikāya Śāstra*, i.7 (fol. 2b).

85. *Parīṇantum* means to transform oneself, *anyathātvamāpattum*.

On *parīṇāma*, iii.43a, 100a-b, v.26, p. 809; the *saṃtatiparīṇāma* of the Sautrāntikas is very different, ii.36c, iv.4a.

86. On the relationship between the primary elements (*mahābhūta*) and secondary matter (*upādāyarūpa*, *bhautika rūpa*), see i.35, ii.22, 65.

87. *Vibhāṣā*, TD 27, p. 661c14: "Buddhadeva maintains that *rūpa* is solely *mahābhūtas*, that mental states (*cāittas*) are solely mind (*citta*); he says: *upādāyarūpa* is solely a type (*viśeṣa*) of the *mahābhūtas*; the mental states are solely a type of mind . . . The *mahābhūtas* see (when they form the organ of the eye) . . . There is no *upādāyaśabda* apart from the primary elements (that is to say: sound, *śabda*, is not a separate thing existing independently of the primary elements). It is the primary elements which are called *upādāyaśabda*."

*Vibhāṣā*, TD 27, p. 730b26: Buddhadeva says: Twenty-two names (*mahābhūmikas*, etc.), but solely one real thing, the *mana-indriya* . . . The conditioned *dharmas* are of two natures, *mahābhūtas* and *citta*. Apart from the *mahābhūtas*, there is no *upādāyarūpa*: apart from *citta*, there are no *cāittas*.

Compare i, note 146; ii, p. 188.

Saeki: "Vasubandhu mentions the opinion of Buddhadeva, etc., that the primary elements and secondary matter do not differ; but, as this is not the "correct meaning" (*ch'eng i* 正意) of the Sarvāstivādins, he says that it is *doṣa*, an "error."

88. The Sūtra of Vatsagotra, *Samyukta*, TD 2, p. 245c10. The Bhagavat said to the monk

Vatsagotra, "If one is of this opinion (*dr̥ṣṭi*), 'The world is eternal; this is true; any other theory is false,' this is *dr̥ṣṭiviparyāsa* (v.9d), this is *kuan-ts'a chien* 觀察見 (*dr̥ṣṭiparāmarśa*, v. p. 778). 'The world is not eternal . . . the Tathāgata neither exists nor does not exist after death': this is *dr̥ṣṭiviparyāsa*."

89. On the "questions to be rejected," *Kośa*, v.22, *Nirvāṇa*, 1925, p. 108, where we see that Malebranche reasons quite closely to Vasubandhu.

90. We have *Divya*, 358: *babubollaka śākyaputrīya*.

91. The present passage of the *Kośa* has been pointed out by S. Lévi, *AIBL*, 1893, 232. Chavannes has translated a very similar recension in *Cinq Cents Contes*, iii.120 (according to Nanjio 1329, *Ratnakaraṇḍa Sūtra* (?), Tokyo, xiv.10, fol.39), the same recension in Takakusu, "Chinese translations of the *Milindapanho*," *JRAS*, 1896, p. 7. See Pelliot, "Les noms propres du Milinda," *JAS*, 1914, ii.380-381. (It appears indeed that the "Milinda" of the Tibetan text of the *Avadānakalpalatā* is a rash correction by the editor). Finally, Paul Demiéville, in a fine article on the Chinese versions of the *Milinda*, *BEFEO*, 1924, p. 64, completes our information.

92. Compare *Samyutta*, iv.400. *Samyukta*, TD 2, p. 245b11: Vatsagotra asks, "Gotama, is there an *ātman*?" The Bhagavat does not answer. He asks a second, a third time; and the Bhagavat, a second, a third time does not answer . . . And the monk Vatsagotra, in his evil (*pāpikā*), false view, says, "The Śramaṇa does not know how to answer my question." The Bhagavat says to Ananda, "If I were to answer him that there is an *ātman*, then I would increase the false view that he already has; if I were to answer him that there is no *ātman*, would his first folly-doubt not increase? Infatuated, he would say, 'The *ātman*, which existed, is now annihilated.' The opinion that he has of the existence of an *ātman* is the opinion of permanence; to think that this *ātman* is annihilated is the opinion of annihilation. The Tathāgata, avoiding these two extremes, teaches the Dharma of the middle: if this is, then that exists . . . if ignorance exists, then the *saṃskāras* exist . . ."

93. Missing in Paramārtha.

*Vibhāṣā*, TD 27, p. 38a19: In the *Brahmajālasūtra*, it is said that the 62 *dr̥ṣṭigatas* have *satkāyadr̥ṣṭi* for their root; in the *Sūtra of the Lion's Roar*, it is said all the diverse opinions of the Brahmins and monks rest on two opinions, the opinion of existence and the opinion of non-existence (*bhavadr̥ṣṭi*, *vibhavadr̥ṣṭi*, *yu-chien* 有見 and *wu-yu-chien* 無有見); what is the difference between the declarations of these two Sūtras? From the point of view of arising (*samutpāda*), it is said that all the *dr̥ṣṭigatas* have *satkāyadr̥ṣṭi* for their root; from the point of view "*t'ui-ch'iu* 推求" (to thrust-search out), it is said that the diverse opinions rest on the opinions of existence and non-existence. See above, p. 1336.

*Vibhāṣā*, TD 27, p. 1002b6: *Bhavadr̥ṣṭi* is *śāśvata-dr̥ṣṭi*, and *vibhavadr̥ṣṭi* is *ucchedadr̥ṣṭi*, that is, the view of existence is the view of permanence or eternity, and the view of non-existence is the view of annihilation. Although bad opinions (*kuḍṛṣṭigata*) are of numerous types, they are all included by these two opinions.

94. *Vyākhyā*: *Bhadantakumārālābhaḥ*. On Kumārālābha, see Péri, *Date de Vasubandhu*, p. 22.

95. *Vyākhyā*: *dr̥ṣṭir eva daṃstrā / tayāvabhedham apekṣya deśayanti buddhā dharmāṃ nairātmyaṃ tatpratipakṣeṇa / bhraṃśaṃ ca karmaṇāṃ apekṣya kṛtavipranāśaṃ apekṣya pudgalāstivam iva darśayanto 'nyathā deśayanti / vyāghrīpotāpāhāravat iti / yathā vyāghrī nātinīṣṭhureṇa danta-grahaṇena svapotaṃ apaharati / nayati māśya daṃṣṭrayā śarīra [ ] kṛtaṃ bhūḍ iti / nāpy atīṣṭhileṇa danta-grahaṇena tam apaharati / māśya bhraṃśaḥ pāto 'smin viśaye bhūḍ iti yuktenaiva grahaṇenāpāharatīty arthaḥ / tathārthadarśane kāraṇaṃ darśayann āha / ātmāstīvaṃ iti vistaraḥ /*

96. For the second stanza, the *Vyākhyā* is less clear: *ātmāstitvaṃ pratipannaś cet kaścid dṛṣṭidamśrayā satkāyadarṣṭilakṣanayā bhinnah sa vineyajanah syād aprāpya saṃvṛtīti* (?) (*saṃvṛtīnītim?*) *dharmasaṃketam ajānānah kuśalapotasya kuśalakarmaṇo vyāghṛtipotabhātasya bhraṃśam kuryān nāsti karmaṇah phalam iti*.

97. *Vyākhyā*: *prājñaptika iti prājñaptau bhavaḥ prājñaptikah saṃvṛtisann api pudgalo nāstīti kaścid grhṇīyād ity ato nāstīti nāvocat*.

98. The *Vyākhyā* has the last *pāda*: omitted by Hsüan-tsang.

Paramārtha: 3. This person is not capable of understanding the correct teaching of real emptiness; thus, when he asked if, yes or no, there is a soul, the Buddha did not say there was no soul. 4. And since he takes into consideration the intention of the questioner, if the soul existed, why did he not say that it existed? So too, on the question of his non-existence after Nirvāṇa, he said nothing because then the questioner would have fallen into difficulties.

99. *Saṃyukta*, TD 2, p. 246b2: Vatsa asks, "By reason of the consciousness of which *dharmā* are you not of the opinion, you do not say that the world is eternal . . . that the Tathāgata neither exists nor does not exist after death?" "By reason of the consciousness of *rūpa*, *vedanā*, etc."

100. *Vyākhyā*: *nirgranthaśrāvakaṇaṇakavad iti / nirgranthaśrāvakeṇa caṭakam jīvantam grhītvā bhagavān pṛṣṭaḥ kim ayaṃ caṭako jīvati na veti / tasyāyam abhiṣṭāyāḥ / yadi śramaṇo gautama ādiṣeḥ jīvātīti sa taṃ nipīdanena mārayitvā darśayet / yadi punar bhagavān evam ādiṣen mṛta iti sa taṃ jīvantam eva darśayet / katham nāmāyam ajña iti loko jānīyād iti tasyābhiniveśaḥ / bhagavatā tv aśyāṣāyaṃ jñātvā na vyākṛtam / tvaccittapratibaddham evaitaj jīvati vā na veti . . . nābbhihitam / tadvad etan na vyākṛtam*. Perhaps according to Tokyo, xxiv, 9, J.As. 1925, i.38.

101. The *catuṣka* (group of four questions): "Is the world infinite (*anantavān*)? etc." has the same meaning as the *catuṣka*: "Is the world eternal (*śāśvata*)? . . ."

If this is the case, how are there fourteen separate points, namely three *catuṣkas* and one *dvika* (Is the vital principle the body? . . .)? To this question the *Vyākhyā* answers: *paryāyarūpatvavyavasthānēpi caturdaśatvaṃ bhavātīty adōṣaḥ*.

102. *kun tu rgyu smra byed kyis*. Chinese transcription: *U-ti-chia* 嘸底迦 . Stcherbatski: Vatsa. The Tibetan version guarantees Uktika.

This is the Paribbājaka Uttiya of the *Aṅguttara*, v.193-195 (perhaps different from Uttiya of the *Saṃyutta*, v.22,166) who interrogates the Bhagavat on fourteen points (beginning with the eternity of the world); the Bhagavat answers, "This is not explained by me . . ."; Uttiya asks, "What do you explain?"; the Bhagavat answers, "I explain the Dhamma . . . for Nirvāṇa". Thereupon Uttiya asks, "Does the whole world, half the world, or a third of the world attain to Nirvāṇa through this Dhamma?" The Bhagavat keeps silent. Ānanda then intervenes and explains to Uttiya that he is asking that which has already been asked (To ask if the whole world attains to Nirvāṇa is to ask if the world is eternal). The Bhagavat teaches how one attains to Nirvāṇa: all those who have attained to it, are now attaining to it, and who shall attain to it, do so by the Path.

In *Saṃyukta*, TD 2, p. 247c18, Uktika's first question concerns the infinity of the world.

Do all beings attain to Nirvāṇa? *Dīgha*, ii.147 (yes), *Mahāvastu*, i.126 (yes), *Milinda*, 69 (no). Here we have the correct answer.

The redactor of the *Brahmajāla* understands the "infinity of the world" in the sense of "infinite in space" (*Dīgha*, i.23: horizontal infinity, not towards the zenith or the nadir; on this point *Kośa*, iii.3d, to the end); it varies its formulas: it examines whether the self and the world are eternal, whether the world is infinite.

103. Uktika asks: *kim tu sarvo loko'nena mārgena niryāsyati / ābhosvid ekadeśo lokasya.*

In the Pāli recension: *sabbo ca tena (dhammena) loko niyyissati upadāho vā tibhāgo vā.*

104. On Maitreya, see Péri, BEFEO; xi.455, Przyluski, *Aṣoka*, 169, 171, 332. *Madhyama*, TD 1, p. 510b19 (66th Sūtra: he will come at a period when human life is 80,000 years long), *Dīrgha*, 6th Sūtra, TD 1, p. 41c29 and *Dīrgha*, iii.76, *Śālistambasūtra* (see Kośa, iii. 28a-b). We are reminded of Ajita and Tissametteya of the *Suttanipāta*, 1032, 1040. *Milinda*, 159; *Anāgataṅgama* in Warren, 482; Mrs. Rhys Davids, Hastings, i.414. Ajita Maitreya and Mithra Invictus?

105. Saeki quotes the *Samyukta*, TD 2, p. 244a14, "Gautama, is the vital principal the body? This has not been explained. Is the vital principal something else? Is the body something else? This has not been explained. It is strange that the monk Gautama explains, on the subject of a deceased disciple, that 'Such a one is reborn in such a place . . . ' and that the monk Gautama does not explain that the vital principle is something else, and that the body something else again."

*Samyukta*, TD 2, p. 213a27, sermon to Nanda: The disciple endowed with an unmoveable faith who desires long life and beautiful *rūpa*, shall obtain them. The disciple endowed with the *avetyaprasāda*, is, at the end of his life, reborn among the *devas* and obtains ten qualities (*Samyukta*, TD 2, p. 2, p. 215b10, c9 and following, *Madhyama*, TD 1, p. 545b15 and following).

106. *satyataḥ sthītiḥ*. Hsüan-tsang: *ti ku chu ku* 諦故住故. Gloss of the Japanese editor: *chu ku = neng chu ku* 能住故 : "capable of lasting." Paramārtha: *i-shih i-chu* 依實依住

107. *astūy api dṛṣṭisthānam uktam*. Hsüan-tsang: *o chien ch'u* 惡見處 = *kudṛṣṭisthāna*.

This discussion is based on the *Sāmmiṭṭīyanikāyaśāstra*.

108. *Vibhāṣā*, TD 27, p. 255c13: The Bhagavat says, "You say that there is cause: I say so also; you say that there is no fruit: this is a foolish doctrine." There are two doctrines, in all two extremes: the Bhagavat avoids the extreme of annihilation and the extreme of permanence, and he teaches the Middle Way. He also says, "I am not in contradiction with the world; but the world is in contradiction with me."

*Vibhāṣā*, 77.17 "... he who says that (the *jīva*) is different from the body, is not the body, enters into the opinion of permanence. He is not of non-Buddhist doctrines (*bāhya mārga*), he is not of evil opinions (*kudṛṣṭigata*) who does not enter into the opinions of annihilation or of permanence. All the Tathāgatas, in order to oppose this, teach the path between the two, namely: *rūpa* and the mind are not annihilated, are not permanent." See above note 93.

109. *Samyukta*, TD 2, p. 42b3. Only Paramārtha quotes the Sūtra in full; Hsüan-tsang and the Tibetan do not have the first phrase. Compare *Samyutta*, ii.78, iii.149: *anamataḥaggāyaṃ saṃsāro pubbakoṇi na paññāyati avijjānīvaraṇānaṃ sattānaṃ taṇhāsamyojanānaṃ saṃdhavatāṃ saṃsarataṃ*.

The same argument in the *Sāmmiṭṭīyanikāyaśāstra*.

From the point of view of the Mādhyamikas, neither the permanent nor the impermanent can transmigrate: *nityasya saṃsṛtīr nāsti naivānityasya saṃsṛtiḥ / svapnavat saṃsṛtīr proktā tvayā tattvaividāṃ vara* (*Catustava*, quoting *Bodhicaryāvatāra* pāñjikā, ix.108).

110. *Vibhāṣā*, TD 27, p. 424c15. *Sunetro nāma śāsteti saptasūryodayasūtre'yaṃ eva bhagavān ṛṣiḥ sunetro nāma babbhūveti*.

The *Saptasūryasūtra* of the *Āṅguttara*, iv.103, does not identify Sunetra with the Bhagavat: *bhūtapubbaṃ bhikkhave Sunetto nāma satthā abosi tiṭṭhakaro kāmesu vitarāgo* . . . (Compare *Āṅguttara*, iii.371, 373). We also find some details in the *Vibhāṣā* on the rebirth of Sunetra's disciples and on the rebirth of Sunetra (TD 27, p. 424c15 and following). There is no mention of Sunetra in the fragments of the *Saptasūrya* of the *Śikṣāsamuccaya*, p. 247 and the *Karmaprajñapti*, Mdo. 62, fol. 66a. In the *Saundarananda*, xi.57, we only see that Sunetra is the Bhagavat.

111. *Vyākhyā: ya ekeṣāṃ pudgalagrāha itī vātsīputrīyāṇām / ekeṣāṃ sarvanāstigrāha itī madhyamakacittāṇām.* This is the only reference to the Madhyamaka system that the work of Vasubandhu contains.

The translation is according to Hsüan-tsang. Paramārtha: "Thus, without cause or reason, one introduces the malady of opinion into the Good Law of the Tathāgatas: there are some scholars who deny *nairātmya* and produce a belief in the existence of an *ātman*; there are, furthermore, some masters who deny the existence and affirm the non-existence of everything; the non-Buddhists believe in an *ātman* conceived as a separate thing. In the Good Law, some masters produce a belief in an *ātman* and a belief in universal non-existence. None of these masters obtain deliverance, because they do not differ from one another."

112. Saeki quotes a Vijñaptimātra commentary: If the self is not real, who remembers, who recognizes things, who recites and retains books, who repeats texts, who loves some and hates others, who loves what is good and hates the rest? . . . The Vātsīputrīyas have a reasoning: "(In the system of our adversaries) beings (*sattvas*) do not remember because they are not selves, like space."

For eight different explanations of the cause of memory, see *Vibhāṣā*, TD 27, p. 55a18: There are some masters who maintain that the self is by its nature real, namely the Vātsīputrīyas who say, "We say that there is a self that remembers what has been done; first oneself experiences, and then it is oneself that remembers. If there were no self, how could one remember what has been done? . . ." Again there are some masters who say, "The previous mind goes and says to the later mind: I did this; you, retain it and remember it . . . It is thus that one remembers what has been done."

*Vibhāṣā*, p. 56a7, teaches the "right" doctrine of the Sarvāstivādins.

For an explanation of memory in the Madhyamaka school, see notably *Bodhicaryāvatāra*, ix.24 (against the Vijñānavāda), 73 (against the Pudgalavāda), 101.

*Milinda*, 78-80; Demiéville, 161, *Compendium*, Introduction, p. 42 (according to the *Paṭṭhāna*).

On memory, see *Kośa*, i.33 (p. 97), ii.24 (p. 190), 24a (p. 194), vi.15, p. 927.

113. *Vyākhyā: smṛtīviśayasamījñānāvayac cittaviśeṣād iti / smṛtīviśayo'nubhūto'rthaḥ / tatra samījñā sāvvaḥ betur asyeti smṛtīviśayasamījñānāvayaḥ / cittaviśeṣaḥ kimcid eva cittam na sarvaṃ ity arthaḥ / tasmāt smaraṇam bhavati pratyabhiññānam vā // evam ubhayaviśeṣaṇe kṛte pṛcchati kīdrśac cittaviśeṣād iti / āha / tadābhoga iti vistarāḥ / yasmin smartavya ābhogas tadābhogaḥ / sa ca tena sadṛśaḥ sambandhinaś ca samījñādāyo ye te vidyante 'syeti tadābhogasadsṛśasambandhisamījñādimāṃś cittaviśeṣaḥ / ādigrahaṇena prañidhānanibandhābhyāsādigrahaṇam / āśrayaviśeṣaś ca fokaś ca vyākṣepaś cādr eṣām iti āśrayaviśeṣaśoka-vyākṣepādini / tair anupabataprabhāvaś cittaviśeṣaḥ sa evam anena pradārśito bhavati / tasmād idṛśac cittaviśeṣāt smṛtir bhavati /*

*tad idam uktaṃ bhavati / tadābhogavataḥ : yadi tatrābhogaḥ kriyate / sadṛśasamījñādimataḥ : yatra sadṛśyāt smṛtir bhavati / sambandhisamījñādimataḥ : yatrāntareṇāpi sadṛśyam dhūmādiraśanāt smṛtir bhavati / prañidhānanibandhābhyāsādimataś ca : yatra prañidhānam atra kāle smartavyam abhyāso vāśya smaraṇe // āśrayaviśeṣādibhir anupabataprabhāvaś iti / vyādībhikṣaṇena āśrayaviśeṣaṇa fokena vyākṣepaṇa anyatra kāye / ādisādagrāhitaś ca karmavidyādbibhiḥ /*

*idṛśo 'pīti vistarāḥ / tadābhogavān (tatsadṛśa) samījñādimān anupabataprabhāvo'pīty arthaḥ / atadanvaya ity asmrṛtīviśayasamījñānvaya ity arthaḥ / bhāvayitum utpādayitum // anyādrśa ity atadābhogayāvatsamījñādimān upabataprabhāvo vā.*

The commentators on Hsüan-tsang debate this. P'u-kuang (TD 41, p. 447a22-b10) has three explanations of *smṛtīviśayasamījñānvaya*, three explanations of resemblance (*sadṛśa*) (that Fa-pao rejects, TD 41, p. 809a11 and following), two of necessary conjunction (*sambandhin*), etc. (see Saeki, xxx, fol. 7a, 8b-9a).

114. The *Vyākhyā* explains (below p. 1342) the discussion relative to the question, "In the absence of a soul, what knows?" *evam ko= vijānātīti vistarāḥ*. It suffices to replace *vijānāti* with *smarati*, *vijñāna* with *smaraṇa*.

115. Paramārtha, "Not different from memory, for the memory grasps"; Hsüan-tsang, "No difference of memory, only (grasping) comes from the agent,"

116. According to the commentators of Hsüan-tsang, these masters are the Sāmkhyas; according to the *Vyākhyā*, this formula is that of the Grammarians; for Stcherbatski, Vasubandhu here continues his controversy with the Vātsīputriyas; and in fact the *Vyākhyā* says that this argument tends to prove the existence of the *puḍgala*. See *Kośa*, iii.28a, for a discussion of *pratītyasamutpāda*, and a refutation of the *vaiyākaraṇacodya* (objection of the Grammarians), "There is no action (*kriyā*) without an agent (*akartṛkā*)." The *bhūti* is not different from the *bhavitar*.

117. *Vyākhyā*: *gacchatigamanābhīdhanavad iti / yathā jvālā gacchati śabdō gacchatīti gacchatisabdābhīdhanam / yathā jvālāyāḥ śabdasya vā gamanam evam devadatto gacchati devadattasya gamanam / anena dṛṣṭāntena vijānāti devadatta iti siddhyati*.

It is the opinion of the Sarvāstivādin that *gati* (or *gamana*) is impossible, iv.2b, English trans. p. 552-4.

118. *Vyākhyā*: *parispandam akurvad apīty arthah /*

119. *Vyākhyā*: *kāraṇasādṛśyena kāryātmalābhāt*.

"Response to a seed," "to reproduce the seed," Hsüan-tsang: *ch'ou* 酬 = to make parallel, respond to.

The *Vibhāṣā*, TD 27, p. 480a14, explains that one cannot attribute the action of *saṃyoga*, *samanvāgama* (*ch'eng chiu* 成就), Rosenberg, 204; see above, p. 1346, *saṃyoga* (= *bo* 合) neither to a *puḍgala* nor to the *dharma*s: the first does not exist, the second are bare of any activity. No *dharma* is either the agent or the object of an action of *saṃyoga*. But, as there is cause-result, defilement-purity, bond-deliverance, process-ceasing, so too the quality of being *saṃyukta* or *asaṃyukta* exists.

120. The consciousness of blue has a blue "aspect."

121. *Vyākhyā*: *kāraṇam kartṛbhūtam iti kṛtvā / tad yathā nādasya kāraṇam ghaṇṭa iti ghaṇṭo rauṇṭy ucyate*.

122. *Vyākhyā*: *yathā ca bhavitū rūpasya bhāvāj janitur jāteḥ sthātuh sthiter anarathāntaratvam evam vijñāne'pi syād vijñātūr vijñānasya vijñānād bhāvād anarathāntaratvam*. (See the opinion of the Sarvāstivādin on *jāti*, ii, English trans. p. 242).

"The existent," Paramārtha, *neng-yu* 能有; Hsüan-tsang, *yu . . . che* 有 . . . 者. Paramārtha: As the world says, "physical matter exists, arises, lasts." Now the existing thing (*bhavitṛ*), etc., does not differ from existence (*bhāva*), and yet nevertheless one employs two words. So too one employs two words for *vijñāna*.

123. According to Saeki. The *Vaiśeṣikas*, according to the *Vyākhyā*: *vaiśeṣikamatānusārād vā . . .*

124. Why does one successively have *gobuddhi*, *strībuddhi*, *mahiṣabuddhi*, the idea of cow, woman, and buffalo? Why does not the *mahiṣabuddhi* follow *gobuddhi*?

The Japanese editor understands, "Why is not the latter mind parallel to the former mind, good, defiled, etc. . . ?"

125. *Vyākhyā*: *nikāmena paryāptena samāptena dhyānena samāhitānām . . .*

126. See, for example, *Kośa*, ii. 71b.

127. *Vyākhyā*: *gotravaiśeṣād iti bhāvanāvaiśeṣāt*. Below *gotra* is explained as *bīja*, "seed." The

gloss *bhāvanāviśeṣāt* gives a translation, "by reason of the particular manner in which they perfume the series."

128. *Vyākhyā: stricittad iti vistaraḥ / stricittāt (= stryālabhanāc cittād) anantaram tatkāyavidūṣaṇācittam (= tasyāḥ strīyāḥ kāyasya vidūṣaṇāyai yadi parivṛājakasya anyasya vā sādhoḥ cittam utpannam bhavati) tatpatiputrādicittam vā (= tasyāḥ patiputrādayaḥ / ādisabdena duhitṛādayo grhyante / tadālabhanam cittam tatpatiputrādicittam).* Hsuan-tsang, "... the mind of an impure body."

129. See ii. 36, English trans. p. 211.

130. *Vyākhyā: tat paścād utpannam stricittam samartham bhavati tatkāyavidūṣaṇācittotpādane tatpatiputrācittotpādane vā / kasmāt / tatgotratvād iti / tatkāyavidūṣaṇācittam tatpatiputrādicittam vā gotram bījam asyeti tadgotram ... anyathety atadgotram.*

131. *Vyākhyā: atha punaḥ paryāyeneṭi vistaraḥ / paryāyeṇa ayugapat / stricittāt tatkāyavidūṣaṇācittam / tatas tatpaticittam / tatas tatputracittam / tata eva ca tadduhitṛcittam / tata eva ca tadupakaraṇādicittam utpannam bhavati / tataḥ stricittād anantaratpannebhyaḥ cittebhyaḥ yad babutarām pravābataḥ paṭutarām śaktiā āsannatarām vāsyotpādyasya cittasya tad eva cittam utpadyate / tadbhāvanābalapaṭutvāt (MS. - balam yastvāt) tasya babutarasya paṭutarasyāsanmatarasya vā bhāvanāyā balavattaratvāt /*

On *bhāvanā* (hsiu 修, hsiu-hsi 修習) or *vāsanā*, see iv.27d, 123c, vii.28c, 30c, 32d, viii.3d.

132. *Vyākhyā: evaṁ hy āhur iti sthvirarābulah.* See also *Vyākhyā* (Petrograd edition, 1918), p. 6: *sarvākāraṇaṁ kāraṇaṁ ekasya mayūracandrakasyāpi / nāsarvajñair jñeyam sarvajñajñānabalaṁ hi tat //*

133. An observation that one reads in the *Atthasālinī*, 142, *Milinda*, 87, *Kośa*, ii.24, English trans. p. 190.

134. *Vyākhyā: the Vaiśeṣika.*

135. The version of Hsüan-tsang strays from the original on many points: "If they say that the variety of the *viññānas* (consciousnesses) depends (*apekṣa*) on the variety of the conjunction (of the soul) with the *manas*, we answer: No; 1. because the conjunction of the soul with another thing is not proved; 2. because the conjunction of two things is delimited (*fen-hsien* 分限) (that is to say two things can be joined on only one side). They themselves define conjunction: "possession succeeding upon non-possession": the conjunction of the soul and the *manas* should be delimited; 3. because, if the *manas* changes (*i-ch'uan* 移轉), the soul should also change; 4. or rather the soul should perish with the *manas*. If they say that conjunction is partial, we answer: No. For there are not diverse parts in one soul. To suppose that there is conjunction if the soul is permanent and if the *manas* is not modified (*pieh-i* 別異), how can conjunction be diverse? If they answer that (this diversity) depends on the diversity of *buddhi*, the difficulty is the same (as when they explain that variety depends on the *manas*): we then ask how *buddhi* is diverse. If they say that, through dependence on the *saṁskāras*, the conjunction of the soul and the *manas* is diverse (*jo tai hsing pieh wo i ho che* 若待行別我意合者), it means that a single mind, through dependence on a variety of *saṁskāras*, produces the variety of the *viññānas*. What then would be the purpose of the soul?"

136. *Vyākhyā: na / anyasaṁyogāsiddheḥ / naitad evam / kasmāt / tābhyām ātmananobhyām anyasya saṁyogasyāsiddheḥ / na hi saṁyogo nāma bhāvah kaścid asmākaṁ siddho'sti.*

A Buddhist does not admit the existence of an entity called *saṁyoga*.

137. This is the definition of the *Daśapadārthī*, H. Ui, *The Vaiśeṣika Philosophy*, 1917, p. 271. Stcherbatski quotes the *Prasastapāda: aprāptayoḥ prāptiḥ saṁyogaḥ*, and observes that the *Vaiśeṣikasūtra*, vii.2, 9, differs.



150. Stcherbatski: the Logicians; Hsüan-tsang and Paramārtha: "Those who explain

the *dharmalakṣaṇa*." But Stcherbatski states that the definition of these masters is that of *Pāṇini*, i.4, 54: *svatantraḥ kartā*.

We see, *Kośa*, iii.27 (p. 413), that the Grammarians, or Vaiyākaranas, protest against the thesis of "action without an agent" (*akartṛkā kriyā*). In the same passage, certain masters (*kecid vādinah*) maintain that *pratītyasamutpāda* supposes a support, namely an *ātman*.

151. *Vyākhyā*: *tasya tu svātantryam nāstīti darśayann āha trividham cedam karmeti vistaraḥ / kāyasya cittaparatantrā vṛttih cittaapravaritvāt kāyakarmaṇaḥ / cittasyāpi kāye vṛttih svakāraṇaparatantrā manodharmamanaskārādīparatantrā / tasyāpy evam / tasya cittasvakāraṇasya svakāraṇaparatantrā vṛttir iti nāsti kasya cid api svātantryam kāyasya cittasya cittakāraṇasyānyasya vā / pratyayaparatantrā hi sarve bhāvāḥ*

*caturbbhiḥ cittacaittā hi samāpattidvayam tribhiḥ*

*dvābhyām anye tu jāyante iti vacanāt (ii.65) /*

*ātmano'pi ca nirapekṣasya buddhiviveśādyutpattāv akāraṇatvābhyupagamān na svātantryam siddhyati / tasmān naivamlakṣaṇa iti svatantraḥ karteti.*

152. *Vyākhyā*: *tasyākāraṇatvam upadarśayann āha / ... pūrvam smartavyārthe smṛtir utpadyate / smṛtes cbandaḥ kartukāmātā / chandād vitarkaḥ cetanāviveśo'bbhisamskāralakṣaṇaḥ prajñāviveśo'bbhisamskāralakṣaṇaḥ prajñāviveśo vā yogācāranayena vaibhāṣikanayena tv abhinirūpaṇāvikalpalakṣaṇaḥ* (The MS is perhaps incorrect. Here Hsüan-tsang translates *vitarka* with the two characters which, elsewhere give *vitarka-vicāra*. For the *Vaibhāṣikas*, *vitarka* signifies here (*abhi*)*nirūpaṇā* *vikalpa*, one of the three *vikalpas* defined in *Kośa*, i.33a; one type of *prajñā* (*prajñāviveśa*) which consists of examining, or deliberation. For the *Yogācārin*s, *vitarka* signifies *prajñāviveśa* *abbhisamskāralakṣaṇa*: one *prajñā* which has the characteristic of action or decision. For the author, *vitarka* is a *cetanā*, "volition," the characteristic of which is "to create" (*abbhisamkar*) (*Kośa*, i.15a) / *vitarkāt prayatno vīryam / prayatnād vāyuh / tato vāyoh karma defāntarotpattilakṣaṇam iti kim ātrāmā kurute.*

153. *Vyākhyā*: *viññāne pratiṣeddhād iti yāvopalabdbhis tad eva viññānam / viññāne cātmanah sāmānyam pratiṣiddham cittād evāstu saṃskāraviveśapekṣan na hi ... phuṭsvāhānam iti / yathā tathoktam iti / tadvikāravikāritvād āśrayaḥ cakṣurādaya iti (i.45a-b) / yathā kaḥ karyāśrayaḥ ... naiva sa evam āśrayaḥ* (note 145).

154. According to the formula: *na hi bhikṣavaḥ karmāṇi kṛtāny upacīṇāni bāhye pṛthivīdhātavaḥ vipacyante / api tūpāttesu skandhadhātuvāyataneṣu ...* (*Divya*, 54 and *passim*). On *sattvākhyā*, *upātta*, see *Kośa*, i.10b, English trans. (p. 65), 34c (p. 98), 39 (p. 107), iv.5d (p. 569).

155. H. Ui, *Vaiśeṣika Philosophy*, 75, 98; *Kośa*, iv.2b (p. 555).

156. Above, p. 1347.

157. For the *Sarvāstivādin*s, the cause of retribution "gives forth" its fruit when it is past (ii.57, 59); as a consequence, the past exists (v.25a-b, p. 807). Vasubandhu remarked (v. p. 818) that the *Sautrāntika*s do not admit that the result arises directly from action. For the different results of action, see iv.85.

See *Madhyamaka*, xvii. 6 and following, "If an action lasts until its retribution, it would be eternal. . . ." The doctrine that Vasubandhu presents here is refuted xvii.12; the opinion of Candrakīrti, xvii.13: When an action arises, there also arises in the series a *dharmā* unassociated with the mind, morally neutral, destroyed through *bhāvanā*, that is called *avipraṇāśa*, which produces the result of the action.

From another point of view, the *aṅkura* cannot arise from either the destroyed *bīja*, or from a non-destroyed *bīja*; *Catustava*, quoted in *Madhyamakāvatāra*, 97, *Bodhicaryāvatāra-pañjikā*, ix.108.

158. For *karmasamātānapariṇāmaviveśa*, see ii.36c (p. 211).

159. Hsüan-tsang: For example the mind "associated with attachment" at the end of life. Although there are some traces (*vāsanās*) projected by all types of actions capable of producing a new existence, nevertheless that which manifests itself (at death), is (the mind) produced by heavy, near, and habitual action; not any other. There is a stanza . . .

160. Vyākhyā: *yathoktam iti / sthavararāhuleṇa / yad guru yac cāsanannam iti vistarah / ekasmin saṁtane catvāri karmāṇi gurv āsannam abhyastam pūrvakṛtāṇi ca / eṣāṁ caturṇām guru karma pūrvam iti tribhyas tat pūrvam vipacyate / āsannābhyastapūrvakṛtāṇām apy āsannam pūrvam iti tat pūrvam dvābhyām vipacyate / abhyastapūrvakṛtayoś cābhyastam pūrvam ity ekamāt pūrvam vipacyate / asatsu eteṣu pūrvajanmakṛtām vipacyate aparaparyā-yavedanīyam.*

An ārya stanza, like note 166.

161. Better: . . . the capacity to produce the retributive result, the capacity that a cause places in the series . . . See *Kośa*, iii.37c. This problem has been pointed out *ad* iv.50, note 217, where one will find a part of this bibliography. The Andhakas affirm that *vipāka* is a *vipākadhamma*: retribution admits of a new retribution (*Kathāvatthu*, vii.10); the Rājagirikas and the Siddhatthikas, warranted by *Suttanipāṭa* 654, affirm: *sabbam idaṁ kammato*; the Theravādin are made to say that the murderer commits murder through retribution for murder: and Nirvāṇa becomes impossible (*Kathāvatthu*, xvii.3). The *Madhyamakāvatara*, vi.41, demonstrates that there is no new *vipāka* after *vipāka*. In the *Karmaprajñapti* (Mdo. 62, fol. 249b), Maudgalyāyana refutes the Nirgranthas who maintain that all sensation proceeds from previous actions (comp. *Majjhima*, ii.214): the sufferings of penance that the Nirgranthas impose upon themselves are, say the Nirgranthas, "retribution": "According to you, one experiences the retribution of a new retribution." "Do you admit purification, deliverance, Nirvāṇa?" "Yes." "Then do not say that a new retribution arises from retribution."

We have seen, iv.58, that *daurmanasya* and *cittakṣepa* are not retribution; but they can necessarily proceed from troubled elements (*mahābhūta*), which is retribution.

162. Here we have several words which I have not translated. According to Hsüan-tsang: *ch'ieh fei pi yü shih fa chieh teng* 且非譬喻是法皆等 = "The example is not *dharma*-totally-similar," and according to Paramārtha: *tz'u chung i-ch'ieh so-li-i-yü pi-i pu-pi hsi t'ung* 此中一切所立義與譬義不必悉同 = "Here the meaning established by all should not be totally parallel with the meaning of the example."

The Japanese editor puts this phrase in the mouth of Vasubandhu; the same for Stcherbatski, who translates: "The example may not fit in every detail. But even supposing it to be fitting, does it prove your tenet? Is new corn produced directly from old corn?"

Further, p. 1354 line 28 Hsüan-tsang, concluding his explanation of this example says: *ku yü t'ung fa* 故喻同法 : "Thus the example is parallel (*sadharma*)"; Paramārtha: *tz'u pi yü li-i-t'ung* 此譬與立義同 : "Thus the example is analogous to the established meaning," or "Thus this example confirms our thesis."

163. We follow the version of Hsüan-tsang. Compare the whole of the paragraph of the *Bodhicaryāvatāra*, 472-473.

Vyākhyā: *viklittiviseṣajād iti bhūmyudakasambandhāt phalasya sūkṣmo vikāro viklittih / tasya viśeṣaḥ / sa evātiprakṛtṣaḥ / tasmā jātō vikāraviseṣaḥ / tasmāt phalāntaram utpadyate / kīḍṛśād vikāraviseṣād iti darśayann āha / yo hi tatra bhūtaprakāro 'ṅkuram nirvartayati sa tasya bijam iti tasyāṅkurasya bijam nānyo bhūtaprakāro na pūrvabijāvastho bhūtaprakāra ity arthaḥ // bhāvinyā tu samjñayeti / odanam pacati saktum pīnaṣṭīti yathā bhāvinyā samjñayā vyapadeśaḥ evam pūrvako 'pi samtāno aviklīnabijāvastho bijam ity ākhyāyate / bhāvinyā nayā samjñayeti / sādṛśyād veti viklittiviseṣajena bhūtavikāraviseṣeṇa sādṛśaḥ sa pūrvakaḥ samtāna iti kṛtvā bijam ity ākhyāyate /*

164. On *vipākaja*, see i.37 English trans. (p. 103), ii.10, 53b (p. 270), 54c (p. 275), 57a (p. 288), 71b (p. 315), iv.11.



b. *iyam nirātmata / nirvāṇam eva puram nirvāṇapuram / tasyaikā vartanīti nirvāṇapuraikamārgo nānyo mārga ity arthaḥ // tathāgata evādītyo gambhīradharmāva-kāśakatvād ādityabhūtas tathāgataḥ / tasya vacāṁsi / tāny evāṁśavaḥ / tair bhāsvatī ālokavati tathāgatādītyavacomśubhāsvatī // āryānām sabasair vāhitey āryasahasravāhitā // vivṛtā samitā // imām nirvāṇapuraikavartanīm tathāgatādītyavacomśubhāsvatīm vivṛtām api nirātmatām prajñācakṣuso viśadasyābhāvād avidyākośapaṭala-paryavanaddha-netratvād vā mandacakṣus tīrthiko vātsīputriyo vā neḥṣate /*

*trayaś ceha mārgagunā varṇyante / tadyathaikāyanatā abhipretadeśaprāyaṇāt / sālokatā yato nihṣaṅko gacchati / yātānuyātātā ca parimarditasthānukāntakādītvād yena sukham gacchati / tatsādharmyeṇeyam nirātmata vartanī draṣṭavyā // caturbhiś ca kāraṇair mārgo na vidyate sa ( - - ) tamaskatayā / prakāśito'py ādītyena avāhitatayā / bahupuruṣavāhito'py āvṛtatayā vivṛto'pi draṣṭur mandacakṣuskatayā / teṣām ihaikam eva kāraṇam asya mārgasyādarśana uktam / yato draṣṭrdoṣeṇaivāyam mārgo na dr̥ṣyate na mārgadoṣeṇeti yata eṣa mandacakṣur etām na paśyatiṭi avagantavyam /*

c. *iti digmātram evedam iti sarvam iti yathoktam / dig eva digmātram / evakārārtho mātraśabdah / dik pramāṇam asyeti digmātram iti vā / mahato'bbhidharmasāstrād alpam idam upadiṣṭam / mayeti vākyaśeṣah // keṣām / sumedhasām matimatām ity arthaḥ / tādarthye ṣaṣṭhi / kimvad ity āha / vṛṇadeśe viśasyeva svasāmarthyavisarpiṇa iti / yathā viśam svasāmarthyād vṛṇadeśam prāpya sarveṣu aṅgapratyaṅgeṣu abhyantarvisarpatīti matvā kena cit tasya vṛṇadeśaḥ kṛtaḥ katham nāmedam visarpatīti / evam sumedhasaḥ svasāmarthyavisarpitvād viśasthānīyā ity atas teṣām sumedhasām udghaṭitajñānām prajñānām idam upadiṣṭam mayā katham alpam granthena mahad abhidharmasāstram kāmīravaibhāṣikanītisiddham arthataḥ pratīpadyerann iti // apare punar vyācakṣate digmātram evedam itīdam eva nirātmāpratiṣedham adbhikṛtyoktam iti / kāmīravaibhā-ṣikanītisiddhaḥ prāyo mayāyam kathito'bbhidharma ity (viii.40) anenaivārthasyābhibhitvād iti.*

# I N D E X

(This Index contains references to items in the text of the *Abhidharmakośabhāṣyam*, as well as to significant entries in the Footnotes.)

## A

- abandoning (*prahāṇa*), 111-3, 157, 173-4, 209-10, 213-4, 217, 220, 227, 235, 263, 273-4, 282-3, 285, 290, 702, 859, 964-5, 906, 937-8, 944-5, 951, 978, 981, 983, 985, 990, 992-4, 1006, 1015, 1020-1, 1023, 1028, 1037-9, 1094, 1112, 1125, 1146-7, 1219, 1233, 1237, 1258, 1273, 1329, 1331, 1348; abandoning of defilements, 772-6, 779, 783-4, 786-9, 792-5, 804-5, 820-6, 828, 836-40, 844-6, 853-6, 860-4, 866-7, 872n., 890-2n., 895, 918, 929, 940, 950-1, 953-5, 957-8, 962-4, 973-5, 992-3, 994-5, 1004-5, 1008-9, 1018, 1035-6, 1038-40, 1087, 1097-8, 1150, 1252, 1268; Path of Abandoning, 672-3, 705, 1021, 1268, 1273; see also Irresistible Path; Right Abandonings, see *samyakprahāṇas*.
- Ābhāsvara, 237, 375-6, 470, 477-8.
- abhāva*; see non-existence
- abhibhūvāyatanas* (dominant *āyatanas*), 87-8, 320, 1148, 1275-9.
- Abhidharma, 56-8, 99, 193-5, 207, 233, 243, 286, 391, 405, 410, 429, 577, 768-9, 772, 908-9, 1008-9, 1237, 1281-2, 1310-1n., 1335; Abhidharma Treatise, 86.
- Abhidharmakośa*, 55-6
- Ābhidharmikas, 80, 118, 130, 195, 255, 299, 357, 425, 439, 474, 605-6, 774, 791, 902, 903, 915, 941, 922, 1100.
- abhidhyā*; see greed
- abhiññā* (higher knowledge), 87, 300, 371, 392, 1332; result of higher knowledge (*abhiññāphala*), 315-8
- abhimāna*, 784-5.
- Abhimokṣas, 1137.
- abhinirvṛtti*; see re-existence.
- abhisamaya*; see comprehension.
- Absence of Contention; see Samādhi
- Absence of contention.
- absolute truth (*paramārthasatya*), 910-11, 1108.
- absorption (*samāpatti*), 66, 93, 98, 103, 129, 165-6, 170-1, 176, 178-9, 181, 189-91, 222-33, 236-7, 267, 270, 276, 290, 298-9, 305-6, 310, 325, 367-9, 376-7, 447-8, 562-4, 641-2, 655, 665-6, 672, 691, 694, 705, 762n., 771-2, 785, 800, 830, 852-3, 862, 913, 922, 925, 935-6, 941-2, 969, 975-6, 981, 984, 989, 999-1001, 1006-8, 1011, 1016-20, 1022-3, 1028, 1102, 1116, 1137, 1146, 1149, 1151, 1160, 1168, 1172-3, 1215-82 *passim*; faculty of absorption, 130, 135, 157, 159, 162, 167, 182; two absorptions, 206, 223, 228-30, 232-3, 235, 254,

- 301-2, 305; see also Ārūpya Samāpattis, *asamjñīsa-māpattis*, *nirodhasamāpatti*, *samādhi*, Vajropamasamādhi.
- abstention (*akaraṇaniyama*), 566-7, 609, 638.
- Ācārya, 309.
- accumulation (*aupacayika*). 103-5, 253-4, 289, 924.
- action (*karma*), 68, 73, 91, 103-4, 116-8, 165, 169-70, 173, 189-91, 197, 229, 233-5, 237, 275-7, 283, 289, 308-9, 372-3, 394, 399-404, 406-7, 413-5, 417-9, 422, 437-8, 551-708 *passim*, 767, 804, 807, 811, 813-4, 818-9, 848, 909, 937, 942-3, 961, 964-5, 971, 1010, 1025, 1113, 1148, 1176, 1180, 1217, 1223, 1225, 1280, 1331, 1334, 1340, 1342-3, 1346, 1349, 1351-3, 1355; bodily action, 65, 68, 71, 92, 112-3, 214, 275, 309, 552, 559, 561, 564-5, 568-9, 571, 574-5, 589, 618, 634-5, 638-9, 643, 655, 658, 671, 694-6, 700, 703, 707, 942, 1162, 1241, 1352; mental action, 441, 552, 559, 571, 634-5, 638-9, 685-9, 707, 942, 1163, 1352; vocal action, 65, 68, 71, 92, 112-3, 214, 275, 309, 552, 559, 561, 564-5, 568-9, 571-2, 574-5, 589, 618, 634-5, 638-9, 643, 653, 655, 658, 671, 681, 694-6, 700, 703, 707, 942-3, 1162, 1173-4, 1241, 1352; see also *avijñapti*, *mithyākarmānta*, Right Action, *vijñapti*.
- ādhārahetu* (supporting cause), 309
- adharma*, 555, 650, 1352.
- adhicitta śikṣa* (higher mental learning), 1217.
- adhicittam*, 978, 984.
- adhigama* (holiness), 1281.
- adhimāna*, 784-6.
- adhimokṣa*; see aspiration, intention.
- adhimukti*, 335-6n., 918-9, 921, 1268; see also approval, imagination.
- adhipati*, 263, 287, 303.
- adhipatiphala* (predominating result), 266, 279, 287-9, 291.
- adhipatipratyaya* (predominating condition), 263, 296, 303, 305-6, 308.
- ādhipatya* (indirect predominance), 287.
- adhiprajñām*, 978, 984.
- adhiśīlam*, 978, 984.
- adhiṣṭhāna*; see deeds.
- adultery, 641, 644, 648, 664-5, 667, 787.
- affection (*preman*), 87, 201-2, 835-6, 1113-4, 1146-7, 1338.
- Āgamas (Traditions), 249, 996, 1281.
- agent, 117-8, 256, 291, 578-80, 589, 591, 814, 1111, 1331, 1340, 1342-3, 1351-2.
- agradṛṣṭi*, 789
- abamkāravastu* (idea of self), 916; see also *satkāyadrṣṭi*.
- Airāvata*, 787.
- air*, 922-3.
- airyāpathika* (mind), 169, 315-6, 318-9, 925; see also mind.
- Ajātaśatru, 664.
- Ājīvika, 368-9
- Ājīvikas, 816.
- ājñā*, 163, 177, 184, 228.
- ājñāsyāmi* (pure organs), 183-4.
- ājñāsyāmīndriya*, 179, 182-4.
- Ājñāta, 592.
- Ājñātakauṇḍinya, 592.
- ājñātāvin*, 163, 184.
- ājñātāvīndriya*, 130, 157, 159, 162-3, 177, 181-2, 184
- Akaniṣṭhas, Heaven of, 366, 370, 467, 470-1, 967-9, 973, 975, 977, 979.
- ākāra*; see aspect.
- akaraṇasaṁvara*, 604

*ākāśa*; see *space*

Ākāśānantyāyatana, 366, 471, 863, 1220, 1226, 1244, 1249, 1271.

Ākiñcanyāyatana, 178, 237, 322, 366, 471, 954, 987, 1017, 1220, 1224, 1226, 1249, 1251.

Akopyadharmans (Immovable Ones), 979, 991, 999-1003, 1007, 1011-13, 1015-6, 1076-7n., 1132, 1149-50, 1152, 1261.

*akuśālamahābhūmikas*, 189, 195, 198-9, 850.

*ālambaka*, 304-5, 1117.

*ālambana*; see *object*.

*ālambanapratighāta*, 90.

*ālambanapratyaya* (an object as condition), 279, 296, 302-5, 1321.

alcohol; see *liquor*.

*alokasamīñā*, 851.

*amaravīrtaka*, 852.

Āmrāpālī, 381.

Anabhisamśkāraparinirvāyin, 966-7, 969-70.

Anabhrakas, 366, 469-70.

Anāgāmins, 178-80, 230, 331n., 386, 441-2, 628, 731, 690, 973-81, 985, 987, 994-5, 999, 1015, 1019, 1091, 1131-2; types of Anāgāmins, 966-81.

Anāgāmya, 178-80, 267, 321-2, 436, 585-6, 591, 716n., 792, 862-3, 936, 956, 981-2, 988-9, 1014, 1022, 1030, 1118, 1127, 1139, 1243, 1254, 1262, 1267-8, 1295-6n.

*anājñātamaññāsyaṁindriya*, 130, 157, 159, 162-3, 169, 171-2, 174, 177-8, 181.

Ānanda, 402, 784, 904, 1335.

*ānantaryamārga*; see *Irresistible Path*.

*ānāpānasamīra*, see *breathing*, *mindfulness* of.

*anapatrāpya*; see *fear*, *absence of*.

*anāsra*, see *Path*, *pure or transworldly*.

*anātman*; see *non-self*.

Anavatapta, Lake, 456.

ancient Masters, 231.

androgynes, 171, 940.

*Āṅgārakarsūpama*, 1009-10.

anger, 196, 198-9, 639, 659, 664-5, 667-9, 768, 772-4, 793-4, 798-9, 804-5, 837-8, 842-4, 849, 851-2, 961, 964, 995, 1101, 1106, 1111, 1150.

animals, 256, 365, 371-2, 381, 386, 397, 451, 460, 472-3, 477-8, 634, 642-4, 668-9, 679, 681, 693, 798, 1130, 1337.

*ānimittasamādhi*, 1256-60.

Aniruddha, the Sthavira, 677-8

*anīyatā*; see *impermanence*.

*anivṛtāvyaṁkṛta*; see *dharma*s, *undefiled-neutral*.

*anīyatas*, 196-8

annihilation, 776-7, 782, 827, 1335, 1337.

*antagrāhadṛṣṭi* (belief in extremes), 197-8 673-4, 772-3, 776-7, 781-2, 790-1, 797-9, 827, 836, 838-9, 1005, 1109, 1334-7.

*antaḥkalpas*, 472.

Antara, Gods of, 387.

*antarābhava* (intermediate existence), 106-7, 154, 236, 310, 371-3, 375, 381, 383, 385-8, 390-1, 393-4, 399, 438-9, 441-2, 628-9, 959, 962, 966, 970-1, 974-5, 979-80, 1160, 1176.

*antarakalpas*, 471-2, m 475-9, 682.

Antarāparinirvāyins, 386-8, 442, 966, 969-74, 979-80, 999.

*anulakṣaṇas*, (secondary characteristics), 112, 239-41, 257-9, 261.

*anumāna*, see *inference*.

*anupaśyanā*, 927.

*anuprāpti*, (secondary possession), 112, 218.

*ānupūrvaka*, 177-9, 868.



Anurakṣaṇādharmans, 979, 1000, 1002-4.  
anus, 158-60.

*anuśayas*; see defilements.

*anupādaññāna*; see Knowledge of non-Arising.

*anuvṛtti* (supporting cause), 568.

*anvayaññānakṣāntis*; see Patiences of Inferential Knowledge.

*anyonyathāva* (mutual difference), 808-9.

Aparāntakas, 598, 799.

apathy (*middha*), 196, 199.

*apatrāpya*; see fear.

Aparihāṇadharmans, 1007.

appearance (*prabhava*), 1113-4, 1259, 1343; aspect of appearance, 1110-3, 1115.

application, 947.

application of mindfulness (*smṛtyupasthāna*); see also foundations of mindfulness.

approval, 189-90; see also *adhimukti*.

*apramada*; see diligence.

Apramānābhas, 237, 366, 375, 470.

*Apramānas*; see immeasurables.

*apṛāpti* (non-possession), 206-7, 210, 212, 215-20, 254, 662, 938.

*apṛatisamṅkhyānirodha*, 59-61, 134-5n., 207, 21-3, 281, 575, 937, 1226, 12275.

aptitude; see *prasrabdhi*.

Ārāma, 918.

*araṇasamātti*, see samādhi Absence of Contention.

*arbuda* (embryo), 262.

Arbuda (Hell), 459, 473.

Arhantis, 690.

Arhats, 75, 114, 162, 165-7, 177, 179, 181, 184, 207, 219, 227, 230-1, 244, 293-4, 297, 301, 310, 314, 322-5, 409, 411-2, 441-2, 446, 448-9, 455, 473, 484-5, 563, 596, 601-2, 615, 628, 631-2, 636, 638,

657, 675, 679, 685-7, 689-90, 699, 828, 867-8, 923, 954, 961, 963-4, 975-6, 979-81, 983, 985, 991, 998, 1006-13, 1015, 1034, 1076-7n., 1090-1, 1124, 1134, 1136, 1149, 1165, 1176, 1249-50, 1261, 1335; Path of Arhats, 961, 997, 1017; types of Arhats, 999-1004.

arising, 60-1, 89, 109-111, 184-5, 188, 208-9, 218, 232, 252, 255-6, 258-60, 263-6, 271-2, 278-9, 281-3, 288-9, 291, 294, 296-8, 300-1, 304-9, 383, 385, 410-1, 413-7, 442, 568-9, 616-8, 677-8, 767, 769, 771, 820, 854-5, 858-9, 898, 901, 912-3, 915-6, 924, 930, 932, 935, 938, 941-2, 944-8, 965, 967, 976, 981, 1010, 1018, 1036-7, 1113, 1126, 1134-5, 1153, 1226, 1239, 1257, 1259, 1268, 1324, 1330-1, 1341, 1346, 1353; arising of absorptions, 1244, 1246, 1248, 1261-2, 1280; arising of conditioned *dharmas*, 238-50; arising of defilements, 826-8, 1247, 1275; arising of minds, 310-23; arising of *viññapti* and *avijñapti*, 552-6, 568-9; aspect of arising, 1110, 1112-3, 1115, 1258; see also Arising, Truth of.

Arising, Truth of, 76, 201-2, 778-9, 793, 821-2, 825, 836, 853-4, 860, 865, 896, 898, 908, 946, 997, 1039, 1089-91, 1094, 1110-3, 1115, 1125, 1127, 1258.

arrogance, 204, 596-7.

*arthābhijñā*, 653.

*Arthavargīya* (stanza), 70.

Ārūpya Samāpattis, 1151, 1159, 1244, 1249-53, 1256, 1262, 1273, 1280; see also Ārūpyas.

Ārūpyadhātu, 83, 93, 95, 106-7, 173, 176-7, 180-1, 183, 139, 202-3, 212-3, 215, 230, 234-7, 253, 256,

- 268, 270, 276, 365-9, 373, 377, 388, 391, 401, 433-6, 442, 471, 495, 572, 591, 616, 618, 620, 622, 628, 635, 659-60, 665-6, 668-9, 771-2, 774-5, 790, 793, 797, 822-3, 825, 829-30, 840, 861-2, 867-8, 902, 924, 945, 961, 969-70, 973-5, 979-80, 987, 999, 1015, 1090, 1096, 1107, 1118, 1121, 1151, 1160-1, 1219, 1221-6, 1241-2, 1245, 1247, 1252, 1271-3, 1275, 1277-80, 1284-5n.; description of Ārūpyadhātu, 366-8; types of mind in Ārūpyadhātu, 366-8.
- Ārūpyaga (var. Ārūpyopaga), 969-70.
- Ārūpyas, 87, 181, 267, 377, 388, 439, 572, 668, 793, 849, 863, 936, 956, 969, 981-2, 986-9, 1015, 1017, 1022, 1030, 1118, 1129, 1139, 1148, 1159, 1215, 1219-27, 1229, 1272-4, 1278, 1286-7n.; see also Ārūpya Samāpattis.
- ārūpyāyatanas, 88, 378.
- Āryamārga; see Path of Seeing.
- Āryans, 184, 207, 209-10, 216-7, 220, 224-6, 228-9, 273-4, 280, 284, 322, 331n., 377-8, 450-1, 466, 483, 585, 587-8, 591, 603-6, 611, 617, 621, 628, 634, 657, 668, 683, 696, 700, 708, 775, 783-4, 786-8, 865-8, 900-5, 915-6, 934, 937-8, 944, 968, 973-4, 984, 986-7, 1005, 1009, 1012, 1031-3, 1042n., 1113, 1123, 1149, 1156-8, 1162-3, 1221, 1243, 1251, 1254, 1274-5, 1279, 1281, 1325, 1343; Path of the Āryans, 216, 863, 957, 987; Truth of the Āryans, 898, 901, 1042n.; types of Āryans, 1016-8.
- Āryapudgalas, 164, 985.
- Aśaikṣas, 114, 310-1, 314, 316-9, 325, 585, 983-4, 991, 1014, 1019, 1038-9, 1130, 1133, 1243, 1259; quality of *aśaikṣas*, 638.; *aśaikṣa dharmas*, 213, 675-6, 1003, 1013, 1017, 1020; Aśaikṣa Wisdoms, 1164-6; Path of Aśaikṣas, 162-3, 268, 961, 997-8, 1003, 1013, 1017, 1020.
- Asamayavimukta, 165, 167, 269, 1017, 1020, 1091, 1124, 1133, 1250.
- āsamijñika (unconsciousness), 206, 221, 223-5, 228-9, 232-3, 254, 377, 1227.
- asamijñīsamāpatti (absorption of unconsciousness), 68, 222-30, 235-6, 275, 305, 377, 574, 678.
- Asamjñīsattvas (Unconscious Ones, Unconscious Gods), 88, 221-4, 228-9, 232, 377-8, 620, 668, 679, 681, 924, 939.
- Asamkhyeya Universe, 1178.
- asamkhyeya kalpas, 479-80, 692-3, 755n., 1147.
- asamprajanya, 194.
- asamprakhyaṇa, 421.
- asamśkṛtas; see dharmas, unconditioned.
- asamvara (var. *asamvarastha*) (undisciplined), 580, 587, 590, 594-5.
- āsaya; see intention.
- asceticism; clinging to asceticism, see *śīlavratapādāna*; esteeming of asceticism, see *śīlavrataparāmarśa*.
- ascetics, 119, 161-3, 177-8, 223-4, 226, 229, 232, 310-1, 320-2, 397, 442, 562, 814, 817, 820, 865, 897, 913, 916, 918-20, 922-3, 928, 931-2, 936, 938, 940, 947-8, 952-6, 958-9, 981, 985, 988-9, 991, 998, 1004, 1026, 1028, 1039-40, 1092-4, 1108, 1110, 1123-6, 1128-9, 1131-2, 1134-5, 1153, 1159-60, 1162, 1169-70, 1175, 1193n., 1217, 1220, 1224, 1227-8, 1232, 1234, 1236, 1243-4, 1248-9, 1253, 1257, 1260, 1262-3, 1266-9, 1274-5, 1278, 1325, 1344-5.

- Aśibandhaka, 692.  
 Asipattravana; see *utsadas*.  
*asmināna*, 422, 784-6.  
*asmitā*; see egotism.  
 aspect (*ākāra*), 206, 847-8, 902, 920, 922-3, 947, 981-2, 990, 996-7, 1091, 1096-7, 1098-9, 1143-4, 1257-60, 1264-6; sixteen aspects, 930-2, 951, 993-4, 1021, 1098-1117; aspects of the Four Truths, see *satyākārapravṛtta*.  
 aspiration, 955, 1035.  
*āsraddhya*; see disbelief.  
*āsravas*; see cankerous influences.  
*āśraya*; see support.  
 associated cause; see *saṃprayuktahetu*.  
 assurances (*vaiśāradya*), 1141-2.  
*āsūbhā* (loathsome things), 197, 320-1, 916-21, 925, 941, 1264, 1271-2, 1275.  
*āsvādanasaṃprayuktadhyāna*, 771.  
 Aśvajit, 199, 572, 846.  
 Aśvakarṇa, 452.  
*Āsvalāyanasūtra*, quoted, 386.  
 Aśvatara, 473.  
 Atapas, 366.  
 Aṭaṭa Hell, 459.  
*ātmabhāva*, 910, 916.  
*ātmadṛṣṭi* (idea of self), 789-90, 1005; see also *satkāyadṛṣṭi*.  
*ātman*, 108, 197, 399, 416, 418-9, 781, 1004, 1113, 1333-4, 1336, 1342, 1344.  
*ātmātmīyagrāha*, 777.  
*ātmadrṣṇā*, 789.  
*ātmavāda*, 418-9.  
*ātmavādopādāna*, 829, 832.  
*ātmaviparyāsa* (error with regard to the self), 781.  
 atoms (*paramāṇu*), 65, 70-1, 79-80, 101, 120-4, 148-9n., 184-8, 191, 332-3n., 474, 491-3, 557-8, 687, 816, 911, 926.  
 attachment, 201, 241, 401-2, 404, 406-9, 418-9, 635, 671, 768-72, 776, 863, 867, 910, 915, 929-30, 1005, 1272, 1349, 1353; attachment to existence, see *bhavarāga*; attachment to pleasure, see *kāmarāgānuśaya*; see also *saṃyojanas*, -wrapping of attachment.  
 attention, 189-90, 192-3, 922-3, 927-8, 1314; acts of attention, 320-3, 918-20, 928, 1320, 1324, 1339.  
*Aṭṭhakavagga*, 70.  
*Atthasālinī*, 842.  
*auddhatya*; see dissipation.  
*aupadhika puṇyakriyāvastus*, 698.  
 Uttarakauravas, 469.  
*avarabhāgīyas*, 861, 961, 965, 995; see also cankerous influences.  
 Avaraccāmaras, 455.  
 Avaragodāniya, 365, 455, 461, 469-70, 477-8, 490, 662.  
*āvaranapratighāta*, 90-1.  
*āvaranās*; see obstacles.  
 avarice, 835, 837, 842-3, 850.  
*avasthānyathātva* (difference in condition), 808-9.  
*avetyaprasādas*, 1031-4.  
*āvenīkadharmas*, 603.  
 aversion, 835, 844, 849, 852.  
 Avīci Hell, 365, 388, 456-7, 472, 682, 688, 834, 900.  
*avidhaugha*; see views, flood of.  
*avidyā*; see ignorance.  
*avidyāyoga*; see ignorance, yoke of.  
*avijñāpti* (non-informative action), 63, 67-8, 70-4, 100, 112, 136-7n., 214, 252, 366, 552, 559-72 *passim*., 575, 580, 583-5, 587-91, 613, 618, 638, 641, 643-4, 647, 652-3, 655, 666, 682, 686, 704, 802.  
*avinipātadharman*, 961.  
 Avṛhas, 366, 977.  
*avyākṛtavastu* (undefined points), 800.

*avyakṣas*, 691.  
 awareness, 1142-3, 1229-30, 1238.  
*Ayaḥśalmalivana*; see *utsadas*.  
*Ayaścakravartin*; see *Cakravartins*.  
*āyatanas*; see organs.  
*ayogavibhīta* (improper action), 677.  
*ayoniśomanaskāra*; see judgment, wrong.  
*ayuhśamśkāras*; see vital energies.  
*āyus* (life), 167, 233-6, 254.

## B

*Babudhātuka*, 88.  
*bālas*; see powers.  
*bandhanas*; see bonds.  
 beings; apparitional beings, 174-6, 214, 380-2, 397, 1330; living beings, 65, 154, 158, 188, 207-9, 219-21, 253-4, 287-9, 607-12, 648, 680, 801, 842, 924, 1266-8, 1270, 1324, 1352; see also fictive beings, intermediate beings.  
 Benares, Sermon of, 997.  
 Bhadanta, 78, 120, 140-1n., 565, 681, 1140.  
 Bhādrapada, 461.  
 Bhadravargas, 592.  
*bhājanaloka*, 451.  
*Bhāṣyam*, quoted, 1045-6n.  
*bhautikas*; see elements, derived.  
*bhava*; see existence.  
 Bhavāgra, 225, 227, 229, 236-7, 294, 305, 322, 366, 377-8, 380, 471, 628-9, 689, 775, 792, 834, 865, 900, 954, 956, 967, 969, 980-3, 985-8, 1013, 1031, 1036, 1091, 1117, 1130-1, 1145, 1153, 1156, 1220, 1227, 1242, 1247, 1250-1, 1274.  
 Bhavāgraparama, 960, 969, 973.  
*bhāvanā*; see cultivation, meditation.  
*bhāvanāmārga*; see path of Meditation.

*bhāvanāmayī* (wisdom of meditation), 912-13, 1122.  
*bhāvanāphala*, 295.  
*bhāvānagas*, 407, 437.  
*bhāvānyathāva* (difference in existence), 808.  
*bhavarāga* (attachment to existence), 768-9, 771-2.  
*bhāvāsrava*; see existence, *āsrava* of.  
*bhāvātṛṣṇā* (thirst for existence), 787-8.  
*bhavaugha*; see existence, flood of.  
*bhavayoga*; see existence, yoke of.  
*bhikṣuṇīs*, 562, 581, 584, 592, 652, 714-15n.  
*bhikṣus*, 165-6, 168, 219, 228-9, 285, 368-9, 485, 562, 564, 581-4, 588, 592, 599, 600, 602-3, 608-9, 614-16, 630-1, 655-6, 682-4, 714-15n., 959, 961, 1010, 1035, 1218, 1331; see also monks.  
*bhūmis*, 126-9, 189, 223, 263-4, 267-8, 273, 366, 371, 429, 438-9, 447, 468, 571, 775, 792-3, 795-7, 849, 854, 979, 988, 1091, 1117, 1127, 1261, 1280; see also spheres.  
*bhūtas*; see elements.  
*bhūyovītarāga*, 177-8, 956, 958, 985.  
*Bimbisārasūtra*, 1325.  
 birth (*upapatti*), 222, 248, 380-1, 383, 385, 394, 400-1, 407, 412, 419, 442, 447-8, 465-6, 677-9, 691, 909, 924, 959, 966-7, 969-70, 973-4, 977, 1180, 1242-3, 1246, 1324, 1330-1; a good mind acquired through birth, 314-320.  
 Blessed one (*bhagvat*), 55-6, 58, 64, 70, 73, 87, 118, 158, 164-5, 168, 186, 216, 221, 226, 231, 233, 236-7, 241-2, 244, 247, 253, 286, 300, 379, 386, 394, 399, 405, 407, 409, 411-2, 415-7, 419, 429, 439, 441, 443, 450, 457, 464, 473, 485, 560,

- 575, 578-9, 586, 592-4, 596, 599, 603, 606, 614-5, 621-2, 636, 645, 650-1, 654, 671-2, 678, 684-5, 688, 692-4, 698-9, 704, 772, 777, 782, 797, 802, 806-7, 813-4, 818, 829, 833, 838-40, 904, 907, 909-10, 915, 927-8, 973, 984, 995, 1006-7, 1018, 1033, 1099, 1140, 1143, 1147-8, 1218, 1225, 1237, 1239, 1263, 1280, 1321, 1323-4, 1327-31, 1333, 1335-8; see also Buddha.
- bliss, 976, 1006-7, 1011-2, 1074n., 1115.
- blood, 99, 381-2, 385, 395-6.
- Bodhi, 191-2, 226-7, 321, 430, 480, 483, 485, 597-8, 692, 694, 705, 941, 1023, 1036, 1087, 1281; see also *bodhipakṣikas*, *bodhyaṅgas*.
- bodhipakṣikas* (adjutants of Bodhi), 87, 1016, 1022-31, 1080-1n.
- Bodhi Tree, 168.
- Bodhisatta, 226-7, 236, 381-2, 390-1, 446, 455, 473, 480-1, 601, 689-94, 699-700, 817-8, 940, 1032, 1136, 1176, 1179-80, 1269; marks of the Bodhisattva, 691-2, 706, 753-4n.
- bodhyaṅgas* (parts of Bodhi), 1023-4, 1027-9, 1031, 1104, 1234.
- body, 83, 85, 99, 101-2, 123, 126-9, 160, 166, 173, 191-3, 229, 231, 233, 235, 256, 372, 382, 444, 448-9, 491-4, 551-2, 559, 565-7, 571, 584, 638-41, 649-50, 804, 842, 908, 913, 918-9, 922-9, 977-8, 1025-6, 1119, 1136, 1147, 1159, 1162, 1168-9, 1221-2, 1226, 1232, 1234, 1240-1, 1270-1, 1276, 1318-9, 1331-3, 1343-4, 1346, 1349-51; the body of the Bhuddha, 143-4n., 1139-41, 1145.
- bonds (*bandhana*), 767, 830, 835, 840, 906, 950, 953, 961, 965, 979-80, 994, 1017, 1034, 1146.
- bones, 382, 918, 1174.
- Brahma, 104, 165, 173, 374-5, 646, 790-1, 846, 995; see also *brahmacakra*, *Brahmaloka*.
- brahmacakra* (Wheel of Brahma), 995.
- Brahmajālasūtra*, 418, 776.
- Brahmakāyikas, 237, 366, 374-5, 465, 470-1, 478, 968, 979.
- Brahmaloka (world of Brahma), 106, 199, 366, 374-6, 468-9, 476-8, 570, 573.
- Brāhmaṇas, 418, 691.
- brāhmaṇya*, 995.
- Brahmapārisadyas, 471.
- brāhmapuṇya* (Brahmin merit), 706, 763n.
- Brahmapurohitas, 222, 237, 366, 470-1, 478, 706, 980.
- Brahmasūtra*, 485.
- Brāhmins, 165, 386, 485, 488, 646, 777, 1145, 1324-5, 1327.
- breathing, 921-2, 924, 1239; mindfulness of breathing, 916-7, 921-5.
- Bṛhatphalas, 222, 366.
- Buddha, 55, 58, 66, 86, 143-4n., 216-7, 226, 229, 236-7, 269, 382, 388, 398, 455, 481-3, 495, 578, 592, 601-3, 631, 634, 651, 684-5, 700, 704, 941, 948-9, 961, 976, 993, 1011, 1016, 1031-3, 1093, 1125, 1137-49, 1151, 1154, 1157, 1162, 1166, 1168, 1173, 1177-8, 1200n., 1323-8, 1332, 1334-5, 1345; see also Blessed One, Buddhas.
- Buddhadeva, Bhadanta, 100, 145., 809.
- Buddhaghosa, 654.
- Buddhas, 132n, 217, 236, 300, 370, 484-7, 578-9, 601, 610-1, 684-5, 691-3, 940-1, 1015-6, 1269, 1282, 1328, 1334, 1338, 1355.
- buddhi*; see intelligence.
- Buddhism, 819, 1313.
- Buddhists, 491-4, 922, 1352.

## C

- Caitra, 1340-2.  
 Caitraratha, 463.  
*caitta*; see mental series.  
 Caityas, 696, 702-3.  
 Cakravāda, 452-4.  
 Cakravartins, 208, 236, 382, 394, 398, 473, 484-7, 656-7, 698, 1140, 1176.  
*Cakravartīsūtra*, 208.  
*cakṣurabhijñā* (supernormal seeing), 214.  
*cakṣurāyatana*; see sight, organ of.  
*cakṣurdhātu*, 76, 99.  
*cakṣurvijñānadhātu*, 74.  
 calmness (*śamatha*), 705, 852-3, 925, 978, 1021-2, 1103, 1151, 1218, 1227, 1229; aspects of calmness, 1111-2, 1114-6.  
 Cāmaras, 455-6.  
 cankerous influences (*āsravas*), 829-31, 833-5, 861, 983, 991, 1009, 1134, 1143; destruction of the cankerous influences, 1138-9, 1157-8, 1163-7; see also *avarabhāgiyas*, Destruction of the Āśravas.  
 Canura, 1140.  
 Cāru, 381.  
 caste (*jāti*), 219, 404, 406, 1145.  
 categories, 263-4, 270, 273, 290, 789-20, 795, 824, 950, 956-7, 1117, 1121, 1156, 1226, 1255, 1269, 1318, 1320, 1345; categories of Anāgāmins, 970, 972; categories of Bhavāgra, 981-3; categories of defilements, 821, 835, 839, 855, 895, 953-6, 958, 962-5, 979-81, 985, 987, 993, 995, 1036, 1097.  
 Cāturmahārājakāyikas, 365, 462, 465, 467, 469, 471-2, 476.  
 causality, 257, 261, 779.  
 cause (*hetu*), 60-1, 81, 104-5, 247-8, 304-9, 406-7, 410-2, 416-7, 427, 552, 574-5, 660, 776, 778-9, 789, 797, 801, 811, 813, 859, 865-6, 896-7, 902-3, 907-10, 982, 1008-9, 1021, 1096-7, 1113-4, 1151, 1155, 1223, 1225, 1259-60, 1260, 1275, 1313-4, 1316, 1321, 1323-5, 1340-6, 1350-3; aspects of cause, 1110, 1112-3, 1115; cause of defilements, 828, 1269; cause of destruction, 552-6; perfection of cause, 1146-8; six causes, 254-96, 352-3n.  
 cause as condition; see *hetupratyayatā*.  
 certitude; see *niyāma*.  
*cetanā*; see volition.  
 Cetanādharmans, 979, 1000-4.  
*cetanākarma*, 559.  
*cetanākṛta*, 571.  
*cetayitvā karma*, 559.  
*chanda* (desire for action), 104, 189-90, 419, 1113, 1352.  
*chandarāga*, 282, 419, 833.  
 characteristics (*lakṣaṇas*), 112, 206, 254, 257-9, 275-6, 302, 305, 320, 782, 806, 808-10, 813, 837, 912-3, 925, 944, 947, 1089, 1093-4, 1098-9, 1103, 1107, 1112, 1257, 1268, 1316, 1339; absence of characteristics, see *ānimittasamādhi*; characteristics of conditioned *dharma*s, 238-50; characteristics of results, 288-91.  
 cheating, 844, 846, 850.  
 chiliocosm, 468-9.  
*Cinq centes contes*, 595.  
*cintā*; see reflection.  
*cintāmayī* (wisdom of reflection), 912-3, 1122.  
*citta*; see mind.  
*cittadhātavaḥ*, 92.  
*cittadhātus*, 91.  
*cittaiśkāgratā*, 1229, 1231.  
*cittasamanantara*, 301.  
*cittaviparyāsa* (error of thought), 782-4.

*cittaviprayukta saṁskāras*; see *saṁskāras*, *cittaviprayukta*.

clinging; see *upādāna*.

co-existent cause; see *sahabhūhetu*.

co-existent item; see *sahabhū*.

collision; see striking.

color (*varṇa*), 64-7, 69, 71, 86, 89, 99-100, 187, 256, 280, 427-8, 556-8, 625, 697, 917-8, 920, 1159, 1175, 1178, 1264, 1315, 1318-21, 1332, 1347.

companions of the mind, 257-9.

compassion (*karuṇā*), 691, 693-5, 702, 1136, 1143-5, 1264-6, 1268, 1270; absorption of compassion, see *maññi-samāpatti*; Meditation of Compassion, 695, 702, 1266.

comprehension (of the Truths), 227, 897-8, 943, 946-50, 996, 1031, 1033, 1057-8n., 1125-8, 1262-3.

concept (*vikalpaviśeṣa*), 171.

conception, 175-6, 234, 325.

conditioned things; see things, conditioned.

conditions (*pratyayas*), 255, 279, 360-1n., 414, 808-10, 1114, 1259, 1321, 1324, 1345-6, 1351, 1354; conditions as aspect, 1110-4; four conditions, 296-325.

conduct, 583, 590.

conjunction (*samyoga*), 1346-7.

connection, 926-7.

consciousness (*viññāna*), 61, 63-7, 73-4, 76-80, 82-5, 88-9, 93, 95, 98, 100-1, 113, 122-3, 125-9, 153, 156, 190, 192, 204-5, 231, 233-4, 279, 281-2, 284, 300, 372, 377-80, 397-9, 401-2, 404, 406-7, 415-9, 422-3, 428-30, 440-1, 444, 447-9, 586, 801-2, 807, 815-7, 821-5, 849, 909, 978, 991, 1026, 1122, 1151, 1222-3, 1225, 1230, 1240-1, 1277, 1321, 1323-5, 1328, 1342, 1344-5, 1347-8,

1350, 1352; bodily consciousness, 1240-1, 1275, 1318; five sense consciousnesses (*viññānakāyas*), 60, 71, 75, 79, 89, 95, 97, 112, 114, 124-5, 131, 156, 160-1, 191, 287, 315, 578, 633, 665-6, 805, 822, 849, 1088, 1231, 1240, 1257, 1313-4, 1322; object of consciousness, 238, 241-2, 286, 302, 767, 818, 823; six consciousnesses, 74-5, 95, 107-8, 111, 114-8, 124-5, 129-30, 165, 847, 1318-9, 1322; see also hearing consciousness of; mental consciousness, sense consciousness, smell, consciousness of; taste, consciousness of, touch, consciousness of; visual consciousness.

Consecutive Knowledge (*anvayañāna*); see Inferential Knowledge.

consequences (*anubandha*), 769.

contact (*sparśa*), 101, 111, 121-2, 169, 189-90, 195, 231, 401, 403-4, 406-9, 423-6, 427-30, 440-1, 444, 624-5, 697-8, 917-8, 928, 1232, 1264, 1323-4, 1347.

contentment, 914-5.

continents; see *dvīpas*.

Conventional Knowledge (impure knowledge), 1088-9, 1091, 1094-7, 1101, 1117, 1120-31, 1133, 1137-8, 1143, 1149-50, 1153, 1158.

conversation (*prātibhārya*), 1166-7.

corruption (*kaṣāya*), 373, 482, 634-5.

cosmic ages; see kalpas.

cosmic periods (*prāthamakālpikas*), 381-2, 394, 440, 1225.

craving (*rāga*), 273, 369, 404, 419, 421, 488, 770-1, 917-8, 961, 1035, 1038, 1040, 1099-1100, 1105-6, 1111, 1150, 1264, 1275; see also desire; *kāmarāga*; lust.

creation; see *nirmāṇacitta*, and *nirmita*.

crookedness (*kaṇṭhīya*), 634-5, 844, 846,

850.

*Cullavagga*, 459.

cultivation (*bhāvanā*), 191, 328n., 705, 911-2, 921-2, 948, 977, 1002, 1013, 1016, 1025, 1102, 1104, 1116, 1128-30, 1131-6, 1146, 1156, 1161-2, 1194n., 1233, 1242-3, 1249, 1272, 1279-80; cultivation of absorption, 1262-3, 1299n., cultivation of the immeasurables, 1268-70; types of cultivation, 1135-6; see also meditation.

## D

*daṇḍas*, 688-9.

Daridra, 1325.

*darśanamārga*; see path of seeing.*darśanātmika*, 117.

Dārṣṭāntikas, 262, 563, 626, 639, 1140, 1232-5.

*Daśāśaikṣadharmasamanvāgamasūtra*, 208.*Daśottara*, quote from, 1008.*daurmanasya-vedanīya*, 169.

death, 168, 176-7, 222, 235-7, 243, 347-8n., 382-3, 385, 391, 401, 404, 406-7, 412, 438-9, 443, 447-50, 473, 613, 616, 617, 641, 800, 924, 937, 992, 1012, 1246-7, 1257, 1335, 1353.

deception (*māyā*), 196, 199.deeds (*adbhiṣṭhanas*), 700-1, 704, 1324, 1351.

defilements (*āsravas*), 55, 57-8, 60, 62-3, 82-3, 112-3, 133-4n., 154-5, 157-8, 163, 167-8, 177-80, 196-8, 200, 203, 209-11, 218, 227, 280-4, 290, 299, 320, 373, 375, 379, 387, 399-400, 402-3, 406-7, 420-1, 437-9, 450, 589, 618-9, 629-31, 637, 639, 672-3, 678-80, 705, 707, 790, 872n., 892n., 895, 910, 918, 929,

938-40, 949, 953-8, 962-5, 971, 973-6, 979-81, 985-8; *anuśayas*, 112, 372, 767-893 *passim.*, 967, 974, 981; *kleśas*, 218, 375, 402, 406, 437-8, 704, 707-70, 776, 780, 787, 796, 804-6, 819, 828-9, 839, 841, 843-56, 858-61, 863-5; *upakleśas*, 198, 200, 218, 619, 704, 767, 769-70 830, 835, 841-51.

definitive release, aspect of, 930, 932, 1111-2, 1115-6.

Dehas, 455.

dejection, 847, 849.

deliverence (*vimukti*), 88, 158-9, 223-5, 229, 285-6, 573, 585, 672, 707-8, 771, 778, 780, 797, 839, 905, 915, 942-3, 979, 990, 992, 1000-1, 1006-8, 1011-2, 1016-8, 1034-8, 1102, 1109, 1116, 1142, 1147, 1158, 1224, 1258-9, 1338; see also Path of Deliverence; *nirodha-vimokṣa*; *vimokṣas*.

delusion (*moha*, *mūḍhā*), 798-800, 960, 964, 994, 1100-1, 1105, 1111.

demons, 458, 663-4, 681.

dependence, 406, 409-10, 412.

dependent origination; see *pratītya samutpāda*.

desire (*tṛṣṇā*), 88, 95, 101, 104, 175, 195, 274, 292, 401, 403-4, 407-9, 418-9, 421, 466, 645-6, 648, 770-4, 787, 789, 795-6, 799, 838, 843, 905-6, 908-10, 913-7, 929, 961, 983, 1005, 1025, 1028, 1035, 1113-4, 1228, 1251, 1264-5, 1323, 1328-9; absence of desire, 1272, 1277-8; attachment to desire, see *kāmarāgānuśaya*; desire for action, see *chanda*; desire for deliverence, 943; sensual desire (*kāmacchanda*), 657, 838, 851-2, 961, 1008-9, 1129, 1218; see also thirst.

destruction (*nirodha*), 258, 281, 570,



- 860, 865, 983, 992-3, 1038, 1087, 1135, 1141, 1352-3; destruction of attachment, 863, 867; destruction of defilements, 852, 858, 1251-2' destruction of skandhas, 852, 1111; destruction of the universe, 489-91, 494-5; cause of destruction, 552-6; see also Knowledge of Destruction.
- Destruction of the Āsraṇas, 1138-9, 1157-8, 1163-7.
- detachment (*vairāgya*, *vītarāga*), 154, 180, 208, 226, 274, 283, 285, 293, 323, 325, 447, 630, 687-8, 776, 866-8, 903-4, 920, 922, 938, 954, 956, 974, 979-80, 985-6, 988-9, 1015, 1017, 1038-9, 1122-3, 1128-34, 1145, 1156-7, 1161-2, 1171, 1193n., 1242-3, 1254, 1268, 1279.
- Devadatta, 379, 664, 776, 1342-3, 1351.
- Devakulāṅkula, 963.
- devas*, 386.
- devotion, 702-3.
- Dharmapada*, quoted, 992.
- Dharma, 57, 164, 166, 376, 483, 555, 600-2, 683, 688, 704, 706, 802, 931, 973, 1031-3, 1145-7, 1162, 1352.
- Dharma Knowledge (*dharmajñāna*), 792-3, 853, 864-5, 943-6, 982, 994, 1039-40, 1089-93, 1095-8, 1101, 1116-21, 1123, 1125, 1128-30, 1138, 1153, 1158, 1189n., 1245, 1252; Dharma Knowledge of Extinction, 866, 946, 981, 1123, 1130; Dharma Knowledge of Origin, 946, 1039; Dharma knowledge of suffering, 268, 271, 945, 1039, 1123; Dharma Knowledge of the Path, 866, 946, 981, 1123, 1130.
- dharmadhātu*, 73-4, 76, 92, 91-2, 96, 98, 100, 104-5, 109, 113-4, 129, 131.
- Dharmadinnā, 592.
- Dharmaguptakas, 117, 614.
- dharmajñānakṣāntis*; see Patiences of Dharma Knowledge.
- dharmakāya*, 1145-6.
- dharmajñāna*; see Dharma Knowledge.
- Dharmānusaṁsāra, 269, 473, 952-6, 1016-8.
- dharmapracicaya* (investigation into *dharmas*), 597-8, 1024, 1027.
- dharmas*, 56-61, 69-70, 73, 76, 80-1, 85-6, 89, 91, 96-7, 101-2, 105, 108, 112-4, 116-7, 125, 130, 133-4n., 154-5, 158, 165, 167, 174, 185, 189-90, 193-5, 200, 202-4, 212-3, 216-7, 220, 255-9, 261-7, 269-83, 286, 288-91, 293-306, 308, 322, 366-7, 369, 372-4, 377, 379-80, 383, 385, 399-401, 404-6, 409-14, 416, 421-5, 427-9, 431, 434-7, 439, 443, 453, 474, 481, 554, 557-9, 561, 563, 565, 567, 569, 573-9, 589-90, 601-3, 616-7, 624, 636-7, 654-5, 672-6, 678, 680-1, 689, 707-8, 785, 787, 796-7, 802-3, 806-13, 815-7, 820-4, 834-6, 841, 851-3, 856, 858, 896-7, 899-900, 904, 908, 910-1, 922-3, 925-7, 929-32, 934-5, 940, 943-4, 946-8, 952, 957, 977, 984, 990, 992, 1008-9, 1012, 1016, 1023, 1027-8, 1031, 1038-9, 1042-3n., 1053n., 1109-10, 1117, 1119-22, 1127, 1134, 1136, 1142-3, 1151, 1153, 1159, 1162, 1170, 1178, 1216-7, 1228, 1233, 1235-7, 1239, 1252, 1259, 1261, 1272, 1278, 1280-1, 1319, 1323-7, 1331, 1333, 1338; conditioned *dharmas*, 58, 61-3, 73, 76, 78, 82, 184, 188, 208, 232, 238-50, 254-7, 277-8, 283, 286, 291, 302-4, 320, 410, 417, 806, 812, 813, 824, 899-901, 908, 925, 967, 1252,

- 1257-8, 1273, 1318, 1320, 1324, 1330, 1344; unconditioned *dharmas*, 59, 73-4, 78, 81-2, 88, 96, 112, 185, 207, 213, 249, 252, 278-80, 283-4, 286, 302, 304, 811, 857-8, 967, 1252, 1318; undefiled-neutral *dharmas*, 112, 215, 254, 288, 572-3, 577, 589; *dharmas* not associated with the mind, 206-254, 768-70; *saṃskṛtas*, 232, 241-2, 1252, 1330; see also *dharmapracicaya*; Dharma Knowledge; Supreme Worldly Dharmas.
- Dharmaskandha*, 86; quoted, 372.
- Dharmasabhāgātā*, 219-20.
- Dharmasamgraha*, 87.
- dharmasīla*, 641.
- dharmaskandhas*, 86-7.
- Dharmasubhūti, Bhandanta, quoted 391, 458.
- dharmatā* (nature of things), 476, 961, 1280, 1807-8n.
- Dharmatrāta, Bhadanta or Sthavira, 58, 78, 140-1n., 422, 808.
- dharmāyatana*, 73-4, 81, 85-6, 88, 276-7, 315, 561, 566, 1221, 1323.
- Dharmila, 236.
- dharmopavicāras*, 431, 433-6.
- dhātugata*, 387-8.
- Dhātupāṭha*, 153.
- dhātus* (realms), 55-131 *passim.*, 167, 186, 219-20, 607-8, 1037-8, 1325, 1327.
- Dhātus, 314, 368-74, 387, 433-6, 439-42, 447, 468, 475, 571, 771-2, 774, 787, 789-90, 793, 797, 832, 835, 851, 895, 914, 963, 975, 988, 1002, 1107, 1112, 1121, 1137, 1143-4, 1222-3, 1276, 1279; see also Ārūpyadhātu, Kāmadhātu, Rūpadhātu.
- dhyāna*, 93, 119, 559, 563, 597, 688, 694, 771, 1176, 1179; discipline of *dhyāna*, 580-1, 585-8, 591, 607-8, 616-7, 620, 641, 666, 668, 695.
- dhyānāntara*, 96, 178, 267, 321, 623, 632, 792, 863, 920-1, 936, 981, 989, 1014, 1022, 1030, 1118, 1127, 1139, 1254-6, 1262, 1267, 1295-6n.
- dhyānāntarikā*, 222.
- Dhyānas, 83, 87, 96-7, 106-7, 126-7, 129, 160-1, 165-7, 178-82, 199, 203-4, 223-5, 229, 232, 267, 270-1, 290-1, 295, 300, 305, 321, 366, 369, 371, 374-7, 330, 388, 417-8, 429, 432, 434-6, 439, 446-8, 466, 468, 471, 476-7, 490, 494-5, 562, 568-9, 572-3, 585, 591, 620-4, 632, 637, 666, 668, 792-3, 797, 846, 849-50, 862-3, 896, 906-8, 920-1, 924, 936, 938, 941, 956, 966-9, 975-7, 979-82, 986-9, 1006, 1014-5, 1017, 1021, 1029-30, 1116, 1118, 1127, 1129, 1133, 1137-9, 1144, 1148-51, 1154, 1156, 1159-64, 1170-3, 1175-7, 1193n., 1215-20, 1222, 1224-5, 1227, 1229-42 *passim.*, 1245, 1249-50, 1252-3, 1256, 1262-3, 1267-9, 1271-2, 1275, 1278, 1288-9n.; see also absorption; *dhyāna*.
- Diamond Throne, 445.
- difference (*viśeṣa*), 221, 785.
- Dīgha*, 87, 423, 468, 804; quoted, 402.
- diligence (*apramāda*), 191, 194-5.
- Dīpa (Dīpaṅkara), 693.
- Dīrgha*, quoted, 376, 803-4; references to, 461, 487, 548n.
- disbelief (*āśraddha*), 193-5, 847.
- discrenment, 56-8, 116-8, 129, 130, 155, 157, 159, 162, 182, 189-90, 193, 662, 1094, 1116; see also *prajñā*.
- disciples, 685, 915, 1142, 1336.
- discipline (*saṃvara*), 105, 154, 260,

276, 567, 570, 580, 580-620 *passim*, 641, 585-6, 661, 665-6, 668-9, 972, 978-9, 1119, 1221, 1249; discipline of fasting, 593-601; two disciplines 257-8, 260, 309; see also Prātimokṣa Discipline.

disconnection (*viśamya*), 278-80, 290, 821, 858-9, 863, 865, 896, 949-50, 978, 986-8, 995-6; see also Nirvāṇa; *pratisamkhyānirodha*; *viśamya*.

discontent, 913-4.

discourse (*vākya*), 61-2, 250, 652, 655-6.

discrimination; see *prajñā*.

disgust, 855, 943, 973, 976, 999, 1038-9, 1260.

disjunction, 59-60; disjunction from defilements, 177, 179; see also *pratisamkhyānirodha*; *viśamya*.

disobedience, 605-8.

displacement, 553, 556, 1168-9.

displeasure, 130, 155, 157, 192, 847, 1143; organ of displeasure, 181-2.

disrespect, 195, 199-201, 842-3, 845, 847, 850.

dissatisfaction (*arati*), 130, 155, 161, 163-4, 168-74, 199, 632-3, 847-50, 914, 1237, 1239, 1264; organ of dissatisfaction, 181-3.

dissipation (*auddhatya*), 193-5, 330, 340, 842-3, 845-7, 850-3, 961.

distraction (*vikṣepa*), 194-5, 922, 1102, 1233.

*Divya* (*Divyāvadana*), 604, 698, 932.

*doṣas*, 450.

doubt (*vicikitsā*), 198, 768-9, 772-4, 780, 788, 792, 799, 804, 818, 826, 832, 835, 838-9, 843, 848, 851-3, 948-50, 994, 1087.

*dravya*; see substance.

*dravyadharama*, 207, 232.

*dravyāpramāṇu*; see monad.

dread (*udvega*), 1258-9.

dreams, 851.

*drṣṭasatyaśūtra*, 600.

*drṣṭi*; see views.

*drṣṭikaṣāya*, 373.

*drṣṭiparāmarśa* (esteeming of bad or wrong views), 198, 773, 776, 778, 781, 788-9, 793-4, 827, 836-9, 844, 1109.

Drṣṭiprāptas, 269, 473, 954-5, 1001, 1013, 1016-7, 1019, 1091, 1250.

*drṣṭiviparyāsa* (error of views), 782-4.

*drṣṭiyoga*; see views, yoke of.

*drṣṭiyogha*; see views, flood of.

*drṣṭyupādāna*; see views, clinging to.

*drṣṭyuttaradhyāyin* (absorption of wrong views), 800.

*duḥkha*; see suffering.

*duḥkhaduḥkhatā*, 899-900.

*duḥkhe dharmajñāna* (Dharma Knowledge of Suffering; see Dharma Knowledge).

*duḥkhe dharmajñānakṣānti*; see Patiences of Dharma Knowledge of Suffering.

duration (*sthiti*), 188, 236-46, 248, 258-9, 1257; absorption of duration, 1242, 1247-8; cause of duration, see *sthitihetu*.

duration-modification; see *sthityanayathātvā*.

*dūrībhāva-pratipakṣa*; see removal.

*dvīpas* (continents), 167, 365, 452-5, 459, 468-70, 484, 486, 531n., 593, 662, 680, 684, 936, 943, 963, 966, 1018, 1150, 1249, 1261; see also Avaragodāniya, Jambudvīpa, Pūrvavideha, Uttarakuru.

Dvīśahasra Universe, 1177-8.

## E

earth, 68-9, 88, 99, 101, 411-2, 450, 495, 909, 1277, 1347-8.

- ear, 74, 123, 277, 1163, 1175, 1177, 1240-1, 1318-9; see also hearing, organ of.
- East, 370, 857.
- effort, 192, 210, 226, 269-71, 938, 965-7, 1026, 1101, 1128, 1135, 1156, 1161-2, 1254-5, 1260, 1275, 1352; good mind acquired through efforts, 314-20.
- eggs, 175, 236, 397-8, 620, 939, 1330.
- egotism (*māna*, *asmitā*), 787-8, 793-4, 798-9, 804, 832, 848.
- ekadeśakārīn*, 599-600.
- ekapādas*, 216.
- Ekavīcika, 963-5.
- Ekottara*, quoted 103, 300; cited, 1148.
- Ekottarāgama*, quoted, 561.
- Ekottarikā*, quoted, 168.
- elements, 63-4, 88-9, 257, 260, 450, 1315, 1352; deried elements, 187, 308-9; primary elements, 65-71, 84, 99-101, 104, 166, 185-8, 199, 232, 244, 257, 261-3, 276, 308-9, 395-6, 427-8, 440-1, 444-5, 566-73, 575, 577, 633-4, 857, 923, 1925, 1141, 1177, 1221, 1332.
- eloquence, 707; Unhindered Knowledge of Eloquence, 1151-2.
- embryo, 395, 400, 628, 686; embryonic being, 924; embryonic existence, 262.
- empowerment, 1173-5, 1211n.
- emptiness (*sūnyatā*), 197, 248, 929-30, 1259, 1325, 1334; absorption of emptiness (*sūnyatāsamadhi*), 1256-9; aspect of emptiness, 1108, 1110-1, 1113, 1115, 1257-9.
- energy, 130, 191-3, 694, 1023-8, 1035.
- enjoyment, 1008, 1011, 1227-8, 1251, 1254-5.
- enmity, 196, 844.
- entities; see substantial entities.
- envy, 835, 837, 842-3, 849.
- equality, 1143-4.
- equanimity (*upekṣā*), 161-2, 164, 169-70, 173-5, 177-182, 192-3, 1229-30, 1233, 1238-40, 1253-5, 1264-6, 1270; see also indifference.
- erroneous attribution; see *samāropa*.
- error, 80, 87, 193, 408, 420, 781-4, 839, 929, 1105, 1165, 1266.
- esteeming, 835-6, 844; see also *drṣṭi-parāmarśa*; *parāmarśa samīyogana*; *śīlavrataparāmarśa*.
- eternity, 776-7, 780, 788, 827, 1335; see also permanence.
- eunuchs, 154, 619-20, 630, 662, 630-1, 940.
- evolution, 242-3, 1115, 1353-4.
- examination, 1025, 1087.
- excellence; aspects of excellence, 1111-2, 1115-6, 1260; excellence of compassion, 1143-4.
- exertions, 1022-6, 1028.
- existence (*bhava*), 57, 63, 73, 75, 83, 157, 230, 234, 236-7, 262, 280-3, 307, 366-8, 372, 383-4, 386, 391, 401, 403-4, 406-7, 415-6, 419, 438-9, 441-4, 448, 574, 585, 625-32, 670, 678, 767, 808, 817, 836, 881n., 900-1, 905, 909-11, 915-6, 920, 938, 941-2, 959-61, 968-71, 973-4, 977, 979, 1012, 1106, 1112, 1115, 1151, 1160, 1215-6, 1219, 1224-5, 1239-40, 1251, 1254, 1280, 1314, 1342, 1353; *āsrava* existence, 829-31; existence of time periods, 806-19; flood of existence, 829-32; yoke of existence, 829-32; see also *bhavarāga*; *bhavatṛṣṇā*.
- explanation, Unhindered Knowledge of Explanation, 1151-5.
- extinction (*nirodha*), 59-60, 157, 207-8, 225, 231, 248, 284-5, 290-1, 792, 857, 901, 930, 934, 947-8, 977, 1018, 1111, 1120, 1137, 1252,

1257, 1260, 1262-3, 1275; aspect of extinction, 1111-2, 1114, 1116, 1260; knowledge of Extinction; see Knowledge of Destruction; sphere of extinction, see *nirodhabhātu*; see also *apratīsamkhyā* *niroha*; *nirodhasamāpatti* (Absorption of Extinction); *nirodhavimokṣa* (Deliverance of Extinction); *pratīsamkhyānirodha*; see also Extinction, Truth of.

Extinction, Truth of, 201-2, 792-3, 822-5, 853-4, 860, 896, 898, 915, 934, 946, 967, 1039, 1089-91, 1094, 1098, 1111-2, 1114-6, 1125, 1127, 1257.

extremes, belief in; see *antagrāhadṛṣṭi*.

evil, 799, 844, 849.

eye (*cakṣur*), 64, 78, 85-6, 90, 111, 118, 156, 256, 275, 277, 372, 419, 422, 424, 811, 814, 816, 909, 1163, 1175, 1177, 1240-1, 1318-9, 1321, 1323-4; eye *dhātu*, see *cakṣurvijñāna-dhātu*; divine eye; see sight, divine; see also sight, organ of.

## F

factors (*sambhāra*), 1143-5.

faculties (*indriyas*), 130, 153-325 *passim*, 617, 848-50, 859, 952-6, 962, 964, 974, 976-7, 979-80, 982, 987, 989, 1001-4, 1007-8, 1010, 1013-7, 1019-20, 1022-4, 1026-8, 1091, 1130-2, 1137, 1232, 1237-8, 1243, 1313-4; moral or pure faculties, 80, 130, 157-60, 163-5, 171, 177-9, 181-4, 282, 952, 962-3, 965, 971, 1137; see also individual faculties, i.e., happiness, sensation, satisfaction, etc.

faith (*śraddhā*), 130, 155, 157-9, 162, 169, 171-4, 177, 181-3, 187, 191,

201-2, 589, 613, 618, 629, 642, 697, 955, 977, 1000, 1016, 1023-4, 1026-8, 1032-3, 1147-8, 1167, 1229-31, 1236-8, 1325; types of faith, see *avetyāprasādas*.

falling away, 616-7, 627-8, 767, 829, 867-8, 931-2, 935, 937-8, 951, 974, 976, 979, 991, 995, 1000-14, 1018, 1027, 1073n, 1076-7n, 1116, 1146, 1234, 1262; absorption of falling, 1242, 1247-8.

fasting, 593-601, 613, 617-8.

father, 686-7, 698, 700-1, 1264.

faults, 1239, 1270.

fear (*apatrāpya*), 191, 193, 619-20, 633-4, 704-5, 976; absence of fear (*anapatrāpya*), 195, 199-201, 615-6, 842-3, 845, 847, 850, 1136, 1142.

feet, 158-60.

fictive beings, 270-1.

fictive creative; see *nirmāta* and *nirmāṇa-citta*.

fields, 698, 703; fields of actions, 700-1; fields of qualities, 629-30.

fire, 64, 68-9, 88, 99, 101-2, 186, 450, 477, 490-1, 494-5, 1277, 1315-7, 1339, 1343-4.

floods (*oghas*), 829, 831-5.

fluidity, 99-100.

"followers of the last place", 264-5.

following, 922-3.

food, 87, 93, 103, 153, 155, 199, 235, 256, 393, 438-47, 597, 851, 908, 915, 928, 1224, 1226, 1324.

fools; see *Prthagjanas*.

force, 155, 157, 159, 162, 182, 1030.

Foreigners (Foreign Masters), 198, 227, 1109.

foundation (*vastu*), 406-7.

foundations of mindfulness (*smṛtyu-paśthānas*), 211, 704, 896, 921, 923, 925-35, 1016, 1022-6, 1028,

1119, 1127, 1136, 1142-3, 1162-3.  
 Four Noble Truths; see Truths, Four Noble.  
 frivolity, 1102, 1104.  
 fruition, 103-5, 1011-2.  
 friuits, 154, 177, 1352-4.

## G

Gandhāra, Masters of; see Westerners.  
 Gāndhāri Wisdom, 1167.  
 Gandharvas, 154, 386, 393, 395, 440, 442.  
 Gaṅgā, 456. Gaṅgila, 236.  
 Garbhāvakraṇṭisūtra, 101.  
 Garuḍas, 381-2.  
 gatis; see Rebirth, Realms of.  
 Gatīsūtra, 972.  
 Gautama, 485; see also Blessed One; Buddha; Śākyamuni.  
 Gautamagotra, 1145.  
 generating cause; see *jananahetu*.  
 genre, genus; see *sabhāgāta*.  
*ghana*, 262.  
 Ghosaka, 166, 231, 687, 809, 862, 936, 996.  
*gbrānavijñānadhātu*, 74.  
 gifts, 165, 167, 696-700, 702-3, 706, 797-8.  
 giving (*dāna*), 165, 693-703, 705-6, 797-8, 842.  
 God, 306-8.  
 Godāniya; see Avaragodāniya.  
 Godhike, 1007-8.  
 gods, 66, 154, 175, 222, 236-7, 365, 371-2, 374-6, 381, 387, 450, 462-72, 476, 478, 487, 495, 551, 610, 619-20, 634, 662, 668, 671, 688, 691, 800, 922, 936, 943, 959-60, 963-4, 973, 996, 1174-6, 1180, 1224, 1261, 1337.  
 gold, sphere of, 452-5, 478.  
 good, 183, 616-7, 706-7, 767, 769, 1228,

1244, 1262-3; absolute good, see *samyaktvaniyāma*; good minds, 314-8; see also roots.  
 Good Law (*saddharma*), 56, 382, 388, 600, 614, 616, 1167, 1281-2, 1308-10n., 1333.  
 goodwill (*maitrī*), 1264-9, see also meditation of goodwill, mind of goodwill.  
*gosava* (a ritual), 646, 736-7n.  
*gotras* (families, clans), 934, 940-1, 979-80, 982, 1001, 1003-4, 1010, 1015, 1017, 1124-5, 1145, 1159, 1324, 1329, 1344.  
 Grammarians, 413-4, 1351.  
 greed (*abhidhya*), 146, 211, 639, 643-4, 646, 648, 657-9, 664-5, 667-9, 669, 799, 850, 1101.  
 Gṛhapatiratnas, 1176.  
 Gṛhapatis, 691.  
 growth cause (*upabṛmhanahetu*), 309.  
 guardians of hell (*naraka-pāla*), 458-9; see also demons, Yamarakṣasas.  
*guṇas*; see qualities.

## H

Hahva, 459.  
 hair, 99, 411-2.  
 hands, 158-60.  
 happiness (*sukha*), 60, 158, 161, 204, 432, 782-3, 847-8, 850, 901, 921, 929, 956, 976, 1116, 1218-9, 1229-33, 1235, 1237-40, 1253, 1262, 1266, 1269, 1324; faculty of happiness (*sukhendriya*), 160-1, 1229, 1231-2, 1237; see also sensation, agreeable.  
 harm (*vihimsā*), 1264.  
*Hastipadopamasūtra*, 411-2.  
 hatred, 87, 635, 645-8, 669, 671, 827-8, 832, 840, 843, 847, 1005, 1035, 1106-7, 1143-4, 1149, 1265.

Heads (*mūrdhan*); see Summits.  
 health, 450-1, 1033.  
 hearing, 63-4, 125, 129, 155, 210, 269-70, 302, 321, 926, 936, 942, 999, 1025, 1160; consciousness of hearing (auditory consciousness), 67, 98, 115, 128-9, 302, 653, 1163, 1177, 1240-1, 1314, 1318-9, 1322; divine hearing, 1133, 1157, 1160-4, 1176-8; organ of hearing, 76-7, 83-5, 88, 118-20, 122-3, 126, 128, 130, 153, 180-1, 287, 1322; see also ear.  
 heat (*uṣma*), 99-100, 186, 923, 930, 933-5, 939-40, 1026, 1316-7; aspects of heat, 930-1.  
 heaven, 106-7, 222, 365-6, 375, 386-7, 477-8, 705-6, 900, 963, 968-9, 979, 999, 1018, 1160.  
 hell, 236, 256-7, 307, 365, 371-3, 377, 381, 386, 388-9, 397, 445, 456-60, 472-3, 476-8, 627, 634, 637, 663-4, 666-70, 679-80, 689, 900, 943, 1179, 1337; see also guardians of hell.  
 hermaphrodites, 619-20.  
 hermaphroditism, 613, 617.  
*hetu*; see cause.  
*hetupratyayatā* (cause as a condition), 296-7, 304-6, 308.  
*hetusamutthāna*, 576.  
 Himavat (Himālayas), 456.  
 hinderances; see obstacles.  
 honors, 917-8.  
 hostility, 192, 198-9, 844, 849, 1264.  
 householders, 236, 604-5, 961.  
 Hsüan-tsang, 1221, 1242-3.  
 Huhuva, 459.  
 humans, 220-1, 228, 230, 237, 365, 371-2, 374, 381, 386-7, 466, 469-70, 476, 478, 487-9, 610, 619-20, 628-9, 634, 662, 668, 671, 680-1, 688, 691, 698, 801, 920-1, 936,

943, 959-60, 963-4, 966, 1014, 1122, 1150, 1179-80, 1249, 1261, 1270, 1279, 1330, 1337.

hunger, 66, 187, 445.

hypocrisy (*śāṭhya*), 196, 199, 635, 837, 842-3, 850.

## I

ideas (*saṃjñā*), 61, 72-4, 81-3, 86, 101, 106, 184, 189, 195, 220-1, 230-1, 250, 298, 372, 378-9, 422, 782-4, 802, 804, 902, 1101, 1119, 1222-3, 1225-8, 1235, 1273-4, 1324, 1326, 1339-40, 1342, 1345, 1349-50.

idleness (*kausīdya*), 193-5, 847.

ignorance (*avidyā, moha*), 55, 158, 193-6, 198, 200, 231, 241, 401-2, 404, 406-9, 411-13, 415-7, 419-22, 425, 512n., 577, 645-6, 648, 669, 671, 768, 772-4, 788, 792, 797, 799-800, 804, 826, 829-33, 835, 837, 840, 843, 845, 847, 850, 909, 1005, 1023, 1035, 1105-6, 1139, 1144, 1164-6, 1337; *āsrava* of ignorance, 829-31; flood of ignorance, 829, 832; yoke of ignorance, 829.

Īkṣaṇikā Wisdom, 1167.

Īkṣaṇikaśāstra, 1179.

ill-will (*vyāpadā*), 1264-8.

imagination, 320, 922, 1352; see also *vitarka*.

Immeasurables (*Apramāṇas*), 87, 320, 1133-4, 1148, 1263-71, 1320n.

immorality, 572, 590, 614, 703-5, 857.

immovability, 1129-32.

Immovable ones; see Akopyadharmans.

Impenetrability, 90-1.

Impermanance, 188, 238-42, 245-6, 259, 279, 783, 787, 902, 904-5, 920, 929; aspects of impermanence, 930, 933, 1110-2, 1115, 1257-9.

indifference (*upekṣā*), 83, 130, 155, 157, 162, 171, 176-8, 181-2, 417-8, 434-6, 447-8, 768, 830, 848-50, 921, 989, 1008, 1023-4, 1142-3, 1230, 1323; see also equanimity.

indolence, 1101, 1104.

Indra, 787, 1110.

*indriyas*; see faculties.

*indriyasamcāra* (perfection of faculties), 130, 617, 859, 1243.

inference (*anumāna*), 284, 300, 1151, 1313-4.

Inferential Knowledge (*anvayajñāna*, pure knowledge), 1088-92, 1094-8, 1101, 1118, 1120-1, 1123-4, 1128-30, 1134, 1138, 1153, 1158, 1245, 1252; Inferential Knowledges, 793, 853, 861, 864-5, 945, 982, 994, 1275; inferential Knowledge of Arising, 866, 946, 981, 1124, 1127; Inferential Knowledge of Extinction, 866, 946, 982, 1124, 1127; Inferential Knowledge of Suffering, 945-6, 950, 981, 1124, 1127; Inferential Knowledge of the Path, 867-8, 946, 951, 954-5, 959, 982, 1125, 1128-9.

*Īñjitasūtra*, 622.

insight (*vīpaśyanā*), 705, 853, 925, 947, 989, 1021-2, 1094, 1103, 1218; insight comprehension, 947-8, 1355.

intellectuals, 682-3.

intelligence, 220, 694, 943, 997, 1021-2, 1031, 1094, 1347; see also *prajñā*.

intention, 566, 611-2, 617, 619-20, 630, 662, 667, 688, 700, 703, 1016, 1038, 1168-9, 1266, 1269; absorption of the absence of intention (*apraṇibhītasamādhi*), 1256-60.

intermediate (*madhyastha*), 588-90.

intermediate beings, 383, 385-8, 388-9, 390-4, 396-7, 440, 442, 628-9,

1178, 1222, 1330.

Irresistable Path (*ānantaryamārga*), 177, 179, 227, 278, 585-6, 855, 944, 949-50, 957, 976, 981, 983, 988-90, 992-3, 996, 1013-5, 1020-1, 1107, 1129, 1131, 1133, 1164, 1220, 1252, 1273.

Īśādhāra, 370, 452, 454.

Īśvara, 778-9, 789, 1005, 1115.

*I-tsing*, quoted, 595.

## J

Jambudvīpa, 365, 446, 453, 455-6, 459-61, 467, 469-70, 476, 478, 490, 662, 684, 691, 1139.

*janakapratyaya* (generating condition), 815.

*jananahetu* (generating cause), 309.

*janapadavitarka*, 852.

*janmahetu* (cause of arising), 309.

*jarā*; see old age.

*Jātakas*, 698.

*jāti*; see caste.

jealousy, 196.

jewels, 452-3.

*jihvāvijñānadhātu*, 74.

Jivaka, 236.

*jīvitā*, 167-8, 233; *jīvita saṃskāras*, 167; *jīvita skandhas*, 168; see also *āyus*.

*jīvitendriya*; see vital organ.

*jñāna*; see knowledge.

*jñānaparijñā*, 859.

*Jñānaprasthāna*, quoted, 165-7, 179, 193, 207, 215-6, 223, 228, 235, 243, 262-6, 275, 278, 286, 623-4, 643-4, 768, 779, 1036, 1094, 1101, 1109; reference to, 219, 255, 280, 772, 774, 783, 785-6, 956, 1060n., 1094-5, 1243; defined, 56-7, 133n.

*jñātivitarka*, 852.

joy (*prīti*), 80, 161, 192, 204, 1023-4,



1030, 1104, 1142-3, 1218-9, 1224, 1229-31, 1233, 1235, 1237-9, 1253, 1264-7, 1270-1.  
judgement, 114, 195, 948, 1268; incorrect judgement, 194, 408-9, 767, 828, 909, 1009.

## K

*kāla*; see time.

Kalala, 262, 395-6, 400, 628-9.

Kalāsūtra, 365, 457, 472.

Kaliṅga, King of, 1332-3.

*kalpas* (cosmic ages), 83, 168, 175, 470-3, 475-80, 483, 485, 487, 489-90, 495, 682, 688, 691-2, 694, 706.

Kāma (a god), 468.

*kāmacchanda*; see desire, sensual.

Kāmadhātu, 83, 93, 96-7, 106-7, 126-7, 129, 161, 172-3, 175-6, 178, 180, 182-5, 187, 191, 196-9, 202-3, 209, 212, 213-5, 220, 222, 228, 231, 235-6, 253, 268, 270, 275, 290, 297-9, 365-6, 368-71, 374, 377, 391, 393, 401, 417-8, 429, 432-6, 439-40, 442, 446, 448, 465-6, 469-72, 476, 478, 483, 488, 559, 568-73, 579-81, 607, 620-4, 627-8, 634-8, 642, 655, 657, 659-60, 667-8, 690, 696, 771-6, 790, 792-3, 797-8, 822-4, 829, 832, 835, 837-8, 845-8, 851, 853, 860-4, 866, 868, 909, 914, 918, 920-1, 932-3, 936, 943, 945-6, 950, 953-6, 960, 962, 965, 967, 973-6, 985-6, 988, 996, 998-9, 1002, 1015, 1017-9, 1031, 1089, 1092, 1095, 1097, 1107, 1109, 1117-8, 1121-2, 1125, 1127-9, 1132-3, 1139, 1145, 1150, 1153-6, 1159, 1162, 1169, 1171, 1173, 1177, 1222-4, 1234, 1238, 1242, 1251-2, 1262, 1264, 1267-8, 1272, 1278; description of Kāmadhātu,

365-71; types of minds in Kāmadhātu, 310-25.

*kāmādhyaśāna*, 833.

*kāmagrādhā*, 833.

*kāmaguṇas*, 175, 771.

*kāmamithyācāra* (sexual misconduct), 603-4.

*kāmamūrchā*, 833.

*kāmanandī*, 833.

*kāmanīyanti*, 833.

*kāmaparigardha*, 833.

*kāmaprema*, 833.

*kāmarāga*, 768-71, 833, 1264.

*kāmarāgānuśaya* (attachment to desire), 81, 768-71, 783-4.

*kāmas*, 368-9, 418-9, 833, 860.

Kāmas, 174-5.

*kāmāśrava*, 829, 831.

*kāmaugha*, 829, 831-2.

*kāmavītarāga*, 956, 958, 985.

*kāmayoga*, 829, 831-3.

*kāmopādāna*, 829, 823-3.

*kāmeccā*, 833.

Kaṇabhuj, 491.

Kapotamalinī, 381.

*kāraṇabhetu* (reason for existence), 255-7, 277-80, 287, 292, 297, 303-4, 309.

Kārikās (quoted again in the *Bhāṣyam*), 177, 183-4, 188, 190, 200, 277, 291, 302, 384, 403, 462, 552, 560, 623, 632-3, 656-7, 689, 801, 963, 1117, 1163.

*karma*; see action.

Karma (a *rākṣasa*), 378.

*karmabhava*, 372-3, 386.

*karmakleśa*, 367.

*karmapatha*, 847, 564, 584, 657-8.

*karmaphala* (knowledge of retribution), 1137, 1142.

*karmavācanas*, 647.

*karmāvārma* (obstacle of action), 678-80.

Karoṭapāṇis, 462; see also terraces.

- Kaśmīr, Masters of, 236, 1094, 1108-9;  
 Vaibhāṣikas of Kaśmīr, 109, 118,  
 120, 227, 259, 273, 600, 614, 799,  
 830, 1136, 1282, 1310-1n.
- Kāśmīrians, 366, 372, 598, 614-6.
- Kāśyapa the Great, 1174.
- Kāśyapagotra, 1145.
- Kāśyapiyas, 960.
- kathāvastus*, 62.
- Kātyāyāniputra, 58.
- Kathavatthu*, 229, 236.
- kaukṛtya*; see regret.
- Kauṇḍinya, 996, 998.
- Kauravas, 455.
- Kāyasākṣins (bodily witnesses), 977-8,  
 1016, 1019.
- kāyaviññāna*; see touch, consciousness  
 of.
- kāyaviññānadhātu*, 74.
- kāyāyatana*; see touch, organ of.
- Khadirka, 452.
- khalabala*, 707.
- killings, 582-3, 585, 598-9, 610-2, 641-5,  
 647-51, 658, 664-5, 667-71, 681,  
 685-7, 689-90, 701, 703, 734-5n.,  
 738n., 787-8, 802; see also murder.
- kings, 208, 487-9, 645-6, 656-7.
- kleśāvasthā*, 402.
- kleśakaṣāya*, 373.
- kleśamahābhūmikas*, 189, 193-5, 198,  
 847, 851, 1104.
- kleśamalas*, 841, 843-5, 846.
- kleśas*; see defilements.
- kleśāvarga* (obstacle of the defilements),  
 678-80.
- knowledge (*jñāna*), 775, 778, 862, 864,  
 913, 945-6, 949-52, 993-4, 997,  
 1017, 1023, 1034, 1036, 1039,  
 1087-1181 *passim.*, 1181n., 1215,  
 1246, 1262, 1274, 1300n., 1321,  
 1328, 1330, 1332, 1345; Knowl-  
 edge of the Truths, 1128-30; ten  
 knowledges, 1095-7, 1117-36; *vidyā*,  
 419, 425, 577; see also *abhiññās*;  
 Conventional Knowledge; Infer-  
 ential Knowledge; *parijñā*; Right  
 Knowledge; Supernormal Knowl-  
 edges; Unhindered Knowledges.
- Knowledge of Death and Rebirth,  
 1138-9, 1157, 1163-5.
- Knowledge of Destruction (*kṣayañāna*),  
 163, 226-7, 301, 665-6, 923, 941,  
 983, 999, 1023, 1027, 1036, 1087,  
 1090-1, 1093-7, 1107-8, 1118,  
 1120-1, 1131-4, 1136, 1138, 1153,  
 1157, 1243.
- Knowledge of Dharmas; see *dharma*  
*jñāna*.
- Knowledge of Extinction, 1088,  
 1090, 1094-8, 1118-20, 1123-4,  
 1137-8, 1153.
- Knowledge of Former Abodes, 1138-9,  
 1157, 1159-61, 1163-5, 1178-80.
- Knowledge of Non-Arising (*anutpāda*  
*jñāna*), 163, 268, 281-2, 295, 601,  
 665-6, 897, 923, 941, 991, 999,  
 1023, 1027, 1036, 1087, 1090-1,  
 1093-7, 1107-8, 1118, 1120-1,  
 1124, 1132-3, 1135, 1138, 1153.
- Knowledge of Origin, 1090, 1095-8,  
 1118, 1120-1.
- Knowledge of Suffering, 1090, 1095-8,  
 1118-21, 1123.
- Knowledge of the Mind of Another  
 (*paracittajñāna*), 230, 1091-3,  
 1095-9, 1105, 1107, 1118-22, 1124,  
 1129-31, 1133-4, 1157-9, 1161,  
 1166, 1178-9.
- Knowledge of the Path, 1090, 1095-9,  
 1101, 1118-21, 1123, 1137, 1158.
- Knowledge Resulting from Resolution,  
 1148, 1150-1, 1155, 1157.
- Krakucchanda, 388.
- Kṛdāpradūṣika gods, 236.
- Kṛdāpramoṣakas, 465.
- Kṛṣṇgrāmaka, 446.

*kṛtsnāyatana*s (All-Encompassing Āyatanas), 87-8, 320, 1148, 1277-80, 1306-7n.

*kṣānti*; see patience.

Kṣatriyas, 386, 488, 691, 1145.

*kṣayajñāna*; see Knowledge of Destruction.

*Kṣudrakāgama*, 70, 1325.

*Kṣudravastuka*, 841.

Kṣuramārga, 457; see also *utsadas*.

Kukūla, 457; see also *utsadas*.

kulamkula, 962-3, 965.

*kumāra*, 262.

Kumāra, 236.

Kumāralābha, Bhadanta, quoted, 91-2.

Kuṇupa, 457; see also *utsadas*.

Kuru; see Uttarakuru.

Kurus, 455, 470, 619, 684, 668, 679, 939, 943; see also Uttarakuru.

*kufalamahābhūmikas*, 189-90, 194, 197, 202, 1239.

*kufalasārravas*, 112, 289, 577.

Kuvera, 787.

## L

*lakṣaṇānyathātva* (difference in characteristics), 808-9.

*lakṣaṇas*; see characteristics.

langour, 842-3, 845-6, 850-3.

Law, 693, 700, 1334.

laziness, 199, 487-9, 1100, 1103-4.

leaving, aspect of, 1260.

legalists, 644-5.

libertation; see deliverance.

life, 206, 233, 1222-3, 1226, 1276; see also *āyus*; *jīvitās*.

lifespan, 470, 472-3, 478-9, 482, 489, 670-1, 1324; life span of the Buddhās, 1145.

light, 1177, 1318, 1320.

liquor, 582-3, 596, 605-7, 908.

loathesome things; see *aśubhā*.

Logicians, 261.

*lokadhātus*; see universe.

*lokasamvṛtījñāna* (worldly knowledge), 775, 911.

lotus (*arbuda*), 262, 459.

lust (*rāga*), 196-8, 201, 273, 792-3, 798-9, 804-5, 827-8, 832, 835, 840-1, 843-4, 847, 964, 994, 1140; see also craving.

lying, 582-3, 641, 644, 646, 648, 652-3, 655-6, 664, 667, 669, 679, 681-2, 688, 701, 787; see also transgressions, vocal.

## M

*mada*; see pride-intoxication.

*madhya*, 262.

Madhyadeśa, 592.

*Madhyama* (*Madhyamāgama*), quoted, 374, 376, 432, 523n., 625, 663, 699, 803-4, 927, 1050n., 992, 1028.

*Madhyamāgama*, 207, 227.

*Madhyamakavṛtti*, quoted, 900, 1043n., references to, 295.

magic, 369-70, 468, 1339, 1347; magical beings, 371.

*mahābhūmi* (great sphere), 189.

*mahābhūmikas*, 189-90, 193-5, 197-9, 258-9, 334n., 429, 1217.

*mahābhūtas*, 185, 257.

Mahābrahmā, 199, 375, 472, 572, 847, 968, 1256; gods of, (Mahābrahmas, Mahābramanas), 222, 237, 366, 470, 472, 940, 968.

Mahādeva, 306.

*mahākālpas* (great *kālpas*), 471-2, 475, 479-80, 483.

*Mahākarmavibhāgasūtra*, 776.

Mahākāśyapa, 592.

*mahākāśabhūmi*, 193.

*mahākāśadharma*s, 193.

Mahānagna, 1140.

- Mahānāmasūtra*, 598-600.  
*Mahānidānaparyāyasūtra*, 402.  
 Mahāpadma, 459.  
 Mahāprajāpati, 592.  
 Mahāpuruṣa; see marks.  
 Mahārājikas, 462; see also terraces.  
 Mahāraurava, 365, 457, 472.  
 Mahāsāmghikas, 803, 1328.  
 Mahāsaṃmata, Rāja, 488.  
*Mahāśūnyatāsūtra*, 636.  
*Mahāvvyutpatti*, 645, 842, 1034.  
 Maheśvara, 778.  
 Mahiśāsakas, 464, 491.  
 maintaining cause; see *upastambhahetu*.  
 Maitreya, 1174, 1335.  
*maitrisamāpatti* (absorption of compassion), 631.  
*Majjhima*, quoted, 386, 506n., references to 573-4, 640.  
 Mālādharas, 462.  
*malas*; see *kleśamalas*.  
*māna*; see pride.  
*mana-āyatana*; see mental organ.  
 Manahpradūṣika gods, 236.  
*manasamcetanā* (mental volition), 440, 443-4.  
*manas* (mind, mental organ), 72, 74-5, 140n., 204, 272, 276, 301-2, 423, 426-7, 431-2, 442, 449, 552, 559, 578, 683, 805, 807, 846, 850, 1232, 1240, 1326, 1330, 1346-7; see also mental organ, mind.  
*manascitta* (mental thought), 632.  
*manaskāras*; see attention, acts of.  
*mānātīmāna*, 784-6.  
*mānavidhā*, 785.  
 Māndhātara, 381, 1176.  
*manodhātu*, 74-5, 96, 105, 108, 140n.  
*manomaya* (mind-created), 411-2.  
*manopavicāra*, 431-3.  
 Manoratha, 458, 514n.  
*mānottaradhyāyin* (absorption of pride), 800.  
*manovijñāna*; see mental consciousness.  
*manovijñānadhātu*, 74, 96, 105.  
 mantras, 1176, 1179.  
 Manu, 1324.  
 Maṇuṣyakulāṃkula, 963.  
 Mara, 165, 168, 388, 1325.  
 marks, 960, 1147, 1257; marks of a Bodhisattva, 690-2, 706, 753-4n.; marks of a Mahāpuruṣa, 94, 104, 244, 487; marks of Muni, 487.  
 Maruṣ, 694.  
 mass (*amūrta*), 569.  
 Master, 57, 199, 600, 602, 615, 683, 703, 1011, 1168, 1173, 1177, 1281-2, 1336, 1338; see also Blessed One, Buddha.  
 Masters of the West, 226, 295.  
*mata*, 653-5.  
 material beings, 367.  
*matī*; see *prajñā*.  
 matricide, 679, 685-6, 689.  
 matter, 60-1, 63, 68, 81-6, 89, 91-3, 95, 99-101, 185-8, 231, 1320-1; derived matter, 259-61; primary matter, 99-101; secondary matter, 99-101; see also *rūpa*.  
 Maudgalyāyana, 381.  
*mauladhyānas* (principal absorptions), 862.  
*malārūpyas*, 863.  
*māyā*; see deception.  
*mayanā*, 422.  
 meditation (*bhāvanā*), 60, 111-2, 173-4, 195, 209-10, 213, 263, 270, 295, 322, 328n., 372, 576-9, 619, 631, 638, 661, 676, 694-5, 702, 705, 773-6, 783-4, 786-9, 795, 822-4, 845-7, 854, 861, 866, 895, 911-3, 916, 926, 942, 951, 953-5, 958, 962, 993, 1004-5, 1016, 1025, 1028, 1129-30, 1132-3, 1150, 1175-7, 1179-80, 1269, 1275, 1330, 1344; meditation of compassion, 695,

- 702, 1266, 1270; meditation of equanimity, 1266; meditation of goodwill, 1266, 1269; meditation of joy, 1266, 1270; meditation of the Truths; see Truths, meditation on; see also Meditation, path of.
- Meditation, Path of, 111, 162-3, 196, 213, 268, 820-1, 862, 864-5, 867, 895, 923, 948, 951-2, 959, 985, 994, 997-8, 1017, 1020, 1026-9, 1039, 1088, 1097-8, 1107, 1125-6, 1128-9.
- memory, 97-8, 130, 155, 157, 159, 162, 182, 189-90, 194-5, 321, 633, 770, 815-6, 1339-42, 1348-50, 1352; memory of last lives, see Knowledge of Former Abodes.
- mental consciousness (*manovijñāna*), 74, 78, 89, 95-7, 98, 105, 109-10, 112, 114, 116, 124-6, 129, 156, 190, 196, 231, 256-7, 272, 302-3, 305, 315, 425, 427, 558, 578, 632-4, 653, 665-6, 805, 807, 815-6, 846, 849, 1088, 1240, 1313-4, 1318-9, 1323, 1326.
- mental organs, 72, 74-6, 83-5, 88, 95-6, 104-5, 108, 110-3, 118-9, 122, 124, 130, 154, 156, 158-9, 162, 164, 168, 170-8, 180-3, 231, 272, 276, 425, 427, 815, 1322-3, 1326, 1346-7.
- mental series (*caittas*), 101, 184, 367, 383, 563-5, 567, 579, 631-2, 767, 834-5, 1279, 1339, 1345.
- mental states, 72, 78, 81, 90-1, 99, 101, 106, 124, 153, 160, 184, 188-206, 221, 223, 225, 230, 240, 247, 257, 261, 272-3, 275-6, 294, 296-7, 301-2, 304-6, 310, 333-4n., 367, 379, 563, 569, 574, 783, 805, 841, 946-50, 1097, 1099, 1107, 1116-7, 1143, 1162, 1215, 1235, 1237, 1273, 1319, 1327, 1331, 1346.
- mental trouble, 632-4, 1339.
- merit, 650-1, 563, 631, 692, 694-5, 698, 702-3, 706-7, 756n., 1144-6, 1148-9, 1264, 1352; Brahmin merit (*brāhmaṇya*), 706, 763n.
- Meru, Mt., 393, 452-5, 460, 462-3, 467-9, 477-8.
- middha*; see apathy.
- mind (*citta*), 67-8, 72, 74, 78, 83, 89-91, 96-101, 104, 108, 154-5, 157-8, 165, 169, 174, 176, 184-5, 188-90, 192-3, 195-206, 214, 221, 223-5, 227, 230-2, 240, 243-4, 247, 251, 253, 257-9, 261, 270-3, 275-6, 285-6, 290-1, 294, 296-306, 367-70, 372, 379, 423, 444, 448, 559, 569, 573, 575-6, 578-80, 583, 585-6, 590, 609-12, 633-4, 638-40, 664-6, 681-2, 699, 705, 768-70, 784-6, 795, 825, 833, 841-2, 852, 856, 913, 921-6, 929, 961, 979, 1009, 1025, 1028, 1033, 1035-7, 1085n., 1097, 1099-1107, 1109, 1116-7, 1121, 1134, 1139, 1147-8, 1156, 1159-62, 1166-8, 1170-5, 1215-9, 1225-6, 1129-31, 1233, 1239, 1247, 1266-7, 1269, 1273-4, 1319, 1325-7, 1331, 1339-40, 1344, 1346, 1350-1, 1353-4; deliverance of the mind, 1000, 1006, 1008, 1011, 1026, 1035-7; types of mind, 310-25; see also mental consciousness, mental organ.
- mindfulness (*smṛti*), 586, 596-7, 704, 916, 921, 927, 1005, 1009, 1012, 1023-4, 1026, 1142-3, 1229-30, 1235, 1238; see also breathing, mindfulness of; foundations of mindfulness.
- Miśra, 463.
- mithyādhimokṣa*; see wrong resolution.
- mithyādr̥ṣṭi*; see wrong views.
- mithyājīva*; see wrong livelihood.

*mithyākarmānta*; see wrong action.  
*mithyāmāna*; see wrong thought.  
*mithyāvāc*; see wrong speech.  
*moha*; see error.  
*mokṣa*, 585; see also deliverance.  
*mokṣabhāgīyas*, 451, 707, 764., 939, 942-3.  
molecules, 185, 187-8.  
moments, sixteen, 865-6, 950-2, 954-5, 959, 975-6, 993, 996, 1010, 1093, 1123-9, 1132.  
monad (*dravyaparamāṇu*), 70, 185; see also atoms.  
monks (*bhikṣus*), 165, 309, 614-6, 647, 651, 684, 777, 852-3, 1327, 1331-2; see also *bhikṣus*, *bhikṣuṇīs*.  
moon, 64, 460-2, 468-9.  
morality (*śīla*), 87, 228, 572, 581, 583-6, 596, 612, 614, 641, 668, 693-5, 697, 703-5, 778-9, 947, 984, 1023-5, 1030-3; see also *śīlavratapāramarśa*, *śīlavratopādāna*.  
mortal transgression; see transgressions, *ānantarya*.  
mother, 236, 698-701, 1264; moth of the Buddha, 390-1; killing of one's mother, 686, 689.  
motion (*saṃjñāna*), 99-9100, 189.  
Mountain of Perfume (Gandhamādana), 456.  
mountains, 452-4, 456, 462.  
movement, 552-3, 556, 819.  
mover, 578-80.  
mucus, 99, 412.  
*mūḍi*; see delusion.  
Mūla Abhidharma, 194-5.  
*mūlādharma*, 218, 240, 259.  
*mūladhyāna*, 1171.  
*mūlaprāpti*, 218.  
*Mūlasāstra*; see *Jñānaprasthāna*.  
Muni, 86, 226-7, 487, 578, 638, 1282.  
murder, 564-5, 582-3, 611, 629, 641, 644, 648-51, 658, 665, 670, 679,

681, 685-7, 689, 738n.; see also killing.

*mūrdhān*; see Summits.

## N

Nāgas, 381-2, 437-8, 473, 579, 620, 688, 787, 1175.  
Nāgasena, 1332-3.  
*naivaśaṅkṣanāśaṅkṣas*, 213.  
Naivasamjñānāsamjñāyatna; see Bhavāgra.  
Naivasamjñānāsamjñāyatanopagas, 88.  
*naivasamvaranāśamvara*, 580.  
*nāmakāya*, 206, 250, 255, 648; see also *nāman*.  
*nāman* (name, word), 250-4, 418, 422-3, 648, 912, 1152-4, 1222-3.  
*nāmarūpas*, 211, 401-2, 404, 406-7, 418-9, 422-3, 648, 928.  
*nānāvāsa*, 647, 737n.  
Nanda, 475.  
Nandana, 463.  
*Nandikasūtra*, 606.  
Nārāyaṇa, 1139-40, 1147.  
*nasamjñānāpyasamjñākab*; see Neither-Ideas-Nor-Absence-of-Ideas.  
nature, 605-8.  
negation, 659-60, 776, 848.  
Neither-Ideas-Nor-Absence-of-Ideas, 1226-7.  
*Netrīpadasāstra*, quoted, 226.  
*nikāyas*; see categories.  
*nikāyasabhāga*, 154, 219, 234, 281, 615, 649, 937; see also existence.  
*nikāyasabhāgacitta* (homogeneous existence), 243.  
Nimindhara, Mt., 452, 454.  
*nimittakāraṇa* (instrumental cause), 491.  
*nirantaratva*, 120.  
Nirarbuda, 459, 473.  
Nirgranthas, 418, 650.

- Nirgranthaśrāvaka, 1335.  
*nirmāṇacitta*, 270-1, 291, 295, 311, 315, 323-5, 1170-2, 1175, 1241.  
 Nirmāṇaratis, 365, 465-6, 469.  
*nirmita* (fictive creation), 1168-70, 1172-5; see also fictive beings, *nirmāṇacitta*.  
*nirodha*; see extinction.  
*nirodhadhātu* (sphere of extinction), 1038.  
*nirodhasamāpatti* (absorption of extinction), 68, 223, 225-31, 235, 276, 299, 305, 377, 574, 629, 631, 977-9, 1016, 1018-9, 1273-4.  
*nirodhavimokṣa* (Deliverance of Extinction), 1279.  
*nirupadhiśeṣanirvāṇa*, 157.  
*nirūpanāvikalpa*, 97.  
 Nirvāṇa, 57, 59, 62, 86, 92, 157, 159, 225-6, 228, 236, 256-7, 280, 282-3, 285, 294, 297, 322, 370, 382, 386-8, 391, 410, 442, 448, 450-1, 573, 601-2, 610-1, 631, 651, 699, 704-8, 795-6, 815, 898, 901, 939, 943, 958, 960-3, 965-70, 974, 978-9, 984, 999, 1004, 1008-9, 1021, 1062n., 1112-2, 1115, 1138, 1142, 1148, 1153, 1257-8, 1262, 1335-6, 1354-5; Nirvāṇa with remnant (*sopadhiśeṣanirvāṇa*), 966, 1062n., Nirvāṇa without remnant (*nirupadhiśeṣanirvāṇa*), 966, 1021.  
*nirvedhabhāgīyas*, 321-2, 446-7, 482, 935-42, 1026, 1052-3n., 1133, 1242, 1247-8.  
*niṣkramaṇacitta* (mind of leaving), 319, 322.  
*niśrayahetu* (tutelage cause), 309.  
*niśrayas*, 647.  
*niṣyanda*; see outflowing.  
*niṣyandaphala* (outflowing result), 258, 267, 287-8, 289, 292, 944, 993, 1354.  
*nivaraṇas*; see obstacles.  
*nivṛta*, 572, 589.  
*nivṛtāvyaṅktas*, 113, 293, 299, 573.  
*niyāma* (certitude), 944, 956, 1053n., 1055-6n.  
*niyāmāvakrānti*, 220, 224, 324.  
 non-anger (*adveṣa*), 802.  
 non-arising (*anutpāda*), 281-2, 897, 991, 1087, 1135; see also Knowledge of Non-Arising.  
 non-Āryans, 214, 898, 937, 1010.  
 non-Buddhists, 399, 416, 445-7, 491, 584-5, 656, 776, 779, 922, 961, 1314, 1338, 1345.  
 non-causality (*ahetuvāda*), 416.  
 Non-Conscious Ones; see *Asamjñi-sattvas*.  
 non-consciousness; see *āsamjñika*.  
 non-desire, 197, 914-5, 920, 1228.  
 non-detachment, 1128.  
 non-existence (*vibhava*), 283, 285, 817, 916.  
 non-greed (*alobha*), 802.  
 non-ignorance (*amoha*), 802.  
 non-diligence, 847.  
 non-observation (*asamprajanya*), 194.  
 non-possession; see *aprāpti*.  
 non-self, 781, 929-30, 947, 1122, 1259; aspects of non-self, 1108, 1257-8.  
 non-separation, 120-1.  
 non-violence, 191, 193.  
 non-wisdom (*avidyā*); see ignorance.  
 nose, 74, 88, 94, 277, 1318-9; see smell, organ of.  
 no-soul, aspects of 1110-1, 1113, 1115.  
 not-self; see non-self.

## O

- object, 60, 63-4, 72, 74-5, 84-5, 91, 112, 114-6, 124, 130, 155-6, 190, 192-3, 197, 205-6, 231, 251, 256, 265-6, 272, 302-3, 305, 315, 423-4, 428-31, 572, 618, 625, 648, 655, 672,

- 697-8, 768, 774, 787, 807, 815-6, 818, 820-1, 824, 826, 834-5, 840, 852, 856, 912, 916, 921, 967, 982, 1026, 1028, 1032, 1038, 1100, 1105, 1116-7, 1143-4, 1146-7, 1150, 1159, 1170-1, 1177, 1222, 1226, 1229-31, 1233, 1264, 1275-6, 1278, 1313-5, 1321-2, 1324, 1328, 1339-40, 1343-4, 1349-50; object of *asubha*, 917-20; object of consciousness, 98-100, 105, 107-11, 238, 241-2, 286, 302, 767, 815-7, 823, 825; object of absorption, 1215-7, 1219, 1251-3, 1257-60; object of defilements, 776, 789-90, 792-7, 804-6, 828, 853-4, 866, 1004-5; object of Deliverances, 1272, 1274-5, 1277; object of desire, 175, 466, 910; object of enjoyment, 235, 551, 648, 1228; object of the Immeasurables, 1265-8; objects of the foundations of mindfulness, 926-31, 934, 1162; object of knowledge, 945, 1089-92, 1096-7, 1099, 1119-22, 1128, 1139, 1150, 1152-3, 1159; object of organs, 119-22, 125-6, 1322-3; object of memory, 1339-41; object of paths, 990, 996, 1021; object of the Patiences, 943, 950; object of pleasure, 829, 831-2, 903, 905, 907, 1103; Unhindered Knowledge of Objects, 1151-4; see also *ālam-banapratyaya*.
- object as condition; see *ālam-banapratyaya*.
- observing, 922-3, 925.
- obstacles (*nīvarana*s), 192, 226, 255-6, 278-80, 282, 287, 305, 678-81, 811, 834, 839, 851-2, 915-6, 921, 939, 962, 964, 1006, 1020, 1036-7, 1129, 1139, 1146, 1273, 1355.
- obtaining, aspects of 111-2, 1115.
- ocean, 454, 857, 918; Great Ocean, 459-60, 477.
- odors, 60, 63-4, 66, 84, 92-5, 99-100, 129, 185, 187-8, 267-7, 302, 315, 370, 393, 396, 440, 654-5, 697-8, 1169-70, 1175.
- oghas*; see floods.
- old age, 188, 238-43, 245-6, 248, 259, 401, 404, 406-7, 412, 443, 992.
- Omniscient One (the Buddha), 1328, 1345.
- opposition, 854-5, 857-9, 861, 914, 929, 1096-8, 1115-6, 1264-8, 1272, 1354.
- organism, 569-70.
- organs (*āyatana*s), 60, 63-5, 67, 72-80, 82-8, 90-1, 93-5, 99, 100, 102, 106-7, 109-12, 123-30, 158, 163-5, 176, 180-8, 190, 205, 219, 231, 276-7, 287, 303, 315, 366, 395-6, 401-4, 406-7, 418, 423-4, 432, 440-1, 444-5, 492-3, 556, 561, 563, 586, 607-8, 613, 816, 819, 1136, 1162-3, 1169-70, 1175-7, 1221, 1244, 1257, 1277, 1314, 1324-5, 1327, 1343, 1350, 1352; female organ, 130, 143n., 173, 180, 182, 184; five organs, 92, 100-1, 104, 113, 153, 155-6, 160, 170, 174-6, 181, 184, 191, 906, 1313-4, 1322-3; male organ, 130, 143n., 173, 180, 182, 184; objects of organs, 119-22, 125, 625, 650, 655, 662, 691; sense organs, 153, 160, 284, 847, 914, 1170; seven material organs, 168, 171, 173, 1221, 1314; sexual organs, 94-5, 154, 156, 158-60, 164, 170-4, 182, 184; six organs, 83-5, 95, 101, 103, 107-8, 155-6, 158-60, 266, 834, 1322, 1352; see also *abhibhāvāyatana*s; hearing, organ of; *krtsnāyatana*s; mental organ; sight, organ of;



smell, organ of; taste, organ of;  
touch, organ of; vital organ.

Origin, Truth of; see Arising, Truth of.  
outflowing (*niṣyanda*), 103-5, 253-4,  
258, 260, 266-7, 287, 289, 294,  
569-70, 843-4, 924, 944, 965, 1108,  
1151; see also *niṣyandaphala*.

## P

*pada*; see phrases.

*padakāya*, 250, 253.

*padārtha*, 221.

Padma, 459.

pain, 160-3, 173, 1111, 1349.

Pañcala, King of, 381.

*pañḍakas*, 619.

Paṇini, quoted, 913; references to 658,  
949.

*paracittajñāna*; see Knowledge of the  
Mind of Another.

*pārājika*, 616.

parallel cause; see *sabhāgahetu*.

*paramaiśvarya* (supreme authority),  
153.

*paramārthasatya*; see absolute truth.

*paramaṇu*; see atoms.

*parāmarśa-saṃyojana* (attachment to  
esteeming), 835-6.

Paramārtha, quoted, 1045-6n.

*Paramārthasūnyatāsūtra*, quoted, 814,  
1331.

*paramāvasthāvādin*; see followers of  
the last phase.

*pāramitās*, 693-4.

Paranirmitavaśavartins, 365, 465-6,  
469, 472, 476, 478.

*paravijñāpana*, 592.

Parihāṇadharma, 979, 1000-4, 1007,  
1015, 1017.

*parihāṇi* (loss through falling), 938-9.

Pārijāta, 463.

Pārijātaka, 463-4.

*parijñā* (perfect knowledge), 859-68,

895.

*parijñāna* (perfect consciousness), 320.

*pariṇāma*; see transformation.

*pariṇāmaduḥkhatā*, 899.

*pariṇāma-viśeṣa*, 211.

Parinirvāṇa, 422, 685, 707, 1281.

*Paripṛcchā*, quoted, 231.

*paripūrin*, 401.

parish (*sīmā*), 684-5.

Parittābhas, 237, 366, 375, 469-71.

*parittaklesabbhūmikas*, 189, 196.

*parityāga*, 650, 738n.

Parivrajakas, 418.

parks, 463-4.

Pāruṣya, 463.

*paryavasthānas*; see wrappings of att-  
achment.

past lives; see Knowledge of Former  
Abodes.

Pāsupātas, 418.

Paśyin (Buddha), 693; see also  
Vipaśyin.

*patanīyas*, 613-5; see also transgressions.

Path, impure or worldly, 187-80, 210-1,  
227, 863-4, 953-5, 965, 984-6, 990,  
994-5, 1003, 1014, 1020, 1123,  
1125, 1130, 1134; pure or trans-  
wordly path, 177-80, 216, 227,  
660, 775, 863, 895, 961, 985-7,  
989-90, 992, 994, 1003, 1014, 1020,  
1031, 1130, 1134; Path of Absorp-  
tion in the Truths, 184; Path of  
Dharma Knowledge, 1040; Path  
of the Saints, 211; path as aspect,  
1111-2, 1115, 1258; see also 895-  
1040 *passim*.; Irresistible Path;  
Meditation, Path of; Path, Truth  
of the; *prayogamārga*; Seeing,  
Path of.

Path, Noble Eightfold; see Path, Truth  
of the.

Path of Deliverance (*vimuktimārga*),  
177, 179, 227, 855, 859, 944, 949-

- 50, 957, 976, 983, 989-90, 992-3, 996, 1013-5, 1020-1, 1040, 1107, 1129-33, 1220, 1273.
- Path of Distinction; see Path of Excellence.
- Path of Excellence (*viśeṣamārga*), 855, 858, 1015, 1020, 1040, 1107, 1129, 1132-3.
- Path of Meditation; see Meditation, Path of.
- Path of Seeing; see Seeing, Path of.
- Path, Truth of the, 58-61, 82, 89, 96, 157, 201-2, 207, 209, 213-5, 217, 236, 267-9, 271, 278-9, 285, 321-5, 566, 581, 592, 597-8, 602-3, 615, 634, 683-4, 688, 699, 776, 778, 780, 792-6, 802, 823-4, 833, 836, 839, 853-5, 858, 860, 895-1040 *passim.*, 1091, 1094, 1111-3, 1115-6, 1120, 1123, 1125, 1133-4, 1137-8, 1142, 1152-3, 1218, 1220, 1254-5, 1258, 1260-1, 1282.
- Patience (*kṣānti*), 105, 216-7, 268, 636, 683, 690, 693-4, 750n., 775, 861-2, 864-5, 931-3, 935, 939-40, 943-6, 949-52, 961, 964, 1027, 1039, 1051n., 1087-8, 1124.
- Patience of Consecutive Knowledge; see Patiences of Inferential Knowledge.
- Patiences of Dharma Knowledge (*dharmaññānakṣānti*), 271, 636, 775, 864, 943-4, 946; of Suffering, 105, 216-7, 268, 271, 290, 295, 300, 324, 943, 950, 1093, 1102, 1123-4, of the Path, 866; of Arising, 865-6.
- Patiences of Inferential Knowledge (*anvayaññānakṣānti*), 775, 946, 950; of Extinction, 866; of Suffering, 865, 946, 950; of the Path, 866, 951, 1124; of Arising, 866.
- patricide, 679, 685-8.
- pauruṣa* (virile result), 287-8, 295.
- penitents, 397, 615-6.
- penetration, 706-7.
- penetrations; see *nirvedhabhāgāyās*.
- perception (*sākṣātkriyā*), 978, 1116-7, 1313-4, 1319-22, 1339-40.
- perfect consciousness; see *parijñāna*.
- permanence, 779-81, 783, 791, 929, 1115, 1337; see also eternity.
- permanent substance (*dharma*), 453.
- Persians, 644-5.
- personality, 779, 780, 827; sensation of personality, 840; see also *ātman*, *pudgala*, self.
- peśin*, 262.
- Phālguna, 461.
- Phālgunasūtra*, quoted, 1331.
- phonemes (*akṣaras*), 250-4.
- phrases (*pada*), 250-1, 253-4, 1152-4.
- physical world (*bhājanaloka*); see world.
- physical matter; see *rūpa*.
- Piśācas*, 382, 489.
- pleasure. 81, 83, 130, 155, 157-8, 160-2, 164, 169-74, 177-80, 192, 426, 465-7, 623, 847, 849-50, 902-3, 905-8, 915, 1231, 1233, 1240, 1349-50; attachment to pleasure, see *kāmarāgānufaya*; objects of pleasure, 829, 831-2, 1103; organ of pleasure, 180-2, 331n., 906.
- poetry (*kāvya*), 707.
- positions (*īryāpatha*), 903.
- possession; see *prāpti*.
- powers (*balas*), 262, 1023-4, 1026-8, 1137-41, 1158, 1178-80, 1196-7n.; perfection of powers, 1146-7.
- prabhava*; see appearance.
- practices, 639-40, 658, 789; ascetic practices, 778-9; esteeming of practices, see *śīlavrataparāmarśa*.
- prādeśika* (restraining discipline), 604.
- Pradhāna, 306, 308.
- pradhāna*, 1115.
- prabhāna*; see abandoning.

*prahānadhātu* (sphere of abandoning), 1037-8.  
*prahānamārga*; see abandoning, path of.  
*prahānapariññā*, 859.  
 Prahāsakas, 465.  
*prajapati*, 778-9, 789. *prajñā* (discrimination), 56-7, 59, 87-8, 97, 114, 117, 130, 144n., 189-90, 193-5, 198, 200, 406, 420-1, 425, 662, 694, 799, 853, 912, 921, 926-8, 933, 943, 947, 955, 977, 984, 1016, 1023-5, 1028, 1035, 1087-8, 1116-7, 1122, 1142, 1158, 1163-4, 1190-1n., 1217, 1233, 1235, 1250, 1263, 1323; see also discernment, intelligence, wisdom.  
*Prajñapati*, quoted, 90, 265-6, 274, 371-2, 395, 461-2, 659; referred to, 465, 484, 495.  
*prajñaptidharma*, 232.  
*Prajñaptipāda*, 1154.  
*Prajñaptiśāstra*, quoted, 104, 235.  
*prajñāskandha* (speculative consciousness), 192.  
*Prajñāvimuktas*, 1016-8, 1020.  
*prakara*, 572.  
*Prakaraṇa*, quoted, 286, 405, 830; referred to, 63-4, 66, 69, 354-5n.; see below next three entries.  
*Prakaraṇagrantha*, quoted, 259, 372, 445.  
*Prakaraṇapāda*, quoted, 62, 243, 273-4, 790, 1164; referred to 131, 167, 265, 786.  
*Prakaraṇapādaśāstra*, 841-2.  
*Prakṛti*, 416.  
*pramādashāna*, 582, 606-7.  
*prāṇa* (vital breath), 650.  
*prāṇāpeta*, 598-600.  
*prāṇātīpāta* (destruction of *prāṇa*, killing), 650.  
*prañidhijñāna*, 87, 300, 938.

*prāntakoṭīcaturtadhyāna*, 966.  
 Prāntakoṭīka Dhyāna, 165-7, 1151, 1155-6.  
*prāpti* (possession), 68, 106, 112, 188, 206-15, 217, 224, 228, 254, 260, 271, 275-6, 278, 285, 291, 293-4, 574, 577, 589-90, 619, 678, 767-9, 791, 834, 855-6, 933-5, 944, 949, 951, 978, 986-7, 994-5, 1036-7, 1105-6, 1134.  
*prāptiprāpti*, 218.  
*prāpya*, 791. *prasākhā*, 262.  
*prasrabdhi* (var. *praśrabdhi*, aptitude), 191-3, 1023, 1104, 1231-2, 1234; see also well-being.  
*prasrabdhisukha*, 1233.  
 Pratāpana, 123, 365, 457, 472.  
*prathamābhīnirvṛttas*, 374, 501n.  
 Prātimokṣa Discipline, 224, 562, 567, 570, 579-81, 583-5, 587, 592-3, 601, 607-10, 612-7, 619, 647, 938.  
*pratīpakṣa*, 572.  
*pratīpannakamārga* (path of the candidate), 617.  
*pratisamkhyā*, 281-2.  
*pratisamkhyānirodha*, 59-61, 92, 134-5n., 207, 212-4, 278-9, 280, 283-4, 290-1, 602, 896, 936, 993, 1035, 1120, 1349; see also disconnecton.  
*pratisamvids*, 87.  
*pratiṣṭhāhetu* (supporting cause), 309.  
*pratiṣṭhāphala*, 295.  
*pratīyasamutpāda* (dependent origination), 87, 158, 401-19, 437-9, 447, 1333.  
*Pratīyasamutapādasūtra*, 408-9, 418.  
*pratīyasamutpanna*, 241, 410-2.  
 Prativedanādharmans, 979, 1013.  
*pratyakṣa* (direct perception), 220, 284.  
*pratyayas*; see conditions.  
 Pratyekabuddhas, 55, 216-7, 398, 482-3, 592, 940-1, 1016, 1023, 1032, 1092-3, 1125, 1140, 1169, 1177-8,

1269-70; see also rhinoceros.  
*pratyudyāna*, 486.  
*pravṛtti* (projecting cause), 568.  
*prayoga*, 1016, 1092-3, 1096-7, 1151, 1154-5, 1160, 1176, 1226-7, 1249, 1279.  
*prayogamārga* (prepatatory path), 855, 1014-5, 1020-1, 1040, 1129, 1131-3.  
*prayogaphala*, 295.  
 preachers, 700, 1167.  
 precepts, 582, 698, 703, 705, 797, 857, 911, 1031-3.  
 predestination, 450-1.  
 predomination condition; see *adhi-patipratyaya*.  
*preman*; see affection.  
 preparatory exercises; see *prayoga*.  
 preparatory path; see *prayogamārga*.  
 preparatory stages; see *sāmantakas*.  
 Pretas, 365, 371-2, 381, 386, 397, 451, 460, 472-3, 477, 478, 634, 668-9, 679, 681, 1337.  
 pride (*māna*), 87, 198, 204-5, 422, 768, 772-4, 784-9, 827, 835, 840, 844, 846, 850, 961, 1005, 1149.  
 pride-intoxication (*mada*), 196, 199, 204-5, 582, 844, 846, 850.  
*prīti*; see joy.  
 progress, absorption of, 1247-8.  
 projection, 166, 677-8.  
 Prthagjanas (ordinary persons, fools), 112-3, 164, 178, 180-1, 183-4, 207, 209-10, 215-7, 220, 224, 226-7, 229, 377, 417, 419, 446-7, 482, 601, 616, 627-8, 683, 696, 700, 775, 838, 865-6, 937-9, 944, 962, 984, 987, 992, 999, 1010, 1016, 1056-7n, 1133, 1147-8, 1157, 1279, 1325.  
*prthivīparpaṭaka*, 488, 548n.  
*pudgala*, 1016; refutation of the *pudgala*, 1313-55, 1356-8n.; see also *ātman*, personality, self.

*Puggalapaññatti*, 627.  
 Puṇyaprasavas, 366, 470.  
 Puraṇḍara, 1110.  
 Pūraṇa, 664.  
 purification, 82, 154-5, 157-8, 285, 638-9, 780, 786, 789, 794, 827, 1035, 1109, 1217, 1325.  
 purifying, 922-3.  
 purity, 782-3, 794, 833, 929, 1021, 1036, 1227, 1229-30, 1238; internal purity, 1236-8.  
 Pūrṇāsa, Sthavira, 411-2.  
 Puruṣa, 306, 308, 399, 416, 1111.  
*puruṣakāra* (virile activity), 288, 290-1, 672-6.  
*puruṣakārāphala* (virile result), 257-8, 266, 288, 291, 993.  
*pūrvakālabhava*, 390-1.  
*Pūrvakathā*, quoted, 483.  
 Pūrvavideha, 365, 455, 461, 469-70, 476, 478, 490, 662.  
 Pūrvavidehakas, 455, 469.  
 Puṣya, 694.

## Q

qualities (*guṇas*), 55, 200-1, 203-6, 226, 234, 269, 300, 629-30, 698, 704, 785, 938-9, 956-7, 964, 978-9, 995, 1007-9, 1011, 1025, 1134, 1146-9, 1156-7, 1263, 1279, 1282, 1325, 1347-8; qualities of Arhats, 995, 961, 963, 966, 980, 998, 1006-8, 1010, 1013; spiritual qualities, 1133, 1136, 1215.

## R

*raga*; see craving.  
 Rāhula, 1353.  
 Rājārṣi, 236.  
 Rākṣasas, 378, 456.  
 Rāma, Bhadanta, 1007.

- rationalists, 662.  
 Ratnaśikhin (Buddha), 663.  
 Raurava, 365, 457, 472.  
*ṛddhipāda*; see supernormal powers.  
 reason for existence; see *kāraṇabhetu*.  
 reasoning (*anumeya*), 187.  
 rebirth, 154, 170-1, 175, 383, 393-5, 402, 404, 689, 704, 767, 778-9, 800-1, 910, 939-40, 958-60, 962-5, 967-71, 973-4, 976, 987, 992, 999, 1113-4, 1148, 1219, 1225, 1242; rebirth and action, 627-30; rebirth in Hell, 388-9, 396-7, 439, 689; also Rebirth, Realms of; transmigration.  
 Rebirth, Realms of (*gatis*), 219-21, 224, 365, 371-4, 377-8, 380-3, 390-2, 395-7, 438, 445, 449, 451, 475, 619-20, 622, 627-8, 659, 666, 668, 681, 698, 705, 920, 939-40, 959-60, 967, 971-2, 1138, 1142, 1150, 1179, 1270; bad realms of rebirth, 112, 1162; good realms of rebirth, 679, 691, 1147; heavenly realms of rebirth, 222, 1148; painful realms of rebirth, 472-3, 619-20, 662, 664, 679, 690, 701, 800-1, 939-40, 961-2, 1147.  
 recognition, 1339-40, 1342.  
 re-existence (*abhinirvṛtti*), 442, 909-10, 1113-4.  
 reflection (*cintā*), 210, 269-70, 321-2, 691, 911-2, 926, 926, 936, 942, 1005, 1016, 1025, 1179, 1217; see also *cintāmayī*.  
 Refuges, formula of, 592, 598-9, 601-3.  
 regret (*kaukrtyai*), 196-8, 787-8, 842-3, 849, 851-3.  
 reincarnation; see rebirth.  
 relative truth (*samvṛtisatya*), 910-1, 1108.  
 Religion, 684, 942; see also Buddhism.  
 religious life; see *śrāmaṇya*.  
 remorse, 170, 701.  
 renunciation, 582-3, 596, 605, 671, 703-4.  
 residence, heavenly, 467-8, 495.  
 resistance, 70-1, 77, 82, 85, 90, 104, 259.  
 resolution, 194-5, 227, 1023-4, 1246, 1339.  
 respect, 191, 193, 201-2, 619-20, 680-1, 697, 1146.  
 result, 170, 258-60, 265-6, 269, 271-9, 290-5, 300-1, 308, 406-7, 410-2, 416-7, 427, 566, 617, 660, 669-71, 678, 687-8, 696, 703, 705-6, 791, 801, 807, 811, 813-4, 818-9, 848, 851, 859, 861-3, 865, 867-8, 896, 898, 947, 951, 955-6, 958, 965, 975, 978, 998, 1003, 1013, 1019, 1138, 1146, 1151, 1167, 1170-1, 1175, 1255-6, 1262-4, 1313-4, 1331, 1334, 1341, 1348-9, 1351-5; result of Anāgāmin, 178-80; result of Arhat, 177, 322; result of Sakṛdāgāmin, 177-8, 975, 1243; result of Srotaāpanna, 177-8, 859, 953, 975, 1243; result of causes, 286-91; result of religious life, see *śrāmaṇyaphala*; five results, 672-6.  
 retribution (*vipāka*), 92, 103-5, 165, 197, 222-5, 229-30, 234, 253-4, 258, 260, 270, 273-7, 283, 286-7, 309, 372-4, 377, 383, 394, 397, 438, 569-70, 575, 579, 621-6, 629-37, 678-81, 688-91, 698-701, 706, 730n., 797, 799, 804, 924, 936, 965, 1148, 1223, 1273, 1280, 1354-5; retribution and the *indriyas*, 165-77; see also *karmaphala*.  
 retributive cause; see *vipākabhetu*.  
 retributive result; see *vipākaphala*.  
 rhinoceros, 482-3, 941, 1177; see also Pratyekabuddhas.  
 Right Action (*samyakkarmānta*), 561-2,

566, 1024, 1030.  
 Right concentration, 1024.  
 Right Effort, 1024.  
 Right knowledge (*samyagiñāna*), 1036, 1087.  
 Right Livelihood (*samyagājīva*), 561-2, 566, 1024-5, 1030.  
 Right Mindfulness (*samyaksmti*), 562, 1024.  
 Right Speech (*samyagvāc*), 561-2, 566, 1024, 1030.  
 Right Thoughts, 1024.  
 Right views; see *samyagdṛṣṭi*.  
 roots, 81, 92, 99, 113, 645-7, 767, 700;  
   roots of good, 191, 193, 264, 266,  
   292-3, 323, 325, 574, 596, 609,  
   613, 618, 659, 679, 707, 803, 915,  
   930-1, 933, 935, 939, 942, 961,  
   1027, 1101-2, 1266, 1270, 1272,  
   1278; roots of evil, 574, 659, 799,  
   1101; roots of defilements, 833-9,  
   841; cutting off of the roots of  
   good, 164, 181, 183, 210, 292-3,  
   659-64, 689, 939, 1102; see also  
   *mokṣabhāgiyas*.  
*ṛṣi*s, 397, 445-7, 655.  
 Rudra, 307.  
 Rudrāyaṇa, 687.  
*rūpa* (physical matter), 63, 68-73, 76,  
   77-9, 88-9, 102, 113, 119, 122, 175,  
   184-5, 187-8, 206, 214-5, 231, 252,  
   259-62, 276-7, 279, 282, 297-8,  
   305, 367-8, 372-3, 378, 384, 410,  
   418, 422, 474, 493, 556-7, 559-63,  
   566, 568-72, 576-7, 620, 703, 802,  
   806, 856, 902, 907, 911, 923-5,  
   1005, 1038, 1101, 1107, 1141,  
   1159, 1162, 1169-70, 1175-8, 1220-  
   6, 1257, 1271, 1274, 1276, 1286-  
   7n., 1303n., 1314, 1319-24; see  
   also Rūpadhātu, rūpāyatana.  
 Rūpadhātu, 66, 83, 90, 92-5, 154, 166,  
   172-3, 175-6, 180-1, 187, 202, 212,

215, 228-9, 231, 236-7, 253, 268,  
 270, 275-6, 365-9, 377, 387, 391,  
 401, 432, 433-6, 439, 442, 465-7,  
 469-71, 477, 487, 491, 571-2, 581,  
 616, 618, 620, 622, 635, 659-60,  
 669, 690, 771-2, 774-6, 790, 793,  
 797, 822-4, 829-30, 840, 846, 861-  
 3, 867-8, 902, 936, 945, 961, 964,  
 966, 968-70, 973-6, 979-80, 999,  
 1090, 1096, 1107, 1118, 1121,  
 1128, 1169-70, 1177, 1222-4, 1226,  
 1234, 1239, 1241, 1247, 1280;  
 description of Rūpadhātu, 365-6,  
 types of minds in Rūpadhātu,  
 310-25.  
*rūpakāya*, 601.  
*rūpaṇa*, 70.  
*rūparāgaḥsayapariñā*, 861.  
*rūpaskandha*, 63, 76, 70, 72, 74, 76-7,  
   79, 85-7, 90, 164, 251, 1321, 1324.  
*rūpaskandhasabhāgatā*, 219.  
*rūpāyatana* (visible matter), 63-7, 77,  
   85-6, 100, 102, 105-6, 109-12,  
   114-20, 125-9, 255, 303, 440-1,  
   556, 561, 1274, 1278.  
 Rūpyacakravartins, 484, 486; see also  
   Cakravartins.  
*rūpyate*, 560.

## S

*śabda*; see sound.  
*śabdadhātu* (audible matter), 92.  
*sabhāga* (similarity), 108-11, 115, 123,  
 262.  
*sabhāgabetu* (parallel cause), 60, 104-5,  
   253, 255, 261-71, 277, 287, 289-  
   90, 292-4, 296, 304-5, 308-9, 811,  
   933, 1097, 1354.  
*sabhāgatā* (genre, genus), 206, 219-21,  
 254, 367.  
 Sābhisamśkāraparinirvāyins, 966-7,  
 969-70.

*sacetanā* (sensitive matter), 99.

Sadāmattas, 462.

*sahabbhū* (co-existent item), 255, 259-61.

*sahabbhūhetu* (co-existent cause), 255, 257-62, 264, 266, 272, 277, 292, 296, 304-5, 308-9.

Sāhasra Universe, 1177-8.

Śaīkṣas, 114, 181, 184, 213, 219, 226-7, 310-1, 314, 316-9, 323-5, 585, 602, 689, 784, 972, 974, 978, 983-5, 1002-6, 1008-10, 1013-5, 1018-9, 1033-4, 1037, 1105, 1130-3, 1148, 1243; Śaīkṣa *dharma*s, 675-6, 1031; path of the Śaīkṣas, 1003, 1013.

Śaīla, 381.

*śaīlpaṣṭhānika*, 169, 315-6, 319.

saints, 58, 109, 162-3, 167, 179-81, 207, 211, 216, 220, 227, 293, 314, 386-8, 566, 602, 628-9, 689, 778, 868, 896, 1041 *passim.*, 1091, 1105, 1132, 1275, 1327, 1327, 1355.

*sakalabandhana-ādīkṣaṇasthas*, 207.

Śakara, 463, 973, 1110.

Sakṛdāgāmins, 177-8, 631, 953-5, 958, 961, 963-5, 972-5, 985, 994-5, 1243.

*sākṣātkrīyā*; see perception.

Śākya (clan), 382.

Śākyamuni, 685, 692-3; see also Blessed One, Buddha, Gautama.

Śākyans, 606.

salvation, 1167; aspects of 1111-2, 1115.

*samādhi*, 87, 189-90, 194-5, 204, 562, 570, 597-8, 705, 853, 922, 925, 1024-6, 1028, 1081-2n., 1104, 1107, 1137, 1146, 1168, 1216-8, 1229-30, 1233-4, 1237, 1296-9m.; Three Samādhis, 1148, 1256-62; see also absorption.

Samādhi Absence of Contention, 87, 631, 1148-52, 1155-7, 1202n., 1275.

*sāmagrīphala*, 295.

*samābhūta*, 369, 588, 705; see also absorption.

*sāmantakas* (preparatory stages), 642, 862-3, 902-1, 988-9, 1030, 1068n., 1133, 1220, 1252-6, 1261-2, 1267-8, 1273.

*samantarapratyaya* (immediately antecedent condition), 124, 264-5, 277, 296-302, 304-6.

*sāmānya*; see sameness.

*samāpatti*; see absorption.

*samāpatticitta* (mind-in-absorption), 230, 232.

*samāpattiyāvaraṇa* (obstacles to absorption), 226.

*samāropa* (var. *samāropikā*; erroneous attribution), 778, 782.

*śamatha*; see calmness.

*samvāyikarṇa* (material cause), 491.

Samayavimukta, 269, 1017, 1020, 1091, 1124, 1133, 1250.

*saṁbhavaśiṣin*, 441-2.

Śaṁbuddha, 398.

*Samcetanīyasūtra*, 639.

*saṁdhicitta* (initial mind), 372.

sameness (*sāmānya*), 219, 221.

Śaṁghabhadra, quoted, 148-9n., 884-5., 891n., 1286-7n.

Śaṁghāta, 365, 457, 472.

*saṁghātaparamāṇu*; see molecules.

*Samgūtiparyāya*, quoted, 443; referred to, 445.

*saṁgraha*, 76.

*saṁgrahavastus*, 76.

Śaṁjīva, 365, 456-7, 472.

*saṁjñā*; see ideas.

*saṁjñāgata*, 387.

*saṁjñākaraṇa*, 423.

*saṁjñāna*; see motion.

*saṁjñāśkāndha*, 73.

*saṁjñāveditanīrodhasamāpatti* (Absorption of the Extinction of Ideas

- and Sensations), 230-1, 1271, 1273.  
*saṃjñāvīparyāsa* (error of ideas), 782-4.  
*Sāṃkhyas*, 453, 778, 780, 810, 1331, 1344.  
*saṃprajñānam*, 1130.  
*saṃprakhyāna* (discernment, knowledge), 421.  
*saṃprayoga*, 856.  
*saṃprayuktahetu* (associated cause), 225, 264, 266, 272-3, 277, 287-8, 292, 296, 304.  
*saṃprayuktas*, 91, 112, 205, 218.  
*saṃprayuktasaṃskāras*, 73.  
*saṃsāra*, 159, 307, 409, 574-5, 707, 1334-5, 1337.  
*saṃskāraduḥkhatā*, 899.  
*saṃskāras*, 73, 83, 112, 167, 184, 188, 206, 211, 234-5, 241, 243, 250-1, 253, 296, 299-300, 306, 322, 372, 378-9, 401-2, 404, 406-7, 409, 412-3, 415-7, 420, 422, 481, 593, 682, 802, 899-900, 904-5, 909, 1101, 1115, 1119, 1144, 1222-3, 1225, 1325, 1341-2, 1347-8; *ayuh-saṃskāras*, 165, 167; *cittaviprayukta saṃskāras*, 160, 184, 206; *jīvita saṃskāras*, 167.  
*saṃskāraskandha*, 73-4, 81-2, 86-7, 206, 841.  
*saṃskṛtalakṣaṇas*, 106, 188, 241-2, 1112, 1257.  
*saṃskṛtas*; see *dharma*s.  
*sāntānasabhāgikas*, 299.  
*samudaya*; see arising.  
*samutpāda*, 410-2; see also *pratītya-samutpāda*.  
*samutpanna*, 410-2; see also *pratītya-samutpanna*.  
*samutthāna*, 576.  
*samutthāpaka* (cause of the origin), 609, 645.  
*saṃvara*; see discipline.  
*saṃvartakalpa* (kalpa of disappearance), 475-77.  
*saṃvṛtisatya*; see relative truth.  
*saṃyagājīva*; see Right Livelihood.  
*saṃyagdr̥ṣṭi* (Right Views), 324, 562, 597-8, 601, 603, 665-6, 705, 794, 923, 972, 991, 1027, 1036, 1087-8.  
*saṃyagjñāna*; see Right Knowledge.  
*saṃyagvyāyāma*, 562.  
*saṃyakkaramānta*; see Right Action.  
*saṃyakpradhānas*; see exertions.  
*saṃyagvāc*; see Right Speech.  
*saṃyakprahānas* (right abandonings), 896.  
*saṃyaksamādhi*, 562.  
*Samyaksam̐bodhi*, 485.  
*Samyaksam̐buddha*, 802, 1092, 1336.  
*saṃyaksam̐kalpa*, 562.  
*saṃyaksam̐rti*; see Right Mindfulness.  
*saṃyaktvaniyāma* (absolute good), 321, 944, 1243.  
*saṃyojanas* (connections), 42-1, 830, 835-40, 886n.  
*Samyukta* (*Samyuktāgama*), quoted, 73, 77, (140n), 100-1, 116, 282, 285, 368-70, 422, (519n.), 443 (525n.), 561, 818, (882n.), 834-5, (885n.), 928, 959 (1061n.), 1035 (1084-5n.), 1321 (1361n.), 1327 (1366n.); referred to, 429-30, 521-2n., 625, 967, 1035, 1037 (1085n.).  
*Samyuktāgama*, quoted 186, 292; referred to, 1281.  
*Samyutta*, quoted, 103, 620, 928, 1327 (1366n.); referred to, 87, 625.  
*saṃdhas*, 619.  
*Saṅgha* (var: *Saṅgha*), 165, 167, 490, 592, 601-2, 630-1, 647, 689-90, 1031-3; schism in the *Saṅgha*, 681-5, 688.  
*Saṅghānusmṛti*, 704.  
*śāntanirodha* (calm of extinction), 378.  
*sapratigha*, 91-2, 115.  
*Saptabhavasūtra*, quoted, 372 (500n.),



386 (506n.); referred to, 373.  
**Saptakṛtāparamaḥ** (var: **Saptakṛtvah parama**), 958, 962.  
*Saptasūryavyākaraṇa*, 376.  
 Śariputra, 229, 236-7, 368-9, 372-3, 485, 1139.  
*Sarva*, 419 (518n).  
*sarvāṇvayajñānapakṣa*, 1252.  
 Sarvārthasiddha (Bodhisattva), 446.  
*sarvasaṃyojanaparyādānaparijñā*, 861, 867.  
 Sarvāstivādin-Vaibhāṣikas, 207.  
 Sarvāstivādins, 167, 192, 207-11, 216, 221, 232, 241, 243, 245, 248-9, 251-3, 260-1, 278-80, 282-5, 295, 373, 411-2, 424, 558-9, 565-6, 770, 807-8, 812-5, 818-9, 881n., 1126, 1217, 1237.  
*sarvatragahetu* (universal cause), 104, 255, 261, 273-4, 277, 287, 289-90, 292, 296, 304, 791, 865.  
*sāsrava*, 660, 863, 895.  
 Śāstras, 429, 969.  
 Śāthas, 455.  
*śāthya*; see hypocrisy.  
 satisfaction (*saumanasya*), 83, 130, 155, 158, 161, 164, 169-71, 173-4, 177-9, 181-2, 768, 847-50, 906, 1005, 1143, 1232, 1237-40, 1255, 1265, 1267, 1271.  
*satkāyadr̥ṣṭi* (belief in a self), 197-8, 259, 265, 274, 354-5n., 673-4, 772-3, 777, 781, 785, 789-91, 795, 797-9, 826-7, 836, 838-9, 929, 994, 1004, 1088, 1109-10, 1327, 1338, 1349-50, 1355; see also *ātmavā-dopādāna*.  
*Śatprāṇakopamasūtra*, 1322-3.  
*Śaṭṣaṭkasūtra*, quoted, 770.  
*sattvākhyā*; see beings, living.  
*sattvasabhāgatā*, 219-20.  
*sattvasaṃvartanī*, 477.  
*satyābhisamaya*; see comprehension

(of the Truths).

*satyākārapravṛtta* (aspects of the Truths), 990.

*saumanasya*; see satisfaction.

Sautrāntikas, 65, 79, 118, 155, 157, 166, 186-7, 191-2, 203-4, 207-9, 217, 220-1, 230, 232-4, 239, 241, 247, 251, 260-1, 279-83, 285-6, 300, 367, 376, 411-2, 420-1, 424, 427-8, 556, 558, 560, 562, 564-5, 577, 593-4, 597, 599, 611-2, 614, 626, 658, 677, 770, 806, 810, 812, 814, 818, 978, 1006-7, 1102, 1116, 1126, 1216-7, 1236-7.

schism, 679, 681-5, 688-90.

School, 65, 89, 123, 153, 189, 215, 220, 227, 236, 239, 694, 771, 798, 828, 831, 833, 848, 857, 921, 926, 942, 993, 1154, 1221, 1229, 1263; see also *Vibhāṣā*; School of the Mahā-sāṃghikas, 281; of the Saṃmitiyas, 247; of the Vārṣaganyas, 818; of the Vibhajyavādins, 783.

scripture, 67, 108, 116, 123, 237, 241, 383, 386, 388, 396, 419, 421, 490, 559-60, 606, 626, 706, 800, 807, 814, 819, 902, 952, 1006, 1008, 1016, 1235, 1328.

sea, outer, 454-5.

seeds, 210-2, 256, 277, 283, 293, 491, 703, 703, 770-1, 909, 1352-5.

Seeing, path of, 60, 105, 111-3, 157, 162, 173-4, 178, 181-2, 184, 209, 213, 216, 263, 268, 273-4, 575-80, 619, 631-2, 636-7, 661, 676, 772-6, 780, 783, 786, 789, 793, 804-5, 820-1, 828, 839, 845-6, 854, 860-1, 863, 865-8, 895-6, 911-2, 923, 933, 935-6, 938-40, 947-8, 950-4, 956, 959, 985, 994-9, 1004, 1010, 1017-8, 1020, 1026-9, 1039-40, 1041n., 1060n., 1087-8, 1090, 1092-3, 1097, 1107, 1109, 1123-8, 1131, 1133,

- 1150, 1330, 1340; Seeing of Arising, 673, 773, 778-80, 789, 821, 825, 853-4, 860; Seeing of Extinction, 773, 780, 822-5, 853-4, 860; Seeing of Suffering, 60, 290, 673-4, 773-4, 779, 789, 795, 820-2, 829, 839, 853-4, 860, 865; Seeing of the path, 773, 780, 823-4, 839, 853-4, 860.
- self, 108, 259, 776-7, 781-3, 795, 910, 925, 1005, 1110, 1113, 1115, 1122, 1327; belief in a self, see *satkāyadṛṣṭi*; idea of a self, see *ahamkāravastu* and *ātmadṛṣṭi*; see *ātman*, personality, *pudgala*.
- semen, 381-2, 385, 395-6.
- sensation (*vedanā*), 61, 72-4, 78, 81-3, 86, 101, 104, 106, 124, 130, 161, 168, 170-2, 178, 183-4, 189, 195, 230-1, 242, 248-9, 272, 276, 280, 282, 298-9, 302, 372, 378-9, 401-2, 404, 406-9, 417-8, 422, 426-30, 447, 622-4, 632-3, 670, 678, 783, 791, 795, 802, 804, 815-6, 836, 840, 848-50, 899, 901, 905-8, 911, 925-6, 928, 1101, 1119, 1162, 1222-5, 1232, 1239-40, 1253, 1273, 1323-4, 1349-50, 1352; agreeable sensation, 160-2, 173, 624-5, 655, 770-1, 824-5, 847, 850, 899, 901-8, 921, 1005, 1231, 1233-4, 1237; bodily sensation, 160-2, 191, 194, 432, 632, 1232-4; disagreeable sensation, 160-2, 172, 840, 899, 902; five sensations, 155, 157-9, 847, 906; mental sensation, 160-2, 191, 431, 632-3, 1233, 1237; painful sensation, 160-4, 173, 921, 1005, 1323; organs of sensation, 182-3; see also individual sensations, i.e.; dissatisfaction, equanimity, indifference, pleasure, satisfaction.
- sense consciousness, 74, 99-101, 109-10, 129, 131, 153.
- sensualists, 262.
- separation, 859-8, 913, 965, 1009, 1220, 1223, 1238.
- sermons, 707, 997.
- service, 1145; perfection of 1146-7.
- sex (gender), 173, 175-6, 219, 395, 397, 603-4, 667, 1264; illicit sexuality, 582-3, 646, 648, 651-2, 660; see also organs, sexual.
- shape (*saṃsthāna*), 64-7, 69, 86, 99, 552, 556-8, 917-8, 920, 1159, 1162, 1175, 1178, 1264, 1320-2.
- Siddhānta, 791.
- sight, 60, 63, 997; consciousness of sight, see visual consciousness; divine sight, 392, 395, 1133, 1157, 1160, 1162-4, 1176-8, 1262; organ of sight, 64, 71-3, 75-7, 83-5, 100-1, 106-7, 109-10, 113-20, 122-30, 153, 158-9, 171-2, 176, 180-1, 183, 185, 261, 272, 295, 303, 315, 427-8, 807, 1322; see also eye.
- Śikhaṇḍin, 687.
- Śikṣamānā (probationer), 581, 592, 714-15n.
- śikṣas, 987-9, 983-4.
- śīla; see morality.
- Śīlā, 1325.
- śīlaskandhikā, 672.
- śīlavrataparāmarśa, 198, 375, 377, 483, 772-3, 776, 778-80, 782, 789, 791, 793-4, 827, 832, 836-7, 838-9, 1109.
- śīlavratapādāna, 418, 829, 832.
- silence, 638-9.
- Silent One, 638.
- similiar cause; see *sabhāgaḥetu*.
- Sindhu (River), 456.
- Sitā (River), 456.
- Sitās, 454.
- sitting (*āsana*), 227, 941.
- skandhas, 56, 61-3, 72-83, 86-8, 167-8,

- 211, 219-20, 223, 225, 228, 234, 262, 275-6, 294, 345n., 366, 373, 377-80, 383, 386, 388, 391, 395, 399-405, 411-2, 418-9, 422-3, 438, 479, 565, 601-2, 607-8, 648, 650, 696, 707, 777, 801, 804, 827, 852, 902, 909, 933, 936, 1035, 1091, 1101, 1111, 1128, 1215-6, 1219-20, 1223, 1272, 1278, 1313-8, 1320-1, 1324-5, 1327-8, 1330-4, 1337-8, 1341, 1349-51.
- skeletons, 918-9.
- smell, 63-4, 88, 102, 105, 1110-1, 125, 154, 187, 653-4, 906-7, 911, 1319, 1347-8; consciousness of smell, 67, 98, 129, 302, 1318-9; organ of smell, 76-7, 83-5, 93-5, 118-20, 122-3, 126, 128-30, 153, 180, 1175, 1322; see also nose.
- smṛti*; see mindfulness.
- smṛtyupasthānas*; see foundations of mindfulness.
- Sodāyin, 592.
- solidity, 99-100.
- soul, 904, 1115, 1313-4, 1326-7; see also *ātman*, *pudgala*.
- śabdāyatna*; see hearing, organ of.
- sound (*śabda*), 60, 63-5, 92, 93, 98, 102, 104, 110-1, 120-2, 126, 128, 185-7, 251-3, 283, 302, 315, 428, 444, 491, 572-3, 817, 1155, 1162, 1169, 1175, 1177, 1257, 1321-2, 1343; vocal sound, 251-2, 559.
- South, 370.
- space (*ākāśa*), 59, 83, 88-9, 101, 207, 280, 575, 858, 1226, 1275, 1277.
- sparsāyatana*s, 197.
- speech, 62, 203, 552, 575, 639-41, 1025, 1152-3, 1173-4, 1351; transgressions of speech, 605, 647-8, 655-6, 669; see also Right Speech, Wrong Speech.
- spheres, 81, 91, 130, 172-6, 182, 189, 199-200, 202, 212, 215, 219, 221, 223, 227, 229-30, 233, 253-4, 266-8, 274, 300, 310-1, 314, 319, 323-4, 444, 568-9, 571-2, 620, 627-8, 659-60, 668, 680, 767, 774, 779, 790, 792, 795-6, 822-3, 830, 832, 840, 849, 853, 855, 860-1, 864-8, 909, 914, 920-1, 925, 932, 936-7, 949, 954, 956, 963-5, 973-4, 979, 982, 985-6, 988-90, 993, 996, 998, 1002, 1014-5, 1022, 1029-30, 1037, 1039, 1091, 1093, 1096-8, 1107, 1117-9, 1122, 1127, 1129, 1132, 1134, 1138-9, 1141-2, 1144, 1149-53, 1156, 1158, 1160, 1163-4, 1171-3, 1220, 1222, 1224-5, 1236, 1240-55, 1258, 1261-2, 1267-8, 1273-6, 1278, 1280, 1322-3; see also *bhūmis*.
- śraddhā*; see faith.
- Śradhādīmuktas, 269, 473, 954-5, 1000, 1010, 1013, 1016-7, 1019, 1091.
- Śradhānusārin, 269, 473, 952-6, 1016-8.
- Śrāmaṇa, 680, 992.
- Śrāmaṇera (novice), 581-3, 599-600, 609, 665, 714-5n.
- śrāmaṇya* (religious life), 859, 992-5, 998.
- śrāmaṇyaphala* (results of the religious life), 177, 951, 992-5, 1158, 1281, 1349.
- Śrāvakas, 55, 216-7, 482-3, 564, 783, 802, 806, 818, 940-1, 947, 1009, 1012, 1015, 1023, 1033, 1053-4n., 1093, 1125, 1143-4, 1148, 1169, 1177-8, 1233, 1269.
- Śrīlābha, Bhadanta, 93, 186, 424, 428-9.
- Srotaāpannas, 177-9, 447, 629, 783, 838-9, 859, 953-5, 958-63, 965, 969, 972-5, 985, 1004, 1243.
- srotābhijñā* (supernormal hearing), 214.

- śruta*; see hearing.  
*śrutamaya*, 322.  
*śrutamayī* (wisdom of the teaching), 912-3, 1122.  
 stability, 1229-30.  
 stages; see *bhūmis*.  
 stains, 634-5.  
 stars, 64, 255, 460-2.  
 stealing, 582-3, 641, 645-6, 648, 651, 667, 669-70, 689, 701, 787.  
*sthānāsthāna*, 1137.  
*Sthitākampyas*, 979, 1000, 1002, 1994, 1007.  
*sthitī*; see duration.  
*sthitīhetu* (cause of duration), 309.  
*sthitīs* (abodes), 378-80.  
*sthitīyanayathāva* (duration-modification), 238-9.  
 stilling; see calmness.  
 striking, 89-01.  
 Stūpas, 588-9, 651, 689-90.  
*styāna*; see torpor.  
*śubbhā*, 295.  
 Śubhakarīsthanas, 366, 376-7, 470, 495.  
 substance (*dravya*), 65, 185-8, 206-7, 221, 266, 303, 453, 491-4, 567, 1230, 1316, 1338, 1348-9.  
 substantial entities, 280, 437-8, 770, 813, 1023, 1032, 1313-4, 1329-30, 1342.  
 Sudarśana, Mountain, 452; city, 463.  
 Sudarśanas, 366, 467.  
 Śuddhāvāsas (also: Śuddhavāsikas), 366, 378, 495, 968-9, 976-7, 1160.  
 Sudharmā, 463-4.  
*śuddhidṛṣṭi*, 789.  
 Śūdras, 386.  
 Sudṛśas, 366.  
 suffering, 63, 73, 167, 172-3, 201, 281-6, 307, 419, 426, 621, 670, 698, 779-80, 797, 802, 826-7, 841, 896-909, 921, 929-30, 932, 936, 945, 947-8, 959, 962, 967, 981, 999, 1002-3n., 1112-6, 1143-5, 1147, 1149, 1179, 1237, 1239, 1260, 1270, 1274-5, 1324, 1337, 1350; aspects of suffering, 1110-1, 1115, 1257-9; faculty of suffering, 160; suffering of Kāmadhātu, 932-3, 943, 945-6, 960, 973; see also Suffering, Truth of.  
 Suffering, Truth of, 76, 201-2, 265, 274, 777, 779, 783, 793-5, 802, 820-2, 825-6, 836, 839, 853-4, 860, 864-5, 896, 898, 908, 935, 946, 948, 997, 1039, 1089-90, 1127, 1257-8, 1274-5; Seeing of the Truth of Suffering, see Seeing, Path of.  
*sukha*; see happiness, pleasure.  
*sukhopattis*, 237.  
 Summits (*mūrdhan*), 690, 930-2, 934-5, 939-40, 1027.  
 sun, 64, 255-6, 460-2, 468-9.  
 Sundarananda, 242.  
 Sunetra, 1337-8.  
*śūnyatā*; see emptiness.  
 Supernormal Knowledge, 1129-30, 1132, 1148-9, 1157-67, 1170, 1175, 1178, 1180, 1262.  
 Supernormal powers (*rddhipāda*), 165-6, 168, 776, 1022-8, 1081-2n., 1157, 1160, 1162, 1166, 1168, 1176, 1178-80, 1205-6n., 1275.  
 support (*āśraya*), 158-9, 206, 265-6, 272-3, 285, 301, 303, 444, 448, 566, 572, 577, 602, 847, 912, 929, 1004-5, 1117-8, 1134, 1138, 1144, 1163, 1171, 1215, 1226, 1250, 1279, 1326, 1342, 1347-8, 1350, 1352.  
 Supreme Worldly Dharmas, 301, 933, 935-7, 943-4, 949, 1027.  
*Sūtra of Man*, quoted, 1324; referred to, 1329.  
*Sūtra of the Five Nīvaranas*, 657.  
*Sūtra of the Saptasatpuruṣagati*, 973.

*Sūtra of the Seven Existence*; see *Sapta-bhavasūtra*.

*Sūtra of the Seven Satpuruṣagatis*, 387, 973.

*Sūtra of the Six Hexades*, quoted, 424.

*Sūtra of the Three Characteristics*, 244.

*Sūtra of the Twelve Parts*, 405.

*Sūtra of the Viparyāsas*, 781.

*Sūtra of Māra*, 388-9.

*sūtras*, 86-7, 370, 372, 391, 620, 706, 819, 948, 952, 1008, 1281, 1324, 1326, 1362-4n.

*Sūtra of the Sugata* (=the Buddhist *Sūtras*), 816.

Suvarṇacakravartins, 484, 486; see also Cakravartins.

*svalakṣaṇamanaskāra*, 320.

Svayambhūṣ, 398.

syllables, 652, 1152-4.

## T

Tāmracakravartins; 484, 486-7; see also Cakravartins.

*tandri*, 851.

tangibles (tangibles things), 60, 63-4, 67, 92-3, 99-100, 102, 105, 110-1, 126, 129, 154, 185, 188, 276-7, 280, 302, 315, 654-5, 917, 1141, 1169-70, 1175, 1234, 1278, 1316-7, 1319.

Tapana Hell, 365, 457, 472.

taste, 60, 63-4, 66, 83, 88, 92, 99-100, 102, 105, 110-1, 125, 154, 185, 187-8, 276-7, 315, 370, 393, 487-9, 654-5, 697-8, 906-7, 1169, 1175, 1347-8, 1351; consciousness of taste, 67, 98, 129, 1318-9; organ of taste, 67, 84-5, 93-5, 118-20, 122-3, 126, 128, 130, 153, 180, 1322; see also tongue.

Tathāgatas, 226, 412, 484, 486, 564, 679, 681, 683, 687-8, 690, 693-4,

804, 1146-8, 1324, 1335-6, 1338, 1355.

*tatkṣaṇasamutthana*, 576.

*tatsabbhāga*, 108-11, 123, 1177.

teaching, 322, 706, 896, 911, 1166-7, 1280-1, 1313, 1354-5; wisdom of teachings, see *śrutamayī*.

teeth, 99, 160.

terraces (*pariṣandās*), 462.

Theist, 306-7.

thirst (desire, *trṣṇā*), 66, 95, 187, 282-3, 285, 403, 406, 445, 789-90, 843, 909, 916, 1028, 1228, 1251, 1329, 1337; see also *bhavasatṛṣṇā*; craving; desire; *trṣṇāvicaritas*, *trṣṇot-taradhyāyin*; *vibhavasatṛṣṇā*.

Thirty-three Gods; see Trayas-triṃsas.

thought, 782-4, 913, 975-8, 1028, 1030, 1187n., 1324.

Three Jewels, 421, 629, 961, var. Three Precious Ones, 191.

Three Refuges; see Refuges, Formula of.

Three Vehicles, 1281.

time (*kāla*), 206, 592-4, 820, 857; measures of time, 474-5; time periods, 272, 277-8, 805-6, 808-16, 819, 1328.

Tīrthikas (non-Budhists), 798.

tongue, 74, 85, 94, 123, 277, 1318-9.

torpor (*styāna*), 193-5, 830, 842-3, 845-7, 850-3, 1103-4.

touch, 63, 125, 129-30, 187, 302, 654, 698, 1347; consciousness of touch, 67, 98, 115, 129, 276-7, 302, 849, 1233-4, 1322; organ of touch, 64, 67, 83-5, 94, 99-100, 102, 116, 118-20, 122-3, 126, 128-30, 153-4, 156, 174-6, 180-3, 185, 449.

traces (*vāsanās*), 1139, 1143, 1146, 1225, 1348.

tranquility, 225, 1250; the Tranquil,

1257.  
 transformation (*pariṇama*), 453, 810, 899-900, 904-5, 908, 913, 1331, 1344-5, 1353; cause of transformation, see *vikārabetu*.  
 transgressions, 170, 200-1, 567, 582, 584-5, 590, 605-8, 619-20, 646, 648-50, 658, 666, 962, 972, 992; mortal (*ānantarya*) transgressions, 154, 450-1, 663-4, 679-90, 748-9n., 939; *patanīya* transgressions, 613-5.  
 transitional absorption (*vyutkrāntaka-samāpatti*), 1248-9.  
 transmigration, 55-6, 58, 81, 83, 159, 218, 220, 611-2, 834-5, 957, 960, 963, 968, 992, 1012, 1114, 1147, 1334-5.  
 Traṣṭraśrīmsa, 365, 463-9, 471-2.  
 Treatise; see *Jñānaprasthāna*.  
 Trisāhasra Universe, 485-6, 1177-8.  
*trṣṇā*; see thirst.  
*trṣṇāvicaritas* (modes of thirst), 282-3, 1114.  
*trṣṇottaradhyāyin* (absorption of desire), 800.  
 Truths, Four Noble, 59, 73, 87, 111, 163, 177-8, 183-4, 191, 255-6, 283-4, 311, 320, 322, 354-5n., 372, 421, 600, 603, 614, 660, 784, 826, 837, 852, 867, 896-8, 910-2, 930-1, 934, 946, 951-3, 984, 990, 996-7, 1004, 1021, 1029, 1031-2, 1041-2n., 1090, 1094, 1097, 1099, 1110, 1124-8, 1282, 1325, 1330; Meditation of the Four Noble Truths, 157, 162-3, 178-9, 631, 651, 865; aspects of the Truths, 1111-2, 1115-6; see also Arising, Truths of; comprehension; Extinction, Truth of; Path, Truth of the; Seeing, Path; Suffering, Truth of.  
 Tuṣṭita Heaven, 473.

Tuṣṭitas, 365, 465, 469.

## U

Ubhayatobhāgavimuktas, 1016-8, 1020.  
*ucchedadrṣṭi* (view of annihilation), 782, 788, 797.  
*Udānas*, 58.  
*Udānavarga*, 58.  
*Udayisūtra*, quoted, 228-9.  
 Uktika, 1335.  
*ūnamāna*, 784-6.  
 unconscious being; see *Asamjñisattvas*.  
 undiscipline, 580, 587-8, 590, 594-5, 608-12, 617-8, 618-20, 668, 680.  
 unhappiness, 851, 1266.  
 Unhindered Knowledges, 1148, 1151-5, 1157, 1203n.  
 Uninterrupted Path; see Irresistable Path.  
 universal cause; see *sarvatragabetu*.  
 universes (*lokadhātus*), 370-1, 468-9, 485-6, 1177-8.  
 Upācaru, 381.  
*upādānas* (clings), 829, 832-5.  
*upādānaskandhas*, 63, 73, 76, 82, 443, 777, 781, 785, 789, 806, 898, 908, 1004, 1113.  
*Upādhyāya*, 309, 647.  
 Upagupta, Sthavira, 226.  
*upakleśas*; see defilements.  
 Upāli, 606.  
*Upālisūtra*, 606.  
 Upananda, 473.  
*upanidhyāna*, 1217-8.  
*upapadhyaparīnirvāyin*, 386-8.  
 Upapadyas, 387.  
 Upapadyaparīnirvāyins, 966, 969-70, 972, 980.  
*upapattipratīlambhika*, 322.  
*upapatti*; see birth.  
*upapattibhava*, 391, 442.  
 Upāsaila, 381.

Upāsakas (pious laymen), 219, 581-3,  
598-601, 603-5, 609, 665, 719-21n.  
Upāsikā, 581.  
*upastambhabetu* (maintaining cause),  
309.  
Upavāsastha, 581-4, 609.  
*upavicāras*, 431-7.  
*upekṣā*; see equanimity; indifference.  
*ūrdhvabhagīyas*, 961.  
Ūrdhvasrotas, 960, 966-73, 980, 999.  
*uṣmagatas*, 923; see also heat.  
Utpala Hell, 459.  
*utsadas*, 456-8.  
*utsūtra*, 908, 1044n.  
Uttara, 236.  
Uttarakuru, 222, 236, 365, 455, 461,  
469-70, 473, 478, 619, 657, 662,  
667-8, 679-81, 920, 1018; see also  
Kurus.  
Uttaramantrins. 455.

## V

*vāc*; see voice.  
Vāgiśa, 784.  
*vāha*, 473.  
Vaibhāṣikadeśiṃya, 94.  
Vaibhāṣikas, 58, 62, 65-8, 71, 77-9,  
94-5, 97, 109, 115-8, 120-1, 155,  
157, 168-9, 187-8, 192, 203-4, 207,  
211-2, 220-1, 223, 227-8, 230-1,  
233-5, 238, 244-5, 249, 259, 264-7,  
273, 284, 286, 301, 322, 374, 376,  
379, 394, 419, 427-31, 436, 441-2,  
445-6, 465, 552, 554, 556-7, 560,  
562, 564, 566-7, 570, 572-3, 575,  
579, 592-3, 597, 600, 602, 604,  
610-2, 614, 626, 638-9, 643-4,  
654-5, 660-1, 680, 706, 769, 776,  
778-81, 783-4, 790, 799, 801, 806,  
816-7, 819, 821, 830, 842, 862,  
912, 927-8, 933, 940, 959-60, 967,  
973-5, 978, 997, 1006, 1009-10,

1024-7, 1100, 1102-4, 1110, 1127,  
1136, 1151, 1168, 1216-7, 1232-5,  
1265, 1282, 1310-1n.  
Vaijayanta, 463.  
Vaiśeṣikas, 221, 248, 491-4, 555, 1348-  
52.  
Vaisaravaṇa 694.  
Vaiśyas, 386.  
Vaitaraṇī, River, 458; see also *utsadas*.  
Vajrapāṇis, 463.  
*vajropamasamādhi*, 301, 455, 689, 694,  
758n., 922, 981-3, 1036-7, 1091,  
1134, 1262-3.  
Vakṣu River, 465.  
*vākya*; see discourse.  
Vārṣaganyas, 818.  
Vasubandhu, quoted, 121; referred to,  
389, 554, 1106, 1340-1.  
Vāsudeva, 306.  
Vasumitra, 121, 231-2, 298, 394, 309-  
10; quoted, 889-90n.  
Vatsa, 1334.  
Vatsagotra, 1333.  
*Vatsagotrāsūtra*, quoted, 1336-7.  
Vatsīputriyas, 112, 117, 553, 818, 1314-  
22, 1324-30, 1332-3, 1335-8,  
1340-1.  
Vatsīputriyas-Sāṃmitiṃyas, 558.  
*vedanā*; see sensation.  
*vedanāskandha*, 72.  
Vedas, 646.  
veneration, 200-2.  
Vibhajyavādins, 387, 808, 875n.  
*Vibhaṅga*, quoted, 418.  
*Vibhāṣā*, 293, 321, 386-7, 394, 644, 648,  
1242; in this work, Louis de La  
Vallée Poussin includes a large  
number of passages translated  
from the *Vibhāṣā*. These passages,  
based on their location in the  
Taishō Canon (*TD*) are as  
follows:  
7c3

8b3	164	94a5	877n.
12a13	1055n., 1056n.	97c7	357n.
18c28	1192n.	98a7	277
22a2	531n.	98a11	677, 747n.
33b4	1053n.	109a18	108
33c17	1052n.	114b2	890n.
34a28	764n.	119a2	512n.
35a12	942	120a29	512n.
36a20	1005	132b1	924
37a12	1365n.	133a5	1049n.
38a7	1366n.	134b11	921
38a19	1370n.	135a15	922, 1049n.
43a2	1190n.	135a27	923
43a11	1366n.	138a9	219
43b6	1305n.	138b9	876n.
50a22	301	138c26	876-7n.
50c5	298-9	147a6	1034
51b1	301	147b7	1062n.
52a8	361n.	151a8	201
52a12	362n.	151a15	339n.
52a21	298, 361n.	155a8	1198n.
53a19	321	164c16	60-1
53b3	322	165b6	940
53b14	322	167c13	1063n.
53b25	322	175a12-18	892n.
61c	147n.	177a16	722n.
63b14	148n.	177a27	602
63c22	71	177b8	602
65c12	67	177c21	723n.
71c5-72a29	352n.	178a24	723n.
73b6	155	180a17	200
74a20	62	183b5	660
75b20	879n.	183c8	661
75b29-c2	879n.	183c16	750n.
76a15	803	184b17	660
79a26	360n.	198a15	350n.
81b9	355n.	200b2	108
87a2	264	200c19	240
89a12	270	201b7	239
89b13	360n.	205b13	1048n.
89c	693	206c11	1048n.
93a	791	214a17	1047n.
93a4	791	217a12	1050n.



217c6	912	352a17	941
219b3	339n.	352b18-366a1	505-6n.
219b7	144n.	368a21	146n.
220a22	195	368b13	146n.
220b2	336n.	380a18	121
223b6	204	383c24	145n.
229c29-230b3	715.	384a18	80
231a13	586	385b18	82
231b20	1056-7n.	387a9	62
232b9	216	388b5	89
236b19	885n.	388b19	142-3n.
240c11	1061n.	389a8	89
245b28	826	390a1	70
248a22	883n.	391c6	90
250c19	889n.	391c7	143-4n.
252b25	838, 886n.	394b19	882-3n.
255a21	874n.	394c5	881n.
255c13	1372n.	396a10	881n.
257a3	884n.	396b18	881n.
258c21	837	397a26	895, 1041-2n.
259c8-260a9	878n.	399a23	1043n.
263a6	621	401c27	1042n.
265a13	954	402c10	1043n.
267a28	1041n.	402c17	1044n.
269b10	339n.	403a4	1044n.
273c21	856	404b11	897, 1041n.
279a21	1061n.	405a27	1057-8n.
284a4	833	406b9	859
288b5	286	407c9	78
298b-c	286	408c9	1187n.
312b9	1075n.	408c25	1189n.
313b15	883n.	411a18	997
315b11	1003	411a27	856
316a4	1074n.	412a26	1289n.
316b28	1073n.	420a28	912
318b11	1076n.	420b8	1301n.
319c13	1002	423a2	1049n.
320a22	1004	424c15	1372n.
321a21	862	425c13	706
322a15	861	427b14	1302n.
324b6	868	427c	1264
336c28	1069n.	431b6	1285n.
352a14	940	431b15	1286n.

432c3	1287n.	608c11	616
434c1	1304n.	610a5	714n.
440b17	1307n.	610a6	578
444c21	878n.	610c22	577-8
449a16	821, 1361n.	615c4	624
449a25	883n.	617a3	643
452c20	824	617a18	565
464b8	956, 1060n.	617b23-25	687
465c11	1059n.	617c25	655
489b14	147n.	619a15	349n.
489c19	117	619c19023	686
495c23	572	621b15	752-3n.
496a23	1081n.	623b19	610
496b18	1080n.	630b15	295
497a4	1082n.	645c19	598
497b16	1029	646c10	715n.
509a22	497-8n.	647b23	719n.
515a18	1183n.	647b29	595
515c7	1093, 1183n.	648c19	620
518c25	1207n.	648c29	596
525b15	1054n.	657c5	329n.
533a22	1057n.	657c10	329n.
533a24	1058n.	611a16	363n.
536c8	875n.	661c14	145n., 1369n.
538a27	1298n.	662a8	1048n.
543a27	1298n.	663a11	69
583b12	735n.	663a26	68
585b27	465	663c12	65
586a8	576	674a1	445
589a18-21	671	676a26	445
590a1	637	676c25	445
590c5	637	678a26	446
584a15	730n.	681a17	69
596a23	729n.	683a24	120
596b13	623	683c24	148-9n.
598a24	742n.	684a2	121
601a2-7	680	684a11	121
601c6	750n.	692b19	495
602c5-13	684	700b23	542n.
605c14	671, 746n.	702b21	306
605c16	735n.	707b6	376
608a20	609	708a14	377
608b20	611	714a7	146n.

719c13	1295n.	898b2	1202n.
723b29-c6	569	904a25	1204n.
726b16	1026	911c5	1070n.
726c4	1027	912c4	1070n.
728a28	1205n.	937a18	926
730a29	153	950a24	1185n.
730b26	145n., 1369n.	950c8	1101, 1185n.
731b5	154	950c29	1185n.
731b11	1365n.	983b13	362n.
731b12	154	984c21	716-7n.
731b23	154	985a22	286
732b27	167	988a14	1368n.
741a10	713n.	1002b6	1370n.
746c1	93	<i>vibhava</i> ; see non-existence.	
767b5-11	183	<i>vibhavatṛṣṇā</i> (thirst for non-existence), 787-8, 876-7n.	
771a7	347n.	<i>vicāra</i> , 96-7, 193, 196-9, 202-4, 298,	
772a29	236-7	339-40n., 572, 576, 580, 632, 1030,	
774a7	347n.	1173, 1218-9, 1229, 1231, 1235-6,	
774a14	1304n.	1239-40, 1255-6.	
777b18	230	vice, 184, 255, 494-5, 563, 956-7, 983,	
779a28	1307n.	991, 1009.	
779c4	445	<i>vicikitsā</i> ; see doubt.	
780b10	227	Videha; see Pūrvavideha.	
780b26	226	Videhas; see Pūrvavidehakas.	
782a22	347n.	Vidura, 388.	
782c27	631	<i>vidyā</i> , 419, 422, 425, 577; see also	
784b5	222	knowledge.	
784c8	222	views ( <i>dr̥ṣṭi</i> ), 113-4, 198, 418, 420-1,	
814a23	1238	575, 577, 579-80, 639-40, 799,	
819b10	1302n.	804, 828, 832, 835-7, 844, 848,	
821c2	1296n.	955, 1088, 1095, 1115-6, 1250,	
821c14	1293n.	1326; clinging to views, 829, 832;	
822c14	1293n.	esteeming of views, see <i>dr̥ṣṭiparā-</i>	
863a11	510-1n.	<i>marśa</i> ; false views, 58, 200, 210-1,	
866a15	535n.	644-6, 648, 657-61, 663-5, 667,	
866a21	459	669-70, 688-9, 768, 772-3, 776-9;	
868a6	531n.	see also Wrong Views; flood of	
871a11	144n.	views, 829, 831-2; yoke of views,	
866c22	753-4n.	829, 831-2; see also <i>samyagdr̥ṣṭi</i> .	
887c5	754n.	<i>vigatarāga</i> (without craving), 1106.	
895a16	1054n.	vigilance ( <i>apramāda</i> ), 896.	
897b24	1202-3n.	<i>vihāṇi</i> (loss), 938-9.	
897b26	361n.		

Vihāra, 918.

*vijñāna*; see consciousness.

*vijñānadhātu*, 74, 101.

*vijñānakāyas*, 74, 578.

Vijñānantyāyatana, 366, 471, 1226, 1244.

*vijñānaskandha*, 74.

*vijñānasthitis* (abodes of consciousness), 83, 374-9, 909, 1223-4.

Vijñānavādins, 115.

*vijñapti* (informative action), 68, 71, 252, 275-6, 552-3, 556 (709n.), 558-60, 564, 566-73, 575-7, 579-80, 583-4, 587, 589-92, 603, 611, 613, 618, 641-4, 647, 652-3, 655, 682, 686-7, 704, 717n., 802, 1173, 124.

*vikalpa*, 97-8.

*vikalpana* (intellectual operation), 162.

*vikarabhetu* (cause of transformation), 309.

*vikṣepa*; see distraction.

*vimānas*, 465, 771.

*vimokṣamukha*, 197.

*vimokṣas*, 87, 323, 1133-4, 1137, 1148, 1271-9, 1303-4n., 1332.

*vimukti*, 87.

*vimuktijñānadarsana*, 87.

*vimuktimārga*; see Path of Deliverence.

*vimuktiskandha*, 1035.

*vimuktyāyatana*, 88.

Vinataka, 452, 454.

*Vinaya*, 391, 592, 619, 1222, 1281.

Vinayadharas, 605.

*vipāka*; see retribution.

*vipākabhetu* (retributive cause), 255, 261, 264, 266-7, 274-7, 286-8, 295-6, 304, 309, 315, 807.

*vipākaja*, 103, 270, 315-6, 318-9.

*vipākaphala* (retributive result), 258-9, 287, 289, 1354.

*vipākāvaraṇa* (obstacle of retribution), 678-80.

*Viparītasūtra*, quoted, 1237.

*viparyāsa*; see error.

*vipaśyanā*; see insight.

Vipaśyin (Buddha), 693.

*viprayuktas*, 73, 91, 112, 299, 302, 340n.

*virāga*; see detachment.

*virāgadhātu* (sphere of the absence of craving), 1038.

virile activity; see *puruṣakāra*.

virile result; see *puruṣakārāphala*.

Viśākha, 381.

*viśamya* (disjunction from defilements), 177, 280, 290, 949-50.

*viśamyaogaphala*, 258, 278, 290.

*viśayapratighāta*, 90.

*viśayas*; see spheres.

*viśeṣamārga*; see Path of Excellence.

visual consciousness, 66, 71-2, 77, 98, 106-7, 109, 111, 113, 115-9, 124-9, 155-6, 256-7, 271-2, 287, 295, 302-3, 424, 427-8, 493, 632, 849, 917, 1163, 1177, 1240-1, 1314, 1318-9, 1321-4.

*Visuddhimagga*, 620, 654.

vital energies (*ayuhśamskāras*), 165, 235.

vital organ, 130, 154, 157-60, 163-8, 170-6, 180-3, 233, 276, 367, 393, 650.

vital parts (*marmāṇi*), 449-50.

vital principle (*jīva*), 1324-5, 1332-4.

*vitāraṅga*, 178, 180, 776.

*vitarka*, 96-7, 192-3, 196-9, 202-4, 298, 339-40n., 573, 576, 580, 623, 632, 704, 917, 1154, 1173, 1219, 1229, 1231, 1235-6, 1234-40, 1254-6.

*vitarkagata*, 387.

*vivartakalpa* (*kalpa* of creation), 475, 477-9.

voice (*vāc*), 65, 86, 104, 158-9, 203, 251, 372, 552, 567, 571, 584, 638-9, 641, 648, 1173, 1221.

volition (*cetana*), 73, 83, 106, 189, 195,  
231, 274, 429-30, 440-1, 443-4,  
505, 551-2, 553, 559-60, 563-5,  
567, 571, 590-1, 594-5, 636-7,  
639-40, 658, 664-6, 678, 689, 695,  
700, 742n., 744n., 942, 1003, 1324.  
vows, 613, 1269-70.  
*vṛddha*, 262.  
*vṛddhibetu* (cause of development),  
309.  
Vṛṣalas, 646.  
*Vyākhyā*, quoted, 999, (1072n.); referred  
to 795-6, 1045n.  
*vyāñjanakāya*, 250, 253; see also  
phonemes.  
*vyāpādanivaraṇa*, 192.  
*vyukrāntaka* absorption, 229.  
*vyutthānacitta* (mind of leaving), 230,  
232.

## W

warmth, 233-4, 248, 1222-3.  
water, 68-9, 88, 99, 101, 186, 450-4,  
477-8, 490-1, 494-5, 1277, 1348.  
Way, 561-2, 566, 588, 679, 1325, 1335.  
well-being, 158, 1167, 1231-4, 1233-4,  
1238-9; see also *prasrabdhi*.  
West, 370.  
Westerners, 109, 1100.  
Wheel of the Dharma, 164, 995-8; see  
also below.  
Wheel of the Law, 684-5; see also  
above.  
wickedness, 192, 639, 643-4, 646-7,  
657-9.  
wind, 65, 68-70, 88, 101, 121, 187,  
450-4, 460, 463, 477-8, 490-1,  
494-5, 917, 921, 923, 1254, 1277-  
8, 1351.  
wisdom, 1016, 1167; Aśaṅka's Wisdoms,  
1164-6; three wisdoms, 912-3; see  
also *prajñā*.

wombs, 175, 219, 236, 371-2, 380-3,  
395, 397-400, 445, 510-1n., 686,  
937, 1330.  
women, 662, 667, 686, 787, 809-10,  
936-7, 1345.  
words, 62, 250-4, 666-7; see also *nāman*.  
world (*bhājanaloka*), 63, 154, 306-7,  
365-495 *passim*, 767, 778, 789,  
804, 1307-8n., 1334-5.  
worship, 702-3.  
wrappings of attachments (*paryavas-  
thānas*), 770, 787-8, 829-30, 832,  
835, 837, 841-6, 850, 867, 869-  
70n.  
Wrong Action (*mithyākarmānta*), 671.  
Wrong Livelihood (*mithyājīva*), 575,  
671-2.  
Wrong Resolution (*mithyādhimokṣa*),  
194.

Wrong Speech (*mithyāvāc*), 671.  
Wrong Views (*mithyādṛṣṭi*), 198, 772-  
3, 777, 782, 788, 792, 796, 826-7,  
836-9, 848, 853, 1109, 1162-3,  
1325, 1330, 1333, 1335; see also  
views, false.

## Y

Yajñadatta, 1340.  
Yakṣas, 462-3, 784.  
Yama, 389, 458, 460.  
Yamarākṣasas, 458.  
Yamas, 365, 465, 467-9, 471, 478.  
Yaśas, son of, 236.  
*yoga*, 699, 1110.  
Yogācārin, 462, 562-3, 711-2n.  
*yogas*; see yokes.  
*yogavihita* (proper action), 677.  
Yogins, 319, 778.  
yokes (*yogas*), 829, 831-5.  
Yugandhara, 452-4, 460, 471.  
*yuvan*, 262.





